

U.S. Department of Transportation

Federal Aviation Administration

### **U.S. Department of Transportation**

Federal Aviation Administration

**Interface Requirements Document** 

NAS-IR-82422100

# En Route Automation Modernization (ERAM)/ North American (NAM) Non U.S. Area Control Center (ACC) System Version 1.4

May 7, 2019 Final

#### INTERFACE REQUIREMENTS DOCUMENT (IRD)

#### APPROVAL SIGNATURE PAGE

#### En Route Automation Modernization (ERAM)/North American (NAM) U.S. Area Control Center (ACC)

Approval Signatures			
Name	Organization	Signature	Date Signed
James Benjamin	AJM-213, En Route Automation Modernization	Jamas Benjamin	5 15 19
Charles Burand	AJM-312, Enterprise Engineering Services	$\mathcal{A}$	5730/2010
Kimberly Gill	ANG-B1, NAS Requirements and Interface Management Group	Hamberly Sil	6/6/19

Revision Record			
REVISION LETTER	DESCRIPTION	DATE	ENTERED BY
	Draft IRD Release, Version 1.0	12/12/2017	AJM-213
	Draft IRD Release, Version 1.1	2/16/2018	AJM-213
	Draft IRD Release, Version 1.2	4/19/2018	AJM-213
	Draft IRD Release, Version 1.3	8/30/2018	AJM-213
	Draft IRD Release, Version 1.4	10/9/2018	AJM-213

# **Table of Contents**

1. SCOPE	1
1.1 Summary 1.2 Subsystem Responsibility List	
2. APPLICABLE DOCUMENTS	7
<ul><li>2.1 Government Documents</li><li>2.2 Non-Government Documents</li></ul>	
3. INTERFACE REQUIREMENTS	. 10
<ul> <li>3.1 General Requirements</li></ul>	. 14 . 14 . 14
3.2.2.1 Identification of Each Application Process	
3.2.2.2 Application Process Capability Requirements	. 15
3.2.2.3 Message Content Requirements	
3.2.2.3.1 Information Units	
3.2.2.4 Relationship Among Messages	
3.2.2.4.1 State Transitions	. 18
3.2.2.4.1.1 INACTIVE State	
3.2.2.4.1.2 ACTIVATING State	. 19
3.2.2.4.1.3 ACTIVE State	
3.2.2.4.1.4 DEACTIVATING State	
3.2.2.5 Quality of Service Requirements	
3.2.2.5.1 Latency	
3.2.2.5.2 Design Workload	
3.2.2.6 Error Handling Requirements	
3.2.3 Protocol Implementation	
3.2.3.1 OSI Layers	
3.2.3.1.1 Application Layer Services	
3.2.3.1.3 Session Layer Services	
3.2.3.1.4 Transport Layer Services	. 24
3.2.3.1.5 Network Layer Services	
3.2.3.1.6 Data Link Layer Services	
3.2.3.1.7 Physical Layer Services	
3.2.3.2 Naming and Addressing	
3.3 Physical Requirements	
3.3.1 Electrical Power and Electronic Requirements	
3.3.1.1 Connectors	
3.3.1.2 Wiring/cabling	. 25
3.3.1.3 Grounding	
3.3.1.4 Fasteners	. 25

3.3.1.5 Electromagnetic Compatibility	. 25
4. QUALITY ASSURANCE PROVISIONS	26
4.1 Responsibility for Verification	. 26
4.2 Special Verification Requirements	
4.2.1 ISO Conformance	
4.2.2 ISO Interoperability	
4.2.3 Non-ISO Interoperability	
4.3 Verification Requirements Traceability Matrix	. 27
4.3.1 Verification Levels	
4.3.1.1 Subsystem Level	
4.3.1.2 Integration Level	
4.3.1.3 Site Level	
4.3.2 Verification Methods	. 29
4.3.2.1 Inspection	. 30
4.3.2.2 Demonstration	. 30
4.3.2.3 Analysis	
4.3.2.4 Test	. 30
5. PREPARATION FOR DELIVERY	31
6. NOTES	32
6.1 Definitions	. 32
6.2 Abbreviations and Acronyms	

# **List of Figures**

Figure 3-4. OSI Protocol Mapping for ERAM/Non-US ACC Systems	. 23
Figure 3-3. ERAM ARTCC/Non-US ACC Session State Diagram with Stimuli and Responses	. 18
Figure 3-2. ERAM, Non-US ACC System Interface Connections.	. 11
Figure 3-1. ERAM, NESG/FTI, and Non-US ACC System Interfaces with Points of Demarcation	. 10

## **List of Tables**

Table 1-I. ERAM IRD/ICD Document Mapping	2
Table 1-II. Organization System Responsibility	
Table 3-I. ERAM ARTCC/Non-US ACC Interface Management Messages	
Table 4-I. Verification Requirements Traceability Matrix	27

# 1. SCOPE

This Interface Requirements Document (IRD) provides the requirements to support Direct TCP/IP interfaces between the En Route Automation Modernization (ERAM) system and Non-US Area Control Center (ACC) systems via the FAA NAS Enterprise Security Gateway (NESG) and the FAA Telecommunications Infrastructure (FTI).

This IRD supports the exchange of messages defined in the North American (NAM) Common Coordination Interface Control Document (ICD) Area Control Center (ACC) to ACC, Rev E, NAS-IC-21009205 (referred to as the NAM ICD in this document). Section 1 (Historical) of the NAM ICD provides the Air Navigation Service Provider (ANSP) context for this IRD.

This IRD was prepared in accordance with FAA-STD-025f.

## 1.1 Summary

The Direct TCP/IP interface defined in this document is used for the exchange of Air Traffic Management (ATM) and Class 2 Interface Management messages, defined in the NAM ICD, between ERAM and an adjacent Non-US ACC system. As such, this interface is referred to as a NAM Direct IP interface. In order to use this interface for ATM messaging, it is necessary that:

- 1. The Non-US ACC system establish a TCP/IP connection with ERAM via the FAA's FTI network and NESG security gateway, and then
- 2. Interface Management messages be exchanged between ERAM and the Non-US ACC system to establish a communication Session.

By definition, this means that the minimal interface defined in the NAM ICD, supporting only Class 1 messages, cannot be used with the Direct TCP/IP interface. In addition, support of Class 2 messages is a prerequisite for the use of Class 3 messages via the Direct TCP/IP interface.

The ERAM IRD/ICD document mapping is show in Table 1-I.

Interface	Interface Requirements Document	Interface Control Document/Web Service Description Document
Surveillance Sources	NAS–IR–34138232 Surveillance Sources/En Route Communications Gateway (ECG)	NAS-IC-34138232-01 Common Digitizer-2 (CD-2) Surveillance Sources/En Route Communications Gateway (ECG) NAS-IC-34138232-02 Common Digitizer-Airport Surveillance Radar (CD-ASR) Surveillance Sources/En Route Communications Gateway (ECG)
Surveillance and Broadcast Services (SBS)	NAS-IR-82530001 Surveillance and Broadcast Services (SBS) Service Delivery Point (SDP) to ATC Automation and Service Monitoring User Subsystems	NAS-IC-82530001-01 Surveillance and Broadcast Services (SBS) Service Delivery Point (SDP) to ATC Automation and Service Monitoring User Subsystems
	NAS-IR-82530002 Surveillance and Broadcast Services (SBS) Service Delivery Point (SDP) Common Digitizer- 2 (CD-2) to En Route ATC Automation and Service Monitoring User Subsystems	NAS-IC-82530002-01 Surveillance and Broadcast Services (SBS) Service Delivery Point (SDP) Common Digitizer-2 (CD-2) to En Route ATC Automation and Service Monitoring User Subsystems
En Route Communications Gateway (ECG)	NAS-IR-82328217 En Route Communications Gateway (ECG)/National Airspace System (NAS) Host Computer System (HCS) and Future NAS Automation System	NAS-IC-82328217-03 En Route Communications Gateway (ECG)/En Route Automation Modernization (ERAM)
	NAS-IR-82320001 En Route Communications Gateway (ECG)/Internet Protocol Local Area Network (IP LAN) User Systems	NAS-IC-82320001-01 En Route Communications Gateway (ECG)/Internet Protocol (IP) Local Area Network (LAN) User Systems
Serial Devices	NAS–IR–82328234 En Route Communications Gateway (ECG)/Serial Communication Devices	NAS–IC–82328234–01 En Route Communications Gateway (ECG)/Serial Communication Devices

