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CADENA: CONTINGENCY PLANNING AND RESPONSE

For: ICAO NAM/CAR/CONT/3

Date: May 9-11, 2023

By: CADENA Team - Al Castillo and Midori Tanino

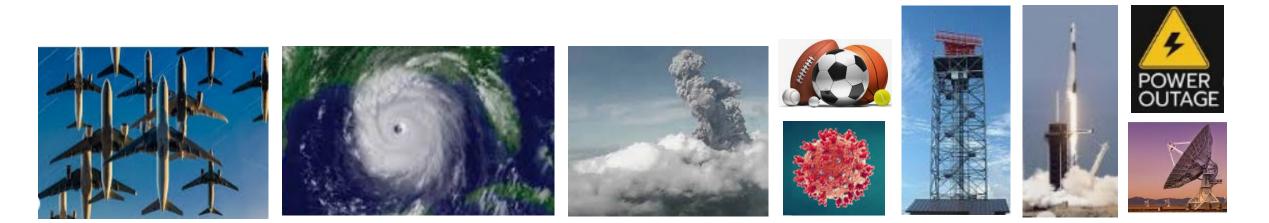
Key Points

- Collaboration among stakeholders during a contingency event is a MUST
 - Collaboration starts with information sharing
 - YOU are the stakeholders
- All stakeholders must always be prepared for contingency events
- CADENA has proven its effectiveness during contingency events
 - Use CADENA contingency procedures, when applicable



CADENA: Contingency Planning and Response

- CADENA has gained extensive experience in dealing with disruptions to Air Traffic operations in the LAC region since 2016
- CADENA understands the importance of preparing for disruptions and gathering and sharing operational information





No Country Left Behind (NCLB)

The **No Country Left Behind** (NCLB) initiative highlights ICAO's efforts to assist States in implementing ICAO Standards and Recommended Practices (SARPs). The main goal of this work is to help ensure that SARP implementation is better harmonized globally so that <u>all</u> States have access to the significant socio-economic benefits of safe and reliable air transport.

The NCLB effort also promotes ICAO's efforts to resolve Significant Safety Concerns (SSCs) brought to light through ICAO's safety oversight audits as well as other safety, security and emissions-related objectives.





Included in PfA to Annex 11 and PANS-ATM Doc 4444

(Annex 11) 3.7.5.1 States shall ensure that an air traffic flow management (ATFM) service is established and that it supports cross-border ATFM collaboration.

(Annex 11) 3.7.5.3 The appropriate ATS authority shall determine the capacity of the air traffic control services in the form of declared capacity and operational capacity for the following: a) all controlled airspace; b) all controlled aerodromes included in the regional air navigation plan; and c) other controlled aerodromes identified by the State.

(Doc 4444) 3.2.1.2 The appropriate ATS authority shall establish ATFM unit(s) and designate the area(s) of responsibility.

(Doc 4444) 3.2.1.5 Flow management positions should be established for each appropriate ATC unit to support ATFM units and to ensure proper conduct of ATFM activities.

Operational Coordination and Information Sharing

CADENA became operational quickly and all ANSPs/airlines can participate with a small investment

- 2016 Jun Established
- 2016 Aug 1st CADENA meeting
- 2016 Dec Started regional ATFM/CDM Operational Planning Web Conferences
- 2017 Aug Initial version of the CADENA Operational Information System (OIS) launched



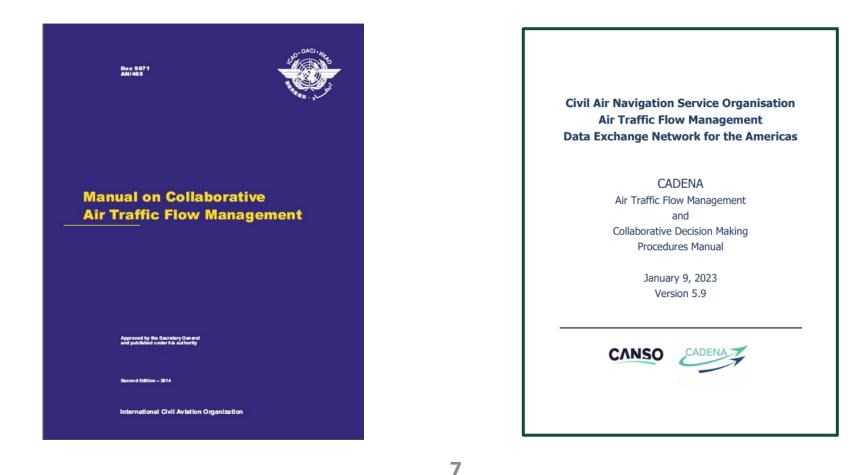
You need only:

- 1. Computer
- 2. Internet Access
- 3. Human Resource



In Concert with ICAO

CADENA is designed to implement the processes and procedures from ICAO DOC 9971



Contingency Events

15 Contingency Events

ACCs

- Evacuation
- Radar failure
- A/G communication failure
- Telephone or landline failure
- Power failure
- FDPS failure
- ATFN outage
- Staffing shortages
- Work stoppages (strikes)

