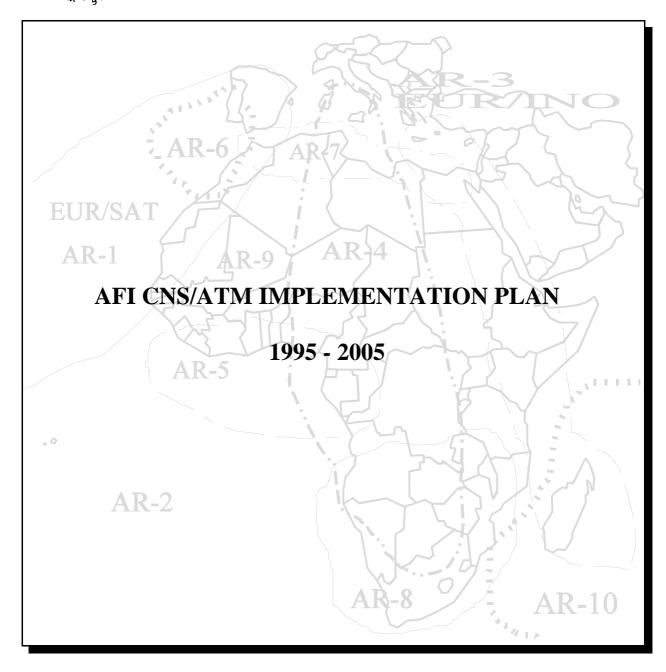
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# APPENDIX B APIRG/13 WP/8C AFI Doc 003

# INTERNATIONAL CIVIL AVIATION ORGANIZATION



Edition 5.1 March 2001

Prepared by the Eastern and Southern African Office

The designations employed and the presentation of material in this publication do not imply the expression of any opinion whatsoever on the part of ICAO concerning the legal status of any country, territory, city or area or of its frontiers or boundaries

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# DOCUMENT CHANGE RECORD

VERSION	DATE	REASON FOR CHANGE	SECTIONS PARAGRAPHS AFFECTED
5.0	15/11/99	Adoption by APIRG/12 of CNS/ATM/SG/2 Report and of the Initial AFI GNSS strategy.	Section II: 2.1.4, 2.2.1.6, 2.2.1.12 (new), 2.2.1.13 (new), 2.2.3.1.4 (new), 2.2.4.1.5 Section III: 3.3.4.2 Appendices A, B, F, G, H (new), I (new)
5.0	15/5/00	Amendment No. 1: Inclusion of Asmara FIR	Appendices A (pages A1, A2), B (pages B3, B9), G (pages G14-G22, G61-G66)

# History of the versions

- Version 1 was drafted in October 1994 by the second meeting of the CNS/ATM Task Force. It contained Sections I and II.
- Version 2 was drafted in November 1995 by the first meeting of the CNS/ATM Subgroup. It contained Sections I, II and III.
- Version 3 was published in June 1996 consecutive to the adoption of Doc 003 by the Tenth meeting of the AFI Planning and Implementation Regional Group (APIRG) for presentation to the Seventh AFI Regional Air Navigation (AFI/7 RAN) Meeting.
- Version 4 was published in January 1998 following the review and adoption of Doc 003 by the AFI/7 RAN meeting.
- S Version 5.0 was published in January 2000 following the adoption by the Twelfth Meeting of the APIRG (Tunis, 21 -25 June 1999) of amendments formulated by the Second meeting of the CNS/ATM/IC/SG.

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# Glossary of Terms

AAIM Aircraft autonomous integrity monitoring

ACC Area Control Centre

ADS Automatic Dependent Surveillance

ADS-B Automatic Dependent Surveillance broadcast mode

ADSP Automatic Dependent Surveillance Panel

AFI Africa - Indian ocean area AFS Aeronautical Fixed Service

AFTN Aeronautical Fixed Telecommunication Network

AIDC ATS Inter-facility data communications

AIREP Air Report

AIS Aeronautical Information Service

AMCP Aeronautical Mobile Communications Panel
AMS(R)S Aeronautical Mobile-Satellite (R) Service
AMSS Aeronautical Mobile-Satellite Service

APIRG AFI Planning and Implementation Regional Group

APR Automatic Position Reporting

AR Area of routing

ASECNA Agency for the Security of Aerial Navigation in Africa and Madagascar

ASM Airspace Management ATC Air Traffic Control

ATFM Air Traffic Flow Management
ATM Air Traffic Management

ATN Aeronautical Telecommunication Network

ATS Air Traffic Services

ATS/DS Air Traffic Services Direct Speech

CNS Communications, Navigation, and Surveillance

CNS/ATM Communications, Navigation, and Surveillance / Air Traffic Management

COM/MET/OPS Communications/Meteorology/Operations CPDLC Controller pilot data link communications

DARPs Dynamic user preferred re-routes

DCPC Direct Controller Pilot Communications (voice/data)

DFIS Data Link Flight Information Services

DGNSS Differential Global Navigation Satellite System

DME Distance Measuring Equipment

EUR European Region

FIR Flight Information Region
FDPS Flight Data Processing System

FL Flight Level

FMS Flight Management System
GES Ground Earth Station
GIC GNSS Integrity Channel

GLONASS Global Orbiting Navigation Satellite System (Russian Federation)

GNSS Global Navigation Satellite System

GPS Global Positioning System (United States)

