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# INTERNATIONAL CIVIL AVIATION ORGANIZATION

## AFI PLANNING AND IMPLEMENTATION REGIONAL GROUP FIFTEENTH MEETING (APIRG/15)

(Nairobi, Kenya, 26 – 30 September 2005)

#### Agenda Item 6: Review of significant developments related to air navigation

6.2 Outcome of the 35<sup>th</sup> session of the Assembly on air navigation issues

#### FOLLOW-UP TO THE 35TH SESSION OF THE ICAO ASSEMBLY (A35) — AIR NAVIGATION MATTERS

(Presented by the Secretariat)

#### SUMMARY

This working paper reports on the outcome of, and actions taken by, the Council of ICAO on the resolutions and decisions of the 35th Session of the ICAO Assembly (A35) concerning air navigation matters. The proposed actions on the resolutions and decisions, which are analysed in the Appendix to this working paper, are presented with a view to seeking confirmation from APIRG that it will make appropriate contributions to the follow-up.

Action by APIRG is in paragraph 2.

#### 1. **INTRODUCTION**

1.1 The 35th Session of the ICAO Assembly (A35) was held at ICAO Headquarters in Montreal from 28 September to 8 October 2004. The Council of ICAO, on 15 December 2004, undertook its review of the follow-up action to be taken by the Commission and the Secretary General on the A35 resolutions and decisions concerning air navigation matters. The outcome of this review is summarized in the Appendix.

#### 2. **ACTION BY APIRG**

2.1 In light of the above and on the basis of its analysis of the Appendix, the Meeting is invited to:

- a) note the outcome of the ICAO Assembly (A35) concerning air navigation matters; and
- b) take action as appropriate on Resolution A37-7 and decisions of A35 as proposed in the Appendix to this working paper.

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# FOLLOW-UP ACTION ON RESOLUTIONS OF THE 35<sup>TH</sup> SESSION OF THE ASSEMBLY AS THEY RELATE TO AIR NAVIGATION MATTERS

Resolution <sup>1</sup>	Subject	Preliminary action approved by Council	Suggested follow-up action			
Resolution	Bubject	(C-WP/12316 Revised, C-DEC 173/1)	ANC	Secretary General	PIRGs/States	
Resolution 16/1 (16-3 to 16-5, WP/342 and Plenary Action Sheet No. 1) [A35-7]	Unified strategy to resolve safety-related deficiencies	Council, subsidiary bodies and the Secretary General to act in accordance with the relevant clauses of the Resolution. Council to further develop practical means to facilitate the sharing of safety information among Contracting States. Council to develop a procedure to inform all Contracting States, within the scope of Article 54 j) of the Chicago Convention, in the case of a State having significant compliance shortcomings with respect to ICAO safety-related SARPs. Secretary General to investigate ways in which the identification of measures may be undertaken at national and regional levels to support States' development of ATM safety oversight capabilities and	ANC to review and agree on the methodology being developed by the Secretariat for the implementation of the unified strategy, review and agree as the action proposed in AN-WP/7977, and make recommendations to the Council as necessary. <b>Update</b> : Secretariat presented a methodology/progress report on the implementation of the unified strategy in June 2005 (AN-WP/8032 and C-WP/12475 refer).	Secretary General to: a) urge all Contracting States to share with other Contracting States critical safety information which may have an impact on the safety of international air navigation and to facilitate access to all relevant safety information;	States: To share critical safety information with other States	
		procedures.		<ul> <li>b) encourage Contracting States to make full use of available safety information when performing their safety oversight functions, including during inspections as provided for in Article 16 of the Convention;</li> </ul>	States: To make use of available safety information when performing safety oversight functions	
				c) develop, for approval by the Council, practical means to facilitate the sharing of such safety information among Contracting States;	To note	
				d) urge Contracting States of the need for surveillance of all aircraft operations, including foreign aircraft within their territory and to take appropriate action when necessary to preserve safety;	States: To do surveillance of all aircraft operations, including foreign aircraft within their territory	

<sup>&</sup>lt;sup>1</sup> The A35 Resolution number (as designated in Resolutions adopted by the 35th Session of the Assembly — Provisional Edition, October 2004) is shown in square parentheses.