Severe weather / natural phenomena

- Hurricanes / tropical storms
- Volcanic eruption
- Earthquakes

Airports

• Aircraft accidents / incidents

FMU outages

FMU services not available

Off-Nominal (Unusual) Events

Off-Nominal event



Information Gathering and Procedures

- A description of potential events that can disrupt air traffic operations
- A checklist of initial ATFM/CDM steps for responding to a disruptive event
- The process for evaluating the effectiveness of ATFM measures during an event and for adjusting them throughout the event
- The ATFM/CDM-related steps necessary to recover from a disruptive event
- The CADENA points of contact, roles, and responsibilities
- The lessons learned documentation and post-event reports



ANSP Contingency Form - Supports Information Gathering

CANSO CADENA								
ANSP CONTINGENCY FORM								
Impacted Fa	cility / Sector:			_				
REF #:								
Type of Con	tingency							
Communic	ation 🗆 Fac	cility 🗆 Sur	rveillance	□Staffing	□Other			
Detail								
Click here to	enter text.							
Traffic Management measures								
□ Miles-in-tr	ail (MIT)	□м	Minutes-in-trail (MINIT)		Re-routing			
🗆 Fix Balanci	ing	🗆 Le	vel Capping		Tunnelling			
🗆 Airborne H	lolding	🗆 Gr	ound Delay Pro	gram (GDP)	□ Ground Stop (GS)			
Airspace F	low Program (Af	FP)						
Detail								
Click here to	enter text.							
FIRs Affecte	d							
TTZP	🗆 TJZS	□ svzm	□ TNCF	□ MDCS				
MTEG KZMA KZWY KZHU MUFH								
🗆 МКЈК	MMFR MHCC MPZL SKEC							
□ SKED	SARR SACF SAEF SAVE							
Start Time								
Click here to enter text.								

End Time

Click here to enter text.

ANSP Contingency Form – used to help collect pertinent contingency event information and to display all of the available information in one form

Enter the Contingency Form via CADENA OIS

- Impacted Facility/Sector •
- Type of Contingency •
- **Traffic Management Measures** ۲
- **FIRs** Affected \bullet
- Start Time and End Time

Entered Contingency Form is publically shared via CADENA OIS



CADENA Advisory

		ies						
ID	ANSP / Airline	Туре	Start Date	End Date	Summary	Reason		
988	SENEAM	FYI	02/MAY/2 023 05:00	05/MAY/2 023 11:50	MMMX RWY CLOSURE	MAINTENANCE	Details	^
987	SENEAM	FYI	03/MAY/2 023 16:30	03/MAY/2 023 17:00	MMMX RWY CLOSURE	ROUTINE REVISION	Details	
976	ATO	FYI	04/MAY/2 023 07:19	10/MAY/2 023 09:28	SpaceX Starlink 5-6 Rocket Launch	SpaceX Starlink 5-6 Rocket Launch	Details	
975	ATO	FYI	28/APR/20 23 21:12	04/MAY/2 023 23:28	SpaceX mPower-B Rocket Launch	SpaceX mPower- B Rocket Launch	Details	
961	ATO	FYI	28/APR/20 23 21:12	04/MAY/2 023 22:59	SpaceX mPower-B	Planned Rocket Launch, Kennedy Space Station FL	Details	
960	ATO	FYI	26/APR/20 23 23:24	03/MAY/2 023 01:18	SpaceX ViaSat 3.1	Planned Rocket Launch, Kennedy Space Center FL	Details	

- Advisory is entered via the CADENA OIS
 - Advisory Type: Urgent or FYI
 - Start Time and End Time
 - Summary
 - Reason
 - Details including NOTAM(s)
- Entered Advisory is publically shared via CADENA OIS
- Email push notification is sent, if registered



Contingency Events and Checklists

1: Evacuation of an ACC	Impacted Facility: ACC (Name)				
Initial ATFM Action	Follow-Up ATFM Actions				
 Whichever FMU receives the information first, notify your adjoining FMUs 	 Notify CADENA and ICAO leadership as soon as possible Gather information on: 				
 Stop departure traffic under your FMU's jurisdiction that is filed to/through the impacted ACC's airspace 	 How long is the ACC expected to be out of service? What is the impact to demand and capacity? Have aircraft diverted? 				
 Coordinate airborne holding, if necessary Coordinate re-routes for existing, airborne traffic, if 	 If so, how many and to which airports? CADENA leadership will, as soon as possible, schedule and conduct a CADENA CDM web conference, including airspace users, to relay available information and establish next steps 				
 Notify stakeholders, as able 	NOTE: The situation may require scheduling a daily webex for the first few days of the event				
 Post an Urgent Advisory on the CADENA OIS 	 For a potential long-term evacuation, discuss and coordinate alternate routes 				
	 Evaluate potential demand on the alternate routes and coordinate TMMs, as applicable 				
	 Ensure the appropriate NOTAM is issued and updated 				

Contingency Events and Checklists -mitigate the impact of such events through a ready-reference checklists when the unexpected happens. They describe the initial and follow-up ATFM actions that the impacted ANSPs should take depending on the event.



