DANGEROUS GOODS PANEL (DGP) MEETING OF THE WORKING GROUP OF THE WHOLE

Montréal, 15 to 19 April 2013

Agenda Item 5: Review of provisions for the transport of lithium batteries including 5.2: Simplification and clarification of provisions

PROPOSED SIMPLIFICATION OF PROVISIONS FOR LITHIUM BATTERIES

(Presented by D. Brennan)

SUMMARY

This working paper proposes some revisions to the lithium battery packing instructions to remove redundant text and clarify the requirements.

Action by the DGP-WG is in paragraph 2.

1. INTRODUCTION

- 1.1 The provisions applicable to lithium batteries, including the packing instructions have been subject to review and revision over the last two biennia both from changes originating from the UN Subcommittee as well as specific considerations by the DGP.
- 1.2 The resulting changes have made these provisions increasingly detailed. Consequently the provisions can be quite confusing for shippers, forwarders and operator acceptance personnel. Having provisions that can be difficult to understand and apply is not what should be in place in the Technical Instructions, particularly for articles over which there has been so much scrutiny.
- 1.3 In looking at the packing instructions in particular these have now grown to the extent that each packing instruction takes up three to four pages. However, in each of these packing instructions there is quite an amount of repeated text that is in Section I and again in Section II, and for Packing Instructions 965 and 968, in Sections IA, IB and II.
- 1.4 The addition of text that was adopted into Part 2;9.3 from the 17th revised edition of the UN Recommendations should be seen as the basic classification criteria for lithium batteries and should not then be repeated in every section of every lithium battery packing instruction. Having this repeated in every packing instruction is akin to including the classification criteria for flammable liquids from Part 2;3 into every Class 3 packing instruction.

- 1.5 Having this text repeated through the packing instructions also requires that any change to the basic provisions in Part 2;9.3 must then be aligned across the packing instructions which creates the potential for error.
- 1.6 It is therefore proposed to revise the lithium battery packing instructions to remove text that is repeated from Part 2;9.3 and instead to insert a one line reference to the applicable provisions in Part 2;9.3 for Sections IA, IB and II.

2. **ACTION BY THE DGP-WG**

2.1 The DGP-WG is invited to consider amendment to the lithium battery packing instructions as shown in the appendix to this working paper.

APPENDIX

Part 4

PACKING INSTRUCTIONS

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Packing Instruction 965

Passenger and cargo aircraft for UN 3480

1. Introduction

This entry applies to lithium ion or lithium polymer batteries. This packing instruction is structured as follows:

- Section IA applies to lithium ion cells with a Watt-hour rating in excess of 20 Wh and lithium ion batteries
 with a Watt-hour rating in excess of 100 Wh, which must be assigned to Class 9 and are subject to all of the
 applicable requirements of these Instructions;
- Section IB applies to lithium ion cells with a Watt-hour rating not exceeding 20 Wh and lithium ion batteries with a Watt-hour rating not exceeding 100 Wh packed in quantities that exceed the allowance permitted in Section II, Table 965-II; and
- Section II applies to lithium ion cells with a Watt-hour rating not exceeding 20 Wh and lithium ion batteries with a Watt-hour rating not exceeding 100 Wh packed in quantities not exceeding the allowance permitted in Section II, Table 965-II.

2. Lithium batteries forbidden from transport

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

Waste lithium batteries and lithium batteries being shipped for recycling or disposal are forbidden from air transport unless approved by the appropriate national authority of the State of Origin and the State of the Operator.

IA. SECTION IA

[Section IA requirements apply to lithium ion cells with a Watt-hour rating in excess of 20 Wh and lithium ion batteries with a Watt-hour rating in excess of 100 Wh that have been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.3.:

- 1) be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
 - Note 2.— Batteries and cells manufactured before 1 January 2011 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).
- Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

1A.1 General requirements

Part 4;1 requirements must be met.

