

National Transportation Safety Board

Tim LeBaron IIC / US Acc Rep Washington, D.C. tim.lebaron@ntsb.gov 202-314-6371

















National Transportation Safety Board

About the NTSB

Tim LeBaron, IIC / US Acc Rep Washington D.C.

National Transportation Safety Board

5 Board Members + Staff









Chairman Chris Hart V. Chair Bella Dinh-Zarr

Member Robert Sumwalt

Member Earl Weener



People, Places, Modes

- HQ Washington, DC
- 4 Regional Offices
- About 400 employees total
 ≈ 50 HQ investigators
 ≈ 45 general aviation/field investigators
 Accident investigation (all modes)
 Aviation Highway
 Marine Railroad
 Pipeline/Haz Mat Commercial Space



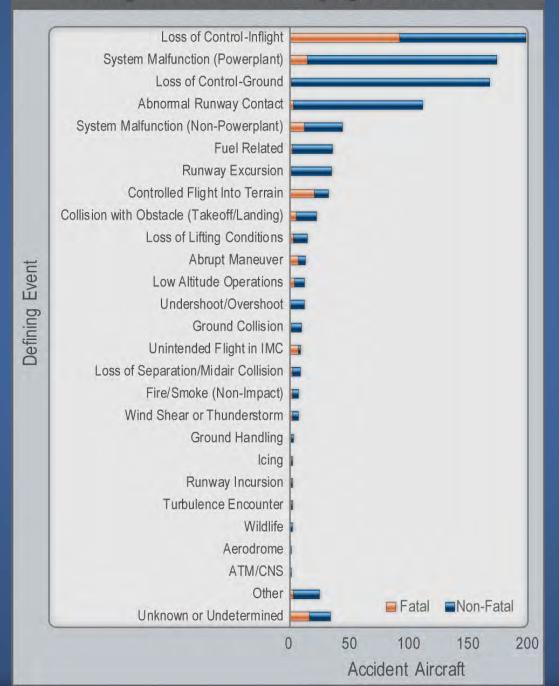
NTSB Aviation Regions



General Aviation Accidents 2003-2012



Defining Event for Personal Flying Accidents, 2012



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Accident Summary for Major Segments of US Civil Aviation CY 2012

Segment	Accidents	Fatal Accidents	Fatalities
Part 121 Air Carriers	27	0	0
Part 135 Commuter and On Demand Carriers	39	7	9
Part 91 General Aviation	1471	273	440
Total US Civil Aviation	1537	280	449

Aircraft Accidents / Incidents

About 1,800 each year
Field Investigators – 40 year
HQ IIC / Acc Rep – 8 / 15 year



Types of Investigations

- There is no investigation
- NA Notification
- SA- May turn into and investigation
- IA Incident Investigation
- CA Known Circumstances Investigation
- LA Limited Investigation
- FA Field Investigation
- MA Major Investigation
- RA International Investigation
- WA International No Launch





National Transportation Safety Board

Questions?



National Transportation Safety Board

How to eat an elephant?





Be prepared before the accident

- A good investigation starts before the crash
- Go bag is packed
 - Medicine
 - Clothes are clean and correct
 - Personal Protective Equipment



Be prepared before the accident

 Backpack is ready Computer Camera • Paper, etc... Business cards Needed forms



My Go-Bag/Prelaunch Checklist

Gadgets:

- Blackberry charger
- Electronics access bag
- □ Laptop power brick, phone cord,
- adapters, mouse,
- □ Flip vid, tripod
- Camera/bag.
- GPS/XM, cables

Personal Documentation:

- Biz cards
- Travel CC
- □ NTSB ID/Neck purse
- Purchase CC
- □ Blue and Red Passports (IDP)
- NTSB badge and 1660
- Inoculations

(Above are bundled with Badge)

- □ Notebook(s)/Pen(s)
- Country Clearance/Visa
- Foreign Currency
- □ Personal Wallet Driver's/CC etc.
- Car window thing, pins, patches

Forms/Documents:

