

# USOAP CMA Evolution Update

*Produced by Monitoring and Oversight, Air Navigation Bureau, ICAO*

This document provides an update on the evolution of the ICAO Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA). This issue is focused on communicating the activities of the programme during the Assembly. More information on the programme is available in the [USOAP CMA flyer](#) and [frequently asked questions](#).

On 7 October 2022, the 41st triennial meeting of the ICAO Assembly concluded with consensus forged on ICAO’s budget and work programme for the next three years, which include key priorities such as the USOAP CMA. Consistent with the hybrid nature of the Assembly, all meetings supported by the USOAP CMA were conducted face-to-face and virtually. Below is a picture taken during the Assembly of staff members supporting the programme in ICAO headquarters.

## Key figures

- 7 working papers and 3 information papers addressed the USOAP CMA and its evolution under Agenda Item 15
- 23 hybrid meetings with Member States
- 4 hybrid meetings with ICAO partners on monitoring activities
- 1 hybrid meeting with ICAO Regional Directors
- 2 SkyTalks

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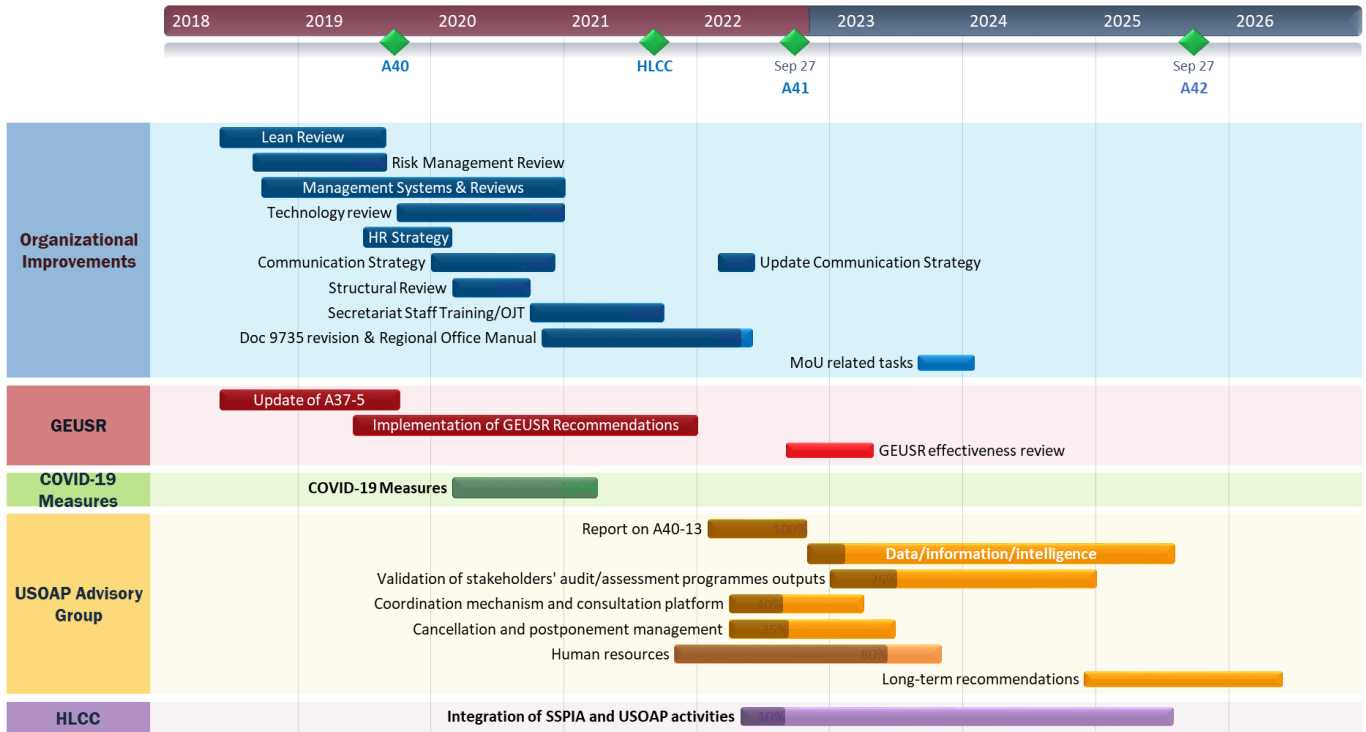
In the main agenda of the Assembly, the USOAP CMA was discussed under **Item 15: Audit Programmes – Continuous Monitoring Approach**. The Assembly noted the report on the activities of the programme during the triennium 2019-2020-2021 ([A41-WP/07](#)), expressed strong support for the work undertaken and acknowledged donors seconding experts and providing other voluntary contributions. The Assembly recommended that ICAO prioritize resources for the USOAP CMA and called upon Member States to continue their full support. The Assembly also expressed its appreciation for all efforts to implement the USOAP CMA while overcoming the challenges of the COVID-19 pandemic.

The Assembly endorsed the evolution plan presented in [A41-WP/27](#) and its corresponding budget, in particular, the integration of the State Safety Programme Implementation Assessment (SSPIA) with USOAP activities and the implementation of recommendations of the Ad hoc USOAP Advisory Group (USOAP-AG). With respect to the latter, the Assembly recommended that ICAO *should not* issue a Mandatory Information Request (MIR) followed by a Significant Safety Concern (SSC) based only on the postponement or cancellation of a USOAP activity, consistent with current practice.

Below is a summary of the changes made to the evolution plan resulting from Assembly deliberations. The status of the different projects that will drive the evolution of the USOAP CMA during the next triennium is outlined in the next page.