Table 965-IA

UN number and proper shipping name		Net quantity per package	
		Passenger	Cargo
UN 3480	Lithium ion batteries	5 kg	35 kg

IA.2 Additional requirements

- Lithium ion cells and batteries must be protected against short circuits.
- Lithium ion cells and batteries must be placed in inner packagings that completely enclose the cell or battery
 then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing
 Group II performance requirements.
- Lithium ion batteries with a mass of 12 kg or greater and having a strong, impact-resistant outer casing, or assemblies of such batteries, may be transported when packed in strong outer packagings or protective enclosures (e.g. in fully enclosed or wooden slatted crates) not subject to the requirements of Part 6 of these Instructions, if approved by the appropriate authority of the State of Origin. A copy of the document of approval must accompany the consignment.
- Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

IA.3 Outer packagings

Drums **Jerricans** Boxes Aluminium (4B) Aluminium (1B2) Aluminium (3B2) Fibreboard (4G) Fibre (1G) Plastics (3H2) Natural wood (4C1, 4C2) Other metal (1N2) Steel (3A2) Plastics (1H2) Other metal (4N) Plastics (4H1, 4H2) Plywood (1D) Plywood (4D) Steel (1A2) Reconstituted wood (4F)

IB. SECTION IB

Steel (4A)

[Section IB requirements apply to lithium ion cells with a Watt-hour rating not exceeding 20 Wh and lithium ion batteries with a Watt-hour rating not exceeding 100 Wh packed in quantities that exceed the allowance permitted in Section II, Table 965 II.]

Quantities of lithium ion cells or batteries that exceed the allowance permitted in Section II, Table 965-II [must be assigned to Class 9 and] are subject to all of the applicable provisions of these Instructions (including the requirements in paragraph 2 of this packing instruction and of this section) except for the following:

- the provisions of Part 6; and
- the dangerous goods transport document requirements of 5;4, provided alternative written documentation is provided by the shipper describing the contents of the consignment. Where an agreement exists with the operator, the shipper may provide the information by electronic data processing (EDP) or electronic data interchange (EDI) techniques. The information required is as follows and should be shown in the following order:
 - 1) the name and address of the shipper and consignee;
 - 2) UN 3480;
 - 3) Lithium ion batteries PI 965 IB;
 - 4) the number of packages and the gross mass of each package.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh:
- 2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
 - the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009;
- 3) each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
 - Note 2.— Batteries and cells manufactured before 1 January 2011 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported;
- 4) cells and batteries must be manufactured under a quality management programme as described in 2;9.3.1 c).

IB.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 965-IB

	Package quantity	
Contents	Passenger	Cargo
Lithium ion cells and batteries	10 kg G	10 kg G

IB.2 Additional requirements

- Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then
 placed in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact
 with conductive materials within the same packaging that could lead to a short circuit.
- Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
 - damage to cells or batteries contained therein;
 - shifting of the contents so as to allow battery to battery (or cell to cell) contact;
 - release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31) in addition to the Class 9 hazard label.
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium ion cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.

IB.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II. SECTION II

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents), 8;1.1 (Dangerous goods carried by passengers or crew) and paragraph 2 of this packing instruction, lithium ion cells and batteries offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh:
- 2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
 - the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009;.
- 3) each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria. Part III, subsection 38.3:
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 4) cells and batteries must be manufactured under a quality management programme as described in 2:9.3.1 e).

II.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 965-II

Contents	Lithium ion cells and/or batteries with a Watt-hour rating not more than 2.7 Wh	Lithium ion cells with a Watt-hour rating more than 2.7 Wh, but not more than 20 Wh	Lithium ion batteries with a Watt-hour rating more than 2.7 Wh, but not more than 100 Wh
1	2	3	4
Maximum number of cells / batteries per package	No limit	8 cells	2 batteries
Maximum net quantity (mass) per package	2.5 kg	n/a	n/a

The limits specified in columns 2, 3 and 4 of Table 965-II must not be combined in the same package.