### Table 1-I. ERAM IRD/ICD Document Mapping

NAS-IR-82422100 May 7, 2019 Final

		May 7, 2019
Flight Data Input Output (FDIO)	NAS–MD–581 Flight Data Input/Output (FDIO) Program Software Interface Control Document (SICD)	NAS–IC–82018242–01 En Route Automation Modernization (ERAM)/Flight Data Input/Output (FDIO)
Air Traffic Management (ATM) Intermediate Point of Presence (IPOP)	NAS–IR–82422412 En Route Automation Modernization (ERAM)/User Systems via Air Traffic Management (ATM) Intermediate Point of Presence (IPOP)	NAS-IC-82422412-01 En Route Automation Modernization (ERAM)/User Systems via Air Traffic Management (ATM) Intermediate Point of Presence (IPOP)
Departure Spacing Program (DSP)	NAS–IR–82422409 En Route Automation Modernization (ERAM)/ Departure Spacing Program (DSP)	NAS–IC–82422409–01 En Route Automation Modernization (ERAM)/ Departure Spacing Program (DSP)
National Airspace Data Interchange Network (NADIN) (Service–B Users)	NAS-IR-82424301 En Route Automation Modernization (ERAM)/National Airspace Data Interchange Network (NADIN) (Service-B Users)	NAS-IC-82424301-01 En Route Automation Modernization (ERAM)/ National Airspace Data Interchange Network (NADIN) (Service-B Users)
Weather Message Switching Center Replacement (WMSCR)	NAS-IR-82422507 En Route Automation Modernization (ERAM) with Weather Message Switching Center Replacement (WMSCR) NAS-IR-94022507 Weather Message Switching Center Replacement to National Airspace Data Interchange Network Packet Switched Network Users (WMSCR/NADIN/PSN USERS)	NAS-IC-82422507-01 En Route Automation Modernization (ERAM) with Weather Message Switching Center Replacement (WMSCR) NAS-IC-94022507 Weather Message Switching Center Replacement to National Airspace Data Interchange Network (NADIN) Packet Switched Network User (WMSCR/NADIN/PSN User)
Weather and Radar Processor (WARP)	NAS–IR–25150002 Weather and Radar Processor (WARP) Display Products to ATC User Subsystems	NAS-IC-82422515 En Route Automation Modernization (ERAM)/Weather and Radar Processor (WARP) with Next Generation Radar (NEXRAD) Data

	NAS-IR-25158222 Weather and Radar Processor (WARP) Weather Information Network Server (WINS) to User Request Evaluation Tool (URET) Core Capability Limited Deployment (CCLD)	NAS–IC–82422519 En Route Automation Modernization (ERAM)/Weather and Radar Processor (WARP) Weather Information Network Server (WINS) Component
	NAS–IR–90029414 National Weather Service Telecommunications Gateway (NWSTG) to Federal Aviation Administration Bulk Weather Telecommunications Gateway (FBWTG)	
Standard Terminal Automation Replacement System (STARS)		NAS-IC-21058100 Standard Terminal Automation Replacement System (STARS) to Air Route Traffic Control Center (ARTCC) for Interfacility Data Transfer (IFDT)
North American (NAM)		NAS–IC–21009205 North American (NAM) Common Coordination Interface Control Document (ICD) Area Control Center (ACC) to ACC, Rev E
	NAS-IR-82422100 En Route Automation Modernization (ERAM)/ North American (NAM) Non U.S. Area Control Center (ACC)	NAS-IC-82422100 En Route Automation Modernization (ERAM) / North American (NAM) Non-US Area Control Center (ACC)
System-Wide Information Management (SWIM)	NAS–IR–43070001 System-Wide Information Management (SWIM) Service Registry/User	
	NAS–IR–82420001 En Route Automation Modernization (ERAM)/Web Services	NAS–WSDD–8242–001 En Route Automation Modernization (ERAM)/Flight Information Service (FIS)
ERAM / DCNS	NAS-IR-82424308 En Route Automation Modernization (ERAM) / Data Communications Network Service (DCNS)	NAS-IC-82424308-01 En Route Automation Modernization (ERAM) / Data Communications Network Service (DCNS)

NAS–IR–82422100 May 7, 2019 Final

		101ay 7, 2019
ERAM / TDLS	NAS-IR-22038242	NAS-IC-22038242-01
	En Route Automation	En Route Automation
	Modernization (ERAM) / Tower	Modernization (ERAM) / Tower
	Data Link Services (TDLS)	Data Link Services (TDLS)
ERAM / TDLS TIMS		NAS-IC-22038242-02
	NAS-IR-22038242	En Route Automation
	En Route Automation	Modernization (ERAM) / Tower
	Modernization (ERAM) / Tower	Data Link Services (TDLS) Tower
	Data Link Services (TDLS)	Information Management System
		(TIMS)
ERAM SkyDataSentry /	NAS-IR-82428701	NAS-IC-82428701
DR&A Subsystems	En Route Automation	En Route Automation
	Modernization (ERAM)	Modernization (ERAM)
	SkyDataSentry (SDS) to Data	SkyDataSentry (SDS) to Data
	Reduction and Analysis (DR&A)	Reduction and Analysis (DR&A)
	Subsystems	Subsystems

# 1.2 Subsystem Responsibility List

The interfacing systems, and the common names and the responsible agency for each, are shown in Table 1-II.

Subsystem	Common Name	Responsibility	International Civil Aviation Organization (ICAO) North American, Central American and Caribbean (NACC) member state
ERAM	En Route Automation Modernization	Federal Aviation Administration (FAA) AJM-213	United States
NESG	NAS Enterprise Security Gateway	FAA AJW-B4	United States
FTI	FAA Telecommunications Infrastructure	FAA AJM-31	United States
CAATS	Canadian Automated Air Traffic System	NAV Canada	Canada
RADCON-M	RADCON-M	Instituto de Aeronáutica Civil de Cuba (IACC)	Cuba
TopSky-ATC	TopSky-ATC	Instituto Dominicano de Aviacion Civil (IDAC)	Dominican Republic

Table 1-II. Organization System Responsibility

# **2. APPLICABLE DOCUMENTS**

The following documents form a part of this IRD to the extent specified herein.

2-a: In the event of a conflict between the documents referenced herein and the contents of this IRD, the contents of this IRD shall be the superseding requirements.