- On-Scene Opening Speech
- □ Party participation form
- □ Risk management matrix
- Party participant matrix (Roster?)
- Go-Team Roster

PPB/Site Safety:

□ Hard hat/gloves/vest/ear protection –

- in plastic box
- Poopy suit/biohazard kit?
- □ Resperator?
- Leatherman
- Sunscreen
- Bug spray
- Rain gear/Rubber Boots

Clothing/Accessories:

- NTSB Hat
- NTSB Windbreaker
- □ Backpack/Fanny pack
- Toiletries
- Boots
- NTSB logo denim shirts
- NTSB T-shirts
- 511 pants
- Regular slacks, shirts
- □ Shorts
- Running stuff/sneaks/footlocker
- □ Sox/unds
- Belts, shoes, tie
- Bottle holder
- Swimsuit/aqua sox

For cold wx

- NTSB Parka
- Gloves
- Long Sox
- Long johns
- □ Fleece Liner
- Headband
- NTSB Sweatshirt
- Cold wx running
- Hand/foot warmers

Additional items:

- Foul weather gear
- □ Waders
- Survival kit
- □ Bars/coffee
- Big knife

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- Call
 - Media
 - ATC or airport operations
 - FAA
- Separating the Wheat from the Chaff
 - Tail was in the water
 - 61 bodies piled up in airplane
 - Cartwheeled Holy smokes, it did!!!
- Others fires, "sputtering", etc. etc.

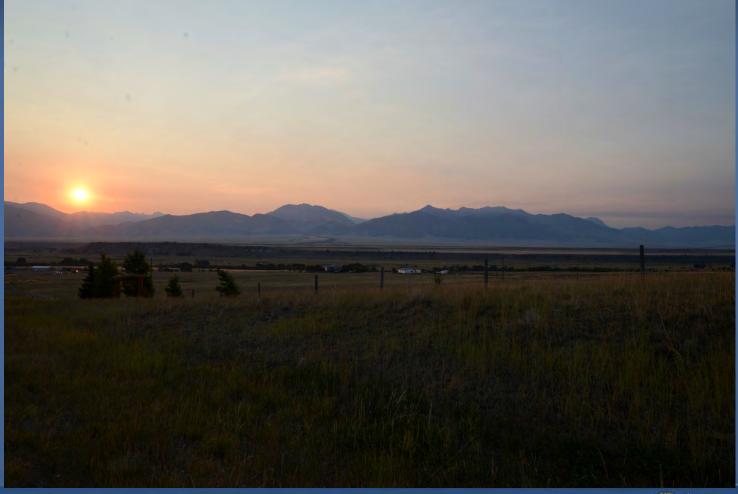


- Often many talking on the phone at once
- Very chaotic
- Easy to forget what to ask
- Hang up with not enough information



ACCIDENT/II	NCIDENT DENT #		CLEPH C#	HONE NOTI	FICATION			
RECEIVED BY:	EIVED BY:				TIME:			
NOTIFICATION FROM:				PHONE:				
FSDO COVERING:	INSPEC	TOR:		PHONE:				
DATE OF ACCIDENT:		TIME OF ACCI	DENT:					
NUMBER OF CREW / PASSEI	NGERS:	1		FATALITIES:	INJURIES:			
AIRCRAFT REGISTRATION:		MAKE/N	AODEL:		PART:			
LOCATION OF ACCIDENT:				COUNTY/PAR	Theat			
DIRECTIONS TO SITE:								
DESCRIPTION OF EVENT:								
LAST POINT OF DEPARTURI	3:-	(K		DATE / TIME:	1			
INTENDED DESTINATION:		(K)	DATE / TIME:	1			
FLIGHT PLAN:	WEATHE	R BRIEF:		ATC:				
DAMAGE:					VERIFIED? Y N			
					FIRE? <u>Y</u> <u>N</u>			
PILOT'S NAME:				AGE:				
CERTIFICATE TYPE / NUMB	ER:	1		FLIGHT TIME	* *			
PILOT'S ADDRESS:								
PILOT'S PHONE NUMBERS: I	Ŧ	W		C				
PHOTOGRAPHS?	ALL FO	OUR CORNERS?		CVR / FDR?				
REMOVAL OF BODIES?		ERRAIN?	_	FUEL ON BOAT				
SECURITY?		DHAZARD?	_	GPS CORD. N	W			
WITNESS STMNTS?	D. 57 8 177	REQUESTED?	_	IMPCT HEAD.	RST HEAD.			
PAX STMNTS? WEATHER?		SY REQUESTED? MEDIA?		RESTING POSI CONTROL CON				