II.2 Additional requirements

- Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then placed in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit. Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
- - damage to cells or batteries contained therein;
 shifting of the contents so as to allow battery to battery (or cell to cell) contact;
 - release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31).
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium ion cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.
- Where a consignment includes packages bearing the lithium battery handling label, the words "lithium ion batteries, in compliance with Section II of PI965" must be placed on the air waybill, when an air waybill is
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Boxes	Drums	Jerricans
	Strong outer packagings	

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Passenger and cargo aircraft for UN 3481 (packed with equipment) only

1. Introduction

This entry applies to lithium ion or lithium polymer batteries packed with equipment.

Section I of this packing instruction applies to lithium ion and lithium polymer cells and batteries that are assigned to Class 9. Certain lithium ion and lithium polymer cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

2. Lithium batteries forbidden from transport

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. SECTION I

[Section I requirements apply to each cell or battery type that has been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.3.:

- be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 2) incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).
- Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

1.1 General requirements

Part 4;1 requirements must be met.

	Package quantity (Section I)	
UN number and proper shipping name	Passenger Cargo	
UN 3481 Lithium ion batteries packed with equipment	5 kg of lithium ion cells or batteries	35 kg of lithium ion cells or batteries

1.2 Additional requirements

- Lithium ion cells and batteries must be protected against short circuits.
- Lithium ion cells or batteries must:
 - be placed in inner packagings that completely enclose the cell or battery then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements; or
 - be placed in inner packagings that completely enclose the cell or battery, then placed with equipment in a package that meets the Packing Group II performance requirements.
- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- For the purpose of this packing instruction, "equipment" means apparatus requiring the lithium ion batteries with which it is packed for its operation.
- Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

1.3 Outer packagings

Reconstituted wood (4F)

Drums **Boxes Jerricans** Aluminium (4B) Aluminium (1B2) Aluminium (3B2) Fibreboard (4G) Fibre (1G) Plastics (3H2) Natural wood (4C1, 4C2) Other metal (1N2) Steel (3A2) Other metal (4N) Plastics (1H2) Plastics (4H1, 4H2) Plywood (1D) Plywood (4D) Steel (1A2)

II. SECTION II

Steel (4A)

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents), 8;1.1 (Dangerous goods carried by passengers or crew) and paragraph 2 of this packing instruction, lithium ion cells and batteries packed with equipment offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh:
- 2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
 - the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009;
- 3) each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 4) cells and batteries must be manufactured under a quality management programme as described in 2;9.3.1 e).

II.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package quantity (Section II)	
Contents	Passenger	Cargo
Net quantity of lithium ion cells or batteries per package	5 kg	5 kg

II.2 Additional requirements

- Lithium ion cells and batteries must:
 - be placed in inner packagings that completely enclose the cell or battery, then placed in a strong outer packaging; or
 - be placed in inner packagings that completely enclose the cell or battery, then placed with the equipment in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact
 with conductive materials within the same packaging that could lead to a short circuit.
- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- The maximum number of batteries in each package must be the minimum number required to power the equipment, plus two spares.
- Each package of cells or batteries, or the completed package, must be capable of withstanding a 1.2 m drop test in any orientation without:
 - damage to cells or batteries contained therein;
 - shifting of the contents so as to allow battery to battery (or cell to cell) contact;
- release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31).
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium ion cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.
- The words "lithium ion batteries, in compliance with Section II of PI966" must be placed on the air waybill, when an air waybill is used.
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Passenger and cargo aircraft for UN 3481 (contained in equipment) only

1. Introduction

This entry applies to lithium ion or lithium polymer batteries contained in equipment.

