



COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SAFETY IN AFRICA (AFI PLAN)

FOURTEENTH AFI PLAN STEERING COMMITTEE MEETING

(Montreal, Canada, 24 October 2014)

**Agenda Item 3: Status of Implementation of the ICAO Plans of Action
for States under the review of MARB including priority
States.**

(Presented by AFI Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents information related to the progress made in implementing the ICAO Plans of Action to assist States under the review of the Monitoring and Assistance Review Board (MARB) including priority States.

Action: The Steering Committee is invited to:

- a) Note the information contained in the paper and its attachments;
- b) Note progress made in the implementation of the ICAO Plans of Action; and
- c) Provide further guidance and instruction for the effective implementation of the ICAO Plans of Action in line with proposals in column 8 (Action required from the meeting) of **Attachment A**.

1. INTRODUCTION

1.1 The Steering Committee, at its 13th meeting, was provided with information on the development and implementation status of the ICAO Plans of Action including the progress made in revising the said Plans to incorporate the Abuja Safety Targets and/or adjustment of deadlines for States whose implementation timelines elapsed.

1.2 As required in the ICAO Plans of Action, collaboration between ICAO and AFCAC on the implementation of the AFI Cooperative Inspectorate Scheme (AFI-CIS) to provide assistance to African States for addressing safety deficiencies identified through the ICAO Universal Safety Oversight Audit Programme (USOAP) is ongoing.

1.3 This Discussion Paper highlights the progress further made since the 13th AFI Plan Steering committee meeting in the development, presentation and implementation of the ICAO Plans of Action for States under the review of the MARB, including priority States. The paper also highlights the USOAP Continuous Monitoring Approach (CMA) activities, including Comprehensive System Approach (CSA) audits and ICAO Coordinated Validation Missions (ICVMs), that have taken place already or are planned for the remaining period of 2014 and year 2015.

2. SUMMARY OF PROGRESS: MAY 2014 – OCTOBER 2014

2.1 To date, twenty nine (29) Plans of Action are being implemented in as many States (i.e. 12 ESAF and 17 WACAF). The new ICAO Plans of Action developed for Burkina Faso, Niger and Burundi have been presented to and accepted by the States' Ministers. The update on the status of implementation of these and other ICAO Plans of Action is provided in **Attachment A** to this Discussion Paper.

2.2 The Regional Office Safety Teams (ROSTs) and the AFI-CIS project have been active in assisting States in the implementation of their Corrective Action Plans (CAPs) prepared in response to deficiencies identified by ICAO USOAP Audits.

2.3 Most of the ICAO Plans of Action now have the Abuja Safety Targets incorporated in them and for those States whose implementation timelines have elapsed, revised Plans were developed, presented and accepted. Nevertheless, whereas a number of States such as Democratic Republic of Congo (DRC), Sierra Leone and Sao Tome and Principe have shown progress in resolving SSCs, the effective implementation status of these same States and other States most of which continue to have SSCs i.e. Angola, Djibouti, Eritrea, Lesotho and Malawi, have shown little or no progress since the 13th AFI Plan Steering Committee meeting.

2.4 ICAO Technical Cooperation Bureau (TCB) assistance projects identified in various phases of the Plans, have been implemented, initiated or are under consideration in the following States: Angola, Central African Republic, Chad, Congo, Djibouti, DRC, Equatorial Guinea, Eritrea, Gabon, Mauritania, Mozambique, Niger, Rwanda, Senegal, Sierra Leone and Swaziland. In the case of Burundi, CASSOA, the East African Community RSOO has been engaged in active assistance to the State. In Malawi and Zambia an EU/EASA assistance project has been going on in addition to the Central African States. The African Development Bank (AfDB) has also been assisting Sao Tome and Principe in capacity building, while Botswana had previously engaged assistance from the UK CAA and is currently receiving support from private consultants.

2.5 As of 30 September 2014, the following States have outstanding SSCs in the ESAF region: Angola, Botswana, Djibouti, Eritrea and Malawi. In spite of the efforts by the Regional Office, Djibouti, Eritrea and Malawi continue to register minimal progress in the implementation of their ICAO Plans of Action and in updating progress in the implementation of their Corrective Action Plans (CAPs) on the USOAP CMA Online Framework (OLF), as required. The same is true for Lesotho. All these States have missed the timelines in implementing their ICAO Plans of Action and consequently revised Plans were submitted and accepted by the States. The ESAF Office has maintained continuous engagement with the States in terms of monitoring progress achieved as well as providing appropriate assistance.

2.6 In the WACAF region, Sierra Leone is the only remaining State with an identified SSC. The continuous effort made to assist the States of Sao Tome and Principe and DRC has resulted in resolving their respective SSCs. A significant number of WACAF States have been actively updating

their implementation progress on the CMA/OLF following working visits to the Regional office viz: Burkina Faso, Congo, Equatorial Guinea, Gabon, Guinea Bissau, Niger and Senegal. Off-site validations subsequently conducted by CMO have shown some improvements in EI levels for some of the States.

2.7 The USOAP activity plan released by CMO on 25 July 2014 has identified priority States scheduled for a CSA, of-site validation or ICVM in the last half of 2014 and year 2015 as follows: 2014 – ESAF States: Madagascar (Completed), Mozambique (December 2014), Namibia (Completed), Seychelles (Completed), Uganda (Completed); WACAF States: Burkina Faso (completed), Cote d'Ivoire (completed), Guinea, Mauritania (completed), Senegal (completed – of site validation activity) and Sierra Leone (completed); and in 2015 – ESAF States: Comoros, Ethiopia, Mauritius, Somalia*, South Sudan*, Swaziland, Zimbabwe* and WACAF States: Central African Republic*, Chad*, Congo, Equatorial Guinea, Ghana*, Guinea-Bissau*, Liberia, Nigeria, Senegal*.

2.8 The Regional Offices have conducted or planned ROST missions to assist and provide guidance to States scheduled for a CSA or ICVM in 2014. The same will continue next year as shown in the 2015 AFI Plan Work Programme i.e. Discussion Paper 03.

2.9 In 2013, the EU launched the “Support for the Improvement of Aviation Safety in Africa” (SIASA) project. The project’s objective is to assist States in improving the implementation of ICAO safety standards and in resolving safety deficiencies, including SSCs as well as support to RSOOs in Africa. Accordingly, 6 states were selected: Sierra Leone, Liberia, Guinea Conakry, Benin, Congo Brazzaville and Mozambique. However, in the immediate term, the current Ebola outbreak, if not quickly contained, may limit the effective participation of affected States in the project. The re-definition of the scope of the project is on-going. Further information on EU funded projects including the SIASA project are reflected in DP 01 under the Collaborative Implementation Program action items 2.1, 2.2 and 2.3.

-END-¹

¹ * States identified for a potential ICVM or CMA audit but not necessarily in 2015.