After the initial call – Be Still





First Steps

Connect with local authorities
Secure site, coordinate access
Bodies

- Find a Command Post location
- Sort out the Team who is going?
- Coordinate initial meet-up
- Risk Management Worksheet



Accident Investigation Risk Management Worksheet

MODE OF ACCIDENT Aviation DATE 05/07/20 PLACE Elephant Butte, IIC LeBaron

This worksheet is designed to be filled out by the IIC, or their representative, PRIOR to the accident investigation launch, daily during accident investigations in the field, and for any event not planned for in the daily risk management process. The purpose of the sheet is to aid the IIC in determining the types of risks associated with the launch, during the mission, and during the recovery of all team members to the home station. The front will be used as the hazard assessment, and the rear is for the control plan. Once controls are in place, reduce the risk to the next lowest value. This document may be faxed for signatures and approval.

	Low Risk: 0-20% Chance		Moderate Risk: 20-80% Chance		High Risk: 80-100% Chance		TOTAL	
Team duty hours (Hours awake)	Normal duty 8 hours	1	Extended duty 12 hours	3	Critical duty 16 hours (No duty past 16 hours)	6	Before 1	After 1
Travel Disruption/Mode of Transportation (Add 2 pt. for travel at night)	If travel is less than 6 hours	ł	If travel is between 6 - 10 hours	3	If travel exceeds 10 hours	5	Before 1	After 1
Team Experience	Well Trained	1	Partially Trained	3	Limited Training	5	Before	After
(Assess the team as a whole) Safety Equipment (If respirator used add 1 point)	All proper equipment issued	1	Limited amounts of equipment	3	Insufficient for all team member	6	Before	After
Work Load Requirement	Low stress work load, no protective gear needed	1	Long walk or ride to site, partial PPE Needed	3	Full PPE suit required	5	Before 1	After 1
Fitness Requirement	Similar duties to office work	1	Moderate physical effort or alt. Mode of transport & carrying loads	3	Heavy work load or complex physical work	6	Before 3	After 3
Environment (Add 2 pts. for night or foreign location. Add 3 pts. for Maritime)	No Chemicals, Biting Insect Exposure, Minimal Wildlife	Ŧ	Chemicals Present, Non-Poisoncus Plants, Other Wildlife	3	Hazmat/Toxic, Dangerous Animals, Poisonous Plants	6	Before 6	After 3
Terrain	Flat terrain	2	Hilly Terrain, Swamp	4	Desert Terrain, Mountain Terrain	6	Before 6	6 After
Altitude - Acclimated (Reside in elevations of 5,000 ft & higher - Non-acclimated (Reside in elevations of less than 5,000 ft.	5,000 to 8,000 2,500 to 5,000	1 1	8,000 to 11,000 5,000 to 8,000	3	Over 11,000 Over 8,000	6 6	Before 1	After 1
Weather (Add 3 pts. for working during limited visibility)	Standard day, daytime hours 32* to 85*	1	Less than 32×, greater than 85×	3	Less than 0×, greater than 98×	6	Before 1	After 1
							Before	After
1-12 points, Low Risk IIC Approves	13 to 24 pol IIC/ RD Appr		Moderate Risk Is		5+ points or any High Risks D/Office Director Approves		22	19

Individual Go/No Go (each person gives their own status on ability to do mission) Has each person - been briefed, understand the risks, and feel ready to handle the mission? Yes

Signature of appropriate supervisor: Timothy LeBaron Date

NOTE: Forms must be completed before each launch and each day of the accident investigation. Copies of the form(s) must be given to the office/regional safety representative by the 5th of each month who will forward the forms to AD-10 (OSH Staff). Date Form Completed: 05/07/2011 NTSB Accident ID# CEN11FA312

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Two hour launch window

- Airline Tickets
- Do laundry
- Call party members
- Send ICAO notification
- Camera battery dead
- Pack go bag!!
- Drive to airport





Be Prepared!

