FOURTH ICAO AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERSHIP MEETING (ASIAP/4)

Montréal, Canada, 9 October 2018

REPORT

1. **INTRODUCTION**

1.1   The Fourth ICAO Aviation Safety Implementation Assistance Partnership (ASIAP/4) meeting was held at ICAO Headquarters in Montréal, Canada, on 9 October as a side meeting of the 13th Air Navigation Conference (AN-Conf/13) and was attended by 30 participants (Appendix A), including one participant via teleconference.

1.2   The objective of the ASIAP meeting is for ASIAP Partners to discuss their technical assistance activities and to explore possibilities to collaborate on assistance efforts in ASIAP Priority States.

2. **SUMMARY OF MEETING DISCUSSIONS**

2.1   **Opening of the Meeting**

   a) The meeting was opened by the Deputy Director, Aviation Safety, of the ICAO Air Navigation Bureau. He highlighted the importance of ASIAP for coordinating and cooperating on the implementation of technical assistance activities in order to enhance effectiveness of the assistance. He also emphasized the need for all the ASIAP partners to take a more active role.
   b) The representatives from the Co-Chairs, China and EASA, welcomed participants to the meeting.
   c) Secretariat support to the meeting was provided by the Chief and Technical Officers of the Programmes Coordination and Implementation Section of the Air Navigation Bureau.

2.2   **Approval of the draft agenda**

   a) The agenda was approved. The Regional Safety Oversight Organizations Cooperative Platform (RSOO CP) presentation and meeting documentation are available at: https://www.icao.int/safety/ASIAP/Pages/default.aspx

2.3   **Review of the ASIAP Action Plan with Updates**

   a) ICAO reviewed and provided an update on activities under the ASIAP Action Plan document. This document outlines the actions identified by the Partners to be implemented, including timelines and progress/updates. ICAO advised of the following updates:
      • Partners continue to provide updates on a quarterly basis, or as needed, on technical assistance activities. The sharing of information also includes discussions on assistance

activities, if any, for Priority States. The Chief Technical Advisor of the COSCAP-SEA recommended some additional updates be made to the ASIAP Prioritization Tool, including the possibility of showing sub-regions and adding more granularity to the technical areas (i.e. splitting up AGA and ANS). The UK further supported updates to the Prioritization Tool.

- ICAO advised that a presentation on the Regional Safety Oversight Organization Cooperative Platform (RSOO CP) would be provided later in the meeting. This would provide an opportunity for partners to learn more about the platform, including any synergies for cooperation.

- The Working Group on Project Outcomes Indicators (POIs) met in September to review the status and effectiveness of the POIs. Two Protocol Questions (PQs) have been removed from the audit process and are being replaced with new PQs on State Safety Programmes (SSPs) that will initially be evaluated on a voluntary basis, but will later become a part of the audit process in 2020. It was agreed that additional indicators will need to be considered and should be explored further by the Working Group. The need to discuss the issue of transparency was raised by one of the Partners for the use of the POIs. Consideration should be given to sharing publicly the outcomes of projects against the POIs.

- An updated project database tool is available on-line for Partners to incorporate information on their technical assistance activities (https://www.icao.int/safety/ASIAP/Pages/Tools.aspx). The updated tool includes a map highlighting Partner projects, as well as quick viewing of project information. Partners are encouraged to sign up for an account and include information on their assistance projects in order to facilitate the sharing of information.

- ICAO provided an update on collaboration efforts on the development of a project document for Cambodia. With funding from China, ICAO and Boeing, ICAO TCB is in the process of identifying technical experts to begin implementation of the project. ICAO highlighted the need for qualified technical experts for this project and other assistance activities and encouraged Partners to share their experts with ICAO for the implementation of projects.

- ICAO and the ASIAP Partners continue to promote the work of ASIAP. The UK submitted a paper on behalf of the ASIAP Partners that will be presented at the AN-Conf/13 under Agenda Item 7.3 (WP/213). Discussions on the development and submission of a paper to the 40th Assembly will be discussed later in the meeting.

2.4   **Partner Updates**

a) **Transport Canada** - Transport Canada (TC) advised that they are developing their own technical assistance programme. They have been providing ad-hoc assistance to Guyana, Brazil and Haiti, and are coordinating with Partners on these activities. TC is also working closely with the ICAO Regional Office and is a Champion State for SSP implementation. Transport Canada is interested in becoming more active in technical assistance activities.

b) **France** – France continues to provide assistance to the ASEAN States, with a particular focus on Philippines, Laos, Thailand, and Indonesia.   Additionally, France continues to work closely with other Partners, such as EASA and the UK for the provision of assistance.

c) **United Kingdom** – The UK is working closely with ICAO Regional Offices (Bangkok and Lima) for the provision of technical assistance. The UK is also interested in connecting with the MID office this week to discuss collaboration on assistance. The UK is working with the ICAO APAC office in regards to assistance for aerodromes and is working closely with EASA and France in Thailand. Additionally, the UK is working with TC and the FAA for assistance in the Caribbean.