Section I of this packing instruction applies to lithium ion and lithium polymer cells and batteries that are assigned to Class 9. Certain lithium ion and lithium polymer cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

2. Lithium batteries forbidden from transport

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. SECTION I

[Section I requirements apply to each cell or battery type that has been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.3:

- be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3; and
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 2) incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).
- Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

1.1 General requirements

Equipment must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

		Package quantity (Section I)	
UN numbe	er and proper shipping name	Passenger	Cargo
UN 3481	Lithium ion batteries contained in equipment	5 kg of lithium ion cells or batteries	35 kg of lithium ion cells or batteries

1.2 Additional requirements

- The equipment must be secured against movement within the outer packaging and be packed so as to prevent accidental operation during air transport.
- The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
- Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

Packing Instruction 967 1.3 Outer packagings Boxes Drums Jerricans Strong outer packagings

II. SECTION II

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents), 8;1.1 (Dangerous goods carried by passengers or crew) and paragraph 2 of this packing instruction, lithium ion cells and batteries contained in equipment offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh:
- 2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
 - the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009;
- each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests
 and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 4) cells and batteries must be manufactured under a quality management programme as described in 2:9.3.1 e).

Devices such as radio frequency identification (RFID) tags, watches and temperature loggers, which are not capable of generating a dangerous evolution of heat, may be transported when intentionally active. When active, these devices must meet defined standards for electromagnetic radiation to ensure that the operation of the device does not interfere with aircraft systems.

II.1 General requirements

Equipment must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package (Section	
Contents	Passenger	Cargo
Net quantity of lithium ion cells or batteries per package	5 kg	5 kg

II.2 Additional requirements

- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- Cells and batteries must be protected so as to prevent short circuits.
- The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
- Each package containing more than four cells or more than two batteries installed in equipment must be labelled with a lithium battery handling label (Figure 5-31) (except button cell batteries installed in equipment (including circuit boards)).
- Each consignment with packages bearing the lithium battery handling label must be accompanied with a document with an indication that:
 - the package contains lithium ion cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and

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- repacking if necessary; and

 a telephone number for additional information.

 Where a consignment includes packages bearing the lithium battery handling label, the words "lithium ion batteries, in compliance with Section II of PI967" must be placed on the air waybill, when an air waybill is
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Drums **Boxes Jerricans**

Strong outer packagings

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Passenger and cargo aircraft for UN 3090

1. Introduction

This entry applies to lithium metal or lithium alloy batteries. This packing instruction is structured as follows:

- Section IA applies to lithium metal cells with a lithium metal content in excess of 1 g and lithium metal batteries with a lithium metal content in excess of 2 g, which must be assigned to Class 9 and are subject to all of the applicable requirements of these Instructions;
- Section IB applies to lithium metal cells with a lithium metal content not exceeding 1 g and lithium metal batteries with a lithium metal content not exceeding 2 g packed in quantities that exceed the allowance permitted in Section II, Table 968-II; and
- Section II applies to lithium metal cells with a lithium metal content not exceeding 1 g and lithium metal batteries with a lithium metal content not exceeding 2 g packed in quantities not exceeding the allowance permitted in Section II, Table 968-II.

2. Lithium batteries forbidden from transport

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

Waste lithium batteries and lithium batteries being shipped for recycling or disposal are forbidden from air transport unless approved by the appropriate national authority of the State of Origin and the State of the Operator.

IA. SECTION IA

[Section IA requirements apply to lithium metal cells with a lithium metal content in excess of 1 g and lithium metal batteries with a lithium metal content in excess of 2 g that have been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.3.:

- be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).

Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

IA.1 General requirements

Part 4;1 requirements must be met.

Table 968-IA

UN number	Net quantity per package	
and proper shipping name	Passenger	Cargo
UN 3090 Lithium metal batteries	2.5 kg	35 kg

IA.2 Additional requirements

- Lithium metal cells and batteries must be protected against short circuits.
- Lithium metal cells and batteries must be placed in inner packagings that completely enclose the cell or battery, then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements.
- Lithium metal batteries with a mass of 12 kg or greater and having a strong, impact-resistant outer casing, or assemblies of such batteries, may be transported when packed in strong outer packagings or protective enclosures (e.g. in fully enclosed or wooden slatted crates) not subject to the requirements of Part 6 of these Instructions, if approved by the appropriate authority of the State of Origin. A copy of the document of approval must accompany the consignment.
- For lithium metal cells and batteries prepared for transport on passenger aircraft as Class 9:
 - cells and batteries offered for transport on passenger aircraft must be packed in intermediate or outer rigid metal packaging; and
 - cells and batteries must be surrounded by cushioning material that is non-combustible and non-conductive, and placed inside an outer packaging.