- Have important numbers available
- Go bag has been already been packed
- Important forms are in pre-made folder



While traveling to site

- Mind is always going
- What is the first things I'm going to do when I get there
 - Talk to law enforcement
 - Talk to families
 - Talk to media (not press conference at this time)



Assemble for Org Meeting





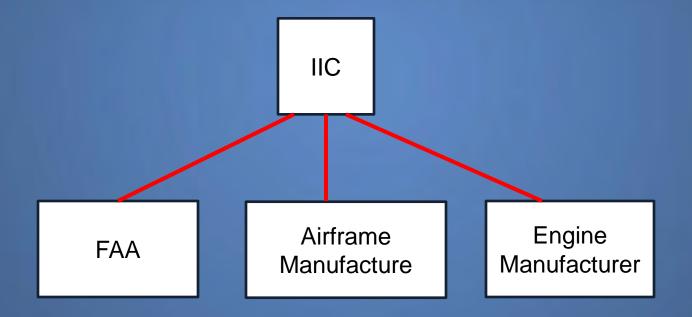


Organizing the Investigation

- The All-Important Org Meeting!
 - ASAP after team arrival
- No lawyers or media
- Share preliminary accident information
 - First responders
- Brief on rules and procedures
- Designate parties, groups
- Resist pressure to rush or skip

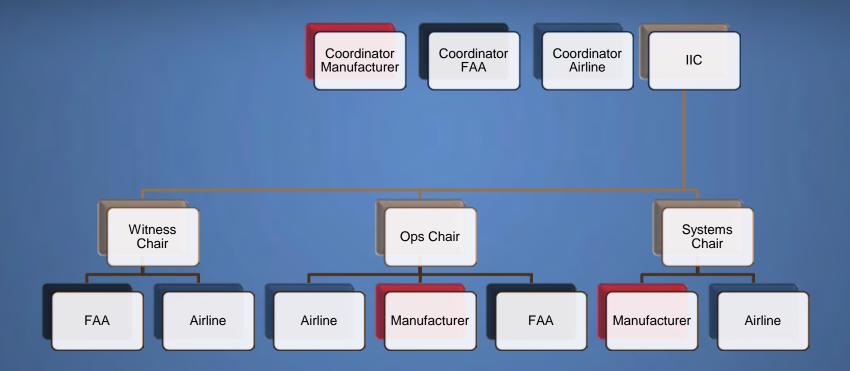


On Scene Organization Chart





On Scene Organization Chart





Document the wreckage site

- Document perishable evidence
- Don't try to figure out everything right now
- Use a checklist