HF High Frequency

HFDL High Frequency Data Link

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IATA International Air Transport Association ICAO International Civil Aviation Organization

IFR Instrument Flight RulesILS Instrument Landing SystemINS Inertial navigation system

ITU International Telecommunication Union

MASPS Minimum Aviation System Performance Standards

MET Meteorological services for air navigation

METAR Aviation routine weather report MLS Microwave Landing System

MMR Multimode receiver

MNPS Minimum Navigation Performance Specifications

MNT Mach Number Technique MODE S Mode S - SSR Data Link

MSAW Minimum Safe Altitude Warning System

NDB Non-directional beacon NPA Non-precision approach

PANS-OPS Procedures for Air Navigation Services — Aircraft Operations

RAIM Receiver Autonomous Integrity Monitoring

RNAV Area Navigation

RNP Required Navigation Performance

R/T Radiotelephony
RVR Runway visual range

RVSM Reduced Vertical Separation Minimum

SAM South American Region

SARPs Standards and Recommended Practices

SAT South Atlantic

SATCOM Satellite Communication

SBAS Satellite-based augmentation system

SIGMET Information concerning en-route phenomena which may affect the safety of

aircraft operations

SIGWX Significant weather

SITA Société Internationale de Télécommunications Aéronautiques

SSR Secondary Surveillance Radar

TAF Terminal area forecast
TBD To be determined
TMA Terminal Control Area
VFR Visual flight rules
VHF Very High Frequency

VOR VHF Omnidirectional Radio Range WGS-84 World Geodetic Reference System 1984

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# **DOC.003**

# SECTION I: INTRODUCTION

### 1.1 GENERAL

1.1.1 The AFI Plan for the implementation of the new ICAO Communications Navigation and Surveillance and Air Traffic Management (CNS/ATM) Concept is was initially contained in three documents, namely:

Doc 001 - Executive Summary

Doc 002 - System Concept Description
Doc 003 - AFI Implementation Plan

- 1.1.2 Doc 001 and Doc 002 are no longer in publication. The reader should refer to ICAO *Global Air Navigation Plan for CNS/ATM Systems* (Doc 9750) for a complete description of the CNS/ATM concept.
- 1.1.<del>23</del> The present document, Doc.003 AFI Implementation Plan, specifies implementation time-frames for the various systems and concepts, gives an operational overview of systems configuration during the transition, and lists activities required for an evolutionary and co-ordinated implementation towards the final objectives as contained in Doc 9750. <del>002 the System Concept Description. The reader should refer to Doc.002 for a global overview.</del>

# 1.2 DOCUMENT OVERVIEW

- 1.2.1 The scope of this document is:
  - a) To present the implementation strategy for the Future AFI CNS/ATM concept. This consists of overlapping terms during the time-frame 1995 to 2015 namely: mid-term (1995-2005) and long term (2000 to 2015).
  - b) To present the implementation plan which will enable the national administrations and airspace users to develop their plans so as to meet the conditions and pre-requisites laid down in the ICAO *Global Air Navigation Plan for CNS/ATM Systems* (Doc 9750), future AFI CNS/ATM system concept description (Doc 002) and which takes account of the need to harmonize with plans currently being developed by the regions which interface with the AFI Region. The implementation plan takes into account present facilities which meet the reliability expected in the future CNS/ATM systems.
  - c) This implementation plan will at the direction of the AFI Planning and Implementation Regional Group (APIRG) progressively address the planning process into long term.
- 1.2.2 Document 003 is organised in three distinctive sections:

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# **Section I**: Introduction

# Section II: Implementation Strategy and System Configuration

Details the objectives to be achieved during the planning time-frame;

For each system (Communications, Navigation and Surveillance and ATM), specifies which system components (both of the old and of the new concept) must be in place to support the required level of service in each phase.

# Section III: Implementation Plan:

**Contains Implementation Sheets detailing:** 

- objectives;
- actions required to achieve implementation;
- required ground and airborne capabilities;
- provider and user States and Organisations concerned;
- and the target dates for implementation.

# 1.3 PLANNING CONCEPT

- 1.3.1 The AFI Implementation Plan is conceived as a rolling ten years Plan towards the full implementation of the ICAO CNS/ATM Concept throughout the AFI Region and in the interface with adjacent regions, in order to achieve a coherent regional Air Traffic Management (ATM) system fully responsive to the regional needs in a timely and cost-effective manner and adequately integrated with the world-wide air navigation system.
- 1.3.2 The AFI Implementation Plan will be reviewed and updated periodically by APIRG, based on input from States and International Organisations concerned, in order to ensure it is kept responsive to changing requirements and abreast with world-wide developments.
- 1.3.3 The implementation, monitoring and co-ordination methodology contained in this document has been adopted by the AFI States.

# 1.4 GUIDING PRINCIPLES

- 1.4.1 In defining time-frames in the systems' evolution Tables and for the implementation activities due account was taken of the following general guidelines on transition contained in System Concept Description (Doc 002), specifically of the following:
  - "a) Careful planning will be necessary to ensure that aircraft of the future are not unnecessarily required to carry a multiplicity of existing and new CNS equipment. In addition, as already referred to, there is a close relationship between the required CNS services and the desired level of ATM and, finally, there is, for reasons of both economy and efficiency, a need to ensure that differences in the pace of development around the world do not lead to incompatibility between elements of the system. Particularly, because of the wide coverage of satellite CNS systems, the above considerations call for conscientious world-wide co-ordination of the planning and implementation if such systems are to be optimized.
  - b) In developing guidelines for the transition it is useful to consider the type of system (C, N, or S), and the specific problems, or issues affecting its transition to full operational use in a particular type of airspace or phase of flight."