## **2.1 Government Documents**

#### **FAA Specifications:**

FAA-ER-2979	ERAM System Specification Document (SSD), Revision H
September 25, 2017	
DTFA01-02-D-03006	Attachment J.1 FAA Telecommunications Infrastructure (FTI)
Modification P00006	Services Description
NAS-IC-21009205	North American (NAM) Common Coordination Interface Control
15 April 2016	Document (ICD) Area Control Center (ACC) to ACC, Rev E
FAA-STD-042b	NAS Naming and Addressing Structure for Ground-to-Ground
March 11, 2005	Communication
FAA-G-2100H	Electronic Equipment, General Requirements
May 9, 2005	
FAA STANDARDS:	
FAA-STD-025f	Preparation of Interface Documentation

#### **OTHER FAA PUBLICATIONS:**

November 30, 2007

FAA Order 6950.22 February 8, 1978	Maintenance of Electrical Power and Control Cables
March 31, 2004	ERAM System Protection Profile, Version 3.0
November 10, 2016	FAA Telecommunications Infrastructure (FTI) NAS Enterprise Security Gateway (NESG) User's Guide, Volume II – For Non- NAS Users, Revision 5

# **2.2 Non-Government Documents**

#### Standards:

American National Standards Institute (ANSI) X3.4 December 30, 1986	American National Standard Code for Information Interchange (ASCII)
ANSI X3.41 1974	Code Extension Techniques for Use with the 7-Bit Coded Character Set of ASCII.
ANSI/TIA/EIA-568-B.1 April 1, 2001	American National Standard Institute (ANSI)/Telecommunications Industry Association (TIA)/Electronic Industries Alliance (EIA) Standard, Commercial Building Telecommunications Cabling Standard - Part 1: General Requirements
IEEE STD 802.2 - 1998	Part 2: Local and Metropolitan Area Networks, Logical Link Control
IEEE STD 802.3 - 2002	Part 3: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications
Telecommunications Industry Association (TIA)/Electronic Industries Alliance (EIA)-568- C.1 February 2009	Commercial Building Telecommunications Cabling Standard – Part1 : General Requirements
Internet Engineering Task Force (IETF) Request for Comments (RFC) 791 September 1981	Internet Protocol, as updated by RFC 1349
IETF RFC 792 September 1, 1981	Internet Control Message Protocol, updated by RFC 950
IETF RFC 793 September 1981	Transmission Control Protocol, updated by RFC 3168
IETF RFC 826 November 1, 1982	Ethernet Address Resolution Protocol: Or converting network protocol addresses to 48.bit Ethernet address for transmission on Ethernet hardware
IETF RFC 894 April 1984	A Standard for the Transmission of IP Datagrams over Ethernet Networks
IETF RFC 1349 July 1992	Type of Service in the Internet Protocol Suite
IETF RFC 1812 June 1995	Requirements for IP Version 4 Routers

IETF RFC 3168 September The Addition of Explicit Congestion Notification (ECN) to IP 2001

#### **International Documents:**

ICAO Doc. 4444	Procedures for Air Navigation Services-Air Traffic Management, Fifteenth Edition, current version
ICAO Doc 9694-AN/955	Manual of Air Traffic Services Data Link Applications
PAN ICD	Pan Regional Interface Control Document (PAN ICD) for ATS Interfacility Data Communications (AIDC)
ICAO Annex 10, Volume II	Aeronautical Telecommunications
ICAO Doc. 8643	Aircraft Type Designators
ICAO Doc. 7910	Location Indicators
Amendment 1	Amendment 1 to the PANS-ATM (ICAO Doc 4444 15th Edition)

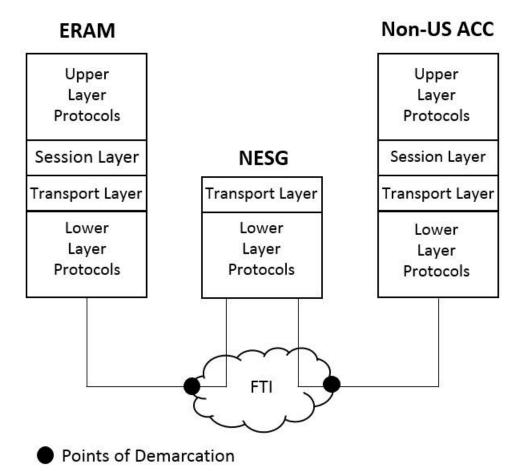
# **3. INTERFACE REQUIREMENTS**

This section provides the general functions, services and physical requirements between an ERAM ARTCC and a Non-US ACC via the FTI and the NESG.

# **3.1 General Requirements**

This document defines requirements for the transfer of ATM messages and Interface Management messages between ERAM and adjacent Non-US ACC systems via the FTI and the NESG.

Figure 3-1 shows the interfaces between ERAM and a Non US ACC system with the physical points of demarcation identified.



#### Figure 3-1. ERAM, NESG/FTI, and Non-US ACC System Interfaces with Points of Demarcation

ERAM and a Non-US ACC system exchange Interface Management messages to establish a session used to exchange Air Traffic Management (ATM) messages. To support ERAM-Non-US ACC system messaging, the NESG and FTI are required to provide load balancing, security, routing, and other networking functionality that map to the lower four layers of the seven layer ISO Open Systems

Interconnection (OSI) standard, i.e. up through the Transport Layer. The upper layer ATM messages are documented in the NAM ICD. The Session level Interface Management messages are also addressed by the NAM ICD but are covered in more detail in this IRD.

The ERAM, NESG/FTI, and Non-US ACC system interfaces are as shown in Figure 3-2. The NASexternal FTI network is a TCP/IP based network used by NAS-external systems, such as Non-US ACC systems, to access the NAS via the NESG security gateways. Any Non-US ACC system that wants to communicate with a NAS system must first establish a Virtual Private Network (VPN) with the NESG over the FTI network.

3.1-a: The NAS External FTI Network shall provide a point of access that Non-US ACCs can use to access each NESG (SLC and ATL).

3.1-b: A Non-US ACC shall connect to the NESG via a VPN as specified in "FAA Telecommunications Infrastructure (FTI) NAS Boundary Protection System (NBPS), User's Guide, Volume II – For Non-NAS Users, Revision 5."

3.1-c: The NESG shall accept a Virtual Private Network (VPN) from each Non-US ACC via the NAS External FTI Network as specified in "FAA Telecommunications Infrastructure (FTI) NAS Boundary Protection System (NBPS), User's Guide, Volume II – For Non-NAS Users, Revision 5."

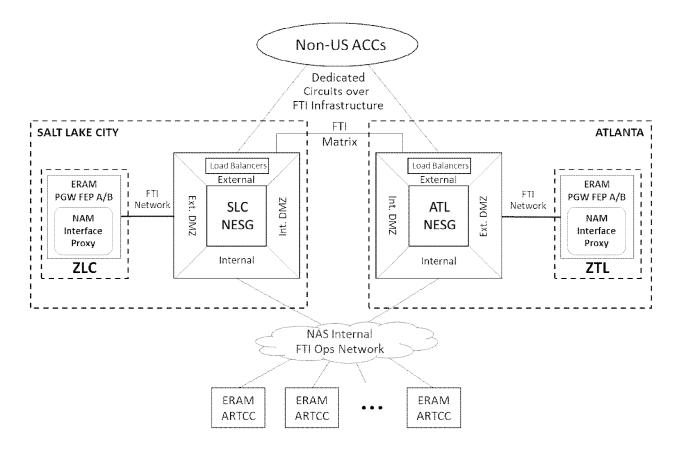


Figure 3-2. ERAM, Non-US ACC System Interface Connections.

Any Non-US ACC system that wants to communicate with ERAM for exchanging ATM messages does so by establishing a TCP/IP connection to an ERAM Protocol Gateway Front End Processor (PGW FEP), which resides in the NESG External DMZ. The PGW FEP hosts a NAM Interface Proxy application (NAM I/F Proxy), which services the TCP/IP connection from the Non-US ACC system and provides application layer proxy services for accessing ERAM. There is a redundant pair of PGW FEPs in the NESG External DMZ for failure recovery and availability purposes.

3.1-d: NESG/FTI shall provide network connectivity dedicated to NAM Direct IP operational traffic between a redundant pair of PGW FEPs at each NESG (SLC, ATL) and the NESG External DMZs.

