CNS/SG/2, Dakar, 22-25 May 2007

WP/27

CNS Aspects of ICAO Universal Safety Oversight Audit Programme (USOAP)

Audit Protocol – AIR NAVIGATION SERVICES (ANS)

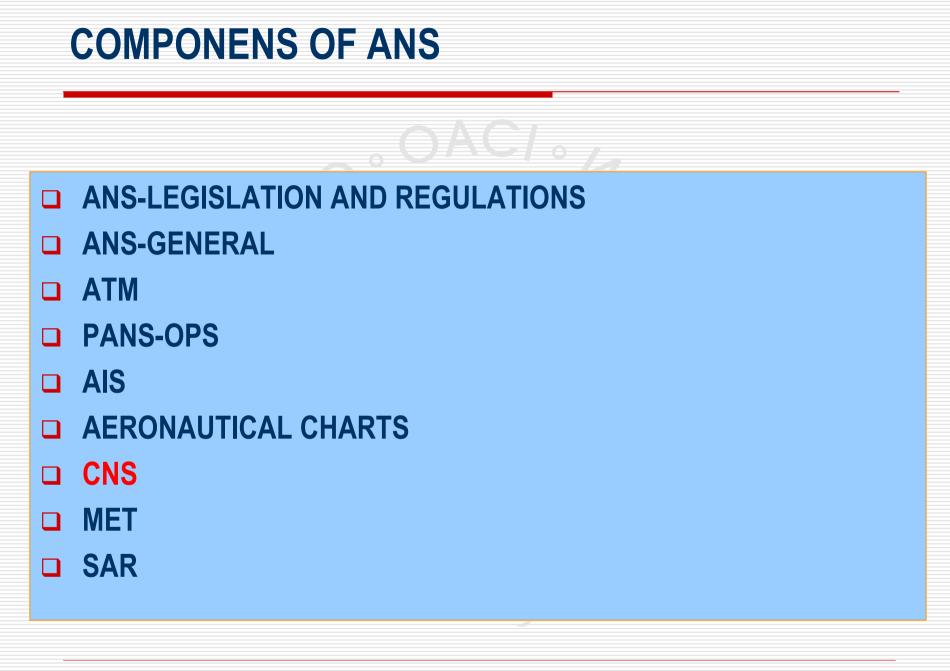
CONTENTS

COMPONENTS OF ANS FIELD
 COMMON CONCERNS
 SPECIFIC ISSUES

- ATM
- PANS-OPS
- AIS
- AERONAUTICAL CHARTS
- CNS
- MET
- SAR
- **EXAMPLE OF EVIDENCES WHICH MAY BE REQUIRED**

ICAO reference material related to the ANS protocol

- Convention on International Civil Aviation- Doc 7300
 Annex 1, 2, 3, 4, 5,10,11,12,13,15
- Manual on the Implementation of a 300 m (1 000 ft) Vertical Separation Minimum Between FL 290 and FL 410 Inclusive -Doc 9574
- Regional Supplementary Procedures-SUPPS (Doc 7030)
- Air Traffic Services Planning Manual (Doc 9426)
- **RNP** Manual
- PANS-OPS Manual
- AIS Manual
- RMA Manual
- □ Handbook on Radiofrequency Spectrum (Doc 9718)



COMPONENS OF ANS

ANS-LEGISLATION AND REGULATIONS

- ARTICLE 28 OF THE CHICAGO CONVENTION
 PROVISION OF FACILITIES AND SERVICES
- ARTICLE 25 OF THE CHICAGO CONVENTION
 ASSISTANCE TO AIRCRAFT IN DISTRESS
- INTERCEPTION PROCEDURES
- REGULATIONS FOR IMPLEMENTATION OF ICAO PROVISIONS
- AMENDMENT PROCESS/ NOTIFICATION OF DIFFERENCES
- DISSEMINATION OF SAFETY CRITICAL INFORMATION

COMPONENS OF ANS ANS-GENERAL ORGANIZATIONAL STRUCTURE OF ANS ACCOUNTABILITY **ESTABLISHMENT OF SAFETY OVERSIGHT SYSTEM DEVELOPMENT OF HANDBOOK/MANUAL FOR INSPECTORATE STAFF** AVAILABILITY OF LATEST DOCUMENTS **HUMAN FACTORS PRINCIPLES MECHANISM FOR ELIMINATION OF DEFICIENCIES**