DP 04 ATTACHMENT A: Status of Implementation of ICAO Plans of Action for States under review of MARB including priority States

| NO. | STATE | ICAO Plans of Action ¹ | ROST Assistance | AFI-CIS Assistance | TCB and other Assistance Projects | Status of Implementation: ICAO Plans of Action | Action Required from the Meeting |
|-----|--------|---|--|---|---|---|--|
| 1. | Angola | <p>Presented: 6 Feb 2012</p> <p>Accepted: 16 Feb 2012</p> | <ul style="list-style-type: none"> ▪ AIR/OPS: 21-25 May 2012 ▪ CNS: 25-29 Sept 2012 ▪ CNS: 15 - 19 Oct 2012 ▪ AIR/OPS: 15-24 Oct 2012 ▪ ATM: 3-7 June 2013 ▪ AGA: 26-28 June 2013 ▪ CAPSCA: 27-28 Mar 2014 (cost-recovery) ▪ The State has requested for a cost recovery ROST mission in the first quarter of 2014: coordination with the State to determine implementation dates is on-going. | <p>MoU signed: <i>NIL</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ A TCB project, in the area of AGA and ANS has been implemented between November 2011 and April 2012. ▪ State contracted external consultants in the areas of AIR, OPS and PEL. | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Qualified and trained inspectors recruited. ▪ TGMs developed and implementation on-going. ▪ An ICVM initially scheduled for 3-7 Dec 2012 was cancelled at the request of the State. ▪ SSC NOT resolved (identified in 2007). ▪ EI=35%. ▪ ICAO Plan of Action timelines lapsed with little progress in achieving the set targets. | <ul style="list-style-type: none"> ▪ Urge the State to: <ol style="list-style-type: none"> 1) Implement USOAP CAP with high priority given to the SSC related PQs. 2) Update OLF. 3) Accept CMA activities, as planned. ▪ Provide guidance to the AFI Plan Secretariat, as the ICAO Plan of Action timelines lapsed and the State is not willing to have a CSA or an ICVM. |

¹**ICAO Plans of Action:** Developed by ICAO and presented to the States to assist in resolving safety deficiencies. Revised due to lapse of time without achieving the milestones and targets as well as the need to incorporate the Abuja safety targets.

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|-----|--|---|---|---|---|---|---|
| 2. | Benin (WACAF Priority State for attainment of 60% EI) | <p>Presented: 19-21 Dec 2011</p> <p>Accepted: 1 Mar 2012</p> <p>Revised Plan Accepted: 28 Dec 2012</p> | <ul style="list-style-type: none"> ▪ MET/AGA: 31 Oct – 4 Nov 2011 ▪ MET: 22 – 26 Apr 2013 | <p>MoU signed: <i>NIL</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ No TCB Project ▪ Benin is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ ICVM Conducted 4-10 Apr 2012 (24% improvement in EI from 19.2%). ▪ ICAO Plan of Action revised to incorporate Abuja safety targets and ICVM outcome, and accepted. ▪ Cost recovery ECCAIRS Course conducted by ANB & WACAF Office in Cotonou 7 - 16 Nov 2012. ▪ Development of Aerodrome certification regulations and procedures, 90% completed. ▪ Technical library established. ▪ Preparation & publication of ANS regulations, procedures & inspection manuals implemented 90%. ▪ Appropriate air operator certification and Aircraft registration systems developed. | <ul style="list-style-type: none"> ▪ Note progress made and follow-up plans. ▪ To encourage State to sign the AFI CIS MOU and take advantage of the expertise available under the scheme. ▪ To encourage State to request for an off-site Monitoring Activity (validation) |

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| 3. | Botswana | <p>Presented: 1 Mar 2012</p> <p>Accepted: 27 Mar 2012</p> <p>Revised Plan Accepted: 30 Jan 2013</p> | <ul style="list-style-type: none"> ▪ SMS/SSP: 14-25 May 2012 ▪ CNS: 18-22 June 2012 ▪ AIR/OPS: 2-6 July 2012 ▪ AIR/ATM: 21 – 25 Jan 2013 ▪ AGA/CNS: 11-15 Feb 2013 ▪ FLS/OPS: 23-27 June 2014 | <p>MoU signed: <i>11 Apr 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ Technical assistance has been provided by United Kingdom Civil Aviation Authority International (UK CAAI) in the areas of AIR, AGA, ANS, OPS and PEL. ▪ Assistance by PMCA, an external consultant, is on-going ▪ Botswana is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Qualified and trained inspectors recruited but lack experience. ▪ TGMs developed and implementation on-going. ▪ Two SSCs (OPS and AIR) NOT resolved (identified April 2013). ▪ ICVM results revealed an EI improvement from 27% to 53%. ▪ ICAO Plan of Action timelines lapsed with steady progress in achieving the set targets. ▪ ICVM subject to evidence that SSC has been resolved based on CAP updates on OLF. ▪ Air operators have been re-certified. | <ul style="list-style-type: none"> ▪ Urge the State to: <ol style="list-style-type: none"> 1) Implement USOAP CAP with high priority given to the SSC related PQs. 2) Update OLF. 3) Accept CMA activities, as planned. ▪ Provide guidance to the AFI Plan Secretariat, as the Revised ICAO Plan of Action timelines have lapsed. |

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|-----|---|--|---|---|--|--|--|
| 4. | Burkina Faso (WACAF Priority State for attainment of 60% EI) | <p>Presented: 24/06/2014</p> <p>Accepted: 14/07/2014</p> | <ul style="list-style-type: none"> ▪ NCMC paid a working visit to the Regional Office – March 2014 ▪ MET 14-18 April 2014 | <p>MoU signed: 13 Oct 2011</p> <p>Missions conducted: Nil</p> | <ul style="list-style-type: none"> ▪ No TCB Project ▪ Burkina Faso is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ The State's EI has been improved to 62 % from 54% after an off-site validation in May 2014 | <ul style="list-style-type: none"> ▪ The State to request for a second off-site validation before 31 December 2014 ▪ An ICVM to be conducted in the State before 15 April 2015 |

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| 5. | Burundi | <p>Presented:</p> <p>4 June 2104</p> <p>Accepted:</p> <p>16 June 2014</p> | <ul style="list-style-type: none"> ▪ AIR/OPS: 16-20 Sep 2013 | <p>MoU signed:</p> <p><i>NIL</i></p> <p>Missions conducted:</p> <p><i>NIL</i></p> | <ul style="list-style-type: none"> ▪ CASSOA, the East African Community (Burundi is a partner State) RSOO is an active assistance provider | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ CAA established but lacks sufficient financial and human resources. ▪ Qualified and trained inspectors lacking. ▪ Some TGMs developed; However implementation is lacking. ▪ EI=27% ▪ State has submitted its USOAP-CMA CAP. | <ul style="list-style-type: none"> ▪ Urge the State to: <ol style="list-style-type: none"> 1) Sign AFI-CIS MOU and actively participate in the programme. 2) Allocate sufficient financial resources to the CAA. 3) Implement USOAP CAP with high priority given to establishment of an autonomous CAA, certification, surveillance and resolution of safety concerns related PQs. 4) Update OLF. ▪ Urge CASSOA to maintain and, resources permitting increase its support to the State. |

