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منظمة الطيران
المدني الدولي

国际民用
航空组织

Ref.: SS 2/3 – IMP-SAF55349

Subject: Report on the ICAO Aviation Safety Implementation Assistance Partners Meeting (Montréal, 4 February 2015)

MAR 26 2015

Action required: Reply by 30 April 2015

Sir/Madam,

I refer to the International Civil Aviation Organization (ICAO) Aviation Safety Implementation Assistance Partners (ASIAP) meeting held on 4 February 2015 at the ICAO Headquarters in Montréal. I am pleased to attach the meeting report which includes the outcomes and next steps.

In the meeting we agreed to focus our collaborative efforts to provide assistance prioritizing first the States with the highest safety risk exposure based on hazards and traffic. The assistance is to be directed towards correcting the significant safety concerns (SSCs), other critical safety deficiencies and safety oversight system capacity building. In this regard, I presented to you ICAO's list of priority States and I propose we commence our collaborative assistance starting with Cambodia, Nepal and Vietnam.

I request that you share with me your comments on the States proposed for providing collaborative assistance. Please inform me of any ongoing projects in these States as well as any specific assistance projects you have planned and propose what activities and resources you could contribute towards providing collaborative assistance to these priority States.

Yours sincerely,

Raymond Benjamin
Secretary General

Enclosure:

Report on the ASIAP Meeting

cc: Director, Air Navigation Bureau
Director, Technical Co-operation Bureau
Chairs, Regional Aviation Safety Groups
ICAO Regional Directors



ICAO AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERS MEETING

Montréal, Canada, 4 February 2015

REPORT

1. INTRODUCTION

1.1 The ICAO Aviation Safety Implementation Assistance Partners Meeting was held at ICAO Headquarters in Montréal, Canada, on 4 February 2015, during the Second High-level Safety Conference. The agenda is shown at Appendix A of this report.

2. OBJECTIVES

2.1 The intended outcomes were to agree that ICAO should engage with priority States at a higher level and that ICAO should lead the coordination and facilitation for donors, partners and States on aviation safety implementation assistance.

3. ATTENDANCE

3.1 The meeting was attended by the President of the Council, Secretary General, Director of the Air Navigation Bureau (D/ANB), and thirty-nine (39) participants, representing five (5) States, seven (7) international organizations and two (2) aircraft manufacturers. The participants list is included in Appendix B.

4. OPENING OF THE MEETING

4.1 The ICAO Secretary General opened the meeting by underlining the objectives and wished successful outcomes that would contribute to increased implementation assistance to the States. The President of the Council encouraged the safety partners to work together closely through better coordination and particularly addressed the No Country Left Behind (NCLB) campaign that ICAO launched recently.

4.2 D/ANB provided the report on safety risk assessment to identify the top ten priority States¹ and candidates for assistance to address their deficiencies. The report also introduced ICAO's efforts to mitigate those risks in terms of timely provision of the technical assistance in collaboration with partners, making use of voluntary contributions received from donors. To advance the initiative of coordination with partners, the establishment of an Aviation Safety Implementation Assistance Partnership (ASIAP) was proposed which would serve as a framework for the provision of

¹ Angola, Cambodia, Indonesia, Libya, Malawi, Mozambique, Papua New Guinea, Philippines, United Republic of Tanzania, Vietnam

implementation assistance to States by ICAO and its safety partners. The presentation provided by D/ANB is included in Appendix C.

5. AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERSHIP (ASIAP)

5.1 The meeting agreed to establish the proposed ASIAP. Its objective is to provide a framework for ICAO to coordinate with and facilitate for donors and partners, the safety implementation assistance in priority States.

5.2 Expected functions and outcomes of the coordination:

- information sharing amongst partners;
- collaboration on assistance initiatives;
- development of outcome indicators and metrics;
- development of a resources mobilization strategy; and
- prioritization of safety implementation assistance activities.

5.3 The proposed Terms of Reference (ToR) of ASIAP appears at Attachment D.

6. NEXT STEPS

6.1 ICAO will send a letter to safety partners/donors at the Director General level, requesting the following by 31 March 2015:

- focal point for ASIAP;
- comments on ASIAP ToR in Appendix D; and
- list of priority States (e.g. top 10) for safety improvement and areas suggested for implementation assistance to be provided.

6.2 ASIAP will be implemented once the ToRs are finalized and a test of the approach of collaborative assistance on two States will be undertaken.