The PGW FEPs are physically located in the ERAM National ARTCCs (ZLC and ZTL, a.k.a. ERAM National Sites) but connect only to NESG/FTI External DMZ networks available in those facilities. FTI provides connectivity between the ERAM National ARTCCs and their adjacent national Enterprise Maintenance Center (NEMC) facility (SLC for ZLC and ATL for ZTL) where the NESG is located. ERAM switches at each ERAM National Site are provided for connecting the redundant pair of PGW FEPs to FTI. A redundant pair of operational networks and a redundant pair of monitor and control (M&C) networks are provisioned between each PGW FEP and the NESG External DMZ. The redundant PGW FEPs are in an active/standby configuration at each ERAM National Site. Similarly, one ERAM National Site is active while the other is backup.

A Non-US ACC system initiates a TCP/IP socket connection with ERAM through the VPN as a precondition for bringing up an interface with an ERAM.

3.1-e: The NESG shall Load Balance TCP/IP socket connection requests from Non-US ACC systems across multiple PGW FEP IP addresses configured for the redundant pairs of PGW FEPs at the ERAM National Site NESGs (SLC for PGW FEPs located at ZLC and ATL for PGW FEPs located at ZTL).

Load Balancing is a function that allows a Non-US ACC system to address ERAM using a single virtual TCP/IP address and avoid having to determine which physical PGW FEP addresses are available and for the currently active processor at the currently active ERAM National Site. Load Balancing directs TCP/IP connection requests from the Non-US ACC systems to the active PGW FEP at the currently active National ERAM site, whether ZLC or ZTL. As part of this function, the virtual address used by the Non-US ACC system is changed by Load Balancing to a physical address that Load Balancing knows to be reachable for the active PGW FEP at the active National Site based on health monitoring. Load Balancing also changes the physical address back to the virtual address in packets sent from the PGW FEP back to the Non-US ACC system.

Load Balancing uses TCP health monitoring of all PGW FEP IP addresses to maintain up/down status of the IP addresses that can be used to access the active PGW FEP. Only the active NAM I/F Proxy at the active National Site will respond to health monitoring to indicate that its addresses are "up," otherwise the NAM I/F Proxy responds to indicate it is "down." Normally, two IP addresses will be statused as "up," each corresponding to one of two active PGW FEP network connections (a primary and a secondary for redundancy). Load Balancing uses rules to choose which address among the IP addresses statused as "up" to direct a TCP/IP connection request from a Non-US ACC system. If more than one IP address is statused as "up" then the rules are to select the PGW FEP's primary address if it is "up" else its secondary address if it is "up." As such, all connections will normally be to the primary address.

ERAM will drop any connection it currently has with a Non-US ACC system to accept a new connection from that Non-US ACC system, whether at the primary or secondary address (e.g., in case the original

connection is considered "down" by the Non-US ACC system, which is responsible for requesting TCP/IP connections). If no addresses are "up" Load Balancing returns a connection denial response to the Non-US ACC system. If a connection request is denied by a PGW FEP, or the PGW FEP drops an existing connection, Load Balancing will also return the denial or dropped connection to the Non-US ACC system. If the Non-US ACC system detects a denial or a dropped active connection, or times out waiting for a connection request while attempting to establish a connection with ERAM, the Non-US ACC system will retry the connection request until it either succeeds or gives up attempting to establish the connection. Normally, the TCP/IP connections will be up all the time as ERAM and the Non-US ACC system will automatically send status messages (soliciting a response) when no message is received from the other side within a configurable time period (on the order of seconds). These status messages are the ASM messages defined in the NAM ICD and in section 3.2.2.3, below.

3.1-f: The NESG shall perform health monitoring of PGW FEP TCP/IP addresses at a designated port to determine the PGW FEP addresses that are available ("up") for Load Balancing ERAM TCP/IP connection requests from Non-US ACC systems.

3.1-g: The NESG/FTI shall determine PGW FEP TCP/IP address up/down status via health monitoring at a configurable interval that can be set at least as low as 5 seconds.

3.1-h: When Load Balancing a TCP/IP connection request from a Non-US ACC system, the NESG shall direct a TCP/IP socket connection request to the TCP/IP port identified in the TCP/IP socket connection request.

The NAM I/F Proxy will service health monitoring requests from NESG Load Balancing (sent from both NESG sites, i.e., from SLC and ATL) and TCP/IP socket connection requests from Non-US ACC systems. The NAM I/F Proxy will listen to TCP health monitoring requests from Load Balancing at a designated port and respond to indicate that it is "up" if it is the active NAM I/F Proxy at the active National Site. Otherwise, the NAM I/F Proxy will respond to indicate that it is "down." The NAM I/F Proxy listens to separate TCP/IP ports for ERAM-Non-US ACC system connections. A separate port is designated for each adjacent ERAM ARTCC-Non-US ACC pair.

The NAM I/F Proxy uses a separate, ERAM-internal connection to communicate with each Border ERAM (an ERAM at a US ARTCC that borders a Non-US ACC) on behalf of the Non-US ACC systems. The NAM I/F Proxy will perform Non-US ACC system connection validation, application message validation, routing of valid messages received from Non-US ACC systems to ERAM, and forwarding of messages from ERAM to addressed Non-US ACC systems.

3.1-i: The NESG/FTI shall provide secure routing of system management data and message traffic between PGW FEP applications and the ERAM National Sites via ERAM's back-end processors (BEPs) and ERAM National Processing Router Firewalls (ENP RFWs) at these national sites. This is used for ERAM-internal system management functions (e.g., software updates, logging data collection).

3.1-j: The NESG/FTI shall provide secure routing of Interface Management and ATM message traffic between the NAM I/F Proxy and ERAM via ERAM's ECG RFW. This is used for ATM and Interface Management messages exchanged between ERAM and Non-US ACC systems.

Redundant paths through NESG/FTI for a NAM Direct IP connection between ERAM and the Non-US ACC system will be established so the overall interface has no single point of failure, i.e., so a lost connection due to a path failure can be re-established on the same or a redundant path. To support the critical ATM services enabled by the interface, the goal is for the end-to-end interface to have an overall

availability of at least 0.9999 and to have no interruption of services exceeding 60 seconds during unplanned outages if redundant resources are available.

3.1-k: The NESG/FTI shall provide redundant connections for the PGW FEP to establish a redundant LAN between the PGW FEP and the NESG.

The US responsibility of the ERAM-Non-US ACC system connectivity is from the SLC and ATL NESGs to demarcation points connecting US and Non-US networks. In addition, the US has responsibility for bandwidth between the NESGs for traffic that needs to be routed from one to the other to reach the active ERAM National Site.

3.1-1: The 95<sup>th</sup> percentile one-way latency between an ERAM ECG RFW and the NESG via FTI shall be less than or equal to 225 milliseconds.

3.1-m: The 95<sup>th</sup> percentile one-way latency between two NESGs shall be less than or equal to 50 milliseconds.

### **3.1.1 Security Requirements**

Through compliance with "FAA Telecommunications Infrastructure (FTI) NAS Boundary Protection System (NBPS), User's Guide, Volume II – For Non-NAS Users, Revision 5" the NESG will ensure the protection of IP communications between ERAM and Non-US ACCs, and between FAA NESG locations. All external communications are isolated from internal communications through use of the NESG DMZs as shown in Figure 3-2.

PWG FEP to NESG connections are local, and the NESG will manage interfacility routing.

## **3.2 Functional Requirements**

This subsection defines the functional requirements of the interface between ERAM, and Non-US ACC systems. It discusses the Open Systems Interconnect (OSI) model interface requirements (see Figure 3-4), and the Interface Management message requirements.

### **3.2.1 General Service Functional Requirements**

This section identifies functional requirements for the interface between ERAM and a Non-US ACC system. The interface referred to in the functional requirements consists of the Application Processes (AP), the information transferred and the Open Systems Interconnect (OSI) model data interface requirements.

3.2.1-a: An ERAM and a Non-US ACC system shall exchange Interface Management messages when activating, deactivating, or testing the interface between them.