d) **EASA** – EASA is expanding their technical assistance, including their Aviation Partnership Projects (APPs) into new regions, i.e. Latin America. A new EASA project office has been opened in San Jose, Costa Rica. EASA continues to provide assistance to the Western Balkans, Eastern Europe, Caucasus, Central, South and South East Asia, and new projects are about to be launched in Africa. EASA is collaborating with other ASIAP Partners in Thailand. Bilateral projects are also being undertaken in Zambia and Ukraine.

e) **ICAO RO Mexico City** – The Regional Office is coordinating with EASA on the EU/LA project and is coordinating with TC and France on Haiti.

f) **ICAO RO Cairo** – The first Middle East RSOO Steering Committee Meeting (MENA) was recently held. The RO advised that Lebanon, Libya and Syria are below 60% effective implementation and should be prioritized in the region for assistance. Neither Yemen nor Iraq have been audited, however the security situation in Iraq is improving, therefore ICAO RO is looking to collaborate on assistance activities for Iraq.

g) **ICAO RO Bangkok** – The Cambodia project is moving forward. A project document has been developed for Nepal for creating autonomy of the Air Navigation Service Provider (ANSP) from the CAA. Nepal is funding 25% of the project, but additional funding will be necessary. CAT missions continue to Thailand, utilizing surplus funds. ASIAP to be promoted at DGCA meeting in Fiji in 2 weeks. COSCAP-SEA is completing a survey of State needs and information can be used to determine the delta of work required and possible areas of collaboration with Partners.

h) **ICAO RO Lima** – The regional safety oversight organization (SRVSOP) is working very well. Guyana is improving and receiving assistance from TC, including for helicopters. As a result of the assistance, Guyana is improving its safety oversight capability and it is expected that their EI will increase. Suriname was working with UK CAAi under an Inter-American Development Bank (IADB) contract, however additional assistance is required in order to raise their EI.

i) **ICAO RO Paris** – The Regional Office is conducting a mission to Ukraine in November and then to Uzbekistan and will review their action plans. A Significant Safety Concern (SSC) in Kyrgyzstan remains unresolved and the RO is meeting with EASA to discuss possible collaborative efforts for assistance.

j) **ICAO SPCP** – SPCP is working to review agreements between ICAO and Partners in order to make them more effective and include accountability. ASIAP can work with SPCP regarding resource mobilization. Work is also being done to promote national civil aviation master plans and raise importance of aviation in national strategies.

2.5   **Regional Safety Oversight Organization Cooperative Platform (RSOO CP)**

a) A presentation was provided by ICAO on the work of the RSOO CP. The platform serves as a means to bring together RSOOs and COSCAPs to discuss experiences, challenges and opportunities, including the sharing of best practices. The platform can help to strengthen the capabilities of RSOOs, including possibilities for technical assistance to the RSOOs or through them to Member States.
b) It was noted that the Civil Aviation Safety and Security Oversight System (CASSOS) in the Caribbean has not been an active member of the RSOO CP and they should be encouraged to participate in order to strengthen their safety oversight capabilities.
c) Partners were encouraged to coordinate and collaborate with RSOOs for the provision of technical assistance.

2.6   **ASIAP Contributions to next Assembly**

a) It was agreed that the Partners would review the outcomes of the 13th Air Navigation Conference regarding the ASIAP paper to determine next steps for the preparation of a paper for the 40th Assembly in September/October 2019.

2.7   **Next Meeting**

a) It was agreed that quarterly telecoms would continue in order to further coordination and collaboration.
b) The next face-to-face ASIAP meeting will be held as a side-bar to the 40th Assembly.

2.8   **Any Other Business (AOB)**

a) Consideration should be given to the provision of free training to States that are identified as a priority under the ASIAP Prioritization Tool.
b) An updated Terms of Reference (TORs) will be circulated to Partners with the meeting report. Partners are to review the TORs for approval at the next telecom.

2.9   **Closing**

a) Partners are encouraged to recommend/refer technical experts to ICAO to assist with the implementation of technical assistance projects.
b) The Co-Chairs closed the meeting by thanking all of the Partners for their continued support and cooperation.