IA.3 Outer packagings

Fibreboard (4G) Fibre (1G) Plastics (3H2) Natural wood (4C1, 4C2) Other metal (1N2) Steel (3A2) Other metal (4N) Plastics (1H2) Plastics (4H1, 4H2) Plywood (1D)	Boxes	Drums	Jerricans
Reconstituted wood (4F)	Fibreboard (4G) Natural wood (4C1, 4C2) Other metal (4N) Plastics (4H1, 4H2) Plywood (4D)	Fibre (1G) ` Other metal (1N2) Plastics (1H2)	` ,

IB. SECTION IB

Steel (4A)

[Section IB requirements apply to lithium metal cells with a lithium metal content not exceeding 1 g and lithium metal batteries with a lithium metal content not exceeding 2 g packed in quantities that exceed the allowance permitted in Section II, Table 968-II.]

Quantities of lithium metal cells or batteries that exceed the allowance permitted in Section II, Table 968-II, [must be assigned to Class 9 and] are subject to all of the applicable provisions of these Instructions (including the requirements in paragraph 2 of this packing instruction and of this section) except for the following:

- the provisions of Part 6; and
- the dangerous goods transport document requirements of 5;4, provided alternative written documentation is provided by the shipper describing the contents of the consignment. Where an agreement exists with the operator, the shipper may provide the information by electronic data processing (EDP) or electronic data interchange (EDI) techniques. The information required is as follows and should be shown in the following order:
 - 1) the name and address of the shipper and consignee;
 - 2) UN 3090;
 - 3) Lithium metal batteries PI 968 IB;
 - 4) the number of packages and the gross mass of each package.

Lithium metal or lithium alloy cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for lithium metal cells, the lithium content is not more than 1 g;
- 2) for lithium metal or lithium alloy batteries, the aggregate lithium content is not more than 2 g;
- ach cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
 - Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
 - Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 4) cells and batteries must be manufactured under a quality management programme as described in 2;9.3.1 e).

IB.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 968-IB

	Package quantity	
Contents	Passenger	Cargo
Lithium metal cells and batteries	2.5 kg G	2.5 kg G

IB.2 Additional requirements

- Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then
 placed in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact
 with conductive materials within the same packaging that could lead to a short circuit.
- Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
 - damage to cells or batteries contained therein;
 - shifting of the contents so as to allow battery to battery (or cell to cell) contact;
 - release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31) in addition to the Class 9 hazard label.
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium metal cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.

IB.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II. SECTION II

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents), 8;1.1 (Dangerous goods carried by passengers or crew)and paragraph 2 of this packing instruction, lithium metal or lithium alloy cells and batteries offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium metal or lithium alloy cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- 1) for a lithium metal cell, the lithium content is not more than 1 g;
- 2) for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g;
- 3) each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;

Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.

- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- cells and batteries must be manufactured under a quality management programme as described in 2;9.3.1 e).

II.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 968-II

Contents	Lithium metal cells and/or batteries with a lithium content not more than 0.3 g		Lithium metal batteries with a lithium content more than 0.3 g but not more than 2 g
1	2	3	4
Maximum number of cells / batteries per package	No limit	8 cells	2 batteries
Maximum net quantity (mass) per package	2.5 kg	n/a	n/a

The limits specified in columns 2, 3 and 4 of Table 968-II must not be combined in the same package.