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| 6. | Central African Republic | <p>Presented: 23-30 Sept 2011</p> <p>Accepted: 13 Mar 2012</p> | <ul style="list-style-type: none"> ▪ ATM/AGA: 22-26 Aug 2011 ▪ ATM/AGA: was planned for 6 – 10 May, 2013 but could not take place. | <p>MoU signed: 13 July 2011</p> <p>Missions conducted: NIL</p> | <ul style="list-style-type: none"> ▪ MSA signed with TCB for Airport Master Plan development. | <ul style="list-style-type: none"> ▪ ICAO Plan of Action being revised to incorporate Abuja Safety targets. ▪ ROST follow up mission (ATM/ AGA) scheduled 6-10 May 2013 postponed indefinitely due to security situation. ▪ In August 2013, the State requested for ICVM to be rescheduled to June 2014. This was however not realistic as security situation has worsened since then and mission travel restriction still in place. | <ul style="list-style-type: none"> ▪ Note lack of progress and inability to deliver assistance due to deteriorating security situation. ▪ Some limited positive steps noted in the State since the appointment of the new DG in April 2013. |

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| 7. | Chad (WACAF Priority State for attainment of 60% EI) | <p>Presented: 9 – 12 Sept 2013</p> <p>Accepted: 16 Sept 2013</p> | <ul style="list-style-type: none"> ▪ Post Audit ROST mission to address potential SSCs, OPS/ ATM, 6-10 Aug,2012 ▪ ATM/AIR: 18 – 22 Mar 2013 ▪ AIR-1/AIR-2: 26-29 August 2014 | <p>MoU signed: <i>7 Apr 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ TCB Assistance Project with a total duration of 10 months ended in July 2014. ▪ Five AOC certification projects started and are at different phases of the process. | <ul style="list-style-type: none"> ▪ CSA Conducted 29 May – 7 Jun 2012 (State averted SSC by taking immediate action including cancellation of all AOCs). ▪ AOC Certification OJT provided to National Inspectors by TCB OPS & AIR experts deployed since Oct/Nov 2013. ▪ ICAO Plan of Action was revised (with safety targets) after ROST follow up of March 2013. ▪ Regulations and Guidance Materials relating to air operator certification revised in order to conform to International standards contained in relevant ICAO Annexes and Guidance Materials. Certification of four (5) air operators is in progress. ▪ Plan of Action being revised to take into account the lapse of time and progress made by the State since acceptance of the Plan of Action. | <ul style="list-style-type: none"> ▪ Note progress being made by the State. |

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|-----|---------|---|--|---|---|---|---|
| 8. | Comoros | <p>Presented: 17 Dec 2011</p> <p>Accepted: 22 Dec 2011</p> <p>Revised Plan Accepted: 3 June 2013</p> | <ul style="list-style-type: none"> ▪ ANS/AGA 16 – 20 Jan 2012 ▪ AIR: 19-24 Mar 2012 ▪ OPS: 21-28 Apr 2012 ▪ OPS: 24-27 Feb 2013 ▪ PEL/OPS: 31 Mar - 4 Apr 2014 (cost-recovery) ▪ OPS/AIR 12-23 May 2014 ▪ ICVM planned for December 2015. | <p>MoU signed: 24 Mar 2011</p> <p>Missions conducted²: 12-27 May 2014 Report submitted on 1 of August 2014</p> | <ul style="list-style-type: none"> ▪ NIL on-going external assistance. | <ul style="list-style-type: none"> ▪ Primary aviation legislation in draft. ▪ Specific operating regulations promulgated. ▪ Qualified and trained inspectors recruited but lack experience. ▪ TGMs developed and implementation on-going (measures to restrict or withdraw AOCs implemented). ▪ EI: 21%. ▪ ICAO Plan of Action timelines (immediate and near) lapsed with steady progress in achieving the set targets. ▪ Recently, the State has updated its USOAP CSA CAP. ▪ Phase I of air operators' re-certification has been completed. | <ul style="list-style-type: none"> ▪ Urge the State to: <ol style="list-style-type: none"> 1) Implement USOAP CAP. 2) Continue Updating the OLF. <ul style="list-style-type: none"> ▪ Provide guidance to the AFI Plan Secretariat, as the Revised ICAO Plan of Action timelines have lapsed. |

² Comoros AFI-CIS: Request to AFCAC has been sent. Awaiting availability of AFI-CIS inspectors to support re-certification process of air operators on the basis of the newly promulgated regulations

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| 9. | Congo | <p>Presented: 23-24 Feb 2011</p> <p>Accepted: 12 Apr 2012</p> <p>Revised Plan Accepted: March 2014</p> | <ul style="list-style-type: none"> ▪ OPS/ATM: 20-29 Sept 2011 ▪ Follow-up ROST mission (AIR / ATM) conducted 11 to 15 Feb 2013 ▪ Working visit by the NCMC to the WACAF Office – June 2014 | <p>MoU signed: <i>13 July 2011</i></p> <p>Missions conducted:</p> <ul style="list-style-type: none"> ▪ <i>29 Apr to 10 May 2013.</i> ▪ <i>29 July to 9 Aug 2013.</i> | <ul style="list-style-type: none"> ▪ Congo signed TCB Assistance Agreement. ▪ A TCB Project for 12-months duration commenced at the beginning of Oct 2013 with two experts (OPS & AIR) in the State. ▪ The Project aims to assist in the re-certification of air operators and provision of OJT to National Inspectors is on-going. | <ul style="list-style-type: none"> ▪ The State had SSC (OPS) from 2008 audit. Since the follow-up ROST mission, the State has taken some positive steps and the SSC was removed in Oct 2013. ▪ The Plan of Action was revised due to a lapse in time frame and to incorporate the Abuja safety targets following a ROST Follow-up mission in Feb. 2013. The Revised Plan of Action was submitted on 7 Jun 2013 and accepted March 2014. ▪ Regulations and Guidance Materials relating to air operator certification revised in order to conform to International standards contained in relevant ICAO Annexes and Guidance Materials. ▪ Familiarization workshop conducted by the State in March 2014 for potential AOC applicants; five operators – starting with 3 IOSA carriers targeted for Certification. | <ul style="list-style-type: none"> ▪ To note progress made in the implementation of the ICAO Plan of Action and the resolution of the SSC. |

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| 10. | Cote D'Ivoire (WACAF Priority State for attainment of 60% EI) | <p>ICAO Plan of Action with Abuja Safety Targets</p> <p>Presented: 8-11 Sept 2013</p> <p>Accepted: 15 Sep 2013</p> | <ul style="list-style-type: none"> ▪ 22-26 April 2013 RO/MET & RO/AGA ▪ 15 Sept 2013 CNS <p>RO/MET & RO/AGA 22-26 April 2013</p> | <p>MoU signed: <i>8 June 2012</i></p> <p>Missions conducted: NIL</p> | <ul style="list-style-type: none"> ▪ No existing Projects ▪ The State is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ State has progressed significantly and is one of the most active in uploading CAP implementation progress on CMA-OLF. ▪ Off-site validation conducted in Jan 2014 and EI of 46.2% registered; an improvement of 4.4% on 2008 Audit result. ▪ ICVM conducted in March 2014. Final results published with EI at 55.46%. ▪ CAP to be revised based on the ICVM recommendation | <ul style="list-style-type: none"> ▪ Note progress being made by the State. ▪ Urged the State to update its CAP in consideration of the Recommendation of the ICVM ▪ Urged the State to refine his training policy for technical personnel in order to reinforce its safety oversight capability |