CADENA Ad Hoc Web Conferences

CADENA Ad Hoc Web Conferences allow participating ANSPs and stakeholders to discuss and work on solutions to disruptive operational issues

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- Any CADENA member can initiate and host the CADENA Ad Hoc Web Conference
- Responsibilities of Ad Hoc Web Conference Host and participants are described in the CADENA Procedures Manual section 3.4
 - Pre-conference responsibilities
 - Conference responsibilities
 - Post-conference responsibilities
- Use Virtual Support Team (VST) for support





Contingency Event Training

Our goal is to train like we respond!

- Operational and personnel safety come first!
- Ensure good communication and teamwork among all the stakeholders.
- Use the capabilities of the CADENA VST.
- Use the procedures in the CADENA ATFM/CDM Procedures Manual.
- Use the capabilities in the CADENA OIS, Flight Radar 24, and CADENA WhatsApp.

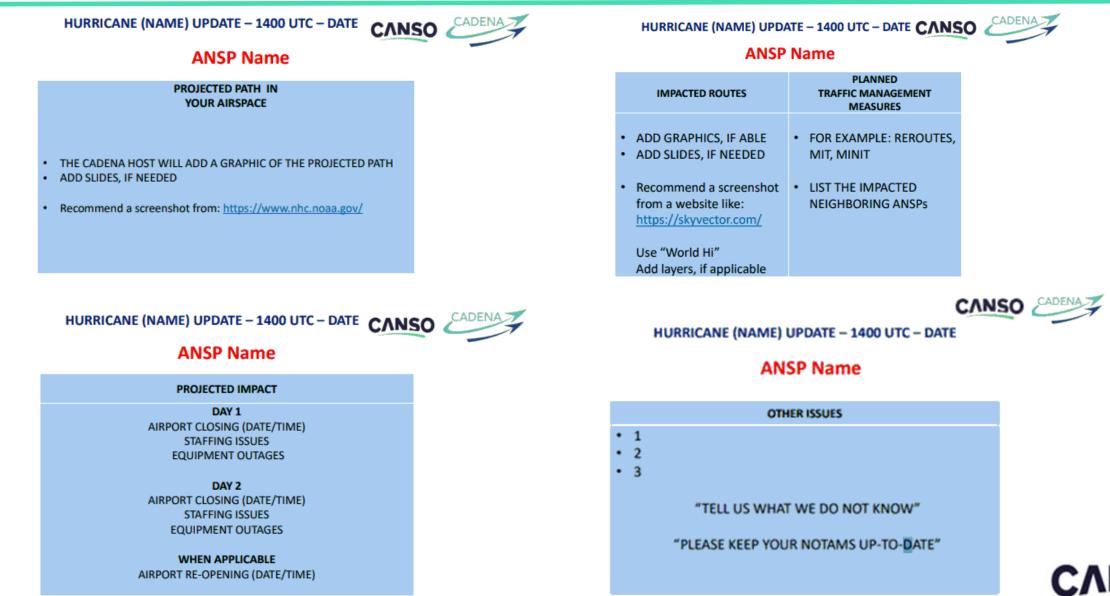


CADENA ATFM and CDM Procedures Manual consists of sections that describe contingency event handling and contingency related forms and checklists.

- CADENA offers annual hurricane training every spring (since 2017).
- CADANA also offers quarterly contingency training.
- CADENA's contingency training is realistic and scenario-based and ANSPs, airlines, and other stakeholders
 play their roles to ensure that they became proficient in the procedures.



