



THIRD ICAO AVIATION SAFETY IMPLEMENTATION ASSISTANCE PARTNERSHIP (ASIAP) MEETING

Montréal, Canada, 12 December 2017

REPORT

1. INTRODUCTION

1.1 The Third ICAO Aviation Safety Implementation Assistance Partnership (ASIAP) meeting was held at ICAO Headquarters in Montréal, Canada, on 12 December as a side meeting of the Safety and Air Navigation Implementation Symposium (SANIS) and was attended by 34 participants (Appendix A). The Russian Federation, South Africa, Airbus, and CANSO sent their regrets due to other commitments.

1.2 The objective of the ASIAP meeting is for ASIAP partners to discuss their technical assistance activities and to explore possibilities to collaborate on assistance efforts in ASIAP Priority States.

2. SUMMARY OF MEETING DISCUSSIONS

2.1 Opening of the Meeting

- a) The meeting was opened by the Deputy Director, Aviation Safety, of the ICAO Air Navigation Bureau. He highlighted the importance of ASIAP for enhancing the implementation of the GASP by providing assistance. He also emphasized the need for all the ASIAP partners to take a more active role.
- b) The representatives of Co-Chairs China and EASA welcomed participants to the meeting.
- c) Secretariat support to the meeting was provided by the Chief and Technical Officers of the Implementation Planning and Support Section for Safety of the Air Navigation Bureau.

2.2 Approval of the draft agenda

- a) The agenda was approved. The presentations and documentation are available at: <https://www.icao.int/safety/ASIAP/Pages/default.aspx>
- b) The meeting agreed to move the Global Aviation Cooperation Symposium (GACS) Agenda Item to be the first item for discussion as requested by TCB.

2.3 Report on the Global Aviation Cooperation Symposium (GACS)

- a) ICAO TCB provided a short summary on the conference that was held in Athens, Greece from 11 to 13 October 2017 (<https://www.icao.int/meetings/GACS2017/Pages/default.aspx>). Representatives from 65 countries and 21 International Organizations participated in the conference to exchange information on technical cooperation, challenges for resource

mobilization, success stories, as well as lessons learned. It was agreed to include information on ASIAP for the next GACS, which will be held in early 2019.

2.4 Review of the ASIAP Action Plan with Updates

- a) ICAO advised that the ASIAP Prioritization Tool has been online for about 6 months on both the ICAO iSTARS and the public website (<https://www.icao.int/safety/ASIAP/Pages/ASIAP-APP.aspx>). Feedback was received from one State concerned about the use of the World Bank's Worldwide Governance Indicators (WGIs). Links to the WGI information have been included on the public site, however the information in its original form is available on the iSTARS.
- b) ICAO also advised that there are some States that are unique (i.e. Monaco, unaudited States) and as a result, the data shown may not be reflective of the actual needs. It will be necessary to figure out a way to deal with these anomalies in the tool. Comments from Partners are welcome.
- c) The UK, France, EASA and ICAO formed a working group to determine project outcome indicators for use by the ASIAP Partners. The TORs were circulated and approved on 30 September 2017. Both EASA and ICAO have posted examples on the ASIAP website applying the project indicators to technical assistance projects implemented. It was noted that some indicators can be quickly assessed, while others may take significant time (i.e. audits, etc.). Partners were encouraged to apply the indicators to their technical assistance projects and to provide feedback to ICAO for the improvement of the indicators.
- d) ICAO advised that a beta version of the Projects Database is available online (<https://www.icao.int/safety/ASIAP/Pages/Tools.aspx>) and that Partners are able to upload information about their projects. The intent behind this tool is to share basic information on Partners' technical assistance projects, promote transparency and reduce duplication of efforts. The UK and EASA both noted that they have incorporated project information into the tool and that the process was very quick and simple. It was agreed that all Partners should include at least 1 project in the tool by the end of January. ICAO will continue to work on the tool, including how the information is presented on the website, with an anticipated launch in early 2018.
- e) ICAO provided an update on its work related to Regional Safety Oversight Organizations (RSOOs), including the RSOO Global Forum that was conducted in March, the Action Plan on RSOOs that was developed, as well as the initial study on the Global Aviation Safety Oversight System (GASOS). Additionally, the first meeting of the Cooperative Platform for RSOOs would be held on 15 December. It was agreed that RSOOs will not be brought into ASIAP, but that ICAO will coordinate between ASIAP and the RSOO platform.