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| 11. | Djibouti | <p>Presented: 25 Sept 2011</p> <p>Accepted: 4 Dec 2011</p> <p>Revised Plan Accepted: 13 Nov 2012</p> | <ul style="list-style-type: none"> OPS: 27 Jan – 1 Feb 2013 | <p>MoU signed: <i>29 Sept 2011</i></p> <p>Missions conducted³: <i>NIL</i></p> | <ul style="list-style-type: none"> A TCB Project to assist the State develops a strategic plan to establish a fully functional CAA has started in September 2014. The first phase of the project is completed. The report was sent to ANB and the RO | <ul style="list-style-type: none"> Primary aviation legislation enacted. Specific operating regulations development on-going. NIL qualified and trained inspectors. TGMs lacking. SSC (OPS) NOT resolved (identified Nov 2008). EI: 4%. ICAO Plan of Action revised timelines (immediate) lapsed with hardly any progress in achieving the set targets. ICVM subject to evidence that SSC has been resolved based on CAP updates on OLF | <ul style="list-style-type: none"> Note the minimal progress made in the implementation of the ICAO Plan of Action. Urge the State to: <ol style="list-style-type: none"> Allocate adequate resources to the CAA or consider delegating some of its safety oversight functions to another State or Body. Implement USOAP CAP with high priority given to the SSC related PQs. Update OLF. Provide guidance to the AFI Plan Secretariat, as the State hardly gives any attention to its safety oversight responsibilities. |

³ Djibouti AFI-CIS: Planned AFI-CIS mission in March 2013 was postponed due to lack of technical counterpart in the State

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| 12. | DRC | <p>Presented: 26-29 Sept 2011</p> <p>Accepted: 2 Nov 2011</p> | <ul style="list-style-type: none"> • AIR/AGA: 15-19 Aug 2011 • DRD & AGA: 2 – 5 Apr 2013 • DRD/AIR/OPS 23-25 July 2014 • Working visit by the NCMC and other State officials to the WACAF Office – August 2014 | <p>MoU signed: <i>15 Jun 2011</i></p> <p>Missions conducted:</p> <ul style="list-style-type: none"> ▪ <i>Two cost-recovery AFI-CIS Missions conducted.</i> ▪ <i>12-23 Nov 2012 and</i> ▪ <i>29 Nov- 7 Dec 2012, ahead of the ICVM in Jan 2013.</i> ▪ <i>AFI-CIS mission conducted on 11-15 Dec 2013.</i> | <ul style="list-style-type: none"> ▪ TCB OPS SSA proposal made and acceptance yet to be received. ▪ DRC is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ ICVM conducted 13 - 24 Jan 2013. Two SSCs in ANS and OPS identified; with a drop in overall EI from 11.7% in 2006 to 11.1%. ▪ Revision of ICAO Plan of Action to incorporate Abuja safety targets and ICVM outcome could not be completed due to failure of the State to provide inputs after follow up ROST mission (DRD/AGA) 2-5 Apr 2013). ▪ Recertification of operators is under way through a World Bank funded project being implemented by IATA. ▪ The State took part in a closed-door follow up meeting with partners during the AFI Safety Symposium, Dakar 27-28 May 2014. ▪ The State has taken mitigating actions thereby resolving the identified SSCs in ANS and OPS as | <ul style="list-style-type: none"> ▪ Note developments following ICVM and efforts of different partners to reinvigorate the commitment of the State. |

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| | | | | | | <p>communicated via EB 2014/43 and EB 2014/46 dated 23/7/14 and 31/7/14 respectively.</p> <ul style="list-style-type: none"> ▪ ICAO organized a Safety workshop with partners and relevant stakeholders in DRC during 23-25 July 2014. | |
| 13. | Equatorial Guinea | <p>Presented: 12-14 Dec 2011</p> <p>Accepted: 13 Feb 2012</p> <p>Revised Plan Accepted: 7 Mar 2013</p> | <ul style="list-style-type: none"> • MET/AIM: 3-7 Oct 2011 • MET: 18 -22 Apr 2013 • Working visit by the NCMC to the WACAF Office – August 2014 | <p>MoU signed: 12 April, 2014</p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ First TCB assistance project expired - extended in both duration and scope, in Aug 2013 for further 3yrs. ▪ The State was informed by TCB that the project will be closed and the experts repatriated if no additional funds are received at the end of October 2014 | <ul style="list-style-type: none"> ▪ DG visited and briefed WACAF office in Dec 2012 on progress. ▪ The Plan of Action was revised due to a lapse in time frame and to incorporate the Abuja safety targets. The Revised Plan was submitted on 13 Dec 2012 and accepted in March 2013. ▪ Minimal progress in the implementation of the accepted ICAO Plan of Action. ▪ High level follow up planned during ICAO Council President's visit (with WACAF RD) to the State for the Meeting of | <ul style="list-style-type: none"> ▪ To encourage State to actively upload on the CMA OLF, evidence of work carried out and progress made in the implementation of the USOAP Corrective Action Plan (CAP). |

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| | | | | | | <p>African Ministers of Transport 7-11 April 2014.</p> <ul style="list-style-type: none"> ▪ State will be assessed on implementation of Plan of Action and readiness for ICVM. | |
| 14. | Eritrea | <p>Presented: 30 Jan 2012</p> <p>Accepted: 10 Feb 2012</p> <p>Revised Plan Accepted: 28 May 2013</p> | <ul style="list-style-type: none"> • CNS: 4 -8 Jun 2012 • CNS: 18 - 22 Jun 2012 • SSP/SMS: 11 - 22 Jun 2012 • ATM: 29 Oct- 2 Nov 2012 • AIR/OPS: 3 – 7 Dec. 2012 • AIR/OPS: 25-29 Mar 2013 | <p>MoU signed: <i>NIL</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ TCB PRODOC aimed at capacity building to be co-funded by SAFE and the State was sent in July 2014 and the State responded in September asking for a reduction of its scope to be solely funded by SAFE. | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ SSC (OPS) NOT resolved (identified Nov 2010). ▪ EI: 21%. | <ul style="list-style-type: none"> ▪ Note the minimal progress made in the implementation of the ICAO Plan of Action. ▪ Urge the State to: <ol style="list-style-type: none"> 1) Allocate adequate resources to the DCA or consider delegating some of its safety oversight functions to another State or Body. 2) Implement USOAP CAP with high priority given to the SSC |