For official NTSB use only -- Not to be completed by non-NTSB personnel

NATIONAL TRANSPORTATION SAFETY BOARD ADMS ON-SCENE DATA COLLECTION FORM

					DATA COL						
BASIC INFORM	ATION										
Accident/Incident Lo Nearest City/Place: ZIP: Latitude:		Accident/Incident Date/Time Date: Local Time: Local Time: mm/dd/yyyy Time Zone:									
		Longitude: degrees minutes: se	conds)			Collision with	Other Ai	reraft: () Midair	OOn-grou	nd ONone
AIRCRAFT INFO	RMATIO	N		_				-	-		-
Registration Number: Manufacturer:						DIFR-Equi	ial Space F	ertified light			21
Model:		Maximum G		at:		lbs					
Serial Number:	2					Weight at Ti					
Year of Manufacture Amateur-Built: OY	es If Yes:	OKit/Plans Ma				Number of S Cabin Crew Sea				ew Seals:	
ON	a (O Original Design				Number of E	ngines;	_			
Category of Aircraft O Airplane Balloou O Bilimp/Dirigible O Glyroplane O Helicopter O Powered Lift O Rocket O Ultralight O Unknown	(Check all Standar Norm Acrob Ballo Comm Trans Utility	that app(x) rd Special al Restric static Limite on Provis nuter Specia port Experi y Specia c of Authorization	Inted (Check all) Inted Tricycli Ided Tricycli Sional Anphil al Flight Emerge rimental I-Float Holl rimental I-the Soort Holl			apply) Letractable	ski/Wheel	ilwheel OTurbo Shafi OS OTurbo Prop OF Shi Skid OTurbo Fan OU Shi Skid OTurbo Fan OU OEsectric irwheel Fuel System Type (Recipro em OCarburetor OF		OLiqe OSoli OHyb ONon OUnk	nown
Engine Engine Manufacturer Model/Series					acturer's Number	Date of Mfg. mm/dd/yyyy	Rated Pos O Horse O lbs of	power or Time			Since: Overhaul (hours)
Eng. 2 Eng. 3											
Eng. 4 Last Inspection Typ OI 00-Hour OCo O AAJP OCo O Annual OUr	Propefler Manufacti Model:			Pitch Propeller 2 OFixed Pitch ollable Pitch OControllable Pit d Adjustable Manufacturer Nodel:							
Date Last Inspection Airframe Total Time hours measured al OLast Inspection Type of Maintenance O Annual O Conditional (Amateu	If Yes: ELT Manufacturer: Model or Part No: TSO No: OC91 (121.5 MHz) OC91s (121.5 MHz) OC126 (406 MHz) Was ELT dill mounted in alternall? OVer ON:					Additional Equipment (Check all that apply) ADS-B Auforme Parachute Anagle of Attack Indicator Autopilot Data Recorder Electronic Flight Bag or Handheld Device Electronic Multifunction Display					
Conditional (Armateur Manufacturer's Inspe- Other Approved Insp Continuous Aitworth Other, specify: Description of Fire F None Specify:	Was ELT Did ELT If activate Did ELT	Was ELT still mounted in aircraft? OYes ONo Was ELT still mounted in aircraft? OYes ONo Did ELT Activate? OYes ONo If activated: Did ELT Activated: Did ELT Aid in Locating Aircraft: OYes ONo If not activated: If not activated: Did ELT Aid in Locating Aircraft: OYes ONo If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did ELT Aid in Locating Aircraft: OYes ONO If not activated: Did Aircraft Aircraft: OYes ONO If not activated: Did Aircraft Aircraft Aircraft: OYes ONO If not activated: Di									

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FIELD ACCID ACCIDENT #	PC						
DATE OF ACCIDEN	T;	TIME OF ACCIDE	ENT:				
AIRCRAFT REGISTI	RATION:	MAKE/MO	DEL:				
DESCRIPTION OF E	VENT:						
LOCATION OF ACC	FDFNT-		ZIP CODE:				
NEAREST AIRPORT			AIRPORT IDENTIFIER				
FIELD ELEV:		LENGTH:	WIDTH:				
RWY CONDITION:	KWT ID,	-DENGTIL	SLOPE:				
AIRPORT MANAGE	R:		50556 50				
ADDRESS:			-				
PHONE:							
EMAIL:							
FAA FSDO:	ADDRESS:						
INSPECTOR:			CELL PHONE:				
EMAIL:			WORK PHONE:				
INSPECTOR:			CELL PHONE:				
EMAIL:			WORK PHONE:				
PARTIES							
AIRFRAME PARTY:			PHONE:				
EMAIL:			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
ENGINE PARTY:			PHONE:				
EMAIL:							
PROPELLER PARTY			PHONE:				
EMAIL:							
OTHER:			PHONE:				
EMAIL:							
OTHER:			PHONE:				
EMAIL:							