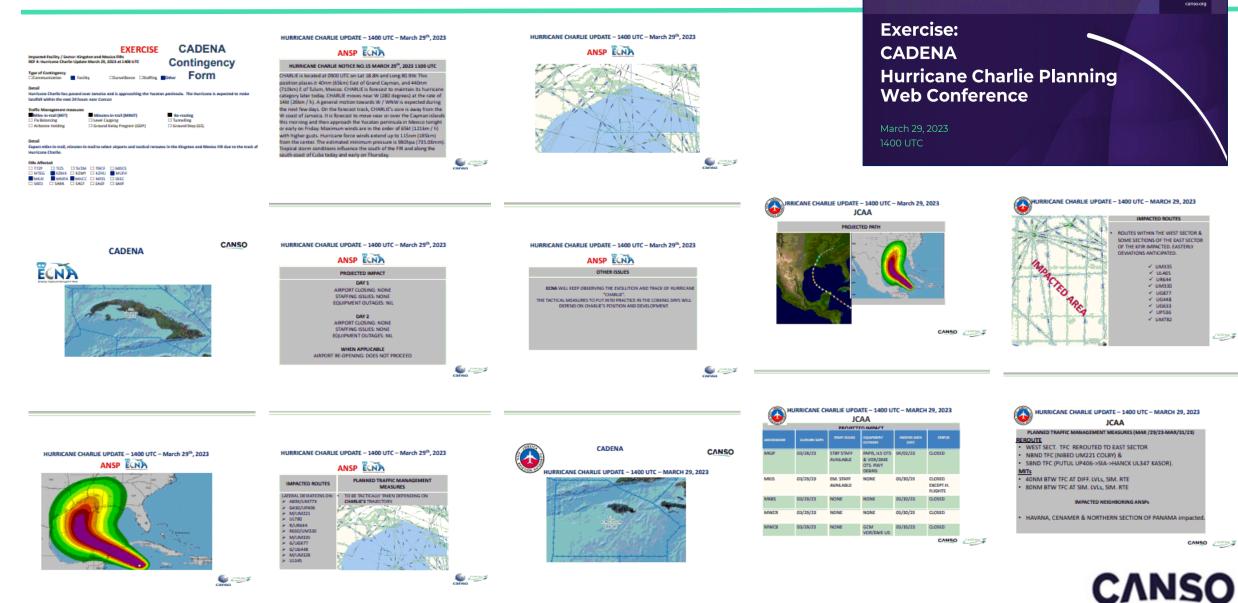
Hurricane Briefing Template



Hurricane Event Contingency Training

CANSO LATIN AMERICA AND CARIBBEAN

SHAPINO OUF FUTURE SKIES



How CADENA Built a Collaborative Communication Environment





Regional Operations Plan

UP525 SINID.

Urgent Advisory NONE

✤ JCAA Jamaica: 03/May/2023 12:44

Anticipated Demand Information MEDIUM

TMM Planned AIC 02/23 ALL TRAFFIC DEPARTING MIAMI DESTINED BOGOTA SHALL ROUTE IN KINGSTON FIR VIA EMABU

Weather SIGNIFICANT FEATURE: A WEAK HIGH-PRESSURE

MORNING MAINLY SUNNY, THIS AFTERNOON PARTLY

THE ISLAND: THIS MORNING ...: FEW022 THIS AFTERNOON. SCT020 SCT035 WINDS AND TEMPERATURES (NO DATA

AVAILABLE) AERODROME FORECAST TAF MKJP 031115Z 0312/0412 36005KT 9999 FEW022 BECMG 0313/0315 15022KT BECMG 0401/0403 12012KT BECMG 0405/0407 36005KT = TAE MKJS 031115Z 0312/0412 14004KT 9999 FEW022 BECM0 0314/0316 05015KT SCT020 SCT036 BECMG 0401/0403 VRB02KT FEW022= KINGSTON FIR SCT025 SCT050. ISOL SHRA

CLOUDY ACROSS HILLY AREAS AND POSSIBLE SHOWERS ACROSS NORTHWESTERN PARISHES. WINDY ALONG THE SOUTH COAST, TONIGHT:... FAIR, CLOUDS GENERALLY ACROSS

RIDGE IS ACROSS JAMAICA THE RIDGE LINGERS THURSDAY THEREAFTER THURSDAY AF MOVES ACROSS THE ISLAND, 24-HOUE





THE NEXT CADENA PLANNING WEB CONFERENCE WILL BE HELD ON THURSDAY, MAY 4, 2023 AT 1400

CADENA Operations **Planning Web** Conference

CANSO LATIN AMERICA AND CARIBBEAN

MONDAY, April 17, 2023 1400 UTC





SHAPING

OUF FUTUR canso.org

UTC: 03/May/2023 15:29:40

CADENA Operational Information System (OIS)



https://www.cadenaois.org Public domain for read only. Member domain to read and upload information.

Exchange:

- MS documents (Word, PPT, Excel)
- Text, pdf, and picture

Enter:

- ATM Solutions
- Advisories/Notices
- Airport delay
- ATFM Daily Plan
- Calendars
- PASA Route Request

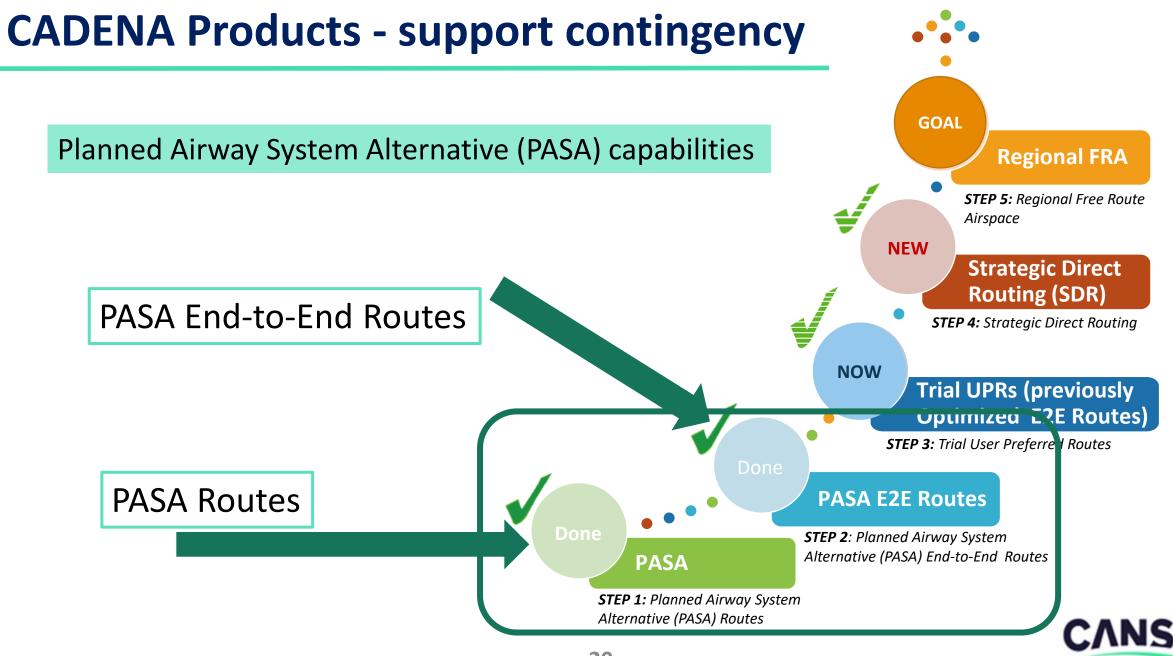
Store:

- Routes (PASA, UPR)
- Forms (Contingency)
- Capacity info (Airport/Airspace)



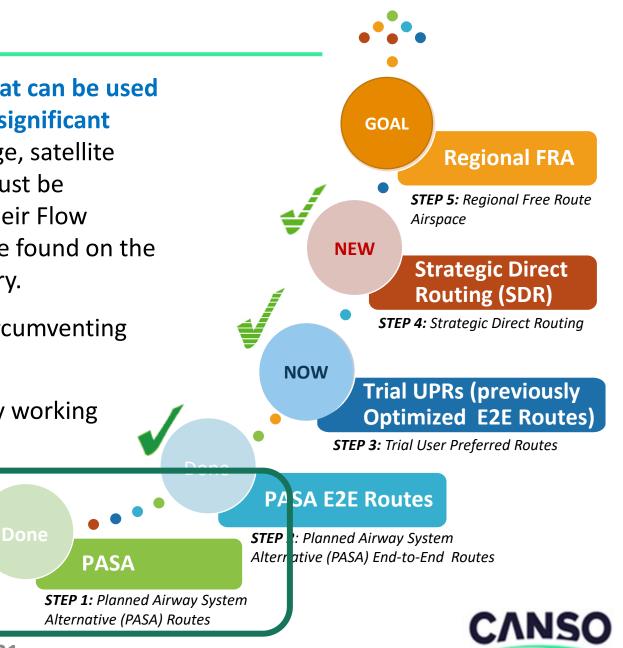
WhatsApp CADENA OPS Group

 Scott Pressley * Do we know what the RWY closure at MMMX is for? 853 PM Joe Hof Checking 854 PM 		•	 Brandon Tal +1 (786) 580-1856 Good afternoon guys we are hearing In the command center that MUHA is closed. Does anyone have any further details? Thank you. Ricardo Martínez González Good afternoon. MUHA is closed due operational reasons, expected time to resume ops 18:30
Mario Hernandez Good morning everybody, this RWY closure at MMMX is done for routine revision purposes only Airport operator do this weekly for both RWYs, one on Tuesdays and the other one on Wednesdays That 30 minutes closure impacts operations deeply because they program same 5 operations during the 1600 to 1700 period. <u>9:12</u> - Scott Pressley = Thank youl <u>9:14 PM</u>	with 20nm in-t	gained limited functi	ionality to our radar processors and are now accepting arrivals g all departures at a minimum of 4mins intervals. 5:47 AM
LINE AND	Mario Hernande Thanks for the By 07/2000Z #2 RED POLYGON: OBSERVED ASHES AT FL300 By 07/2000Z #1 YELLOW POLYGON: ASH DISPERTION FCST AT FL230 By 08/0800Z	update Deano!!! 5:4	Ricardo Martínez González
URROK GMAND TINGET USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN USBAN	H2 YELLOW POLYGON: ASH DISPERTION FCST AT FL300 BY 08/0800Z BLUE POLYGON: ASH DISPERTION FCST AT FL230 AND FL300 BY 08/0800Z GREEN POLYGON: ASH DISPERTION FCST AT FL230 BY 08/1400Z SOURCE: WASHINGTON	the entire FIR. Notam numbe	A NOTAM will be issued where no ATS SERVICE will be provided. Stand by for the er 8:32 PM
IMPORTANT: THIS ILUSTRATION IS MERELY INFORMATIVE AND FOR SITUATIONAL AWARENESS ONLY. SHALL NOT TO BE USED FOR FLIGHT	VAAC ADVISORY NR: 2023/284 AT 07/1923Z NEXT ADVISORY: 08/0130Z IMAGE PROVIDED BY: GOOGLE EARTH	19	Joe Hof CADENA has been advised that a departure from the HAV airport had to return due to an emergency. The flight landed and has been towed off the runway. The airport is now open and operations are returning to normal 1:37 AM



PASA Routes

- PASA Routes are established contingency routes that can be used temporarily to circumvent airspace impacted by a significant event (e.g., major hurricane, complete power outage, satellite outage etc.). The implementation of PASA routes must be coordinated with the appropriate ANSPs through their Flow Management Units prior to use. PASA routes can be found on the CADENA OIS under Information > Reroute Repository.
- PASA Routes mitigate risk the risk involved when circumventing airspace becomes necessary.
- Risk is mitigated during PASA route development by working closely with all ANSPs involved in the route.