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| | | | | | | <ul style="list-style-type: none"> ▪ ICAO Plan of Action timelines lapsed with little progress in achieving the set targets. ▪ ICVM subject to evidence that SSC has been resolved based on CAP updates on OLF | <p>related PQs.</p> <p>3) Update OLF.</p> <ul style="list-style-type: none"> ▪ Provide guidance to the AFI Plan Secretariat, as the Revised ICAO Plan of Action timelines lapsed with little progress. |
| 15. | Gabon | <p>Presented: 21-22 Jul 2011</p> <p>Accepted: 13 Apr 2012</p> | <ul style="list-style-type: none"> ▪ FLS: 7-11 June 2011 ▪ MET: 16 – 18 June 2014 ▪ Working visit by the NCMC and other State officials to the WACAF Office – August 2014 | <p>MoU signed: <i>11 Apr 2011</i></p> <p>Missions conducted: <i>28 Aug–1 Sept 2011,</i></p> <p><i>AFI- CIS Evaluation & Monitoring mission 28 Aug–1 Sept 2011</i></p> | <ul style="list-style-type: none"> ▪ TCB assistance project expired. Last activities in 2011. ▪ TCB Project aimed to review Aviation Act was proposed by State and a response letter was sent in April 2014 | <ul style="list-style-type: none"> ▪ ICVM conducted 6-14 Aug. 2012 (13% improvement in LEI). Final report sent to State in Jan 2013. ▪ ICAO Plan of Action being to incorporate Abuja Safety targets and ICVM results and presented to the State. ▪ Due to little progress, high level follow up was | <ul style="list-style-type: none"> ▪ Note that little progress made by the State in the improvement of its EI. ▪ To encourage State to request for an off-site Monitoring Activity (validation). |

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| | | | | | <p>advising a possible SAFE funding upon State's action on the CAP implementation.</p> | <p>held during ICAO Council President's visit for the Meeting of African Ministers of Transport, Malabo 7-11 April 2014.</p> | |
| 16. | Guinea Bissau | <p>Presented: 25 -27 Feb 2013</p> <p>Accepted: 15 Mar 2013</p> <p>Revised Plan Accepted: 26 Feb 2013</p> | <ul style="list-style-type: none"> ▪ DRD/CNS/AIR: 1-5 Oct. 2012 ▪ Working visit by the DG, NCMC and other CAA officers to the WACAF Office – Sept 2014 | <p>MoU signed: <i>28 Aug 2012</i></p> <p>Missions conducted:</p> <ul style="list-style-type: none"> ▪ <i>Joint AFI CIS COSCAP-UEMOA missions conducted in Nov. 2012.</i> ▪ <i>Two AFI CIS follow up missions conducted in May & Aug 2013.</i> | <ul style="list-style-type: none"> ▪ No TCB Project so far. ▪ The State is a member of the UEMOA | <ul style="list-style-type: none"> ▪ From 2008 CSA audit State has SSCs in OPS & AIR. ▪ ICAO Plan of Action with safety targets was presented 25-27 Feb 2013 and accepted on 15 March 2013. ▪ State took immediate steps involving cancellation/withdrawal of AOCs, Licenses etc. and both SSCs were removed in April 2013. ▪ New CAA management, that took office in September 2014, is showing great commitment towards enhancing the safety oversight capability of the State and thus | <ul style="list-style-type: none"> ▪ Note progress made in the timely resolution of the identified SSCs. ▪ New CAA management is showing great commitment towards enhancing the safety oversight capability of the State and thus overall improvement of State's EI expected. ▪ Identify with the State the relevant domain of assistance needed. ▪ Urge the State to complete its CAP provide evidences upload on ICAO OLF |

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| | | | | | | <p>overall improvement of State's EI expected.</p> <ul style="list-style-type: none"> ▪ ICAO Plan of Action revised and CMA/OLF familiarization and uploading activities conducted during September working visit. <p>Self-assessment to be carried out after CAP update.</p> | <p>and conduct a self-assessment</p> |
| 17. | Guinea | <p>Presented: 22-25 Apr 2013</p> <p>Accepted: 2 May 2013</p> | <ul style="list-style-type: none"> ▪ AIR/AGA: 12-16 Nov 2012 ▪ MET/TC, 2 – 6 Nov 2013 | <p>MoU signed: <i>21 Jun 2012</i></p> <p>Missions conducted:</p> <ul style="list-style-type: none"> ▪ <i>Joint AFI CIS/BAGASSO O mission conducted 16-27 Jul 2012</i> ▪ <i>Synergy built up through coordination with AFI CIS and BAGASSO</i> | <ul style="list-style-type: none"> ▪ No TCB Project so far. ▪ Guinea is a member of BAGASSO ▪ AOC Inspector OJT Pilot Project was planned to be funded by the SAFE but pending implementation due to Ebola outbreak. | <ul style="list-style-type: none"> ▪ CSA conducted 17-25 Apr 2012 resulted in an SSC (OPS). ▪ ICAO Plan of Action, including Abuja safety targets presented 22-25 Apr 2013. ▪ State took concrete actions to resolve SSCs, i.e. Cancellation of all AOCs licenses and deregistration of aircraft. ▪ SSC declared resolved at the end of May 2013. ▪ With the assistance of AFI | <ul style="list-style-type: none"> ▪ Note progress made in the Implementation of AFI-CIS / BAGASSO and ROST activities, as well as positive action of the State resulting in resolution of SSCs and adoption of aviation legislation and regulations. ▪ State to be encouraged to establish an autonomous CAA before the conduct of an ICVM ▪ Note the progress registered by the State |

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| | | | | <p><i>to address SSC.</i></p> <ul style="list-style-type: none"> ▪ <i>AFI CIS follow up mission: 18-29 Mar 2013.</i> ▪ <i>Second AFI CIS/BAGASOO follow-up mission: 8-31 Jul 2013</i> | | <p>CIS/BAGASOO, the State revised Primary Aviation Legislation, Regulations and Guidance Materials.</p> <ul style="list-style-type: none"> ▪ Primary Aviation Legislation promulgated 28 November 2013. ▪ Re-certification of operators has begun with the help of AFI CIS/BAGASOO Inspectors. However, the process has ceased due to inaction on the part of the AOC applicants. ▪ The WACAF/World Bank mission of Nov 2013 drafted with DNAC-Guinée, a plan for the State to effectively establish an autonomous CAA. ▪ An ICVM scheduled for June 2014 has to be rescheduled due to insufficient progress in the implementation of the State Corrective Action Plan. ▪ A CMA Off-site Monitoring Activity was (Validation) conducted for Guinea | <p>from the recent Off-site validation activity conducted</p> |

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| | | | | | | during 06 August 2014 through 23 September 2014.resulted in overall EI improvement from 7.57% to 17.97% | |

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| 18. | Lesotho | <p>Presented: 27 Feb 2012</p> <p>Accepted: 1 Mar 2012</p> <p>Revised Plan Accepted: 11 Jun 2013</p> | <ul style="list-style-type: none"> ▪ AIR/OPS: 1-5 Oct 2012 | <p>MoU signed: <i>22 Jun 2011</i></p> <p>Missions conducted: <i>25 Nov – 13 Dec 2013</i> <i>Report submitted on the 31 July 2014</i></p> | <ul style="list-style-type: none"> ▪ NIL on-going external assistance. ▪ The State is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ Primary aviation legislation needs to be revised. ▪ Specific operating regulations (promulgated in 1980) need to be updated to conform with latest ICAO provisions. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ EI: 22%. ▪ A joint letter by ICAO President and Secretary General requesting for renewed commitment by the State was sent on 13 Mar 2013. ▪ A previous letter had been sent on 28 Jun 2011 to communicate serious safety concerns following the USOAP audit in 2007. ▪ ICAO Plan of Action timelines lapsed with very little progress in achieving the set targets. | <ul style="list-style-type: none"> ▪ Note the minimal progress made in the implementation of the ICAO Plan of Action. ▪ Urge the State to: <ol style="list-style-type: none"> 1) Allocate adequate resources to the DCA or consider delegating some of its safety oversight functions to another State or Body. 2) Implement USOAP CAP with high priority given to the legislation and regulations related PQs. 3) Update OLF. ▪ Provide guidance to the AFI Plan Secretariat, as the Revised ICAO Plan of Action timelines lapsed with little progress. |