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- c) Ideally, the transition to new CNS systems should be based in improvements in ATM and accompanied by procedural and structural changes that will provide benefits to ATM and to users. The transition should be carefully planned so as to avoid degradation in system performance."
- d) The priority structure of system elements and areas of applicability with regard to implementation has to be established. The priorities in terms of time-scales are then established in response to identified constraints and the perceived view of States as to the systems and areas of applicability providing the most immediate benefits, or for which early implementation may be most likely."

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# **SECTION II - IMPLEMENTATION STRATEGY**

# AND SYSTEM CONFIGURATION

### 2.1 IMPLEMENTATION STRATEGY

### 2.1.1 Introduction

- a) The provider, user States and Organizations concerned acknowledge that the AFI Region stands to derive great benefits from the introduction of the new integrated ICAO CNS/ATM System. It is recognized that it is only with the full coordination of implementation activities that the complete benefits of CNS/ATM will be realized.
- b) Consequently, and in order to ensure a coherent, timely, co-ordinated, cost-effective, operationally oriented implementation of the integrated ICAO CNS/ATM system in the AFI Region, the approach and strategy contained in this document are adopted at the AFI Regional level for use and compliance by provider and user States and Organisations concerned.
- c) In deciding the possible introduction at regional level of new elements of the integrated CNS/ATM system requiring the carriage of additional equipment on-board aircraft, APIRG will take into consideration the need of airspace users to be given adequate advance notice for major new equipment fittings.

# 2.1.2 General Principles

- 2.1.2.1 The AFI Region shall aim at taking advantage in a timely manner, of those individual elements of the CNS/ATM systems for which positive benefit in relation to overall cost has been demonstrated or recognized by those concerned.
- 2.1.2.2 It is recognized that the full implementation of all ATM objectives with their CNS requirements will take time. The AFI Region, therefore, will adopt a step by step approach starting with the ATM objectives which can be achieved with in the short term with minimum CNS requirements or relatively low cost.
- 2.1.2.<del>23</del> The introduction of individual elements of the new integrated CNS/ATM concept in the AFI Region shall be carried out in a co-ordinated and coherent manner, under the aegis of the AFI Planning and Implementation Regional Group (APIRG). In this context it is essential to ensure that:
  - a) adjacent systems shall interface in such a way that airspace boundaries between control sectors, Flight Information Regions, or Air Navigation Regions, are transparent.
  - b) systems must remain responsive to operational requirements at every step of development, avoiding to the extent possible, discontinuities in evolution likely to cause disturbances to the operational environment.
- 2.1.2.4 At least in the short and medium term, the difference in equipage between the domestic

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and regional operators on the one hand, and the transcontinental operators on the other hand, will be significant. The transcontinental operators will be fully equipped to operate in regions such as Europe and will certainly value taking advantage of their capabilities to obtain more economic flight profiles. As far as the domestic and regional operators are concerned, because they would not operate in other regions with the new CNS/ATM requirements for equipage/approval, they may not derive a positive cost/benefit from equipping. In light of the foregoing, long haul operators which are adequately certified and/or approved should be given timely full benefit and the domestic and regional operators be allowed to choose either to equip (approved or certified) or to fly segregated airspace.

2.1.2.5 The seamless airspace, which is indispensable for total benefit, will not be achieved without close co-ordination among providers and between providers and users. It is then more and more necessary and important that providers and users agree before any decision on implementation is taken. In this regard the following should be kept in mind:

### ! Communications

The objective of the region is full deployment of an ATN environment with the possibility to accommodate FANS1/A and the highest degree of functionality possible.

# ! Navigation

The ultimate objective of the Region is a navigation system based on satellite as a sole means of navigation for all phases of flight. As far as augmentation is concerned, any deployment should be in line with the regional policy as defined and approved by APIRG.

### ! Surveillance

Even if the Region is recognized as a valid candidate for ADS, enough caution is necessary at all levels in order to avoid ground equipage with prototypes and/or systems without operational benefits.

2.1.2.<del>3</del> 6 All planned operations, including domestic, civil and military operations to the extent that they may influence the ATS system, should be taken into account when system capacity is defined to meet the requirements.

# 2.1.3 The objectives

- 2.1.3.1 The future system must evolve from the present system so as to meet user needs to the maximum extent possible while taking the potential benefits from the application of new system technologies. This evolution should be guided by the principle of maintaining an optimum separation assurance.
- 2.1.3.2 Of the overall goals of the future ATM system, the following are specially of relevance in the AFI context:
  - a) maintenance of, or increase in, the existing level of safety;
  - b) increased system capacity and full utilisation of capacity resources as required to meet traffic demand:

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- c) dynamic accommodation of user-preferred three-dimensional and four-dimensional flight trajectories;
- d) accommodation of full range of aircraft types and airborne capabilities;
- e) improved provision of information to the users such as weather conditions, traffic situation, availability of facilities;
- f) improved navigation and landing capabilities to support advanced approach and departure procedures;
- g) increased user involvement in ATM decision making including air-ground computer dialogue for flight negotiation;
- h) create, to the maximum extent possible, a single continuum of airspace, where boundaries are transparent to users; and
- i) organize airspace in accordance with ATM provision and procedures.
- 2.1.3.3 Priority should be given to the implementation of systems or functions specifically aimed at the attainment of any of these stated objectives.