6.3 Partners/donors are invited to support the AFI Safety Symposium and AFI Plan Steering Committee meeting to be held in Maputo, Mozambique on 19 and 20 May 2015, and the planned Global Aviation Development Implementation Symposium to be held in Montréal, Canada, from 24 to 26 November 2015.

6.4 The World Bank will plan a joint event with ICAO, and possibly United Nations World Tourism Organization (UNWTO), for State Ministers responsible for aviation, economic development, planning and finance to discuss prioritizing aviation development to contribute to national economic growth, thereby justifying the need for resources to invest in improving aviation safety and infrastructure.

6.5 The next ASIAP meeting will be held in late 2015 or early 2016, and periodic telecoms will be organized by ICAO in the interim.

APPENDIX A

AGENDA

1. President of the Council's views on implementation
2. ICAO's report on safety risk assessment presenting the priority States for implementation assistance
3. Proposed draft Terms of Reference for an ICAO Aviation Safety Implementation Assistance Partnership (ASIAP) for information sharing, collaboration on assistance, resource mobilization strategy, and agreed outcome indicators
4. Next steps

APPENDIX B**ATTENDANCE LIST**

PARTICIPANT	STATE/ORGANIZATION	E-MAIL
Mr. Tang Weibin	China	china@icao.int
Mr. Fang Jun	China	fangjun@caac.gov.cn
Mr. Patrick Cipriani	France	france@icao.int
Mr. Farid Zizi	France	fzizi@icao.int
Mr. Park Joon-Soo	Republic of Korea	korea@icao.int
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Mr. Mark Chesney	United Kingdom	mark.chesney@caa.co.uk
Mr. Paul Cremin	United Kingdom	paul.cremin@dft.gsi.gov.uk
Ms. Sarah Hill	United Kingdom	sarah.hill@caa.co.uk
Ms. Shelia Helton-Ingram	United States DOT	shelia.helton-ingram@dot.gov
Mr. David Gamper	ACI	dgamper@aci.aero
Mr. Chris Miles	ACI	cmiles@aci.aero
Capt. Craig T. Hoskins	Airbus	craig.hoskins@airbus.com
Mr. Terry McVenes	Boeing	terry.l.mcvenes@boeing.com
Mr. Krzysztof Kedzierski	EASA	krzysztof.kedzierski@easa.europa.eu
Mr. Luc Tytgat	EASA	luc.tytgat@easa.europa.eu
Mr. Jean-Louis Ammeloot	EASA	jean-louis.ammeloot@easa.europa.eu
Mr. Tony Tyler	IATA	iatadgceo@iata.org
Mr. Mike Comber	IATA	comberm@iata.org
Mr. Rodolfo Quevedo	IATA	quevedor@iata.org
Mr. Achim Baumann	IATA	baumanna@iata.org

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PARTICIPANT	STATE/ORGANIZATION	E-MAIL
Mr. Kevin Hiatt	IATA	hiattk@iata.org
Dr. Charles E. Schlumberger	World Bank	cschlumberger@worldbank.org
Ms. Tanja Grobotek	RASG-AFI Vice Chair	grobotekt@iata.org
Mr. Oscar Derby	RASG-PA Co-Chair	old23256@gmail.com ruviana.zimmerman@goviernu.cw
Mr. Melvin Cintron	ICAORD, Mexico	MCintron@icao.int
Mr. Luis Fonseca De Almeida	ICAORD Paris	lalmeida@paris.icao.int
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Mr. Prosper Zo'o Minto'o	A/ICAORD, Nairobi	pzoomintoo@icao.int
Mr. Stephen Creamer	D/ANB (Appointed)	spcreamer@icao.int
Mr. Catalin Radu	DD/SAF/ANB	CRadu@icao.int
Mr. Michiel Vreedenburgh	C/IMP	MVreedenburgh@icao.int
Mr. Marco Merens	A/C/IAA	MMerens@icao.int
Mr. Guillermo Iovino	TO/IMP-SAF	Giovino@icao.int
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Mr. Richard Na	Intern IMP-SAF	RNa@icao.int