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EMAIL:	ie.	
CORNER:		PHONE:
EMAIL:		
INSURANCE:		PHONE:
EMAIL:		
RECOVERY:		PHONE:
EMAIL:		
OTHER CONT.	ACTS	
NAME:		PHONE:
REQUIRED AC	CIDENT DATA:	
AIRCRAFT		
MAKE:	MODEL:	REGISTRATION # :
COLOR:	LAST INSPECTION:	TYPE:
ENGINE		
MAKE:	MODEL:	SERIAL NO .:
HP:	LAST INSPECTION:	TYPE:
PROPELLER		
MAKE:	MODEL:	SERIAL NO .:
	LAST INSPECTION:	TYPE:
ELT INSTALLED?	OPERATED?	ASSISTED?
ELT MAKE:	MODEL:	SERIAL NO.:
LAP BELTS	INSTALLED?	USED?
SHOULDER HARNE	SS INSTALLED?	USED?
NUMBER OF SEATS	?	

ENGINE:	SCENE DESCRIPTION:	
EMPENNAGE:	SCENE DESCRIPTION.	
EMPENNAGE:		
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S. Tan Tana			
PILOT 1 DATA			_
NAME:		INJURIES:	
ADDRESS:			
SPOUSE/FAMILY:			
HOME PHONE:		CELL PHONE:	
WORK PHONE:		OCCUPATION:	
EMAIL:			
CERTIFICATE TYPE	:	CERTIFICATE #:	
INSTRUCTOR?	INSTRUMENT?		
BFR DATE:	BFR TYPE:		
TOTAL TIME:	TIME IN TYPE:		
MEDICAL TYPE:	ISSUE DATE:	RESTRICTIONS:	
PILOT 2 DATA			_
NAME:		INJURIES:	
ADDRESS:			
SPOUSE/FAMILY:			-
HOME PHONE:		CELL PHONE:	
WORK PHONE:		OCCUPATION:	
EMAIL:			-
CERTIFICATE TYPE:	r	CERTIFICATE #:	
INSTRUCTOR?	INSTRUMENT?		
BFR DATE:	BFR TYPE:		-
TOTAL TIME:	TIME IN TYPE:		
MEDICAL TYPE:	ISSUE DATE:	RESTRICTIONS:	
PASSENGERS	NUMBER:		-
NAME:		INJURY:	
ADDRESS:		PHONE:	
NAME:		INJURY:	
ADDRESS:		PHONE:	
NAME:		INJURY:	
ADDRESS		PHONE:	-

WRECKAGE	DIAGRAM

IMPACT COORDINATES:	
LATITUDE:	

and the second state of th	
LONGITUDE:	

LONGITUDE:

RESTING COORDINATES:

LATITUDE:

RESTING HEADING:

IMPACT HEADING:

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WITNESSES	
NAME:	
ADDRESS:	
HOME PHONE:	CELL PHONE:
WORK PHONE:	OCCUPATION:
EMAIL:	
STATEMENT:	
NAME:	
ADDRESS:	
HOME PHONE:	CELL PHONE:
WORK PHONE:	OCCUPATION:
EMAIL:	
STATEMENT:	
NAME:	
ADDRESS:	
HOME PHONE:	CELL PHONE:
WORK PHONE:	OCCUPATION:
EMAIL:	
STATEMENT:	
MANTE.	
NAME:	
ADDRESS: HOME PHONE:	CELL PHONE:
WORK PHONE:	OCCUPATION:
EMAIL:	
STATEMENT:	
STATEMENT.	

Dan Baker 10/30/2008

Interviewing Witnesses

- Set the rules before hand with group
- Use small model aircraft
- Let the person talk
- Be silent
- Smells, sounds



Press Briefings





Press Briefings

Set the rules before you start
Time to get YOUR message out
Have a bridging statement
Use a checklist



(No hypothetical questions please. We are also not going to discuss the cause. We only deal in facts)

1) My condolences to the family and friends of the deceased

2) Why we are here

a) Safety

b) We hope to find a safety issue that we can recommend a safety change to TRY to prevent a tragedy like this from happening again.

3) What we are looking at

a) Man

- b) Machine
- c) Environment

(To assist me with this, I have the following parties participating in the investigation. Cessna, TCM, etc...)