# 2.1.4 Planning Targets

2.1.4.1 Under Section III the Implementation Plan identifies target dates, by which individual tasks are required to be accomplished. These are in line with the following milestones:

1999	Uniform application of 10 minutes longitudinal separation in the upper airspace;					
1999	Provision of area control service in upper airspaces					
1999	Pursue the implementation of fixed RNAV routes contained in the AFI ANP					
1999	Implementation of WGS-84;					
1999	Data exchange between Flight Data Processing Systems in selected					
	Air Traffic Control Centres;					
1999	Progressive introduction of Controller pilot data link communications (CPDLC)					
	with full capacity in 2005;					
1999	Complete implementation of all AFTN and ATS/DS circuits					
1999	Extension of VHF coverage at all operationally significant altitudes					
1999	Progressive provision of SSR in selected airspaces					
2000	Progressive reduction of lateral separation minima in selected airspaces from					
	100 NM to 50 NM (in RNP 10 environment) and eventually to 30 or 25 NM					
	(in RNP 5 environment) as dictated by operational requirements;					
2000	Progressive introduction of Automatic Dependent Surveillance Service with full					
	ground capability by 2005;					
2000	Continuation of introduction of Random RNAV routes in oceanic airspaces;					
2000	Progressive introduction of random RNAV routes above FL 350 in continental					
	airspaces					
2000	Progressive introduction of GNSS-based procedures					
2000	Progressive introduction of RNP 5 in selected upper airspaces					
2001	Progressive introduction of Longitudinal RNAV/RNP separation minima of 10					

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minutes and / or 80NM RNAV derived distance in selected airspaces
2001 Progressive introduction of AIDC with completion by 2005.
200<del>12</del> Progressive Implementation of 1000 FT Vertical Separation Minima (RVSM) between FL290 and FL410 in selected airspaces.<sup>1</sup>

Note 1: In accordance with para. 2.2.1.9 of this Document, implementation of RVSM should be pursued within APIRG. In areas of routing adjacent to the EUR Region, the planning target date should be harmonized with the selected date in that Region (i.e. 2002).

# 2.1.5 Institutional Arrangements

- 2.1.5.1 Many of the technical and operational aspects of the implementation of the integrated CNS/ATM system are still under development. It is not possible, or probably even wise, at this stage to establish detailed institutional arrangements which, in many ways, will be strongly influenced by the options to be retained.
- 2.1.5.2 APIRG will closely monitor world-wide developments relating to Global Communications and Navigation Satellite systems and address the issue in due course.
- 2.1.5.3 Meanwhile, it would appear to be in the best interest of cost-effectiveness and efficiency of the overall system if an open, competitive environment was finally retained for the provision of individual elements of the new concept.

### 2.1.6 Trials and Demonstrations

- 2.1.6.1 It is anticipated that many contenders for the provision of individual elements of the integrated CNS/ATM system will emerge. It is also to be anticipated that such contenders will need partners at the level of provider and user States and Organisations, so that technical solutions can be tested in the operational environment.
- 2.1.6.2 As a matter of priority for the AFI Region, trials and demonstrations should be:
  - a) operationally oriented;
  - b) aimed at providing familiarisation with the new technologies and concepts;
  - c) aimed at assisting States with the transition; and
  - d) aimed at demonstrating cost-effectiveness.
- 2.1.6.3 It is also anticipated that the results of trials are also likely to provide useful information to assist the regional planning bodies in their work. In this context trials are encouraged and supported.
- 2.1.6.4 Providers and User States and Organisations are encouraged to co-operate in the conduct of trials. In order to minimize redundancy, the objectives and scope for specific trials and the results of such trials should be co-ordinated and disseminated through APIRG or its designated subsidiary body.

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# 2.2 SYSTEM CONFIGURATION

**STAGE A (1995/2005)** 

# 2.2.1 Airspace and Traffic Management

- 2.2.1.1 Airspace planning is to be carried out in close co-ordination between civil and military users, with a view to achieving an efficient joint utilization of available airspace to the greatest benefit of all users.
- 2.2.1.2 The ideal objective of airspace management should be to maximise the utilization of available airspace, by dynamic accommodation of all short-term requirements within a single system.
- 2.2.1.3 Where a single system is not established, a dynamic time-sharing of specific volumes of airspace should be considered; permanent segregation of airspace among various categories of users should be avoided. In this case airspace management should be oriented by the following principles:
  - a) airspaces reserved for individual classes of users shall be released as soon as the respective operational need ceases;
  - b) specific reserved airspace should be released for limited periods or at specific altitudes;
  - alternative routes should be established in order to facilitate traffic management when specific airspaces are intended for alternative civil and military use;
  - d) specific reserved airspaces may be relocated when required and possible.
- 2.2.1.4 Air traffic management in AFI should evolve progressively from the present route system to a system of area navigation (RNAV) routes.
- 2.2.1.5 Random RNAV areas should be established whenever feasible. Where implementation of random RNAV areas may not be feasible due to traffic densities or constraints of the present CNS/ATM system, priority should be given to the implementation of those elements of the new CNS/ATM concept aimed at eliminating such constraints.
- 2.2.1.6 The RNP values to be used in the AFI Region should be selected from the following ones:
  - 1) RNP 5, with consequential route spacing of 25NM or 30NM as appropriate, on continental RNAV routes or RNAV areas, and in those non-RNAV ATS routes where ground-based navigation aids permit frequent determination of position and the requirement for full VHF coverage is satisfied;
  - 2) RNP 10, with consequential route spacing of 50 NM, on oceanic RNAV routes where there is limited navaids coverage and in continental airspaces.