II.2 Additional requirements

- Cells and batteries must be packed in inner packagings that completely enclose the cell or battery, then
 placed in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact
 with conductive materials within the same packaging that could lead to a short circuit.
- Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
 - damage to cells or batteries contained therein;
 - shifting of the contents so as to allow battery to battery (or cell to cell) contact;
 - release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31).
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium metal cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.
- The words "lithium metal batteries, in compliance with Section II of PI968" must be placed on the air waybill, when an air waybill is used.
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Passenger and cargo aircraft for UN 3091 (packed with equipment) only

1. Introduction

This entry applies to lithium metal or lithium alloy batteries packed with equipment.

Section I of this packing instruction applies to lithium metal and lithium alloy cells and batteries that are assigned to Class 9. Certain lithium metal and lithium alloy cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

2. Lithium batteries forbidden from transport

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. SECTION I

[Section I requirements apply to each cell or battery type that has been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.31:

- be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3: and
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 2) incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).

Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

1.1 General requirements

Part 4;1 requirements must be met.

UN number and proper shipping name		Package quantity (Section I)	
		Passenger	Cargo
UN 3091	Lithium metal batteries packed with equipment	5 kg of lithium metal cells or batteries	35 kg of lithium metal cells or batteries

1.2 Additional requirements

- Lithium metal cells and batteries must be protected against short circuits.
- Lithium metal cells or batteries must:
 - be placed in inner packagings that completely enclose the cell or battery, then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements; or
 - be placed in inner packagings that completely enclose the cell or battery, then placed with equipment in a package that meets the Packing Group II performance requirements.
- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- For the purpose of this packing instruction, "equipment" means apparatus requiring the lithium batteries with which it is packed for its operation.
- For lithium metal cells and batteries prepared for transport on passenger aircraft as Class 9:
 - cells and batteries offered for transport on passenger aircraft must be packed in intermediate or outer rigid metal packaging surrounded by cushioning material that is non-combustible and non-conductive and placed inside an outer packaging.

1.3 Outer packagings

Boxes	Drums	Jerricans
Aluminium (4B) Fibreboard (4G) Natural wood (4C1, 4C2) Other metal (4N) Plastics (4H1, 4H2) Plywood (4D) Reconstituted wood (4F) Steel (4A)	Aluminium (1B2) Fibre (1G) Other metal (1N2) Plastics (1H2) Plywood (1D) Steel (1A2)	Aluminium (3B2) Plastics (3H2) Steel (3A2)

II. SECTION II

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents),8;1.1 (Dangerous goods carried by passengers or crew) and paragraph 2 of this packing instruction, lithium metal cells and batteries packed with equipment offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium metal cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) if they meet all of and the following:

- 1) for a lithium metal cell, the lithium content is not more than 1 g;
- 2) for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g;
- each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 4) cells and batteries must be manufactured under a quality management programme as described in 2:9.3.1 e).

II.1 General requirements

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package (Sect	
Contents	Passenger	Cargo
Net quantity of lithium metal cells or batteries per package	5 kg	5 kg

II.2 Additional requirements

- Lithium metal cells or batteries must:
 - be placed in inner packagings that completely enclose the cell or battery, then placed in a strong outer packaging; or
 - be placed in inner packagings that completely enclose the cell or battery, then placed with the equipment in a strong outer packaging.
- Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact
 with conductive materials within the same packaging that could lead to a short circuit.
- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- The maximum number of batteries in each package must be the minimum number required to power the equipment, plus two spares.
- Each package of cells or batteries, or the completed package, must be capable of withstanding a 1.2 m drop test in any orientation without:
 - damage to cells or batteries contained therein;
 - shifting of the contents so as to allow battery to battery (or cell to cell) contact;
 - release of contents.
- Each package must be labelled with a lithium battery handling label (Figure 5-31).
- Each consignment must be accompanied with a document with an indication that:
 - the package contains lithium metal cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.
- The words "lithium metal batteries, in compliance with Section II of PI969" must be placed on the air waybill, when an air waybill is used.
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Passenger and cargo aircraft for UN 3091 (contained in equipment) only

1. Introduction

This entry applies to lithium metal or lithium alloy batteries contained in equipment.