CADENA OIS PASA Routes Repository

AVOID ZHU THROUGH ZAB

CANSO

ORIG	DEST	EASTBOUND THROUGH KZMA			CANOA ELF
MEXICO	KMIA AND		AVOID KHU - EAST	BI-DRECTIONAL	CANOA ELUI
	BEYOND	AXOMU UM346 CANOA		ROUTE	
CENAMER	KMIA AND		AVOID KZHU - EAST	BI-DRECTIONAL	
CENAMER	BEYOND	CTM ANIKO CZM UG765 FIS	AVOID KZHO - EAST	ROUTE	Martin Con
MMUN	KMIA AND BEYOND	MMUN NUDAL UV106 FRANT UG765 FIS	AVOID KZHU - EAST		
					C BAR
MMUN	KATL	CUN UJ18 URTOK UG765 MAXIM G765 FIS PLYER JAWJA DAWWN	AVOID ZHU - EAST	INCLUDES ZMA FEEDBACK	
ORIG	DEST	WESTBOUND THROUGH ZMA			KIN MAL
KATL	MMUN	WALET YUESS OTK TEPEE BRDGE RSW KARTR Q81 TUNSL Y196 CANOA UB879 CUN	AVOID ZHU - EAST	WITH SENEAM DATA	
ZMA	МММХ	ENDEW Q81 TUNSL Y196 CANOA UM346 AXOMU UR522 PAZ UJ55 DATUL	AVOID KHU - EAST		1 selle
ORIG	DEST	WESTBOUND THROUGH ZAB			
MEXICO	KZAB AND BEYOND	MOV MRF	AVOID KZHU - WEST		
ZMA	KZAB AND BEYOND	CANOA ELUNI COFRE UM506 SESNO UJ15 MOV UJ2 CUU UJ47 CJS ELP	AVOID KZHU - WEST		

ANOA ELUNI COFRE UM506 SESNO UJ15 MOV UJ2 CUU UJ47 CJS ELP

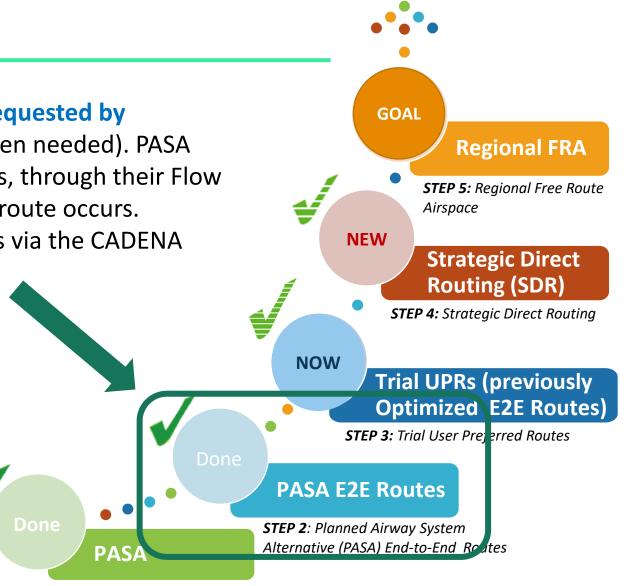


Used during radar outages, hurricane, and ATC Zero events

KZHU = Houston Center Airspace

PASA E2E Routes

- PASA End-to-End Routes are temporary routes requested by airlines/stakeholders on an ad hoc basis (i.e., when needed). PASA End-to-End Routes must be approved by all ANSPs, through their Flow Management Units, in which any segment of the route occurs. Airlines/stakeholders submit these route requests via the CADENA Operational Information System webpage.
- PASA Route risk is mitigated by following a standardized coordination process that involves all impacted ANSPs and by having a centralized point of coordination (i.e. CADENA).