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| 19. | Liberia (WACAF Priority State for attainment of 60% EI) | <p>Presented: 21-23 Aug. 2012</p> <p>Accepted: 22 Oct 2012</p> | <ul style="list-style-type: none"> ▪ CNS/AIM: 29 Apr – 4 May 2012 ▪ AGA/CNS 8-13 Dec 2012 | <p>MoU signed:</p> <p><i>NIL</i></p> <p>Missions conducted:</p> <p><i>NIL</i></p> | <ul style="list-style-type: none"> ▪ The State is a member of BAGASOO ▪ The State requested assistance project for Runway rehabilitation and ATC installations refurbishment. ▪ In March 2014 State requested for TCB assistance in the recruitment of the GM for the Airport Authority. ▪ No TCB Project | <ul style="list-style-type: none"> ▪ ICAO Plan of Action with Abuja Safety targets and ICVM results accepted by the State. | <ul style="list-style-type: none"> ▪ Note progress made on ROST Implementation activities. |

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| 20. | Madagascar | <p>Presented: 12 Jan 2012</p> <p>Accepted: 13 Jan 2012</p> | <ul style="list-style-type: none"> ▪ AIR/ANS: 10 – 16 Mar 2012 ▪ OPS: 14-20 Apr 2012 ▪ CNS: 19-26 May 2012 ▪ AGA: 9 – 12 Oct. 2012 ▪ AIR/OPS: 26-30 Aug 2013 ▪ Meeting: 22-23 July 2014 | <p>MoU signed: <i>5 May 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ NIL on-going external assistance. ▪ The State is a member of the COSCAP SADC. ▪ Assistance Project Document was signed in May 2014 between Madagascar, ICAO and French CAA, managed and monitored by SAFE Fund mechanism for a 28-month period. Regarding the procurement of consultancy services for addressing audit findings. FOS' involvement is limited to raising the PR. | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ Potential SSC (OPS) resolved (resolved in May 2012). ▪ EI: 59%. ▪ ICAO Plan of Action timelines lapsed with steady progress in achieving the set targets. ▪ State has been updating its CAP. | <ul style="list-style-type: none"> ▪ Urge the State to: <ol style="list-style-type: none"> 1) Maintain the implementation of the USOAP CAP with high priority given to the certification related PQs. 2) Continue Updating the OLF, especially in OPS. <ul style="list-style-type: none"> ▪ A revised ICAO Plan of Action submitted for acceptance. |

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| 21. | Malawi | <p>Presented: 8 Mar 2012</p> <p>Accepted: 12 Mar 2012</p> <p>Revised Plan Accepted: 20 June 2013</p> | <ul style="list-style-type: none"> • AIR/OPS: 11-14 June 2012 | <p>MoU signed: <i>5 Apr 2012</i></p> <p>Missions conducted: <i>16 - 27 July 2012</i></p> <p><i>5 – 16 Nov 2012</i></p> | <ul style="list-style-type: none"> ▪ Support from Ethiopian CAA received for air operators' certification process. ▪ An EU assistance project was initiated in 2013 where EU/EASA signed a contract to implement a project extending to Dec 2016 whose objectives are to strengthen the Malawi DCA oversight capacity and that of the local training facility. ▪ The State is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ Primary aviation legislation needs to be revised. ▪ Specific operating regulations need to be updated. ▪ Inadequate financial resources. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ SSC (OPS) NOT resolved (identified Jan 2009). ▪ EI: 35%. ▪ ICAO Plan of Action timelines lapsed with little progress in achieving the set targets. ▪ ICVM subject to evidence that SSC has been resolved based on CAP updates on OLF | <ul style="list-style-type: none"> ▪ Note the minimal progress made in the implementation of the ICAO Plan of Action ▪ Urge the State to: <ol style="list-style-type: none"> 1) Allocate adequate resources to the DCA. 2) Implement USOAP CAP with high priority given to the legislation and regulations as well as the SSC related PQs. 3) Update OLF. ▪ Provide guidance to the AFI Plan Secretariat, as the Revised ICAO Plan of Action timelines lapsed with little progress. |

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| 22. | Mali (WACAF Priority State for attainment of 60% EI) | Presented: ICAO Plan of Action Under development following the ROST mission | CNS/AGA 29 Sept – 3 Oct. 2014 Mission conducted in response to State's request for technical assistance mission in the areas of ANS and AGA – Letter reference N°14 001211/ANAC/DG/D SA dated 18 August 2014 | MoU signed: <i>24 Jan 2011</i> Missions conducted: <i>NIL</i> | <ul style="list-style-type: none"> ▪ No TCB Project ▪ The State is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ ICVM Conducted 24-28 Oct 2011 ▪ (21% improvement in LEI). ▪ Security situation in State precluded any field assistance in the past. ▪ Coordination on-going for plans for fact-finding mission. | <ul style="list-style-type: none"> ▪ Note progress made by State. |
| 23. | Mauritania | Presented: None: (Priority State) | <ul style="list-style-type: none"> ▪ TC/AVSEC/ AIR: 14-18 Mar 2011 ▪ AIR/CNS : 5-9 Dec 2011 | MoU signed: <i>21 Mar 2011</i> Missions conducted: <i>NIL</i> | <ul style="list-style-type: none"> ▪ Airport Construction consultancy project agreed with TCB and State funds provided for startup. ▪ The State was recently asked if the project should be implemented, however no response received. The recruitment was initiated in | <ul style="list-style-type: none"> ▪ ICVM conducted in April and Aug 2012 (37% improvement in LEI). State now exceeds world average on EIs. ▪ State removed from EU Operational ban list in Dec 2012. ▪ Another cost recovery ICVM was conducted during 30 June - 4 July 2014 and the results are being awaited. Currently, the EI stands at 73.94% | <ul style="list-style-type: none"> ▪ Note progress made by State as per ICVM results and lifting of EU operational ban. |

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| | | | | | May 2013 ▪ The State is a member of the COSCAP UEMOA | | |