2.5 Update on Priority States

- a) ICAO highlighted that the original reason ASIAP came together was to focus on key Priority States. The initial list of States included: Cambodia, Nepal, Viet Nam and Indonesia. Safety has improved in most of these States.
- b) The following updates were provided:

- Haiti has received assistance from the ICAO Regional Office in collaboration with two RSOOs. An ICVM may be conducted in 2018 for the SSC. Additional funding is required for assistance activities.
- DSNA (France) is currently in discussions for assistance to Djibouti.
- Cambodia continues to have difficulties and the ICAO Regional Office is currently drafting a project to address their concerns (primarily in the area of ANS). It was agreed that the ASIAP Partners should collaborate on the implementation of the project.
- The UK has been working for the past year and a half in Thailand under their technical assistance project. Thailand has the political will to support the necessary work required.
- Thailand is an excellent example of ASIAP Partners sharing information regarding their assistance activities. This has promoted transparency, alignment and harmonization of objectives, and reduced any chances for the duplication of efforts.
- China has signed an agreement with ICAO and will provide an earmarked contribution of \$4 million dollars under the South Cooperation Assistance Fund. The funding will include the development of a “Civil Aviation Middle Manager Training Course for Global Developing Countries” and assistance to the Republic of Congo and Tanzania.
- The ICAO Regional Director from Dakar advised of the success of assistance efforts in Africa for the resolution of SSCs. Two SSCs remain and it is hoped that they will be resolved within 6 months.
- Canada is continuing to provide support to Guyana, including a Compliance and Enforcement workshop that will be held in February 2018.
- EASA has opened an office in Singapore, facilitating assistance in the region. On a regional level, they have been providing assistance to Indonesia and the Philippines.

2.6 Discussion on Indonesia

- a) ICAO noted that Indonesia has always been on the list of priority States for assistance, and the ASIAP Partners had agreed to coordinate efforts for technical assistance. However, a recent ICVM shows a high level of effective implementation, therefore assistance may not be required. Assistance in the areas of accident investigation and aerodromes may still be considered.
- b) France advised of their work in Indonesia, including the provision of one expert working in-country. Some progress has been made, but additional work is required. The ASIAP Partners agreed to keep an eye on the progress of Indonesia for one year to see if additional assistance will be required and that France will keep the Partners updated on progress achieved.
- c) ACI has conducted an APEX review in Indonesia and they have been very receptive at the airport level.

2.7 Updated ASIAP Action Plan

- a) ICAO referred to the earlier discussion on the status of activities under the current action plan (2.4 above refers).
- b) ICAO proposed the following additional action items for 2018 with proposed timelines for implementation:
 - Identification of candidate Priority States for development of projects by collaborative means (mid 2018);
 - Review of success of Project Outcome Indicators (2nd quarter 2018);
 - Enhancement of ASIAP Prioritisation and Projects Database Tools (2nd quarter 2018);
 - Promotion of ASIAP work (varies/on-going).

2.8 ASIAP Contributions to next Assembly

- a) It was noted that the 13th Air Navigation Conference will be held in October 2018, and would be an excellent opportunity to promote the work of ASIAP prior to the next Assembly.
- b) UK agreed to take the lead on the development of a paper on ASIAP activities that will be coordinated with ICAO and the Partners. ICAO will provide information on the timeline for submission of papers for the conference.
- c) The next ASIAP meeting will be held during the Air Navigation Conference and discussions on presenting ASIAP at the Assembly can be further discussed.