STEP 1: Planned Airway System Alternative (PASA) Routes



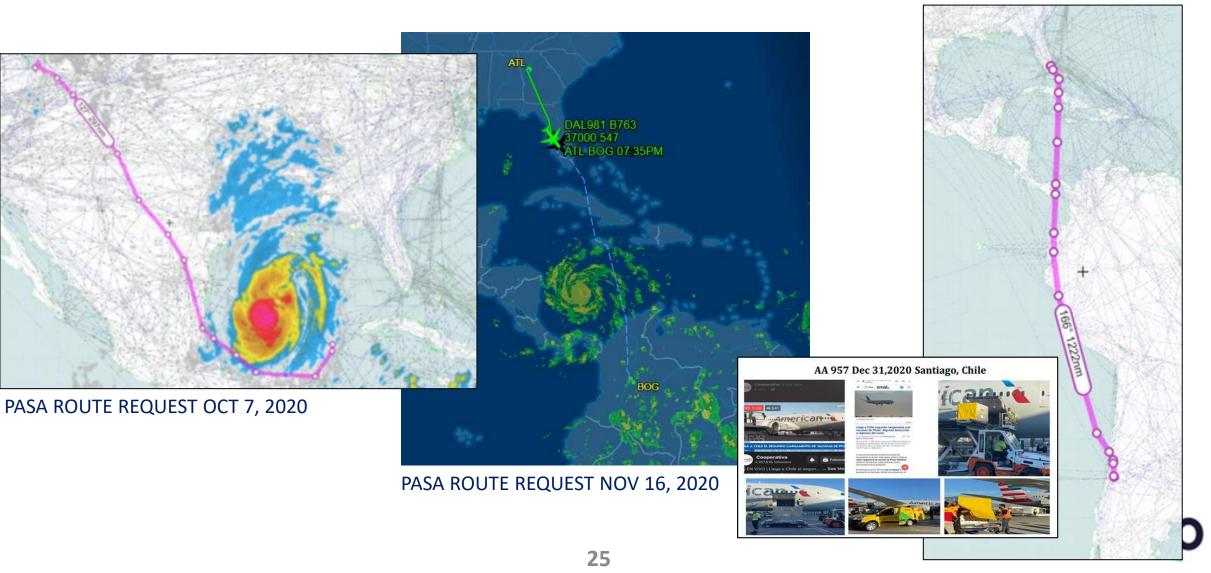
CADENA OIS: PASA E2E Routes

CADENAOIS	🗉 📥 DELTA	Delta Air Lines			Español 🗩 Chat 1	? Help 🔅 Settings 🕞 Logou
Main	 Create new PASA Route Request 					
Weekly Conf						
Hurricane Conf	To ANSP(s) Send to ▼		Requestor		Pair SPJC KATL	En-Route
Contingency Form	Flight # DAL150	Flight Date 22/Apr/2021	Dept Time 22	♦ : 00	♦ UTC	
ADP Link Files	Route SPJC ISREN2F ISREN DCT GYV SHRKS DCT LAIRI DCT LARZZ	Y DCT TINPA DCT LEVOR DCT GCM DO IJEDI2 KATL	CT IKBIX Y183 PEAKY Q87 MATLK Q7	7 Plot	Save as Template Sub	omit
PASA Route Requests	Some locations or airways in the route ISREN They are ignored in the plotting, but the route If you think they are valid locations or airways	request can still be submitted.				
Contact List			The ALL		France Austria	Ukraine
Lessons Learned	No	-+ 6	United Providence	North Atlantic	Spain	eu Romania euigaria Azerbalian
CADENA Manuals	A Pac	ific an	exice uba Currinsia Furna Colormbia E right	Ocean	Mauritania Mauritania Sinegat Burkina Faso Oulnea	Greece Turkey Iraq Iran A Iraq Iran Kowaiti bya Egypt Saudi Arabia Oman chad Sudan Eritrea Yemen Ar Dibout Ethiopia Republic of Kenya
	Papua New Guines Coral Sea Lia	South	Peru Brazil Bolivia Paraguty		outh lantic	the Congo Tanzania gola Zambia Zimbabwe Madagascar _{Mauntion} South Africa



Samples: CADENA PASA E2E Routes

PASA ROUTE REQUEST DEC 31, 2020



Some Statistics (as of March 2023)

CADENA has held 125 contingency ad-hoc CDM web conferences and 9 high-impact Space Launch and Recovery CDM web conferences

	Hurricanes and Tropical Storms	Volcanic	ATC-Zero		Airport incidents	Space launch impact
2017	4		3	1	1	
2018	3	1		6	5	
2019	4		2	3	3	
2020	9		12	1	6	4
2021	7	3	9	9	7	2
2022	6	2	5	5	5	2
2023			1	1	1	1



OIS BENEFITS - Operational Examples La Soufrière Volcano in Saint Vincent and Grenadines

La Soufrière volcano began visibly active in December 2020, before a full-blown eruption on 9 April 2021

Event was Communicated via

- WhatsApp CADENA Ops Group
- CADENA OIS Urgent Advisories and the associated push email notifications
- CADENA Ops Group email notifications
- Weekly Operational Web Conferences
- Piarco ACC updates posted on the CADENA OIS



CADENA Status Update of La Soufrière Volcano

EQUIPMENT

TBPB A0539/21 NOTAMR A0534/21 Q) TTZP/QNMAW/IV/BO/AE/000/99

9/1304N05929W175

A) TBPB B) 2104092325 C)

2104101600

E) DVOR/DME 'BGI' 112.7 MHZ CH74X COMPLETELY WITHDRAWN. DO NOT USE

Status Briefing
uploaded to the
CADENA OISCADENA
UPDATECADENAUPDATECADENAUPDATECADENAUPDATELASOUFRIERE OVERVIEWLASOUFRIERE VOLCANO UPDATE



URDAY, APRIL 10, 2021 DZ LA SOUFRIERE VOLCANO UPDATE – 1400 UTC – APRIL 10, 2021 PIARCO UTA/CTA/FIR GENERAL INFORMATION LA SOUFRIERE VOLCANO UPDATE – 1400 UTC – APRIL 10, 2021 PIARCO UTA/CTA/FIR GENERAL INFORMATION

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REMARKS	1. 1. 2.	DEMAND	Weather
NONE		Low to Medium	VOLCANIC ASH – Affecting large portion of the Piarco UTA/CTA/FIR. Please see SIGMET on next page. No other Significant Weather in the forecast within the Piarco FIR.