Note: Transition areas, namely between continental and oceanic airspaces, between pure RNAV and VOR/DME environments will be assessed case by case.

2.2.1.7 Optimum longitudinal separation minima must be applied on an internationally co-

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ordinated manner. The aim will be to apply not more than 10 minutes longitudinal separation progressively in the Region. However, in selected airspaces where navigation aids are not available to permit frequent determination of aircraft's position, use of Mach Number Technique (MNT) shall be applied. Lower minima may be required in specific areas of the Region, and in this case will be based upon the availability of positive surveillance to ATC. The introduction of longitudinal separation minima based on RNAV Route criteria of 10 minutes/80NM should be pursued through APIRG.

- 2.2.1.8 In order to increase airspace capacity, implementation of Reduced Vertical Separation minima (RVSM 1000ft) for subsonic aircraft between FL290 and FL410 inclusive, should be pursued through APIRG.
- 2.2.1.9 There will be a progressive introduction of automated flight data processing systems (FDPS) by Air Traffic Control Units. The main objectives of ATC automation should be, by priority:
  - a) assistance to ATC co-ordination, especially between adjacent FIRs and between control sectors within busy ATS units;
  - b) code-call sign correlation in radar units;
  - c) assistance in monitoring adherence to flight plan;
  - d) computer assisted conflict prediction;
  - e) computer assisted conflict resolution.
- 2.2.1.10 Automated preparation of flight progress strips is a desirable by-product of automated flight plan processing, but not an objective in itself in most of the ATS units in the Region.
- 2.2.1.11 ATC automation should also aim at simplifying the interface between the air traffic controller and the communication and information systems, namely AFTN, AIS, MET.
- 2.2.1.12 In view of the recognized potential of the enhancement of flight safety of the Minimum Safe Altitude Warning System (MSAW), States are encouraged to implement this system as soon as possible. APIRG will monitor the progress of implementation.
- 2.2.1.13 In order to enhance ATM benefits in an RNP/RNAV environment, States are advised to refer to the material in **Appendix H** relating to ATM operational requirements in an RNP/RNAV environment.

### 2.2.2 Surveillance

# 2.2.2.1 Terminal areas (TMAs)

- 2.2.2.1.1 Secondary Surveillance Radar (SSR) should be used to provide surveillance within busy TMAs meeting criteria to be defined by APIRG; SSR Mode S data link will gradually be introduced in selected busy TMAs to be confirmed by APIRG. Introduction of VDL Mode 4 which is being standardized will be considered in due course.
- 2.2.2.1.2 Primary radars may continue to be used in those TMAs where there is a mix of transponder equipped and non transponder equipped aircraft and the number of non-transponder equipped aircraft is sufficiently large to justify the requirement.

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2.2.2.1.3 ADS may be introduced, initially on a trial basis and eventually in broadcast mode (ADS-B) which is still under development.

### 2.2.2.2 **En-route**

- 2.2.2.2.1 En-route surveillance will mostly continue to be based on present procedural methods, but with improved pilot-controller communications in terms of reliability and transit times. This improvement will come about mostly as a result of enhanced mobile communications and of fixed communications between adjacent ACCs.
- 2.2.2.2.2 Where a requirement for en-route surveillance has been identified, this shall rely essentially on SSR, and on ADS particularly for low density, remote and oceanic airspaces outside SSR coverage.
- 2.2.2.3 Automatic Position Reporting will be initiated on a cooperative basis in selected airspaces.
- 2.2.2.2.4 ADS will be introduced, initially on a trial basis.
- 2.2.2.2.5 There is no requirement for primary radars for en route surveillance in the Region. Those already in place should be progressively phased-out.

# 2.2.3 **Navigation**

# 2.2.3.1 Approach and landing

- 2.2.3.1.1 The AFI strategy for transition from ILS to new precision approach and landing systems is based on the worldwide strategy developed by the Special Communications/Operations Divisional Meeting (1995) (SP COM/OPS/95) for the introduction and application of non-visual aids to approach and landing which enables each region to develop an implementation plan for future systems. The AFI strategy, which will be kept under constant review states as follows:
  - a) continue ILS operations to the highest level of service as long as operationally acceptable and economically beneficial.
    - Note: To co-ordinate with the users any withdrawal of ILS and provide at least a five-year notice for the withdrawal of any ILS ground-based equipment.
  - b) promote the use of MMR or equivalent airborne capability to maintain aircraft interoperability;
  - c) validate the use of GNSS, with such augmentations as required, to support approach and departure operations, including Category I operations, and implement GNSS for such operations as appropriate; and
  - d) complete feasibility studies for Category II and III operations, based on GNSS technology, with such augmentations as required. If feasible, implement GNSS for Category II and III operations where operationally acceptable and economically beneficial.
- 2.2.3.1.2 Although it is anticipated that Global Navigation Satellite system (GNSS) will provide the

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capability for precision approaches, these shall not be taken into consideration in the formulation of the requirements of the regional air navigation plan for the time being.