4) How the NTSE process works

- a) Within 5 days the PRELIM (ntsb.gov)
- b) Within 6 months the FACTUAL
- c) Up to a year Safety Board issues the Probable Cause

4) Why we are here

a) SAFETY

b) We hope to find a safety issue that we can recommend a safety change to TRY to prevent a tragedy like this from happening again.

"It's through tragedy that we learn information to make flying safer for us all"

5) Open for questions:

Information needed for press interview

N #	Make/Model	
Year Built		
How many seats	÷	
Engine horsepo	ver	
Time of accide	nt	
Where from and	going	

Progress Meetings

- At the end of every day
- Who attends?
- Who talks?
- First meetings can be quite lengthy
- Group Chairmen report daily findings
- Discussion will be factual not analytical
- Party Coordinator questions



NATIONAL TRANSPORTATION SAFETY BOARD

RELEASE OF AIRCRAFT WRECKAGE

RTI-RELEASE OF AIRCRAFT WR	ECKAGE				
	REGISTRATION NUMBER-N				
	MAKE				
Date of Accident		LOCATION			
TITLE		D	ATE		
Aviation Accident In	vestiga	tor			
eckage.					
TITLE			DATE		
	Date of Accident ompleted its investigation of the aircr te registered owner, or owner's repres TITLE Aviation Accident In r or owner's representative, who has know osition of the wreckage upon that person. eckage.	MAKE Date of Accident ompleted its investigation of the aircraft wreckag registered owner, or owner's representative, for TITLE Aviation Accident Investiga ar or owner's representative, who has knowledge of the osition of the wreckage upon that person.) eckage.	REGISTRATION NUM MAKE Date of Accident LOCATION ompleted its investigation of the aircraft wreckage described a e registered owner, or owner's representative, for appropriate TITLE Aviation Accident Investigator ar or owner's representative, who has knowledge of the disposition of the wreckage upon that person.) eckage.		

Congrats!