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Fourth ASIAP Meeting, Montréal, 9 October 2018

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Report on Previous Action Plan and Proposed Updates

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
1. Identify priority States for the provision and coordination of assistance activities, including States with Significant Safety Concerns.	• Completed	• This was introduced into the TORs, however ASIAP has already been working on this since the establishment of the group.	• ASIAP partners to focus future coordination on Priority States, including SSC States • Methodology for prioritization, including secondary indicators to be agreed by Partners		• **Completed** • Prioritization tool launched by ICAO in May 2017 • Partners continue to discuss and coordinate assistance for priority States
2. Expand coordination and collaboration of assistance activities to include RSOOs	• Superseded	• ASIAP partners agreed to include RSOOs in future activities • RSOO conference to be held in Swaziland in March 2017	• ASIAP Partners to consider promoting assistance activities to Priority States through RSOOs and/or providing assistance to RSOOs in order to assist States • Further to the outcomes of the RSOO conference, develop a proposal to include RSOOs in ASIAP assistance activities		• **Superseded** • RSOO Cooperative Platform established • ICAO will coordinate between ASIAP and the RSOO Cooperative Platform • Information about RSOO Platform to be introduced at meeting in October 2018

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
3. Exchange information and views on the improvement of and collaboration on assistance activities for States and Regional Organizations that have challenges in rectifying safety deficiencies.	• On-going action	• Through telecoms and email, Partners have been sharing information on Priority States	• Partners to continue to provide regular updates and communication about assistance activities in order to facilitate coordination and encourage collaborative efforts	• On-going action	• On-going • Updates provided quarterly by partners via telecom and/or email, as needed

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
4. Agree on performance indicators and metrics to ensure efficient and effective assistance implementation and use of resources.	• Completed	• Partners have not discussed the development/ use of specific indicators or metrics yet • Sharing of information on partner assistance activities is beneficial	• If Partners agree to collaborate together on a project, the development of specific indicators and/or metrics would be required • Establish a working group to develop performance indicators		• **Completed** • Working Group established February 2016 • TORs developed with specific indicators identified • TORs circulated and approved 30 September 2017 and published on the ASIAP website • Additional actions are being taken under Action # 8 below

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
5. Develop a coordination platform to increase the overall assistance effectiveness and transparency.	• Completed	• Through the establishment of the ASIAP (including TORs) and the continued coordination efforts of the Partners, coordination activities have improved, thus improving the effectiveness and transparency of assistance activities • Information is being shared on the ICAO ASIAP website, including links to Partners and their assistance activities	• Development of a routine mechanism for Partners to share information on assistance activities in Priority and SSCs States should be further established (i.e. monthly updates, SCAN updates, etc.) • Development of an official means to coordinate and promote assistance activities should be considered		• **Completed** • Beta version of ASIAP Technical Assistance Project Database Tool developed and reviewed by Partners. • Updated Project Database Tool developed for Air Navigation Conference • Further development of Project Technical Assistance Database Tool is required and contingent upon ICAO resources • Further actions to be taken under Action #9 below.

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6. Encourage prioritizing aviation safety in State national policies, strategies, and plans.	• On-going action	• On an individual basis, Partners have been working internally and with States receiving assistance in order to promote aviation safety • ICAO approved a resource mobilization strategy and is promoting the UN SDG's in an effort to raise awareness and promote aviation safety in national policies, strategies and plans	• Partners to continue to promote the importance of aviation safety when engaging with States at all levels (Ministers, DGs, CAA staff, etc.) to encourage them to raise the priority of aviation in their State • Partners to focus efforts on States with SSCs to promote the incorporation of aviation safety into national policies, strategies and plans	• On-going action	• On-going • Partners continue to promote aviation in States

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
7. Identify candidate Priority States for development of projects by collaborative means	• In progress	• Need to identify means for partners to develop and implement projects collaboratively	• Review by Partners of Priority States on a quarterly basis to discuss possibility of collaborative efforts to develop and implement new technical assistance projects	• First project identified and project proposal to be developed by mid-2018.	• ICAO developed a project document for Cambodia and funding was received from Boeing and China for implementation. • Presently waiting for selection of experts to begin implementation of project.
8. Review of success of Performance Indicators	• In progress	• Identify specific technical assistance projects in order to review applicability of the project outcome indicators	• Partners to use the PIs developed in order to determine level of progress achieved and provide feedback by 2nd ASIAP teleconference	• On-going action	• EASA, France and ICAO have begun to use the PIs in reviewing their projects and have shared the information with the Partners. • All Partners are encouraged to review progress of their projects against the PIs.

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
9. Enhance ASIAP Technical Assistance Project Database Tool	• On-going action	• Enhance the beta project tool to include mechanisms to search and view projects easier.	• Collect information from partners and develop a mechanism for viewing projects more easily.	• End of first quarter 2019	• ICAO has been able to update the webpage, include a map to allow for quick viewing of projects, and has updated the project list. • Partners are encouraged to include their technical assistance project information.

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
10. ICAO and the ASIAP partners will work to promote the work of ASIAP.	• Ongoing action		• ICAO will include information on ASIAP activities in the following: – ICAO State letter; – ICAO Safety Report; – Council report on technical assistance; – 3rd International World Aviation Forum (IWAF); and – 40th ICAO Assembly Session.	• Varies	• ICAO included ASIAP in the ICAO Safety Report. • UK submitted paper on behalf of ASIAP Partners at AN Conf/13. Meeting to consider paper to be drafted and submitted for 40th Assembly.

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