- 2.2.3.1.3 GNSS may be used as an approach and landing guidance system initially as an overlay to conventional systems.
- 2.2.3.1.4 The initial AFI GNSS implementation strategy was adopted by the APIRG/12 Meeting (Tunis, 21 25 June 1999). It details an evolutionary path from existing constellations through a minimal satellite-based augmentation system (SBAS) providing over the whole AFI Region a non-precision approach capability with vertical guidance at 20 m accuracy (APV-I). The initial strategy is shown at **Appendix I** to this document.

## 2.2.3.2 Terminal areas (TMAs)

- 2.2.3.2.1 As a general principle, navigation facilities in TMAs must allow for navigation during departure, holding and approach with the required degree of accuracy. For the time-frame encompassed by this first Stage, the standard navigation aid in TMAs is envisaged to remain the VOR/DME.
- 2.2.3.2.2 Whenever feasible, VORs must be so located as to serve both terminal and en-route requirements.
- 2.2.3.2.3 NDBs may continue to be used on a case by case basis when there is an agreed requirement to be confirmed by APIRG.
- 2.2.3.2.4 Global Navigation Satellite systems may initially be used as supplemental navigation means in the TMAs.

### 2.2.3.3 **En-route**

- 2.2.3.3.1 Area Navigation (RNAV) will progressively be extended throughout the AFI Region, based on the criteria contained in the ICAO Manual on Required Navigation Performance (RNP) (Doc 9613 AN/937) and within the terms and conditions defined by the AFI Planning and Implementation Regional Group (APIRG).
- 2.2.3.3.2 VOR will continue to be the agreed en-route navigation aid in the AFI Region along conventional ATS routes. In case a requirement exists for a new route or for a higher level of navigation performance along an existing route, primary consideration should be given to meet the requirement by the implementation of an RNAV route.
- 2.2.3.3.3 NDBs will not normally be provided for en-route navigation unless there is an operational requirement which cannot be satisfied by any other means, this will be confirmed through APIRG.
- 2.2.3.3.4 Global Navigation Satellite Systems will be used as supplemental en-route navigation means and as primary en-route means in designated airspaces.
- 2.2.3.3.5 It is foreseen that GNSS will eventually become the sole means of radio navigation and that the present radionavigation systems will be progressively withdrawn. The timing of such withdrawal will depend on many factors, among which the level of implementation and the quality of the new systems will be prominent. Withdrawal will only be undertaken in line with a plan to be developed by APIRG.

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### 2.2.4 Communications

### 2.2.4.1 Mobile communications

- 2.2.4.1.1 Aeronautical mobile communications should provide for static-free, direct pilot-controller communications throughout the Region, at least at operationally significant altitudes.
- 2.2.4.1.2 Voice, will remain the main form of pilot-controller communications throughout the region within the time-frame encompassed by this first Stage. Meanwhile, the early introduction of data links is supported and encouraged with the initial main objective of reducing R/T workload.
- 2.2.4.1.3 In view of the remoteness of large areas of the AFI region, satellite links offer one of the best method of achieving the above objectives. However, the number of users equipped for this type of communications may not be significant for several years, and therefore efforts should continue on the implementation of remote and extended range VHF.
- 2.2.4.1.4 HF voice stations could be phased out as VHF and satellite communications become available in a given FIR or in a given portion of the airspace; for the time being, however, increased traffic on HF will have to be accommodated and it will be necessary to ensure the integrity, reliability and availability of the system.
- 2.2.4.1.5 Although high frequency (HF) data link was not addressed in the original CNS/ATM concept, ICAO has now adopted SARPs for HF data link (HFDL). HF data link is ATN compliant. APIRG will closely monitor these developments.

### 2.2.4.2 Fixed Communications

- 2.2.4.2.1 The aeronautical fixed telecommunications system must provide for the exchange of messages between end-users with a very high degree of reliability within the specified transit times; in case this cannot be achieved within the current configuration of the AFTN Plan or the ATS/DS switched network plan, these must be re-planned as necessary and without delay in order to meet those objectives.
- 2.2.4.2.2 As a step towards the ATN the mutual support between aeronautical networks should be reinforced by the automatic interchange of messages, at least at the level of AFTN main centres, and ideally at the level of all tributary centres.

# 2.2.4.3 Data communications

- 2.2.4.3.1 It is anticipated that the mobile element of the integrated ATN may be developed at a slower pace than the end-user requirements for fixed communications; it is essential to ensure that the implementation of the necessary improvements to the ground network does not suffer delays as it is a pre-requisite for the development of the air-ground network as well.
- 2.2.4.3.2 In those circumstances in AFI, where only satellite links will be capable of supporting the implementation of the ground elements of the ATN with the required degree of reliability, considerations concerning costs of circuits, should not therefore delay the implementation of specific links by satellite whenever such requirement has been identified.
- 2.2.4.3.3 Notwithstanding the above, and considering the regional objective of inter-operability between sub-networks, the decision on which carrier to use to connect specific centres must be taken

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based on cost-benefit and operational efficiency only. The final aim is for a global ATN ensuring that the routing over the various sub-networks is predominantly based on choice.