APPENDIX C

AVIATION SAFETY IMPLEMENTATION

ASSISTANCE PARTNERSHIP PRESENTATION

ICAO AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERS MEETING



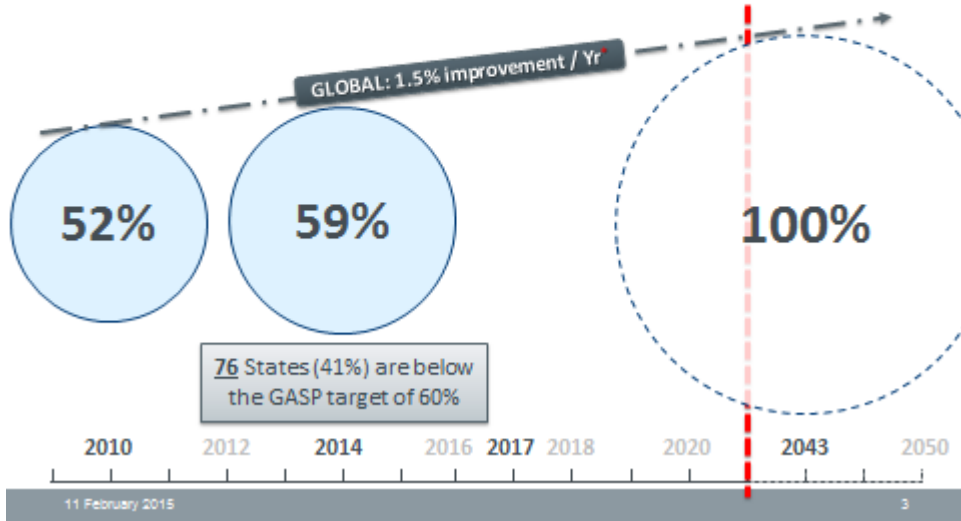
Intended Outcome

Agreement that ICAO should lead the **coordination and facilitation** for donors, partners and States on aviation safety implementation assistance, including engaging with priority States at a higher level to confirm the commitment required for the assistance provided to be effective and sustainable



And the bad news

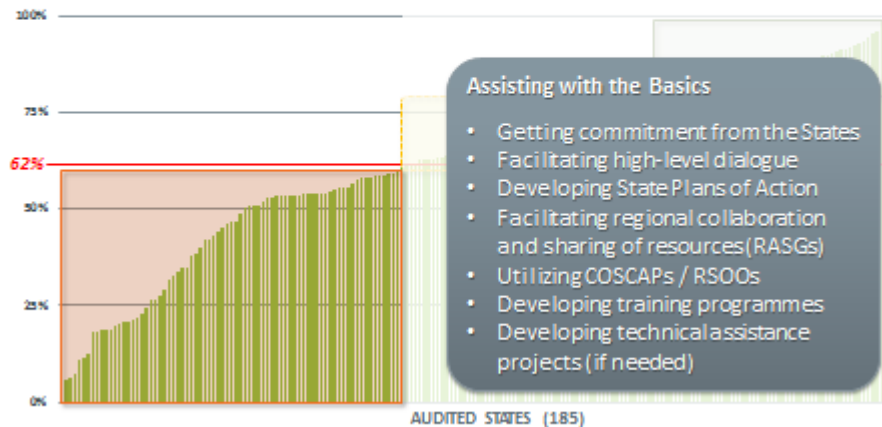
At this rate...



GASP: A Tiered Approach to Safety

ICAO Safety Audits for World – ICAO Member States

Effective Implementation of safety oversight systems by State



Significant Safety Concerns (SSCs)

APAC = 1 <ul style="list-style-type: none"> Nepal (OPS-AOC) 	ESAF = 5 <ul style="list-style-type: none"> Angola (OPS-AOC) Botswana (OPS-AOC & AIR) Djibouti (OPS-AOC) Eritrea (OPS-AOC) Malawi (OPS-AOC) 	EUR/NAT = 2 <ul style="list-style-type: none"> Georgia (OPS-AOC) Kazakhstan (OPS-AOC) 	MID = 1 <ul style="list-style-type: none"> Lebanon (OPS-AOC)
NACC = 1 <ul style="list-style-type: none"> Haiti (OPS-AOC) 	SAM = 1 <ul style="list-style-type: none"> Uruguay (OPS-AOC & AIR) 	WACAF = 1 <ul style="list-style-type: none"> Sierra Leone (PEL) 	