CADENA

THE PIARCO FIR

Staffing

Adequate

TMMS PLANNED

REROUTES WILL BE

IMPLEMENTED TACTICALLY

PLEASE REFER TO SLIDE

FOR ROUTE IMPACTS

Space Operations



FAA Space Operations share information, such as:

- ✓ Launch schedules
- ✓ Launch sites
- \checkmark Launch AHAs with coordinates
- \checkmark Re-entry AHAs with coordinates

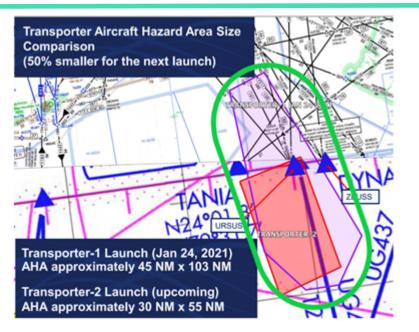
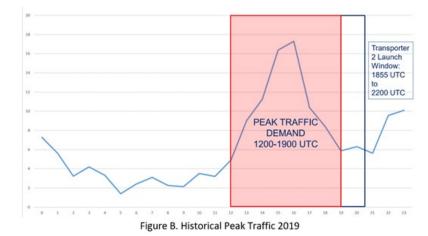


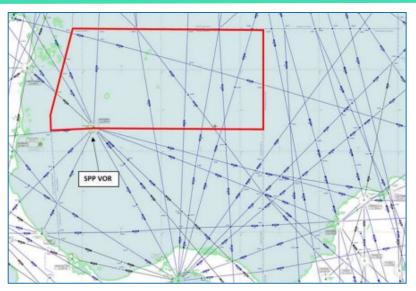
Figure A. Transporter Launch Aircraft Hazard Area (AHA)





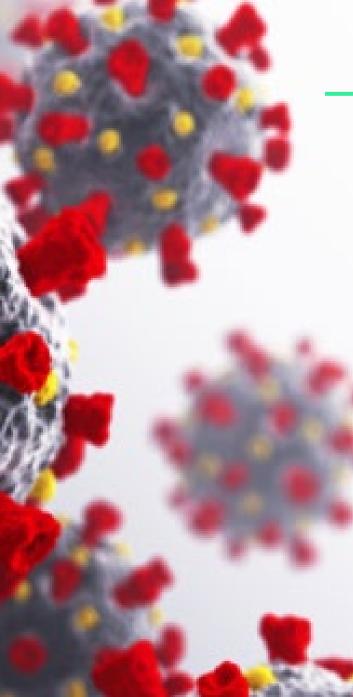
How CADENA helped during the Radar Outage Situation in Panama

- On March 2, 2023, Panama lost the radar signal leading to a surveillance outage in the Panama FIR north sector. Panama issued MINITs to support non-radar operations.
 - \circ $\,$ MINITs caused big issues to neighboring ANSPs.
 - Airlines experience a huge delay.
 - CADENA Contingency Procedure was not followed because Panama is not a participating CADENA ANSP.



- CADENA held Ad Hoc web conferences on March 2, 3, and 6 to facilitate the coordination of reroutes, inform surrounding ANSPs and stakeholders, and provide a forum for questions.
 - Generated the reroutes for airlines to avoid the problem area and coordinated an eastbound route with Colombia and westbound route with COCESNA.
 - Regional teamwork and cooperation was outstanding!
- The radar was returned to service on March 8, 2023.





World Pandemic

COVID Situation

- Display of:
 - ➤The daily COVID-19 operational limitations report
 - Alternate aerodromes information
 - Airline and ANSP outlook for future air traffic operations
- Coordination regarding:
 - ATC-Zero events (ACC closures)
 - ➢ Vaccine Priority Flights
 - ➢PASA Route Requests
- Push email notifications



Power Outage

On the second day of the 9th CADENA RIG Meeting in Miami, FL, February 25-27, 2020 at about 1545 UTC, Fernando Soto received information from COCESNA regarding a power outage at the CENEMER ACC and the associated loss of surveillance and loss of all but two enroute frequencies.

- The CADENA Team immediately used the associated checklists.
- Sent out an invitation to participate in an ad hoc webex on the matter.
- Gathered as much operational information as possible on the outages.
- Hosted an ad hoc webex to brief the stakeholders and answer question.
- The airline representatives provided assistance and operational input from the airlines' perspective.
- Ground stops were coordinated and alternate routes were coordinated.
- When power was restored at CENAMER, the stakeholders were briefed on the ad hoc webex and operations returned to normal at 1800 UTC. Ground stops were released and alternate routes were terminated.





CADENA: Contingency Planning and Response

CADENA information available to you:

- CADENA ATFM/CDM Procedures Manual
- CADENA OIS Manual
- CADENA OIS Usage including PASA routes database
- CADENA Contingency Training Materials





Questions?

Thank You!