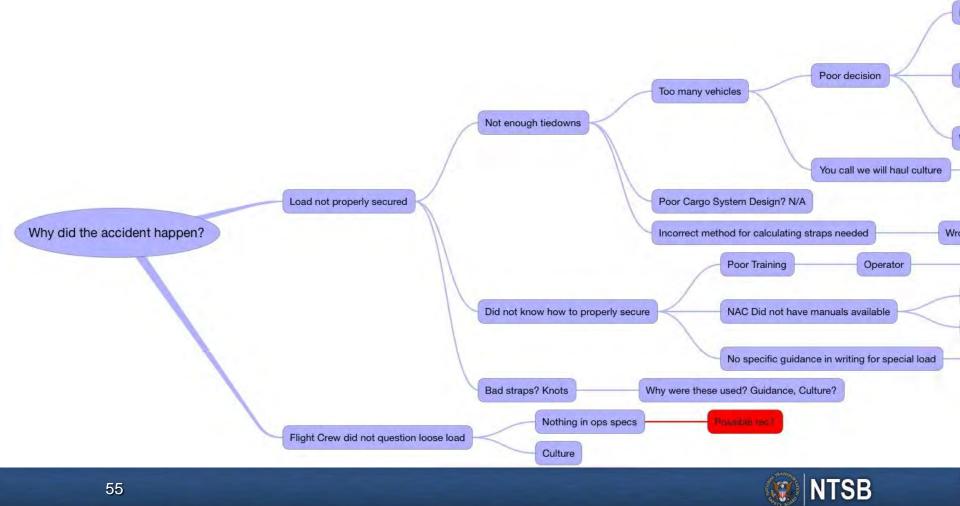


After you leave the site

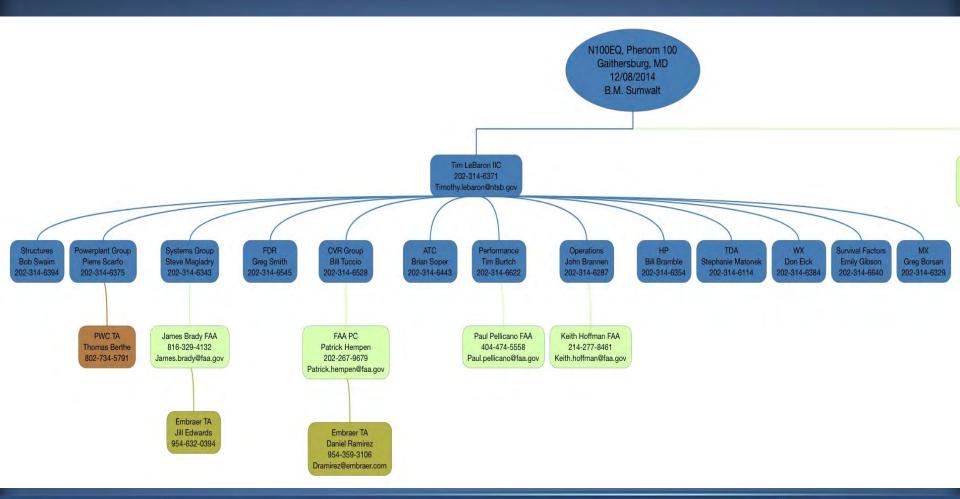
- Component exams
- Metallurgy
- Pilot records
- Aircraft records
- Analyze
- Report writing



Why Map - iThoughts



Mind Map - iThoughts





The Dreaded Report

- Don't reinvent the wheel
- Use a templet
- Use other AIB databases
- www.ntsb.gov
- www.bst-tsb.gc.ca/eng/
- www.bea.aero/en/publications/rappo rts/index.php



DFW_FA__Field Notes ** DRAFT COPY / OFFICAL USE ONLY **

HISTORY OF FLIGHT

On January, , , at central daylight time, a , , was XXXX damaged XXXXX, near XXX, XXXX. The XXX was registered to XXX and operated by XXX, of XXXXX. The XXX pilot and XXX passengers were XXXX injured. XXXX meteorological conditions prevailed and a flight plan was XXX filed for the 14 Code of Federal Regulations Part XXX XXXX flight. The XXX-nautical mile XXXX flight originated from the XXXX, at XXX, and was destined for XXXX.

PERSONNEL INFORMATION

The pilot held a XXXXX pilot certificate with XXXX XXXXXXX ratings, and was issued a XXXX-class medical on XXXX, XX, XXXX, with the limitation of XXXXX.

Review of the pilot's log books revealed XXX had accumulated a total of XXX hours of flight time, of which, XXX were in the accident make/model XXXX.

The pilot's logbooks were not recovered during the course of the investigation. The pilot reported on his most recent medical application that he has accumulated a total time of XXX hours.

AIRCRAFT INFORMATION

The XXXX-model XXXX, serial number XXX, was a XXX wing, semimonocoque design airplane, with a XXXX landing gear, configured for a maximum of XX occupants.

NON TURBINE AIRCRAFT

The airplane was powered by a normally aspirated, direct drive, air-cooled, horizontally opposed, fuel injected', XXXX-cylinder XXXXX engine, rated at XXX horsepower.

According to the airframe and engine logbooks, the airplane's most recent 100hour/annual inspection was on XXXX, with a total time of XXX hours. At the time of the accident, the airframe and engine had accumulated a total of XXXX hours, XXX hours since the last inspection.

METEOROLOGICAL INFORMATION

The closest weather reporting station to the accident site was located at the XXXX (XXX), near XXXX, XXX, approximately XX nautical miles XXX of the accident site. At XXXX, the automated surface observing system at XXX reported wind from XXX degrees at XX knots, visibility XX statute miles, cloud condition XXXX, temperature XX degrees Fahrenheit, dew point XX degrees Fahrenheit, and an altimeter setting of

Doc 9756 AN/965



Manual of Aircraft Accident and Incident Investigation

Part I Organization and Planning

Approved by the Secretary General and published under his authority

Second Edition - 2015

International Civil Aviation Organization

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National Transportation Safety Board

Questions?

Tim LeBaron 202-314-6371 Tim.lebaron@ntsb.gov