2 February 2015

5

Risk Assessment and Prioritization

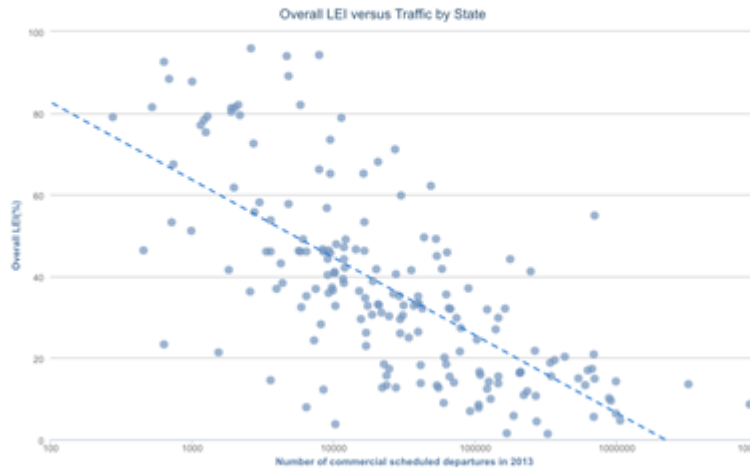
- Assistance to States is prioritized by using multiple assessment variables
- Variables that increase the priority
 - State deficiencies
 - Lack of effective implementation of State safety oversight functions
 - Risk exposure
 - Volume of aviation activity in the State
- Some variables that decrease the priority
 - Financial autonomy
 - GDP per capita of the State
 - Project risk
 - Worldwide Governance Indicator