Section I of this packing instruction applies to lithium metal and lithium alloy cells and batteries that are assigned to Class 9. Certain lithium metal and lithium alloy cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

2. Lithium batteries forbidden from transport

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. SECTION I

[Section I requirements apply to each cell or battery type that has been determined to meet the criteria for assignment to Class 9.]

Each cell or battery must meet all the provisions of 2;9.3:

- be of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3; and
- Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- 2) incorporate a safety venting device or be designed to preclude a violent rupture under conditions normally incident to transport and be equipped with an effective means of preventing external short circuits; and
- 3) be manufactured under a quality management programme as described in 2;9.3.1 e).

Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).

1.1 General requirements

Equipment must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package quantity (Section I)	
UN number and proper shipping name	Passenger	Cargo
UN 3091 Lithium metal batteries contained in equipment	5 kg of lithium metal cells or batteries	35 kg of lithium metal cells or batteries

1.2 Additional requirements

- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
- The quantity of lithium metal contained in any piece of equipment must not exceed 12 g per cell and 500 g per battery.

Packing Instruction 970 1.3 Outer packagings Drums **Jerricans** Strong outer packagings

II. SECTION II

Boxes

With the exception of Part 1;2.3 (Transport of dangerous goods by post), 7;4.4 (Reporting of dangerous goods accidents and incidents), 8;1.1 (Dangerous goods carried by passengers or crew) and paragraph 2 of this packing instruction, lithium metal cells and batteries contained in equipment offered for transport are not subject to other additional requirements of these Instructions if they meet the requirements of this section.

Lithium metal cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and if they meet all of the following:

- for a lithium metal cell, the lithium content is not more than 1 g;
- for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g.
- each cell or battery is of the type proven to meet the requirements of each test in the UN Manual of Tests and Criteria, Part III, subsection 38.3;
 - Note 1.— Batteries are subject to these tests irrespective of whether the cells of which they are composed have been so tested.
- Note 2.— Batteries and cells manufactured before 1 January 2014 conforming to a design type tested according to the requirements of the fifth revised edition of the UN Manual of Tests and Criteria, Part III, subsection 38.3 may continue to be transported.
- cells and batteries must be manufactured under a quality management programme as described in 2;9.3.1 e).

Devices such as radio frequency identification (RFID) tags, watches and temperature loggers, which are not capable of generating a dangerous evolution of heat, may be transported when intentionally active. When active, these devices must meet defined standards for electromagnetic radiation to ensure that the operation of the device does not interfere with aircraft systems.

II.1 General requirements

Equipment containing batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package quantity (Section II)	
Contents	Passenger	Cargo
Net quantity of lithium metal cells or batteries per package	5 kg	5 kg

II.2 Additional requirements

- The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
- Cells and batteries must be protected so as to prevent short circuits.
- The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
- Each package containing more than four cells or more than two batteries installed in equipment must be labelled with a lithium battery handling label (Figure 5-31) (except button cell batteries installed in equipment (including circuit boards)).
- Each consignment with packages bearing the lithium battery handling label must be accompanied with a document with an indication that:
 - the package contains lithium metal cells or batteries;
 - the package must be handled with care and that a flammability hazard exists if the package is damaged;
 - special procedures must be followed in the event the package is damaged, to include inspection and repacking if necessary; and
 - a telephone number for additional information.
- Where a consignment includes packages bearing the lithium battery handling label, the words "lithium metal batteries, in compliance with Section II of PI970" must be placed on the air waybill, when an air waybill is used.
- Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 Outer packagings

Boxes Drums Jerricans

Strong outer packagings

II.4 Overpacks

When packages are placed in an overpack, the lithium battery handling label required by this packing instruction must either be clearly visible or the label must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

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