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Resolution <sup>1</sup>	Subject	Preliminary action approved by Council	Suggested follow-up action			
Resolution	Subject	(C-WP/12316 Revised, C-DEC 173/1)	ANC	Secretary General	PIRGs/States	
Resolution 16/1         (16-3 to 16-5,         WP/342 and         Plenary Action         Sheet No. 1)         [A35-7]         (continued)				e) develop, for approval by the Council, a procedure to inform all Contracting States, within the scope of Article 54 j) of the Chicago Convention, in the case of a State having significant compliance shortcomings with respect to ICAO safety-related SARPs;	To note	
				<li>f) promote the concept of regional or sub-regional safety oversight organizations;</li>	PIRGs: To promote the concept of regional/ sub-regional safety oversight organizations	
				g) continue to foster coordination and cooperation between USOAP and audit programmes of other organizations related to aviation safety, and specifically with IATA and Eurocontrol;	To note	
				h) urge Contracting States to develop regional and sub-regional cooperation and, whenever feasible, partnership initiatives with other States, industry, air navigation service providers, financial institutions and other stakeholders to strengthen safety oversight capabilities in order to foster a safer international civil aviation system and to better discharge their individual responsibilities;	States: To develop regional and sub-regional cooperation and to strengthen safety oversight capabilities	
				i) encourage States to foster the creation of regional or sub-regional partnerships to collaborate in the development of solutions to common problems to build their individual safety oversight capability;	States: To foster the creation of regional/ sub-regional partnerships	

Resolution <sup>1</sup>		Subject Preliminary action approved by Council (C-WP/12316 Revised, C-DEC 173/1)		Suggested follow-up action		
Resolution	Subject		ANC	Secretary General	PIRGs/States	
Resolution 16/1 (16-3 to 16-5, WP/342 and Plenary Action Sheet No. 1) [A35-7] (continued)				<ul> <li>j) encourage all States able to do so to participate in, or provide tangible support for, the strengthening and furtherance of regional safety oversight organizations;</li> <li>k) invite Contracting States to use the services of the ICAO Technical Cooperation Bureau (TCB) to resolve deficiencies identified by the USOAP;</li> </ul>	States: To provide tangible support for strengthening and furtherance of regional safety oversight organizations To note	
				<ol> <li>invite Contracting States experiencing difficulties in financing measures necessary to correct safety- related deficiencies identified through USOAP to take advantage of the funding opportunity offered by the International Financial Facility for Aviation Safety (IFFAS);</li> </ol>	States: To take advantage of IFFAS if experiencing difficulties in financing measures to correct safety-related deficiencies:	
				m) implement a unified strategy based on the principles of increased transparency, cooperation and assistance;	PIRGS/States: To implement a unified strategy	
				n) foster, where appropriate, partnership among States, users, air navigation service providers, industry, financial institutions and other stakeholders to analyse causes, establish and implement sustainable solutions in order to assist States in resolving safety-related deficiencies;	PIRGS/States: To foster partnership among States, users, air navigation service providers, industry and financial institutions to analyse causes in resolving safety-related deficiencies	

<b>D 1</b> (1)		Preliminary action approved by	Suggested follow-up action		
Resolution <sup>1</sup>	Subject	Council (C-WP/12316 Revised, C-DEC 173/1)	ANC	Secretary General	PIRGs/States
Resolution 16/1 (16-3 to 16-5, WP/342 and Plenary Action Sheet No. 1) [A35-7] (continued)				<ul> <li>adopt a flexible approach for the provision of assistance through the ICAO Regional Offices to support regional and sub-regional organizations responsible for safety oversight tasks and to implement an efficient system to monitor implementation of the unified strategy;</li> </ul>	To note
				p) investigate ways in which the identification of measures may be undertaken at national and regional levels to support States' development of ATM safety oversight capabilities and procedures; and	To note
				<ul> <li>q) develop ways in which all relevant information from the Audit Findings and Differences Database (AFDD) could be made available to all Contracting States through the use of the ICAO secure website.</li> </ul>	To note
Resolution 16.2/1 (16.2-2 to 16.2-4, WP/342 and Plenary Action Sheet No. 1) [A35-6]	Transition to a comprehensive systems approach for audits in the ICAO USOAP	Council, subsidiary bodies and the Secretary General to act in accordance with the relevant clauses of the Resolution. Secretary General to make the final safety oversight audit reports available to all Contracting States and also to provide access to all relevant information derived from the Audit Findings and Differences Database (AFDD) through the secure website of ICAO.	necessary and make	Secretary General to: a) further expand USOAP to include the safety-related provisions contained in all safety-related Annexes to the Convention on International Civil Aviation (Doc 7300) as of 2005;	To note
				b) restructure the ICAO Universal Safety Oversight Audit Programme, as of 1 January 2005, to adopt a comprehensive systems approach in conducting safety oversight audits in all Contracting States;	To note