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| 24. | Mozambique | <p>Presented: 12 Sep 2011</p> <p>Accepted: 7 Oct 2011</p> | <ul style="list-style-type: none"> • AIR: 16 – 20 Jan 2012 • AGA: 20 – 24 Feb. 2012 • AGA: 9 -12 Jul 2013 • AIR/ATM/ OPS: 9-13 Sept 2013 • PEL, OPS, AIR, AIG: 12-15 August 2014 | <p>MoU signed: <i>NIL</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ A TCB Project providing assistance in implementing the USOAP and USAP CAPs is ongoing, with experts in the areas of ORG, OPS/AIR, PEL, ANS, AGA and AVSEC. ▪ Replacement of the PEL expert is being initiated. ▪ The State is a member of COSCAP SADC. | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. Additional guidance has been established. ▪ An ICVM scheduled to take place on 12-18 Nov 2014. ▪ EI: 32%. ▪ ICAO Plan of Action timelines lapsed with some progress in achieving the set targets. ▪ Some mitigation actions have been undertaken by the CAA to address deficiencies in the certification of air operators. | <p>Urge the State to:</p> <ul style="list-style-type: none"> ▪ Allocate adequate resources to the CAA. ▪ Implement USOAP CAP with high priority given to the certification, surveillance and resolution of safety concerns related PQs. ▪ Update OLF. ▪ Accept CMA activities, as planned. ▪ Provide guidance to the AFI Plan Secretariat. |

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| 25. | Niger (WACAF Priority State for attainment of 60% EI) | <p>Presented: 13 July 2014</p> <p>Accepted: 29 July 2014</p> | <ul style="list-style-type: none"> ▪ CNS 16 - 20 June 2014 • Working visit by the NCMC to the WACAF Office – September 2014 | <p>MoU signed: 11 Feb. 2011</p> <p>Missions conducted: NIL</p> | <ul style="list-style-type: none"> ▪ None ▪ The State is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ Plan launched on 1st August 2014. ▪ State is completing the CAP and restructuring its Safety oversight system ▪ Application of the new Regulations in OPS and AIR by: <ul style="list-style-type: none"> ➤ Deregistration/Registration of Aircraft ➤ Cancellation/Reissuance of AOCs ▪ On-going Self-assessment activities being carried out by the State ▪ Off- site validation to be requested by the State | <ul style="list-style-type: none"> ▪ Note the ongoing actions undertaken by the State ▪ Provide any necessary assistance to the State to reinforce its Safety oversight systems such as training, of Technical personnel finalization of Regulations Procedures |

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| 26. | Rwanda | <p>Presented: 15 Sept 2011</p> <p>Accepted: 6 Oct 2011</p> | <ul style="list-style-type: none"> • AIR/OPS: 23 – 27 Jan 2012 • CNS: 13 - 17 May 2012 • AIR/OPS: 28 May - 1 Jun 2012 • AGA: 4 - 8 Jun 2012 • AIR/OPS: 24 – 27 Sept 2012 | <p>MoU signed: <i>22 Jun 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ Discussions are underway for the initiation of the follow up Phase II project which will cover assistance in implementation of the ANS regulatory regime. ▪ CASSOA, the East African Community (Rwanda is a partner State) RSOO is an active assistance provider | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ The CAA is predominantly reliant on hired expatriate inspectors. Local inspectors have been hired and their training and acquisition of experience is on-going. ▪ TGMs developed and implementation on-going. ▪ SSC (OPS) resolved (resolved in Dec 2012). ▪ EI: 43%. ▪ ICAO Plan of Action timelines lapsed with steady progress in achieving the set targets. | <ul style="list-style-type: none"> ▪ Note the significant milestone achieved by the State in resolving an SSC following an ICVM conducted in Dec 2012. ▪ Urge the State to: <ol style="list-style-type: none"> 1) Implement USOAP CAP with high priority given to the surveillance and resolution of safety concerns related PQs. 2) Update OLF. |

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| 27. | Sao Tome and Principe | <p>Presented: 1-4 Oct 2012</p> <p>Accepted: 27 Nov. 2012</p> <p>Revised Plan Accepted: Presented on 4 June 2013. Awaiting acceptance by the State</p> | <ul style="list-style-type: none"> ▪ OPS/ATM: 14-27 Jun 2012 ▪ ATM/AIR: 4 – 8 Mar, 2013 ▪ AIR-1/AIR-2: 14-18 April 2014 | <p>MoU signed: <i>23 May 2014</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ Currently No TCB Project ▪ AfDB Capacity building project launched in second half of 2014. | <ul style="list-style-type: none"> ▪ State had 3 SSCs (AIR/OPS/AGA) from CSA audit in 2010. ▪ The Plan of Action was revised following a ROST Follow-up mission in May 2013. ▪ State action on cancellation / suspension of AOCs and Aircraft Registration Certificates resolved AIR SSC in Jul 2013. ▪ HR capacity building plan for CAA developed by the State and reviewed with the Regional office is ready for implementation through AfDB funding now available. ▪ During A/38 side meeting, State undertook to implement measures to address OPS SSC by Dec 2013. ▪ AGA and OPS SSCs resolved following a follow-up ROST mission | <ul style="list-style-type: none"> ▪ Note ROST Implementation activities and efforts made by the State. ▪ To encourage the State to take advantage of the expertise available through the AFI CIS following signature of the MOU. |

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| | | | | | | <p>in April 2014. Ref: EB 2014/24 dated 28/05/14.</p> <ul style="list-style-type: none"> ▪ Due to slow progress, high level follow up consultations held during ICAO Council President’s mission for the Meeting of African Ministers of Transport, Malabo, 7-11April 2014. ▪ Closed-door follow up meeting held with partners during the AFI Safety Symposium, Dakar 27-28 May 2014. | |
| 28. | Senegal | <p>Presented: Pending</p> <p>Accepted: Pending</p> | <ul style="list-style-type: none"> ▪ AIR/CNS/MET (17-28 Feb 2014) • Working visit by the NCMC and other State officials to the WACAF Office – March/April 2014. | <p>MoU signed: <i>10 May 2011</i></p> <p>Missions: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ Currently No TCB Project. ▪ The State is a member of the COSCAP UEMOA | <ul style="list-style-type: none"> ▪ Draft ICAO Plan of Action with safety targets prepared and submitted to ANACIM for review prior to presentation. ▪ Following the ROST mission, the RO has actively continued to work with the State to upload information on CAP implementation unto the OLF. | <ul style="list-style-type: none"> ▪ Note progress made by State in the attainment of the 60%EI. |

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| | | | | | | <ul style="list-style-type: none"> ▪ Off-site validation activity completed with improvement in EI from 55.39% to 60.62%. | |
| 29. | Sierra Leone | <p>Presented: 11-15 Apr 2012</p> <p>Accepted: 14 Jun 2012</p> | <ul style="list-style-type: none"> ▪ AIR/MET: 21-25 Nov 2011 ▪ AGA: 22-26 Jul 2013 ▪ A Tactical Plan was developed by RO on 25 August, 2014 and presented to the State for endorsement and implementation. The Plan is envisaged to resolve/mitigate the PEL SSC by 15 October, 2014. | <p>MoU signed: <i>16 May 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ Newly updated TCB PRODOC revising the previous PRODOC that was pending acceptance since June 2013 was proposed in July 2014, aimed at the safety oversight capability building to be co-funded by SAFE and State; awaiting its acceptance ▪ In addition, State requested for assistance in new airport construction supervision. | <ul style="list-style-type: none"> ▪ ICAO Plan of Action revised to incorporate Abuja Safety targets. ▪ Follow up ROST mission (AGA) conducted 22-26 Jul 2013. ▪ Project proposals prepared, awaiting State’s decision. ▪ State has indefinitely suspended all certification/licensing activities. ICVM conducted, 29 Jan – 5 Feb. 2014, and three SSCs identified in the areas of AGA, ANS and PEL. AGA SSC was resolved before publication. ANS SSC was subsequently resolved through mitigation action resulting in the publication of a NOTAM on the calibration status of the NAVAIDs. The | <ul style="list-style-type: none"> ▪ Note progress made through ROST Implementation activities. |