CNS/SG/2 - WP/27

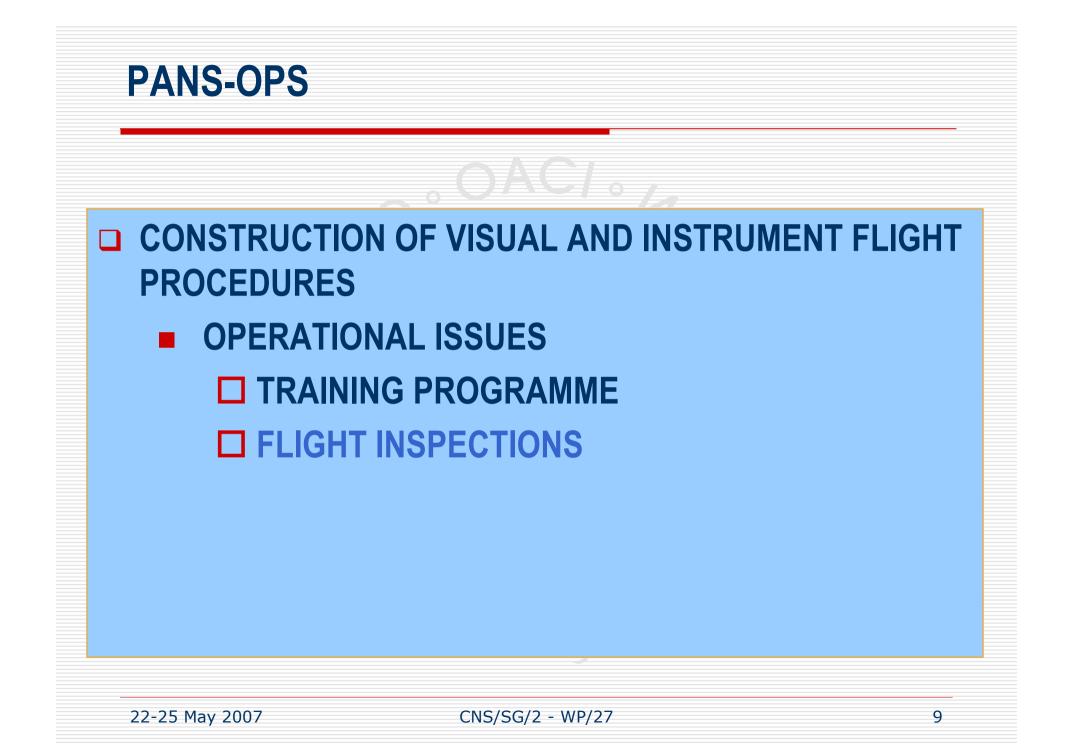
COMMON CONCERNS

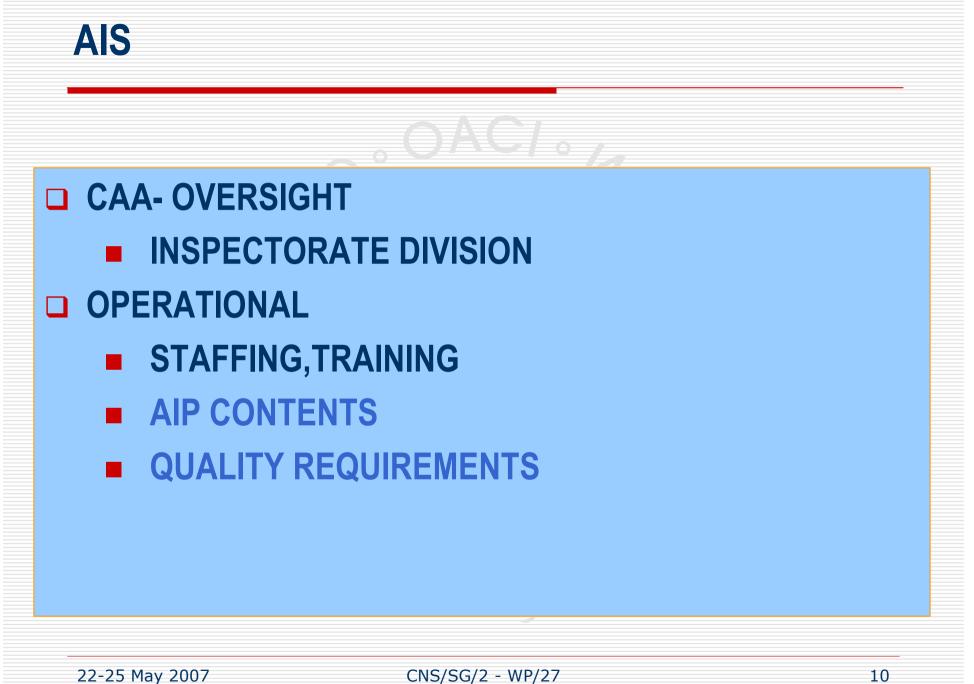
- SAFETY OVERSIGHT MECHANISM TO OVERSEE SERVICE PROVISION FUNCTIONS
- □ AN INSPECTORATE DIVISION
- **TRAINING/STAFFING ISSUES**
- AVAILABILITY OF PROCEDURES/ DOCUMENTS/ AMENDMENT PROCESS ETC...
- ESTABLISHMENT OF A SAFETY PROGRAMME AND SAFETY MANAGEMENT SYSTEM (SMS) AT LEVEL OF SERVICE PROVIDER(S)
- **QUALITY SYSTEM**