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<b>Resolution</b> <sup>1</sup>	Subject	ubject Preliminary action approved by Council (C-WP/12316 Revised, C-DEC 173/1)		Suggested follow-up action		
			ANC	Secretary General	<b>PIRGs/States</b>	
Resolution 16.2/1           (16.2-2 to 16.2-4,           WP/342         and           Plenary         Action				c) restructure the safety oversight audit reports to reflect the critical elements of a safety oversight system;	To note	
Sheet No. 1) [A35-6] (continued)				d) adopt a more flexible approach in the implementation of the Programme on a long-term basis;	To note	
(continued)				e) continuously ensure the maintenance of the quality assurance mechanism established to monitor and assess Programme quality;	To note	
				<ul> <li>f) call on all Contracting States able to do so to assign qualified and experienced technical staff to ICAO on a long-term secondment basis with a view to enabling the Organization to continue to successfully implement the Programme;</li> </ul>	States: To assign qualified and experienced technical staff to ICAO on a long-term secondment basis	
				g) urge all Contracting States to submit to ICAO, in a timely manner, and keep up-to-date, all the information and documentation associated with the preparation and conduct of an audit, to ensure the effective and efficient implementation of the Programme;	States: To submit to ICAO, in a timely manner, and keep up-to-date, all information and documentation associated with an audit	
				<ul> <li>h) urge all Contracting States to cooperate with ICAO and, as much as practicable, to accept audit missions as scheduled by the Organization in order to facilitate the smooth functioning of the Programme;</li> </ul>	States: To cooperate with ICAO to accept audit missions as scheduled by the Organization	

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Resolution <sup>1</sup>	Subject	Preliminary action approved by Council		Suggested follow-up action	
Resolution	Subject	(C-WP/12316 Revised, C-DEC 173/1)	ANC	Secretary General	PIRGs/States
Resolution 16.2/1 (16.2-2 to 16.2-4, WP/342 and Plenary Action Sheet No. 1) [A35-6] (continued)				<ul> <li>i) urge all Contracting States to accept the primacy of USOAP audit results as meeting the established international Standards, Recommended Practices and Procedures, when considering the need for additional or supplementary safety oversight audits by States; and</li> <li>j) continue to report to Council at every other session and to the next ordinary session of the Assembly on the overall implementation of the Programme.</li> </ul>	States: To accept the primacy of USOAP audit results as meeting the established international SARPs To note
Para 17:2 (17-1, WP/343)	Harmonization and efficiency guiding the standard making process	Council and Air Navigation Commission (ANC) to take into consideration the proposals contained in A35-WP/74 in their future work on enhancement of ICAO Standards.	ANC to continue its work to enhance ICAO Standards taking into account Resolving Clause 3 of A35-14, Appendix A, the action proposed in A35-WP/36 and the proposal contained in A35-WP/74.		
Para 17:3 (17-1, WP/343)	Policy and criteria for making determinations regarding the "commercial air transport" or non- commercial "general aviation" nature of international aircraft operation	Council, subsidiary bodies and the Secretary General to collect information on studies conducted by Contracting States and international organizations on the subject and to take action as required.	Secretariat review and advise the Council as appropriate.	Secretary General (ATB in coordination with ANB and LEB) to collect and review the work currently conducted by Contracting States on making a determination regarding the "commercial air transport" or non- commercial "general aviation" nature of international aircraft operation. A Council decision will then be sought on whether a draft policy and criteria on the subject should be developed by ICAO	To note
Resolution 19/1 (19-2 to 19-4, WP/343 and Plenary Action Sheet No. 1) [A35-12]	Protection of the health of passengers and crews and prevention of the spread of communicable disease through international travel	States to be informed of the content of this Resolution and urged to implement existing SARPs related to the health of passengers and crews. Council, subsidiary bodies and the Secretary General to act in accordance with the relevant clauses of the Resolution.	ANC to monitor and present reports to Council as necessary.	Secretary General to: a) inform States of the content of the Resolution and draw their attention in particular to resolving clauses 1 and 5;	To note

<b>Resolution</b> <sup>1</sup>	Subject	Preliminary action approved by Council	Suggested follow-up action		
Resolution	Subject	(C-WP/12316 Revised, C-DEC 173/1)	ANC	Secretary General	PIRGs/States
				b) urge States to implement existing SARPs related to passenger and crew health	States: To implement existing SARPs related to passenger and crew health
				c) review existing SARPs related to passenger and crew health and develop new ICAO provisions as necessary; and	To note
				d) develop SARPs to address contingency plans to prevent the spread of communicable diseases by air transport	To note
Resolution 19/2 (19-4, WP/343 and Plenary Action Sheet No.1) [A35-13]	Non-chemical aircraft disinsection of the cabin and flight deck for international passenger flights	Council, subsidiary bodies and the Secretary General to act in accordance with the relevant clauses of the Resolution.	ANC to monitor and present reports to Council as necessary.	Secretary General to: a) assist WHO in evaluating non-chemical approaches to aircraft disinsection; and	To note
[]	L			b) encourage the exploration of non- chemical approaches to aircraft disinsection.	To note
Para 19:7 (19-2, WP/343)	Recommendation to adopt a harmonized contingency phased response plan to address any future recurrence of SARS or similar communicable	States to be informed of this recommendation and requested to adopt the contingency plan as appropriate.	ANC to monitor and present reports to Council as necessary.	Secretary General to: a) inform States of the recommendation; and	To note
	diseases.			b) urge States to adopt a contingency plan as appropriate.	States: To adopt a contingency plan as appropriate