2.9 Report on ICAO Resource Mobilization

- a) ICAO provided an overview of the establishment of a Strategic Planning, Coordination and Partnerships Office under the Office of the Secretary General and its activities. The presentation can be found on the ASIAP website (<https://www.icao.int/safety/ASIAP/Pages/Third-ASIAP-Meeting.aspx>).
- b) ACI advised of the publication of the Aviation Benefits Document that was developed by the Industry High Level Group (IHLG) comprised of ICAO, ACI, CANSO, IATA and ICCAIA. This document is an excellent tool to advocate for civil aviation and should be included as a tool for ASIAP. It was agreed to promote the document and include on the ASIAP website.
- c) ICAO has developed a template for project proposals for resource mobilisation which will be posted on the ASIAP web site to share with Partners.

2.10 Election of Co-Chairs

- a) ICAO advised that, based on the TORs, the current tenure of the Co-Chairs had concluded. The Partners agreed to re-elect both EASA and China to Co-Chair ASIAP for the next two-year period.

2.11 Next Meeting

- a) It was agreed that the next ASIAP meeting would be held during the 13th Air Navigation Conference that will be held from 9-19 October 2018, probably around the Safety

Committee being held during the first week (10 – 13 October 2018). ICAO will communicate the date of the ASIAP meeting closer to the Conference.

2.12 **Any Other Business**

None

2.13 **Closing**

- a) ICAO closed the meeting by thanking all of the Partners for their continued support and cooperation.
- b) It was noted that greater participation from all Partners is necessary to the success of our work.
- c) Inclusion of Partners from the only missing region, the Middle East States is encouraged. ICAO has approached Saudi Arabia and UAE, but they have not yet joined ASIAP. ICAO encouraged the ASIAP Partners to reach out to States in the Middle East Region to consider becoming ASIAP Partners.

APPENDIX A

LIST OF PARTICIPANTS Third ASIAP Meeting, Montréal, 12 December 2017

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APPENDIX B

Report on Previous Action Plan and Proposed Updates

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
1. Identify priority States for the provision and coordination of assistance activities, including States with Significant Safety Concerns	<ul style="list-style-type: none"> • Ongoing action 	<ul style="list-style-type: none"> • This was just introduced into the TORs, however ASIAP has already been working on this since the establishment of the group 	<ul style="list-style-type: none"> • ASIAP partners to focus future coordination on Priority States, including SSC States • Methodology for prioritization, including secondary indicators to be agreed by Partners 	<ul style="list-style-type: none"> • Next telecom 	<ul style="list-style-type: none"> • Completed • Prioritization tool launched by ICAO in May 2017 • Improvements, e.g. States with exceptional situations
2. Expand coordination and collaboration of assistance activities to include RSOOs	<ul style="list-style-type: none"> • Action to be considered 	<ul style="list-style-type: none"> • ASIAP partners agreed to include RSOOs in future activities • RSOO conference to be held in Swaziland in March 2017 	<ul style="list-style-type: none"> • ASIAP Partners to consider promoting assistance activities to Priority States through RSOOs and/or providing assistance to RSOOs in order to assist States • Further to the outcomes of the RSOO conference, develop a proposal to include RSOOs in ASIAP assistance activities 	<ul style="list-style-type: none"> • 3rd ASIAP Meeting (2017) 	<ul style="list-style-type: none"> • Superseded • RSOO Cooperative Platform being established • ICAO will coordinate between ASIAP and the RSOO Cooperative Platform
3. Exchange information and views on the improvement of and collaboration on assistance activities for States and Regional Organizations that have challenges in rectifying safety deficiencies.	<ul style="list-style-type: none"> • Ongoing action 	<ul style="list-style-type: none"> • Through telecoms and email, Partners have been sharing information on Priority States 	<ul style="list-style-type: none"> • Partners to continue to provide regular updates and communication about assistance activities in order to facilitate coordination and encourage collaborative efforts 	<ul style="list-style-type: none"> • On-going 	<ul style="list-style-type: none"> • On-going. • Updates provided quarterly by partners via telecom and/or email