3.2.1-b: An ERAM and a Non-US ACC system shall exchange ATM messages to coordinate air traffic control operations using the initialized interface.

### 3.2.2 Application Processes and Message Requirement

An Application Process (AP) is defined as an identifiable set of cooperating capabilities within a system that executes one or more information processing tasks. The following paragraphs describe the application processes that allow ERAM and a Non-US ACC system to exchange ATM messages and Interface Management messages.

### **3.2.2.1 Identification of Each Application Process**

Both ERAM and the Non-US ACC system use their Flight Data Processing (FDP) subsystem as their AP.

### **3.2.2.2 Application Process Capability Requirements**

This interface is dependent on the proper functioning of the end systems (ERAM and Non-US ACC systems) as well as the interconnecting telecommunications (NESG/FTI and Non-US Wide Area Networks (WAN)).

3.2.2.2-a: The interface shall have no single points of failure outside of periods of planned outages. Reestablishing a lost NAM Direct IP connection within the time specified in 3.2.2.2-b satisfies this requirement.

3.2.2.2-b: The interface shall be designed to detect and recover from failures within 60 seconds.

#### **3.2.2.3 Message Content Requirements**

ATM messages are documented in the NAM ICD.

Interface Management messages, shown in Table 3-I, are used to manage an interface between an ERAM ARTCC and a Non-US ACC. These messages are also documented in the NAM ICD.

Session Control Message	Service Status
Initialization Request (IRQ)	Initiates activation of the interface
Initialization Response (IRS)	Response to an IRQ
Termination Request (TRQ)	Initiates termination of the interface
Termination Response (TRS)	Response to a TRQ
Application Status Monitor (ASM)	Message to confirm an adjacent Non-US ACC/ERAM is online and working
Logical Acknowledgement (LAM)	Computer acceptance of a message, including an ASM

Table 3-I. ERAM ARTCC/Non-US ACC Interface Management Messages

#### **3.2.2.3.1 Information Units**

The basic units of information exchanged on this interface are ATM messages and Interface Management messages. A particular ERAM at an ARTCC and a particular Non-US ACC system that are exchanging messages are referred to as partners.

The frequency of ATM messages is variable. Interface Management messages will be exchanged whenever the interface is to be activated or deactivated or to confirm that a partner is online.

3.2.2.3.1-a: Both communicating ERAM ARTCC/Non-US ACC partners shall support a maximum message size, excluding the header, of 1800 bytes.

3.2.2.3.1-b: Before transmission, both communicating ERAM ARTCC/Non-US ACC partners shall prepend a four byte message header, containing the length of the message minus the header, in uppercase ASCII hexadecimal characters, i.e., characters '0' through '9' and 'A' through 'F', to every message.

#### 3.2.2.3.2 Information Code

3.2.2.3.2-a: Both communicating ERAM ARTCC/Non-US ACC partners shall encode all data between them in accordance with American National Standards Institute (ANSI) X3.4, ASCII and ANSI X3.41, Code Extension Techniques for Use with the 7-Bit Coded Character Set of ASCII.

#### 3.2.2.4 Relationship Among Messages

Session Layer Interface Management messages are used to start, stop, or monitor a Session between an ERAM and a Non-US ACC system. Interface Management messages include request and response messages. A correlating response message is expected, within a specified timeout period, for each request message.

Once a Non-US ACC system has established a TCP/IP connection then either or both sides of the interface can initiate a Session.

The Session state diagram, showing the interrelationship of the Interface Management messages and the impact of transition stimuli on the state of the interface is presented in

Figure 3-3.

3.2.2.4-a: If a site wants to initiate a session, the system shall send an IRQ message.

3.2.2.4-b: If a site wants a session to exist, and the system receives an IRQ message, the system shall respond with an IRS message. If the session is not in the ACTIVE state yet, the system will send an IRQ before sending the IRS response.

3.2.2.4-c: If a site wants to terminate a session, the system shall send a TRQ message.

3.2.2.4-d: Except in the INACTIVE state, if a system receives a TRQ message, the system shall respond with a TRS message.

3.2.2.4-e: In the ACTIVE state, if a system receives an ASM, the system shall respond with a LAM.

3.2.2.4-f: After a specified interval of no message reception in the ACTIVE state, the system that detected the lack of message reception shall transmit an ASM.

3.2.2.4-g: In the ACTIVE state, if a system has not received an LAM response to an ASM within the response timeout period, the system shall send an IRQ and transition to the ACTIVATING state.

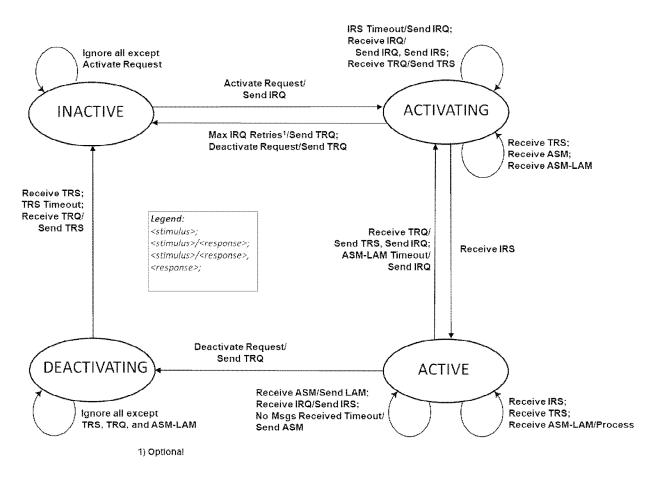


Figure 3-3. ERAM ARTCC/Non-US ACC Session State Diagram with Stimuli and Responses.

#### **3.2.2.4.1 State Transitions**

The following four sections consider the impact of Interface Management messages and other stimuli on the four possible Session states, which are INACTIVE, ACTIVE, ACTIVATING, and DEACTIVATING.

ATM messages, which are not shown on this diagram, are only fully exchanged and processed in the ACTIVE state. In the DEACTIVATING state, only computer response messages to ATM messages (e.g. LAM, and LRM) are sent or received and processed. Computer response messages will not cause a state transition.

The initial Session state is INACTIVE.

The Activate and Deactivate request stimuli represent either a manual intervention by an operator to enable/disable the interface or an automatically generated event upon detection of specific conditions. The interface does not impose any requirements as to whether manual or automatic triggers be used for Activate or Deactivate stimuli.

#### **3.2.2.4.1.1 INACTIVE State**

The only transition from the INACTIVE state is to the ACTIVATING state. The stimulus for this transition is a local 'Activate Request,' which is triggered in the system when the site wants to initialize the interface for ATM message exchange.

A local 'Activate Request' will cause the system at the local site to transmit an IRQ to the other site and then the Session will transition from the INACTIVE state to the ACTIVATING state.

#### 3.2.2.4.1.2 ACTIVATING State

The only transition from the ACTIVATING state to the ACTIVE state is when the system receives an expected IRS.

As an implementation option, it is possible to transition from the ACTIVATING state to the INACTIVE state if the system encounters a maximum number of consecutive IRQ retries in the ACTIVATING state. If this option is implemented, then the system would send a TRQ and then transition to the INACTIVE state. If this option is not implemented, then the maximum retry attempts in the ACTIVATING state becomes unlimited and there would be no transition from ACTIVATING to INACTIVE based on a maximum number of IRQ retries.

A system will ignore a TRS in this state. Also, since the current state is not ACTIVE, a system will not respond to a received ASM.

The impact of a local Deactivate Request is that the system at the local site will send a TRQ and then transition the state from ACTIVATING to INACTIVE. A Deactivate Request is triggered in the system when the site no longer wants to have an initialized interface for ATM message exchange. Until then (and failure conditions aside), the system at the local site will continue attempting to initialize the interface regardless of the state of the system at the partner site.