- 2.2.4.3.4 There will be a progressive introduction of Gate data-links at the busiest airports in the Region. This consists of a physical link between aircraft on the apron and ATC. The main purpose of this type of data-link, in so far as ATC is concerned, is to allow for ATC clearance delivery by data instead of voice, thus reducing communications work-load and the risk of misinterpretation.
- 2.2.4.3.5 Data link flight information services (DFIS) applications, like the two other ATM data link applications (ADS, CPDLC) have been standardized and validated by the Automatic Dependent Surveillance Panel (ADSP). These services will make it possible to improve both aeronautical and meteorological air-ground communications as well as the availability of meteorological information (METAR, WINDSHEAR, RVR, TAF, SIGMET, AIREP, SIGWX, etc.). In particular, DFIS will make it possible for aircraft operating on Europe-Africa and Gulf of Guinea routes to obtain meteorological and aeronautical information by a reliable and relatively un-congested data link.

**Note**: This Document may eventually include the AGA, AIS/MAP, MET and SAR elements of the CNS/ATM system.

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### SECTION III - AFI CNS/ATM IMPLEMENTATION PLAN

### 3.1. **INTRODUCTION:**

3.1.1. The present section gives a detailed presentation of the implementation activities of the AFI CNS/ATM Implementation Plan and information on the programme of activities to be carried out by concerned States and users to implement specific system components of the Plan.

### 3.2. PLANNING METHODOLOGY

# 3.2.1 En route airspace

3.2.1.1 Taking into account the global nature of the CNS/ATM Systems, the AFI Region has been divided into ten homogeneous areas of routing corresponding to the major traffic flow patterns of the Region. The ten areas of routing (AR) are:

AR-1: the Europe - South Atlantic (EUR/SAT) oceanic routes;

AR-2: the Atlantic Ocean interface between the AFI, NAT and SAM Regions

(AFI/NAT/SAM interface);

AR-3: the Europe to Eastern Africa routes including the area of the Indian Ocean

(EUR/AFI-East);

AR-4: the Europe to Southern Africa routes (EUR/AFI-South);

AR-5: the coastal routes over the Gulf of Guinea;

AR-6: the Iberian peninsula to Canaries routes;

AR-7: the North AFI coastal area (EUR/AFI interface);

AR-8: the Continental Southern Africa routes;

AR-9: the Trans-Sahelian routes; and

AR-10: the Trans-Indian Ocean area interfacing with the ASIA/PAC Region.

### 3.2.1.2. Chart CNS/ATM-1 in **Appendix A** shows the areas of routing.

3.2.1.3. For each area of routing a set of air traffic management (ATM) objectives has been defined. Then the required communications, navigation, surveillance (CNS) systems are derived taking into account the nature (oceanic, continental) of the area, the existing CNS systems and the improvements which could be introduced during the time frame of the plan (1995 - 2005).

# 3.2.2 Terminal airspace and Aerodromes

- 3.2.2.1 The AFI CNS/ATM Plan defines three types of terminal airspaces based on the traffic density and the complexity of the traffic pattern. The three types of TMA's are:
  - a) TMA Type 1: characterised by multiple airports within the single TMA, a complex traffic pattern and a high density traffic;

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- b) TMA Type 2: characterized by multiple airports within the TMA, a complex traffic pattern and a medium density traffic; and
- c) TMA Type 3: low density traffic TMA's.
- 3.2.2.2 Likewise, three types of aerodromes are defined based on traffic density (high, medium and low).
- 3.2.2.3 The TMA's and aerodromes of the AFI Region will be type-designated by the AFI Planning and Implementation Regional Group (APIRG) based on the proposals by provider and user States and organizations concerned.

# 3.3. AFI CNS/ATM IMPLEMENTATION PLAN (1995 - 2005)

3.3.1. The first phase of the AFI CNS/ATM Implementation Plan is intended to cover the 1995 - 2005 time period.

### **3.3.2 En route**

- 3.3.2.1 The major en route ATM objectives are:
  - extension of random routing in oceanic areas;
  - reduction of separation minima in oceanic and high traffic density continental areas;
  - progressive extension of fixed and random RNAV routes; and
  - definition of Required Navigation Performance (RNP) values for specific itineraries.
- 3.3.2.2 To support the above ATM objectives, it is proposed in the plan to:
  - improve and extend VHF coverage in continental area;
  - progressive introduction of data links;
  - improve the AFTN network and implement ATS/DS circuits;
  - improve SSR surveillance in certain continental areas;
  - introduce automatic position reporting as a first step toward automatic dependent surveillance (ADS); and
  - progressive introduction of ATC automation.
- 3.3.2.3 The en-route AFI CNS/ATM Plan is shown at **Appendix B**.

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### 3.3.3 TMAs and Aerodromes

- 3.3.3.1 In terminal airspace and aerodromes, VHF coverage will be extended to at least 150 NM, while VHF data link is to progressively be introduced in high and medium traffic density areas.
- 3.3.3.2 For navigation in terminal areas, GNSS overlay is to be introduced during the planning period.
- 3.3.3.3 For approach and landing at aerodromes, ILS will remain the standard aid. GNSS based approach procedures will be progressively introduced as follows:
  - a) overlay to ILS procedures;
  - b) non-instrument runways; and
  - c) non-precision runways.
- 3.3.3.4 For surveillance, voice position reports will remain the dominant procedure. However in high and medium traffic density terminal and approach area, SSR will be required while ADS will be progressively introduced.
- 3.3.3.5 The AFI CNS/ATM Plan for TMA's and aerodromes is at **Appendix C**. The list of TMA's and Aerodromes is at **Appendix D**.