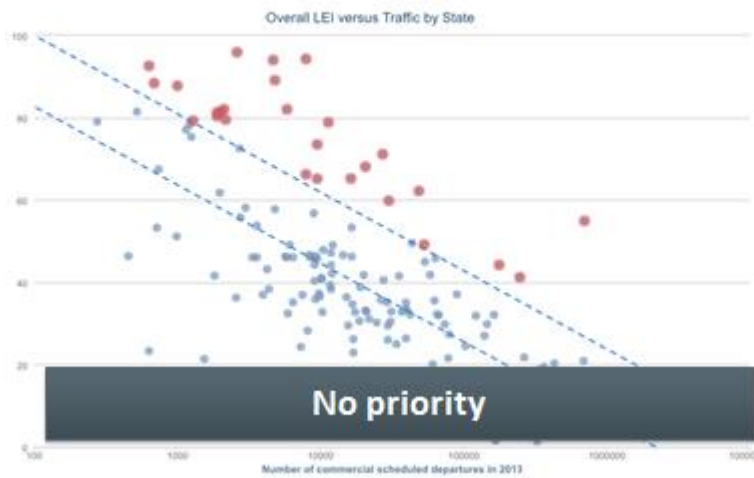
2 February 2015

1

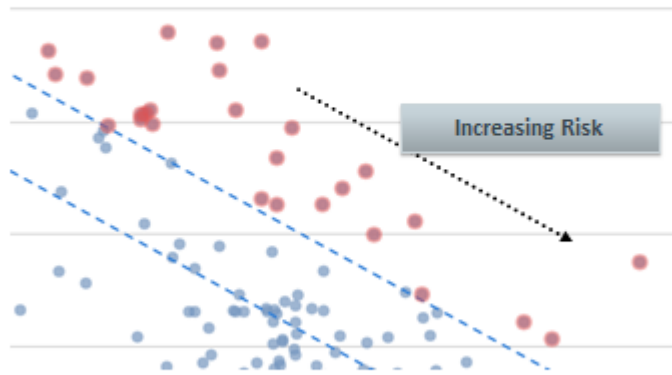
Safety Risk Distribution



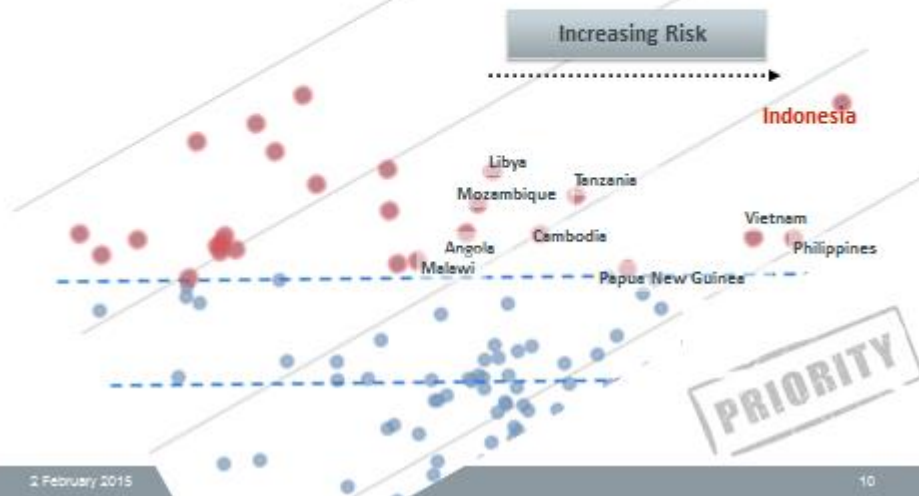
Focus on Upper 15%



Prioritization by Safety Risk



Top 10 Priority States by Safety Risk



ICAO Aviation Safety Implementation Technical Assistance Projects

- **Comprehensive Regional Implementation Plan for Aviation Safety in Africa (AFI Plan)** – established in 2008 and ongoing
- **ICAO Safety Fund – voluntary contributions**
 - Completed in 2014
 - AOC Inspectors Handbook
 - Haiti AOC assistance mission
 - Zambia AOC Inspectors OJT
 - Started in 2014 and continuing in 2015
 - Africa FPP
 - ICAO/CAAS DGCA course
 - Started in 2015 and ongoing
 - Madagascar safety oversight capacity building
 - ACAC MID RSOD Study
 - UN ICAO/WHO Ebola Aviation Action Plan under CAPSCA



ICAO SAFE-Funded Technical Assistance Projects

- **Planned in 2015 (funds confirmed)**
 - Niger AOC Inspectors OJT
 - Kazakhstan AOC Inspectors OJT
 - Eritrea OPS & AIR safety oversight capacity building
 - Cambodia AIR safety oversight capacity building
 - Uruguay safety oversight capacity building (SRVSOP support)
 - AFCAC AFI-Cooperative Inspectorate Scheme (CIS)
 - CASSOA OPS safety oversight training and technical assistance missions
- **Planned in 2015 (funds not available yet – need donor partners)**
 - Comoros, Djibouti, Haiti, Nepal and Sierra Leone capacity building projects (underlined projects have proposal documents available for consideration)
 - PASO safety oversight assistance to member States

Aviation Safety Implementation Assistance Partnership (ASIAP)

- **Objective:**
 - Serve as a framework for coordination and collaboration on efforts that contribute to the provision of implementation assistance to States
 - ICAO to coordinate with donors and partners the implementation assistance in priority States
- **Scope of Activities:**
 - Provide platform for discussion amongst donors and assistance providers
 - Collaboration on particular assistance activities
 - Periodic coordination meetings
- **Functions:**
 - Information sharing
 - Increase resources and assistance activities
 - Develop indicators and metrics
 - Develop a resource mobilization strategy
 - Prioritize safety implementation assistance activities



Questions for Partners

- Agree with the establishment of the proposed Aviation Safety Implementation Assistance Partnership (ASIAP)? Comments on draft terms of reference? Confirmation of the focal point for coordination with ICAO (related to SCAN focal points list)
- What are your State's/Organization's suggestions for ICAO Aviation Safety Implementation Assistance Actions?
- What are your State's/Organization's priority States for safety improvements and implementation assistance?
- In which States is your State/Organization currently providing, or planning to provide, safety implementation assistance?

Next Steps in 2015

- Submit to ICAO answers to questions by 28 February
- Agree on ASIAP and the **terms of reference**
- Convene first meeting in Montréal, 3rd quarter
- Support AFI Aviation Week events – Maputo, May
- Support Global Aviation Implementation Symposium – Montréal, November



2nd AFI Aviation Safety Symposium

Review the status of implementation of the Collaborative Implementation Programme, AFI Plan and other safety initiatives, share success stories and challenges, and agree on relevant strategies to achieve Abuja Safety Targets and enhance safety performance in the region

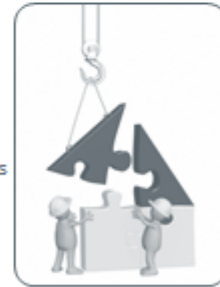
- Maputo, Mozambique, 20 – 21 May 2015
- **Proposed Objectives**
 - present the status of safety and air navigation implementation
 - progress made in attaining the Abuja safety targets
 - discuss challenges and opportunities for the way forward
 - Follow-up the collaborative implementation programme
 - bring together partners for strategic assistance
- Symposium preceded by 15th AFI Plan Steering Committee meeting on 19 May
- Register online at:

<http://www.icao.int/esaf/Pages/default.aspx>



Global Aviation Implementation Symposium

- **Location and Dates:** Montréal, 24 to 26 November 2015
- **Theme:** No Country Left Behind
- **Unique symposium focused on implementation, allowing:**
 - States to highlight their priority needs
 - ICAO to showcase available assistance and success stories
- **Participants:**
 - States, industry, international and regional organizations, and financial institutions
- **Objectives:**
 - Identify and prioritize assistance needs and required resources
 - Support ICAO Resource Mobilization Strategy
 - Secure collaborative support from donors and partners on voluntary contributions and ICAO coordination role



North American
Central American
and Caribbean
(NACC) Office
Mexico City

South American
(SAMI) Office
Lima

ICAO
Headquarters
Montréal

Western and
Central African
(WCA/CAF) Office
Dakar

European and
North Atlantic
(EUR/NAT) Office
Paris

Middle East
(MID) Office
Cairo

Eastern and
Southern African
(ESA/SA) Office
Nairobi

Asia and Pacific
(APAC) Sub-office
Beijing

Asia and Pacific
(APAC) Office
Bangkok

THANK YOU

APPENDIX D



INTERNATIONAL CIVIL AVIATION ORGANIZATION

AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERSHIP (ASIAP)

TERMS OF REFERENCE

1. OBJECTIVES

1.1 One of the ICAO's strategic objectives is to ensure a continuous improvement in global aviation safety in close collaboration with the aviation community. The rapid growth in air transport in recent years has rendered ICAO to play a key role in achieving this objective. There is an agreement that ICAO should engage with States at a higher level and lead the coordination and facilitation for donors, partners and States on aviation safety implementation assistance.

1.2 During the ICAO High-level Safety Conference, held in March 2010, the creation of a group was proposed to facilitate and coordinate for exchange of safety related information in respect to financial and technical assistance projects and activities. As a result, the Safety Collaborative Assistance Network (SCAN) was established in March 2011.

1.3 The assistance programmes that are envisaged are closely related to the outcomes of the ICAO Universal Safety Oversight Audit Programme (USOAP) which is an essential source for identifying specific assistance areas, types and needs of States.

1.4 In order to further advance aviation safety implementation assistance capacity in the aviation community, the Aviation Safety Implementation Assistance Partnership (ASIAP) is established based on SCAN. It is expected that the ASIAP serves as a framework for coordinated efforts that contribute to the provision of assistance to States. The ASIAP's objectives are information sharing, collaboration on assistance, supporting a resource mobilization strategy, and agreeing on outcome indicators.

2. SCOPE OF ACTIVITIES

2.1 The ASIAP provides communication channels for discussion amongst donors and assistance providers (hereafter the Partners) to facilitate the provision of assistance to States, and, at the same time strengthen the partnership to further increase its capacity.

2.2 The main activities include continuous sharing assistance information to be available on a website, the collaboration on particular assistance activities, as well as periodic coordination meetings.

2.3 The coordination and sharing of information on assistance projects and activities planned and implemented by the Partners avoid duplication of efforts and maximize the effectiveness and efficiency of assistance programmes provided by the Partners.

3. FUNCTIONS

3.1 The objective of the ASIAP is achieved through an active participation of its Members in the following activities coordinated by ICAO:

- exchanging views on the improvement of assistance activities for States and Regional Organizations that have challenges in rectifying safety deficiencies;
- developing performance indicators and metrics to ensure efficient and effective assistance implementation and use of resources;
- developing a resource mobilization strategy to increase ICAO's assistance capacity; and
- prioritizing aviation safety implementation assistance activities in State national agendas and plans.

3.2 The Members make efforts, to possible extent, in providing information to be shared on the website in a timely manner so that all stakeholders can benefit.

3.3 ICAO continues to maintain the website in a way that the information is up-to-date and all stakeholders including the Partners have easy access.

4. MEMBERSHIP

4.1 The ASIAP membership shall be stakeholders that voluntarily contribute to ICAO to assist States and/or Regional Organizations facing challenges in resolving safety deficiencies.

4.2 The Members may include:

- a) ICAO Member States;
- b) Regional and International Organizations;
- c) Industry, including aircraft manufacturers; and
- d) Financial institutions.

4.3 The list of the Members will be maintained by the ICAO Secretariat.

5. **ORGANIZATION**

5.1 The ASIAP will be co-chaired by two of the Partners on a rotational basis, elected for a three-year term during a coordination meeting.

5.2 The ASIAP will meet as required, in principle, once a year. Quarterly telecoms are planned in between meetings.

— END —