If an IRS Timeout occurs, the state will remain ACTIVATING and the system that detected the timeout will send another IRQ.

If a system receives a TRQ, it will remain in ACTIVATING state and send a TRS.

If a system receives an IRQ, it will remain in ACTIVATING state and send an IRQ and then an IRS. This additional IRQ is sent because it is possible that the partner site was in the INACTIVE state when the previous IRQ was sent and therefore the IRQ will never be responded to. In that case, just sending an IRS would cause the partner site to transition to ACTIVE allowing it to start sending ATM messages before this site receives an IRS and transitions to ACTIVE where it can process ATM messages. This additional IRQ will prompt the necessary IRS to allow this site to transition to the ACTIVE state.

#### **3.2.2.4.1.3 ACTIVE State**

From the ACTIVE state only a transition to the ACTIVATING or DEACTIVATING state is possible.

While in the ACTIVE state, ATM messages are exchanged and processed.

After a specified interval of no message reception, a system will send an ASM. If a system does not receive a LAM response to the ASM within a specified timeout period, it will send an IRQ to reinitialize the interface and then transition from the ACTIVE state to the ACTIVATING state.

If a system receives a TRQ, it will send a TRS, and then send an IRQ to reinitialize the interface, and then transition from the ACTIVE state to the ACTIVATING state. Receiving an IRS message, TRS message, or LAM message will not cause a transition out of the ACTIVE state.

A system will respond with an IRS to a received IRQ.

A system will respond with a LAM to a received ASM.

If a local 'Deactivate Request' occurs, the system at the local site will send a TRQ and then transition from the ACTIVE to the DEACTIVATING state.

#### 3.2.2.4.1.4 DEACTIVATING State

The only transition from the DEACTIVATING state is to the INACTIVE state.

From the DEACTIVATING state, a system will transition to the INACTIVE state upon receiving a TRQ, upon receiving a TRS, or upon receiving no TRS after a specified timeout period. If a TRQ is received, the system will also respond with a TRS before transitioning to INACTIVE. A LAM response to an ASM message will be processed, but won't cause a state transition.

ATM messages are not sent or processed except that computer response messages to ATM messages (e.g., LAM, and LRM) are sent or received and processed without causing a state transition.

### **3.2.2.5 Quality of Service Requirements**

This IRD contains latency and workload (throughput/frequency) requirements not already specified in the ERAM specifications.

This IRD imposes no requirements for Priority, Urgency, Importance, and Expected Bit Error Rate. RMA requirements are specified in section 3.2.2.2.

#### 3.2.2.5.1 Latency

Message response time requirements are given in NAS–IC–21009205 North American (NAM) Common Coordination Interface Control Document (ICD) Area Control Center (ACC) to ACC, Rev E.

#### 3.2.2.5.2 Design Workload

Design workload varies with Non-US ACC partner.

3.2.2.5.2-a: This interface shall support the ERAM/CAATS interface design workload for the maximum application message rate shown in Table 3-II.

Message Type	Peak ARTCC Rate /minute	Peak Rate for all of En Route/minute	Average message size (Bytes)
CPL	4.88	9.06	383
MOD	3.50	6.50	407
FPL	2.26	4.20	240
CHG	1.13	2.10	210

Table 3-II. CAATS Design Workload

Message Type	Peak ARTCC Rate /minute	Peak Rate for all of En Route/minute	Average message size (Bytes)
EST	2.12	3.94	164
CNL	0.07	0.13	155
RTI	7.00	13.00	316
RLA	6.65	12.35	124
RTA	7.00	13.00	277
RTU*	92.40	171.60	309
LAM	19.92	36.99	124
LRM	1.40	2.60	149

\*ERAM receives RTUs from CAATS every 10 seconds for 2.2 minutes during handoff. No RTUs are sent from ERAM to CAATS.

### **3.2.2.6 Error Handling Requirements**

The ERAM/Non-US ACC system processes utilize TCP/IP, Interface Management messaging and appropriate manual intervention, when necessary to address interface error situations.

When operational, the ERAM/Non-US ACC Application Processes report the termination of communication to appropriate monitor and control software. Monitor and control personnel are responsible for determining and correcting the cause of a communications failure.

ERAM failures can cause ERAM to drop the TCP/IP connection with a Non-US ACC system and/or to report "down" status of TCP/IP address(es) to the NESG Load Balancing function. The Non-US ACC system detects dropped connections or failed connection attempts in this case. ERAM recovery causes the reporting of "up" status of the potentially new TCP/IP address(es) to which the NESG/FTI directs connection requests from the Non-US ACC system, which must re-connect to ERAM to recover the interface.

Failures affecting the interface without terminating the TCP/IP connection cause ERAM/Non-US ACC system to detect interface inactivity and timeouts of LAM responses to ASM messages. In this case, ERAM or the Non-US ACC system may terminate the connection as part of recovery.

3.2.2.6-a: Upon detection of a fatal interface error with ERAM, a Non-US ACC system shall terminate the existing impacted TCP/IP connections and attempt to establish a new connections.

3.2.2.6-b: Upon receipt of a connection request from a Non-US ACC system for which ERAM already has a connection, ERAM shall drop the already established connection and accept the connection request.

3.2.2.6-c: Once a TCP/IP connection is established after recovery from a fatal interface error, the Non-US ACC system shall attempt to re-initiate a new communication session with the ERAM system, using Interface Management messages, for any session that was interrupted by the interface failure.

#### Re-initializing the interfaces is handled as specified in the state diagram on

Figure 3-3.

#### **3.2.3 Protocol Implementation**

3.2.3-a: ERAM, Non-US ACC systems, and NESG/FTI shall communicate using protocols in accordance with Figure 3-4 with respect to the seven-layer OSI reference model.

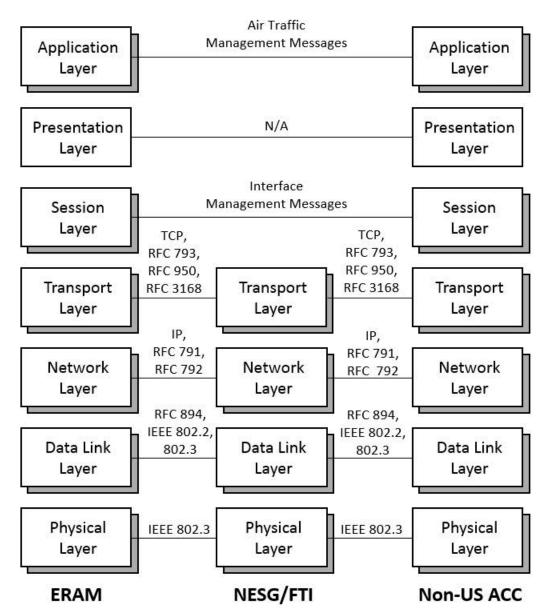


Figure 3-4. OSI Protocol Mapping for ERAM/Non-US ACC Systems

### 3.2.3.1 OSI Layers

3.2.3.1-a: ERAM, Non-US ACC systems, and NESG/FTI routers and switches shall transmit and receive the protocols specified in this document at the OSI levels those devices handle and use for the NAM Direct IP interface.

#### **3.2.3.1.1 Application Layer Services**

ERAM and Non-US ACC systems use their FDP AP to support ATM message transfer at the Application Layer of the OSI model. A communication session (see 3.2.3.1.3) must be established before ATM messages can be exchanged between ERAM and a Non-US ACC system.

#### **3.2.3.1.2** Presentation Layer Services

N/A

#### **3.2.3.1.3 Session Layer Services**

ERAM and Non-US ACC systems use their FDP AP to support Interface Management message transfer at the Session Layer of the OSI model. See discussion of Session Layer messages in 3.2.2.4.