# 3.3.4 **GNSS Applications**

- 3.3.4.1 For en route navigation, GNSS will be used, initially as a supplemental-means of navigation. States are recommended to make use of the guidance material contained in ICAO Circular 267 AN/159 "Guidelines for the introduction and operational use of the Global Navigation Satellite System (GNSS)" when drafting their GNSS Plan. Particular attention should be given to the following:
  - a) procedures development;
  - b) aeronautical coordinates referenced to the WGS-84 coordinate system;
  - c) data base creation and maintenance;
  - d) certification and operational approvals;
  - e) ground and flight inspection;
  - f) trials and demonstrations;
  - g) GNSS planning and organization;
  - h) GNSS training;
  - i) information of users by NOTAM and Aeronautical Information Circular;
  - j) legal issues; and
  - k) implementation assistance through ICAO.

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3.3.4.2 A sample Aeronautical Information Circular (AIC) for the approval of GPS as a *supplemental* means of navigation for en route and terminal operations and overlay non-precision approaches (NPA) was adopted by the AFI/7 RAN Meeting and is shown as **Appendix E**. In due course, a similar AIC for approval of GNSS-based precision approach and landing applications shall be developed and included in this document.

## 3.4 IMPLEMENTATION PROGRAMME (1995 - 2005)

3.4.1 This part of Section III defines in more detail, the actions to be undertaken by States and users in each area of routings or in the terminal and approach areas for the actual co-ordinated implementation of the Plan.

# 3.4.2 Timelines Reference Sheets (TRS)

3.4.2.1 The Timelines Reference Sheets (TRS) which reflect the actual plans of States, the status of implementation is at **Appendix F**.

# 3.4.3 **Implementation worksheets**

- 3.4.3.1 The implementation worksheets have been developed for each operational and technical element. The area concerned, the FIRs involved, the specific activity that must be carried out, the system that must be in place, by whom, and in which time-frames are identified. The implementation worksheets are aimed at providing to all concerned clear guidance to ensure uniformity of approach, compatibility of implemented systems and procedures and training. They will be used by Implementation Co-ordination Groups (ICGs) which are recommended to be set-up for each area of routing.
- 3.4.3.2 The implementation worksheets are at **Appendix G**.

# 3.4.4 Implementation Co-ordination Groups (ICGs)

- 3.4.4.1 The achievement of the intended benefits along each routing or within each area of affinity is entirely dependent on the coordinated implementation of the required elements by all concerned, provider and users alike. This part of Section III introduces the three pillars on which the attainment of that objective will rely: the Implementation Worksheets, the Implementation Coordination Groups (ICG's), and the Time-lines Reference Sheets (TRS).
- 3.4.4.2 The Implementation Worksheets (IWS) detail, for each traffic flow and for each area of affinity, and for every CNS element, the systems that must be put in place, by whom, and within which time-frames. Thus, the IWS will provide to all concerned a clear indication of what is required from each one of them, and will provide the basis to ensure coordinated and harmonized systems deployment.
- 3.4.4.3 Implementation Coordination Groups (ICGs) should be established for each routing and for each area of affinity. Members will be all those providers and users alike, required to implement systems either on the ground or airborne on the area of routing concerned, i.e., States and or Organizations responsible for the provision of services in the FIRs concerned, and the Users Organizations.
- 3.4.4.4 On their implementation role, the ICGs are independent of the Regional Planning machinery. They will nevertheless be guided by the IWS, on which they are free to improve and detail as necessary. However, any substantive modification either of objectives or time frames must be submitted to APIRG through the CNS/ATM Sub-Group in order to ensure overall conformity at the Regional level. The ICGs will, in their work, give due regard to the maintenance of, or increase in,

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the existing level of safety.

- 3.4.4.5 The ICAO Secretariat will co-ordinate the establishment and activities of ICGs. The ICGs will appoint a coordinator for each element (i.e. for each IWS). The coordinator will be responsible to initiate and co-ordinate actions required to carry out implementation and among all concerned. The coordinator will also be responsible to report to the CNS/ATM Sub-group on progress, on eventual constraints being experienced, or on any other matters of concern. These will be mostly reflected in the TRS as detailed below.
- 3.4.4.6 The Timelines Reference Sheets at Appendix F are intended to ensure timeliness of implementation and to identify deviations so that corrective action can be initiated on a timely manner. They show, again for each element and for each area of affinity, the planned date of implementation and the FIRs and States concerned. Against each FIR, they will show the date on which the responsible authority has declared it can meet the requirement. This will allow for immediate identification of any significant deviation where corrective action may be required.

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# LIST OF APPENDICES

- A Areas of routing
- B AFI CNS/ATM Implementation Plan: Table I En route
- C- AFI CNS/ATM Implementation Plan: Table II TMA's and Aerodromes
- D List of TMA's and Aerodromes
- E Sample Aeronautical Information Circular on the use of GPS as supplemental means of navigation
- F Timelines
- G Implementation worksheets
- H ATM operational requirements in an RNP/RNAV environment
- I Initial Concept of the GNSS Strategy for the AFI Region

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