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
4. Agree on performance indicators and metrics to ensure efficient and effective assistance implementation and use of resources.	• Action to be considered	<ul style="list-style-type: none"> • Partners have not discussed the development/ use of specific indicators or metrics yet • Sharing of information on partner assistance activities is beneficial 	<ul style="list-style-type: none"> • If Partners agree to collaborate together on a project, the development of specific indicators and/or metrics would be required • Establish a working group to develop performance indicators 	<ul style="list-style-type: none"> • Working Group to be created at next telecom • Working Group to submit proposal at 3rd ASIAP Meeting 	<ul style="list-style-type: none"> • Completed • Working Group established February 2016 • TORs developed with specific indicators identified • TORs circulated and approved 30 September 2017 and published on the ASIAP website
5. Develop a coordination platform to increase the overall assistance effectiveness and transparency.	• Ongoing action	<ul style="list-style-type: none"> • Through the establishment of the ASIAP (including TORs) and the continued coordination efforts of the Partners, coordination activities have improved, thus improving the effectiveness and transparency of assistance activities • Information is being shared on the ICAO ASIAP website, including links to Partners and their assistance activities • Framework for revised SCAN website has been developed 	<ul style="list-style-type: none"> • Development of a routine mechanism for Partners to share information on assistance activities in Priority and SSCs States should be further established (i.e. monthly updates, SCAN updates, etc.) • Development of an official means to coordinate and promote assistance activities should be considered 	<ul style="list-style-type: none"> • Coordination activities are on-going • Further development of SCAN is required and is contingent upon ICAO review 	<ul style="list-style-type: none"> • Completed • Beta version of ASIAP Project Tool developed and reviewed by Partners. • Project information to be provided by Partners by 3rd ASIAP meeting. • Phase II of enhancing the Tool to be undertaken in 2018.
6. Encourage prioritizing aviation safety in State national policies, strategies, and plans.	• Ongoing action	<ul style="list-style-type: none"> • On an individual basis, Partners have been working internally and with States receiving assistance in order to promote aviation safety • ICAO approved a resource mobilization strategy and is promoting the UN SDG's in an effort to raise awareness and promote aviation safety in national policies, strategies and plans 	<ul style="list-style-type: none"> • Partners to continue to promote the importance of aviation safety when engaging with States at all levels (Ministers, DGs, CAA staff, etc.) to encourage them to raise the priority of aviation in their State • Partners to focus efforts on States with SSCs to promote the incorporation of aviation safety into national policies, strategies and plans 	• On-going	<ul style="list-style-type: none"> • On-going • Partners continue to promote aviation in States • Promote use of Aviation Benefits 2017 document

Function/Action	Status	Comments	Proposed Actions	Proposed Timeline for Completion	Progress/Status
7. Identify candidate Priority States for development of projects by collaborative means	• Action to be considered	• Need to identify means for partners to develop and implement projects collaboratively	• Review by Partners of Priority States on a quarterly basis to discuss possibility of collaborative efforts to develop and implement new technical assistance projects	• First project identified and project proposal to be developed by mid-2018.	• ICAO is developing a proposed project for Cambodia to be presented at the next telecon
8. Review of success of Project Outcome Indicators	• Action to be considered	• Identify specific technical assistance projects in order to review applicability of the project outcome indicators	• Partners to use the PIs developed in order to determine level of progress achieved and provide feedback by 2nd ASIAP teleconference	• 2nd quarter 2018	
9. Enhance ASIAP Project Tool	• Ongoing action	• Enhance the beta project tool to include mechanisms to search and view projects easier.	• Collect information from partners and develop a mechanism for viewing projects more easily.	• 2nd quarter 2018	
10. ICAO and the ASIAP partners will work to promote the work of ASIAP.	• Ongoing action		<ul style="list-style-type: none"> • ICAO will include information on ASIAP activities in the following: • ICAO State letter; • ICAO Safety Report; • Council report on technical assistance; • 3rd International World Aviation Forum (IWAF); and • 40th ICAO Assembly Session. 	• Varies	<ul style="list-style-type: none"> • ICAO Safety Report and Council WP on TA completed with ASIAP references. • Next GACS/3 and IWAF/4 • AN-Conf/13 and A40 WPs pending