#### **3.2.3.1.4 Transport Layer Services**

ERAM, NESG/FTI, and Non-US ACC systems will implement the TCP protocol at the Transport Layer as specified in RFC 793 and as amended in RFC 950 and RFC 3168 over the IP-based interfaces between an ERAM and NESG/FTI, between NESG/FTI and Non-US ACC systems, and between ERAM and Non-US ACC systems.

#### 3.2.3.1.5 Network Layer Services

The interfaces between the ERAM, NESG/FTI, and Non-US ACC systems will use the Internet Protocol and Internet Control Message Protocol (ICMP) in accordance with IETF RFC 791 and IETF RFC 792.

#### **3.2.3.1.6 Data Link Layer Services**

The data link layer between ERAM, NESG/FTI, and Non-US ACC systems will be in accordance with RFC 894 or IEEE 802.2, and IEEE 802.3.

#### 3.2.3.1.7 Physical Layer Services

The physical layer between the ERAM, NESG/FTI, and Non-US ACC systems will be in conformance with IEEE 802.3.

There will be at least two diverse, physical circuits for communicating between ERAM and each NESG/FTI interface point and between Non-US ACC systems and their NESG/FTI interface points. The diverse physical circuits will be ordered with avoidance.

#### 3.2.3.2 Naming and Addressing

3.2.3.2-a: IP addressing between ERAM, NESG/FTI, and Non-US ACC systems shall be in accordance with FAA-STD-042B.

## **3.3 Physical Requirements**

3.3-a: The physical requirements for the interface between ERAM/Non-US ACC systems and the NESG/FTI shall be implemented as described in the following subsections.

### **3.3.1 Electrical Power and Electronic Requirements**

Electrical connection requirements for the Ethernet interfaces between the ERAM/Non-US ACC systems and the NESG/FTI are specified in IEEE 802.3.

Power for the ERAM interface will be in accordance with FAA-G-2100H, section 3.1.1.9.

### 3.3.1.1 Connectors

For Ethernet connections, ERAM/Non-US ACC systems will provide cables with standard RJ-45 connectors for attachment to the NESG/FTI. These connectors will be secured by means of a tab on the connector which mates with the jack, thereby preventing improper attachment and preventing detachment during normal movement of the unit. Connector wiring will be as specified by EIA/TIA connector wiring specification ANSI/TIA/EIA-568-B.1.

### 3.3.1.2 Wiring/cabling

Cabling between ERAM/Non-US ACC systems and the NESG/FTI will conform to the specifications of the IEEE Ethernet LAN standard 802.3. All cabling will be at least Category 5 (commonly referred to as 'Cat 5'), with the connector wiring as specified in ANSI/TIA/EIA-568-B.1. These systems will connect by means of metal-conductor cabling. Point-to-point cable length for 10BaseTX/100BaseTX connections will be less than or equal to 100 meters. All metal-conductor cabling will comply with FAA Order 6950.22, Maintenance of Electrical Power and Control Cables.

### 3.3.1.3 Grounding

Within the electrical interfaces, grounding will comply with FAA-G-2100H, section 3.1.1.9.

#### 3.3.1.4 Fasteners

This IRD imposes no explicit requirements for fasteners.

#### **3.3.1.5** Electromagnetic Compatibility

This IRD imposes no explicit requirements for Electromagnetic Compatibility.

# 4. QUALITY ASSURANCE PROVISIONS

The following sections describe the quality assurance provisions for the ERAM/Non-US ACC system interfaces.

## 4.1 Responsibility for Verification

For ERAM the FAA has responsibility for developing and implementing the verification of requirements for each project. The government may delegate verification activities to other organizations, independent contractors, and/or the major prime contractor.

4.1-a: The Test and Evaluation process guidelines within the Acquisition Management System (AMS) shall be used and tailored, as necessary, for the levels and methods of verification in the Verification Requirements Traceability Matrix (VRTM).

Testing is done in cooperation with the party responsible for the Non-US ACC system.

## **4.2 Special Verification Requirements**

4.2-a: The special verification requirements shall include, but not be limited to those defined in the following paragraphs.

### 4.2.1 ISO Conformance

4.2.1-a: Any protocols used in this IRD shall demonstrate ISO conformance using a test method or certification that is approved by the FAA.

### 4.2.2 ISO Interoperability

4.2.2-a: Any protocols used in this IRD shall demonstrate ISO interoperability using a test method or certification that is approved by the FAA.

### 4.2.3 Non-ISO Interoperability

4.2.3-a: Prior to the start of integration level verification, functional interoperability shall be demonstrated at the William J. Hughes Technical Center (WJHTC) System Support Computer Complex, or other appropriate demonstration site.

# 4.3 Verification Requirements Traceability Matrix

4.3-a: Verification shall be in accordance with Table 4-I. Verification levels and methods implemented in the VRTM are defined in the following paragraphs.

	D=Demonstration A=A	nalysis I=Insp	pection T=Test	X=Not Applic	able	
	Verification Phase and Method					
Section	Requirements Paragraph Reference	Subsystem Level	Integration Level	Site Level	Remarks	
2-a	Applicable Documents	Х	Х	Х		
3.1-a	General Requirements	Х	Ι	Х		
3.1-b	General Requirements	Х	Ι	Х		
3.1-c	General Requirements	Х	Ι	Х		
3.1-d	General Requirements	Х	Ι	Х		
3.1-е	General Requirements	Х	Ι	Х		
3.1-f	General Requirements	Х	Ι	Х		
3.1-g	General Requirements	Х	Ι	Х		
3.1-h	General Requirements	Х	Ι	Х		
3.1-i	General Requirements	Х	Ι	Х		
3.1-ј	General Requirements	Х	Ι	Х		
3.1-k	General Requirements	Х	Ι	Х		
3.1-1	General Requirements	Х	Ι	Х		
3.2.1-a	General Service Functional Requirements	Т	D	D		
3.2.1-b	General Service Functional Requirements	Т	D	D		
3.2.2.а	Application Process Capability Requirement	D	А	А		
3.2.2.3.1-а	Information Units	Т	D	D, I		
3.2.2.3.1-b	Information Units	Т	D	D, I		
3.2.2.3.2-а	Information Code	Ι	Ι	Ι		
3.2.2.4-а	Relationship Among Messages	Т	D	D, I		
3.2.2.4-b	Relationship Among Messages	Т	D	D, I		
3.2.2.4-с	Relationship Among Messages	Т	D	D, I		

 Table 4-I. Verification Requirements Traceability Matrix

D=Demonstration A=Analysis I=Inspection T=Test X=Not Applicable					
		Verification	n Phase and Me	thod	
Section	Requirements Paragraph Reference	Subsystem Level	Integration Level	Site Level	Remarks
3.2.2.4-d	Relationship Among Messages	Т	D	D, I	
3.2.2.4-е	Relationship Among Messages	Т	D	D, I	
3.2.2.4-f	Relationship Among Messages	Т	D	D, I	
3.2.2.4-g	Relationship Among Messages	Т	D	D, I	
3.2.2.5.1-a	Latency	Т	D	D, I	
3.2.2.5.1-b	Latency	Т	D	D, I	
3.2.2.5.2-a	Design Workload	Т	D	D, I	
3.2.2.6-а	Error Handling Requirements	Т	D	D, I	
3.2.2.6-b	Error Handling Requirements	Т	D	D, I	
3.2.2.6-с	Error Handling Requirements	Т	D	D, I	
3.2.3-а	Protocol Implementation	Х	Ι	D,I	
3.2.3.1-а	OSI Layers	Ι	Ι	Х	
3.2.3.2-а	Naming and Addressing	Ι	I,A	Х	
3.3-а	Physical Requirements	Х	Х	D,I	
4.1-a	Responsibility for Verification	Х	Х	D,I	
4.2-a	Special Verification Requirements	Х	Х	D,I	
4.2.1-a	ISO Conformance	Х	Х	D,I	
4.2.2-a	ISO Interoperability	Х	Х	D,I	
4.2.1-a	Non-ISO Interoperability	Х	Х	D,I	
4.3-a	Verification Requirements Traceability Matrix	Х	Х	D,I	
4.3.1-a	Verification Levels	Х	Х	D,I	
4.3.1.2-a	Integration Levels	Х	Х	D,I	
4.3.1.3-a	Site Levels	Х	Х	D,I	
4.3.2.1-a	Inspection	Х	Х	D,I	
4.3.2.2-a	Demonstration	Х	Х	D,I	
4.3.2.3-a	Analysis	Х	Х	D,I	