ATM

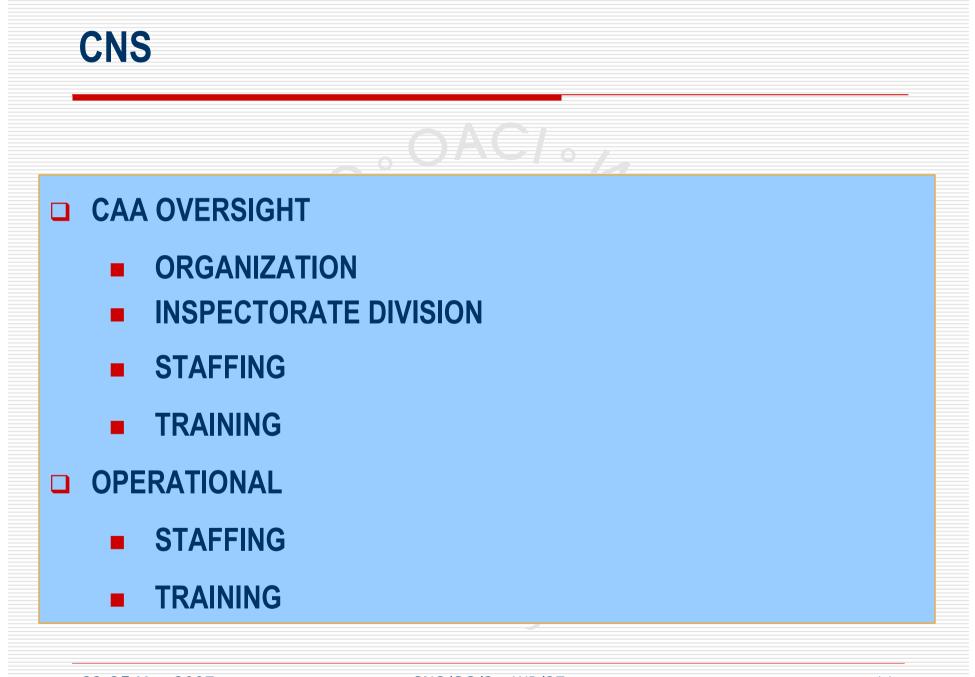
AIR TRAFFIC MANAGEMENT- OPERATIONAL

- PERSONNEL
- IMPLEMENTATION
- REQUIREMENTS FOR CO-ORDINATION, COMMUNICATION AND INFORMATION
- EMERGENCY EVENTS AND CONTINGENCY PLANNING
- SAFETY MANAGEMENT





10



EXAMPLES OF EVIDENCES TO BE REVIEWED

CONFIRM AVAILABILITY OF:

- REGULATIONS
- MANUAL
- HANDBOOK
- PROCEDURES
- ETC...

REMEMBER: SHOW ME AND I OWE YOU (IOU) PRINCIPLES. NO EVIDENCE PROVIDED WILL RESULT IN A FINDING

EXAMPLES OF EVIDENCES TO BE REVIEWED □ ANS 7.030: Has the State established a procedure for formulation and distribution of guidance material on civil air navigation regulation to ANS providers and operators? □ Evidence to be reviewed: Verify procedures have been established for the formulation and distribution of guidance material on civil air navigation regulations to ANS providers and operators **Review effectiveness of its implementation and its** application □ REMEMBER: SHOW ME AND I OWE YOU (IOU) PRINCIPLES. NO EVIDENCE PROVIDED WILL RESULT IN A FINDING

ACTION BY THE CNS SUB-GROUP

- TO INVITE STATES TO FULLY COOPERATE IN THE IMPLEMENTATION OF USOAP AUDITS BY:
 - SUBMITTING
 - □ State Aviation Activity Questionnaire (SAAQ)
 - Compliance Check Lists
 - PREPARING ON-SITE AUDIT MISSIONS

 TO REQUEST STATES TO ANTICIPATE REQUIRED ENHANCEMENTS TO CAAs SAFETY OVERSIGHT CAPABILITIES, INCLUDING ESTABLISHMENT OF MECHANISMS TO RESOLVE DEFICIENCIES IN THE ANS/CNS FIELD.