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| | | | | | <ul style="list-style-type: none"> ▪ The State is a member of BAGASOO | <ul style="list-style-type: none"> ▪ PELSSC remain unresolved. ▪ Due to little progress, high level follow up meeting was held with State officials during ICAO Council President’s mission for the Meeting of African Ministers of Transport, Malabo, 7-11April 2014. ▪ Closed-door follow up meeting with partners held during the AFI Safety Symposium, Dakar 27-28 May 2014. | |

| NO. | STATE | ICAO Plans of Action ¹ | ROST Assistance | AFI-CIS Assistance | TCB and other Assistance Projects | Status of Implementation: ICAO Plans of Action | Action Required from the Meeting |
|-----|-----------|---|---|--|---|---|---|
| 30. | Swaziland | <p>Presented: 23 Feb 2012</p> <p>Accepted: 24 Feb 2012</p> <p>Revised Plan Accepted: 9 Sept 2013</p> | <ul style="list-style-type: none"> ▪ AIR: 13-16 Feb 2012 ▪ CNS: 25-29 Jun 2012 ▪ ATM: 2-6 Jul 2012 ▪ AIR/OPS: 6-9 Aug 2012 ▪ AGA: 26-30 Nov 2012 ▪ AVSEC: 20-22 May 2014 ▪ PEL, OPS, AIR and AIG: planned for 20-24 October 2014 | <p>MoU signed: <i>8 Aug 2011</i></p> <p>Missions conducted: <i>NIL</i></p> | <ul style="list-style-type: none"> ▪ A TCB project commenced in July 2013, providing assistance in the implementation of the USOAP CAP and in capacity building commenced with experts in PEL, OPS and AIR. ▪ Additional assistance was and is being provided in AGA and LEG, respectively. ▪ The State is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted. ▪ Specific operating regulations promulgated. ▪ Establishment of an autonomous CAA implemented. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ ICVM planned for either April or August 2015. ▪ EI: 12%. ▪ ICAO Plan of Action timelines lapsed with steady progress in achieving the set targets with the help of TCB. | <ul style="list-style-type: none"> ▪ Note the significant milestone achieved by the State in establishing a functional CAA. ▪ Urge the State to: <ol style="list-style-type: none"> 1) Implement USOAP CAP with high priority given to the inspectors training, certification, surveillance and resolution of safety concerns related PQs. 2) Update OLF. |

| NO. | STATE | ICAO Plans of Action ¹ | ROST Assistance | AFI-CIS Assistance | TCB and other Assistance Projects | Status of Implementation: ICAO Plans of Action | Action Required from the Meeting |
|-----|--------|---|---|---|--|---|--|
| 31. | Zambia | <p>Presented: 6 Jul 2011</p> <p>Accepted: 21 Jul 2011</p> | <ul style="list-style-type: none"> • 15 Aug-1 Sept 2011 (AFCAC) • AGA: 21 - 25 May 2012 • AIR/OPS: 23 - 25 Jul 2012 • ATM: 24-28 Sept 2012 • 12-23 Nov 2012 (AFCAC follow up mission) • ATM: 12-15 Feb 2013 • AIR/OPS: 7-11 Oct 2013 • USOAP CMA Workshop: 11-13 Mar 2014 (cost-recovery) | <p>MoU signed: <i>7 Apr 2011</i></p> <p>Missions conducted:</p> <p><i>OPS/AIR: 15-31 Aug 2011</i></p> <p><i>5 – 16 Nov 2012</i></p> | <ul style="list-style-type: none"> ▪ EU Project is currently on-going with efforts geared towards the establishment of an autonomous CAA. ▪ A need for support by the implementation of a TCB project has been expressed by the CAA. ▪ ICAO AOC Project, with the support of South African CAA has started being implemented on the 25 of August 2014 to re-certify air operators and provide OJT to the Zambia CAA inspectors. ▪ The State is a member of the COSCAP SADC | <ul style="list-style-type: none"> ▪ Primary aviation legislation enacted and revision on-going. ▪ Specific operating regulations promulgated. ▪ Establishment of an autonomous CAA is on-going. ▪ Inadequate qualified and trained inspectors. ▪ TGMs developed and implementation on-going. ▪ SSC (OPS) resolved (resolved in Dec 2012). ▪ The ICVM conducted in Dec 2012 revealed an EI improvement from 35% to 47%. ▪ ICAO Plan of Action timelines lapsed with steady progress in achieving the set targets. | <ul style="list-style-type: none"> ▪ Note the significant milestone achieved by the State in resolving an SSC following an ICVM conducted in Dec 2012. ▪ Urge the State to: ▪ Implement USOAP CAP with high priority given to the certification, surveillance and resolution of safety concerns related PQs. ▪ Update OLF. |

DP 03 ATTACHMENT A: Status of Development, Revision, Presentation and Implementation of the ICAO Plans of Action for States under the review of MARB including priority States

ACRONYMS AND ABBREVIATIONS

| | | | |
|---------|---|-------|---|
| AFI-CIS | AFI Cooperative Inspectorate Scheme | LEG | Primary Aviation Legislation and Civil Aviation Regulations |
| AGA | Aerodromes and Ground Aids | OLF | Online Framework |
| AIG | Aircraft Accident and Incident Investigation | OPS | Aircraft Operations |
| AIR | Airworthiness of Aircraft | ORG | Civil Aviation Organization |
| ANB | Air Navigation Bureau | MOU | Memorandum of Understanding |
| ANS | Air Navigation Services | NCMC | National Continuous Monitoring Coordinator |
| AOC | Air Operator Certificate | PEL | Personnel Licensing and Training |
| ATM | Air Traffic Management | PQ | Protocol Question |
| CAA | Civil Aviation Authority | RO | Regional Office |
| CAP | Corrective Action Plan | ROST | Regional Office Safety Teams |
| CAPSCA | Collaborative Arrangement for the Prevention and Management of Public Health Events in Civil Aviation | RSOO | Regional Safety Oversight Organization |
| CASSOA | Civil Aviation Safety and Security Oversight Agency | SAAQ | State Aviation Activity Questionnaire |
| CC | Compliance Checklist | SARPs | Standards and Recommended Practices |
| CE | Critical Element | SMS | Safety Management System |
| CMA | Continuous Monitoring Approach | SSC | Significant Safety Concern |
| CSA | Comprehensive Systems Approach | SSP | State Safety Programme |
| EFOD | Electronic Filing of Differences | TCB | Technical Co-operation Bureau |
| EI | Effective Implementation | TGM | Technical Guidance Material |
| iSTARS | Integrated Safety Trend Analysis and Reporting System | USOAP | Universal Safety Oversight Audit Programme |
| ICVM | ICAO Coordinated Validation Mission | | |

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