#### Table 4-I. Verification Requirements Traceability Matrix

D=Demonstration A=Analysis I=Inspection T=Test X=Not Applicable					
		Verification	n Phase and Me	thod	
Section	Requirements Paragraph Reference	Subsystem Level	Integration Level	Site Level	Remarks
4.3.2.4-a	Test	Х	Х	D,I	

 Table 4-I. Verification Requirements Traceability Matrix

### **4.3.1 Verification Levels**

The three levels of verification are Subsystem, Integration, and Site

4.3.1-a: All requirements imposed by Section 3 and all its subsections of this IRD shall be verified at one or more of these levels.

### 4.3.1.1 Subsystem Level

For subsystems developed under contract, this level of verification is usually accomplished at the contractor's facility and culminates in the formal acceptance of a contractual end-item. For subsystems developed by the government, this level of verification is usually accomplished at the WJHTC, or at a key site.

### 4.3.1.2 Integration Level

This level of verification is conducted at the WJHTC, and/or at a key site.

4.3.1.2-a: The verification conducted shall determine if the hardware, software, or subsystem to be deployed for site installation will perform in a NAS environment and in accordance with NAS system-level operational and functional requirements.

### 4.3.1.3 Site Level

4.3.1.3-a: This level of verification is usually performed at the designated site. The verification portion of the subsystem installation and checkout shall emphasize demonstration of the overall system performance requirements. It includes the demonstration of an end-item, subsystem and/or system, the final acceptance demonstrations, and commissioning activities.

## 4.3.2 Verification Methods

The four verification methods that can be used at any of the three verification levels are as follows.

#### 4.3.2.1 Inspection

4.3.2.1-a: Verification shall be accomplished by a visual examination of the item, reviewing descriptive documentation, and comparing the appropriate characteristics with predetermined standards to determine conformance to requirements without the use of laboratory equipment or procedures.

### 4.3.2.2 Demonstration

4.3.2.2-a: Verification shall be accomplished by operational, adjustment or reconfiguration of items performing their design functions under specific scenarios.

The items may be instrumented and quantitative limits of performance monitored, but only check sheets rather than actual performance data are required to be recorded.

### 4.3.2.3 Analysis

4.3.2.3-a: Verification shall be accomplished by technical or mathematical evaluation, mathematical models or simulation, algorithms, charts or circuit diagrams, and representative data.

#### 4.3.2.4 Test

4.3.2.4-a: Verification shall be accomplished through systematic exercising of the application item under appropriate conditions, with or without instrumentation, and the collection, analysis, and evaluation of quantitative data.

# **5. PREPARATION FOR DELIVERY**

This IRD imposes no explicit Preparation for Delivery requirements.

# 6. NOTES

## **6.1 Definitions**

N/A

# **6.2 Abbreviations and Acronyms**

А	Analysis (in VRTM context)
ACC	Area Control Center
AMS	Acquisition Management System
A/N	Alphanumeric
ANSI	American National Standards Institute
ANSP	Air Navigation Service Provider
AP	Application Process
ARTCC	Air Route Traffic Control Center
ASCII	American National Standard Code for Information Interchange
ASM	Application Status Monitor
ATL	Atlanta NEMC
ATM	Air Traffic Management
BEP	Back-End Processor
CAATS	Canadian Automated Air Traffic Management System
CCLD	Core Capability Limited Deployment
CD-2	Common Digitizer-2
CD-ASR	Common Digitizer-Airport Surveillance Radar
CHG	Change
CNL	Cancel
CPL	Current Flight Plan

CSMA/CD	Carrier Sense Multiple Access with Collision Detection
D	Demonstration (in VRTM context)
DCNS	Data Communications Network Service
DR&A	Data Reduction and Analysis
DSP	Departure Spacing Program
ECG	En Route Communications Gateway
ECN	Explicit Congestion Notification
EIA	Electronic Industries Alliance
ENP	ERAM National Processing
ERAM	En Route Automation Modernization
EST	Estimate
FAA	Federal Aviation Administration
FBWTG	Federal Aviation Administration Bulk Weather Telecommunications Gateway
FDIO	Flight Data Input/Output
FDP	Flight Data Processing (ERAM subsystem)
FEP	Front End Processor
FIS	Flight Information Service
FPL	Filed Flight Plan
FTI	FAA Telecommunications Infrastructure
GFE	Government-Furnished Equipment
HCS	Host Computer System
Ι	Inspection (in VRTM context)
IACC	Instituto de Aeronáutica Civil de Cuba
IDAC	Instituto Dominicano de Aviacion Civil
ICAO	International Civil Aviation Organization
ICD	Interface Control Document

ICMP	Internet Control Message Protocol
IEEE	Institute of Electrical and Electronic Engineers, Inc.
IETF	Internet Engineering Task Force
IFDT	Interfacility Data Transfer
IP	Internet Protocol
IPOP	Intermediate Point of Presence
IRD	Interface Requirements Document
IRQ	Initiate Request Message
IRS	Initiate Response Message
ISO	International Organization for Standardization
LAM	Logical Acknowledgement Message
LAN	Local Area Network
LRM	Logical Rejection Message
M&C	Monitor and Control
NADIN	National Airspace Data Interchange Network
NACC	North American, Central American and Caribbean
NAM	North American
NAM ICD	North American (NAM) Common Coordination Interface Control Document (ICD) Area Control Center (ACC) to ACC, Rev E
NAS	National Airspace System
NBPS	NAS Boundary Protection System
NESG	NAS Enterprise Security Gateway
NEMC	National Enterprise Maintenance Center
NEXRAD	Next Generation Radar
NWSTG	National Weather Service Telecommunications Gateway
OSI	Open Systems Interconnection

PGW	Protocol Gateway
PSN	Packet Switched Network
RLA	Radar Logical Acknowledgement
RMA	Reliability/Maintainability/Availability
RFC	Request For Comment
RFW	Router Firewall
RTA	Radar Transfer Accept
RTI	Radar Transfer Initiate
RTU	Radar Track Update
SBS	Surveillance and Broadcast Services
SDP	Service Delivery Point
SDS	SkyDataSentry
SICD	Software Interface Control Document
SLC	Salt Lake City NEMC
SSD	System Specification Document
STARS	Standard Terminal Automation Replacement System
SWIM	System-Wide Information Management
Т	Test (in VRTM context)
ТСР	Transmission Control Protocol
TCP/IP	Transmission Control Protocol/Internet Protocol
TDLS	Tower Data Link Services
TIA	Telecommunications Industry Association
TIMS	Tower Information Management System
TRQ	Terminate Request Message
TRS	Terminate Response Message
URET	User Request Evaluation Tool

VPN	Virtual Private Network
VRTM	Verification Requirements Traceability Matrix
WARP	Weather and Radar Processor
WINS	Weather Information Network Server
WJHTC	William J. Hughes Technical Center
WMSCR	Weather Message Switching Center Replacement
XML	Extensible Markup Language
Х	Not applicable (in VRTM context)
ZLC	Salt Lake City ARTCC
ZTL	Atlanta ARTCC