- Improvement of the monitoring and evaluation of Corrective Action Plans (CAPs) to ensure a response within a defined timeline.
- Analysis for future amendments of Protocol Questions (PQs), its application to USOAP activities and State Effective Implementation (EI) scores within the Group of Experts for a USOAP CMA Structured Review (GEUSR) effectiveness review.
- Consideration for the development of an alternative methodology to audit aircraft accident and incident investigation systems of States to better reflect these capabilities of States in the absence of accidents.

# Status of the Evolution of the USOAP CMA



## Other meetings held during A41

A total of 23 USOAP CMA-related meetings were conducted with different ICAO Member States during A41. The objectives of these meetings varied, from encouraging States to accept the activities as proposed in the USOAP Activity Plan, sharing the status of States' USOAP CMA activities and discussing areas of further collaboration in support of the programme.

Four other meetings were held with ICAO partners on fostering collaborative relations to support the USOAP CMA monitoring function. In addition, a face-to-face dialogue on contributions from the Regional Offices to the USOAP CMA was held to review the different roles and responsibilities on the programme performed by Headquarters and the Regional Offices, in addition to discussions on the main challenges encountered in the ICAO regions.



Meeting with the Democratic Republic of the Congo



Meeting with Pakistan

## USOAP CMA activities planned and conducted in 2022

**Audits:** Albania\*, Angola, Argentina\*, Belarus, Canada, China, Colombia\*, Democratic Republic of the Congo\*, Djibouti\*, Islamic Republic of Iran\*, Italy\*, Kyrgyzstan, Liberia\*, Mexico, Nepal\*, Republic of Moldova\*, Singapore\*, United Kingdom, and World Food Programme\*.

**Validations:** Azerbaijan\*, Botswana\*, India, Lebanon, Nigeria, Organisation of Eastern Caribbean States (OECs)\*, and Uzbekistan.

**SSPIAs:** Italy\*, Singapore\* and United Kingdom.

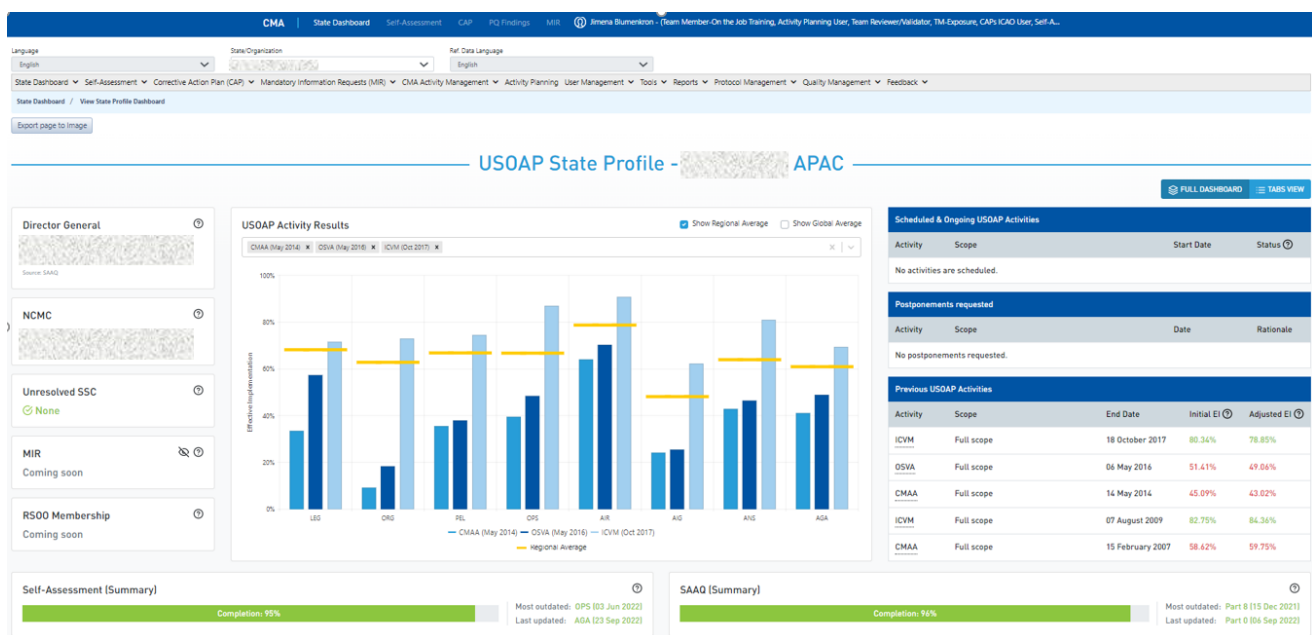
\* conducted

## SkyTalks

Two Skytalks on USOAP CMA were presented during A41. The first session introduced a high-level overview of the USOAP CMA evolution and operation in the last triennium and plans for the next triennium (2023-2024-2025). The presentation can be viewed in ICAO TV at <https://www.icao.tv/videos/a41-skytalk-status-of-usoap-cma-evolution-operation-and-future-plans>.



The second SkyTalk presented two new USOAP CMA tools. First is the “USOAP State profile” web page on the USOAP online framework ([State Profile Dashboard \(icao.int\)](https://www.icao.int/state-profile)), which resulted from implementation of GEUSR recommendations, while the second is the new “USOAP CMA Community”, which is expected to bring our experts closer. This presentation is also available in ICAO TV at <https://www.icao.tv/videos/a41-skytalk-innovative-usoap-cma-tools>. Below is a snapshot of the new “USOAP State profile”.



## Monitoring and Oversight websites: