



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office

WORKING PAPER

NACC/WG/4 — WP/29
19/03/14

**Fourth North American, Central American and Caribbean Working Group Meeting
(NACC/WG/4)**

Ottawa, Canada, 24 to 28 March 2014

**Agenda Item 3: Follow-up on the NAM/CAR Regional Performance-Based Air Navigation
Implementation Plan (NAM/CAR RPBANIP) Progress
3.3 ANI/WG and other regional group progress reports**

ANI/WG ATFM IMPLEMENTATION TASK FORCE PROGRESS REPORT

(Presented by ANI/WG ATFM Implementation Task Force Rapporteur)

EXECUTIVE SUMMARY	
This working paper presents the progress achieved by the ATFM Implementation Task Force (TF) since its creation in the ANI/WG/1 Meeting. Following the work programme of the Task Force and its deliverables, the note includes the results for these deliverables and recommendation for improving the Task Force function and coordination.	
Action:	The suggested action is presented in Section 3
<i>Strategic Objectives:</i>	<ul style="list-style-type: none">• Safety• Air Navigation Capacity and Efficiency
<i>References:</i>	<ul style="list-style-type: none">• NAM/CAR Regional Performance-Based Air Navigation Implementation Plan (RPBANIP)• First NAM/CAR Air Navigation Implementation Working Group Meeting (ANI/WG/1), Mexico City, Mexico, 29 July to 1 August 2013• ATFM TF teleconferences, webinars and e-mail correspondence

1. Introduction

1.1 During the ANI/WG/1 Meeting, and based on the ANI/WG ToRs, and Work Programme, the Meeting considered the existence of the various existing Ad-hoc Groups that were working in support of the implementation working groups, such as the ADS-B Ad-hoc Group, the AMHS Implementation Group, etc., and considered necessary to group them under the ANI/WG structure, including any other specific implementation task group, with the aim of providing continuity. In this regard, seven topics that shall be developed through Task Forces under the ANI/WG were identified.

1.2 An initial Terms of Reference (ToRs), preliminary membership and Rapporteurs for each Task Force were agreed in the ANI/WG/1 Meeting. In this regard Decision ANI/WG/1/3 - *Terms of Reference, Work Programme and Membership of the ANI/WG Task Forces* were formulated.

2. ATFM TF Progress and results

Review of Terms of Reference (ToRs) (See Appendix A) and final work programme

Activities Carried Out

2.1 The ATFM Work Programme provides specific initiatives for the development of a regional concept of ATFM implementation for the NAM/CAR Regions. This proposed roadmap is consistent with ICAO Doc 9971 and other related global documents. It takes into consideration the execution of a simple, basic, and incremental approach of promoting, sharing and implementing a regional, interoperable ATFM framework.

2.2 The composition of the Task Force (TF) members consists of five regional States representing North and Central America along with the Caribbean Region. This consists of Canada, Dominican Republic, El Salvador, Trinidad and Tobago, and United States. Of these TF members, the composition includes both Air Navigation Service Providers (ANSPs) and a member of the Civil Air Navigation Services Organization (CANSO).

2.3 To date, the TF has conducted “seven web conference meetings” dating back from the formal commissioning of the TF in August 2013. The preferred platform of use has been to utilize “Go-To-Meeting” web conferencing software to host and facilitate the meetings.

2.4 The first two meetings were focused on reviewing the Terms-of-Reference (ToRs) and ensuring that the mission at hand was clearly understood. The subsequent meetings were used to exchange ideas, improve understanding of the Regional States and begin to craft a Work Programme for the ATFM Group.

2.5 The outcome of these webinars culminated in a progressive, all inclusive macro action plan that would lay the foundation of ATFM for the Region. The goal is to construct this WORKING PROGRAMME so as to simplify the steps needed and ensure continuity regardless of the TF members in place. The plan is designed to identify the key areas of how to develop a regional model that would increase knowledge and promote understanding of ATFM philosophy.

2.6 The Work Programme consists of ten categories that address the main disciplines for enhancing ATFM knowledge and cooperation; and key focus areas such as “Improving Demand and Capacity” to “Preferred training methodology.” The main component of the Work Programme is to promote regional harmonization, advocate a “systemic approach, and simplify the required deliverables on time. These deliverables are categorized into three bench marks; short (Tactical), medium (Pre-Tactical) and long term (Strategic).

Deliverables and Results

2.7 The focus for this 2014 will be to share best practices, address demand and capacity balancing methodology, and develop a regional pre-tactical web conference for all ANSPs and stakeholders to participate and share information. (See **Appendix B** for specific tasking goals/dates final work programme).

Recommendations for Improving the ATFM Implementation

2.8 Keys points for establishing a harmonized, collaborative and progressive NAM/CAR ATFM action plan:

- Build a core foundation of understanding for the principles of ATFM
- Incorporate the key ANSPs that comprise the region (Area Control Centres (ACCs)/ Approach Control Offices (APPs)/ Aerodrome Control Tower (TWRs))
- Invite aviation stakeholders to contribute (airlines, business/GA, airport operators, military organizations etc.)
- Simplify tasking so as to obtain measurable and realistic achievable goals
- Foster an open, collaborative approach regarding information sharing
- Implement short term enhancements and strategies that will be cost sensitive and provide instantaneous regional benefit to the aviation community, i.e., Operational Status web page, combining weather forecasting services, regional harmonized approach for traffic situation displays for States to utilize, and basic arrival/departure management tools used to provide common situational status
- Develop and cultivate a “Collaborative Culture” where ANSPs, stakeholders, and industry participants can contribute

3. Suggested Action

3.1 The Meeting is invited to:

- a) Review and approve the ATFM TF’s ToRs and Work Programme included in Appendix and Appendix B, respectively;
- b) Evaluate the progress of the ATFM TF detailed in this paper; and
- c) Support the recommendations for improving ATFM Implementation made by the ATFM TF.

APPENDIX A

AIR TRAFFIC FLOW MANAGEMENT (ATFM) IMPLEMENTATION TASK FORCE

1. *Background*

During the first ANI/WG meeting, an ATFM Implementation Task Force was formed in order to streamline related air navigation implementation activities. This Task Force shall complete ATFM implementation in accordance with the Regional Performance Objective (RPO) and Improve Demand and Capacity Balancing (DCB) of the RPBANIP, as well as update and report progress to the ANI/WG based on the action plan for these tasks.

2. *Responsibilities*

The Task Force is responsible for:

- a) Work Programme Management
- b) Coordinating implementation and support of the ATFM system
- c) Identifying and improving ATFM operations

3. *Working Methods*

The Task Force will:

- a) Present its work programme containing activities in terms of objectives, responsibilities, deliverables and timelines
- b) Avoid duplicating work within the ANI/WG and maintain close coordination among the existing entities to optimize use of available resources and experience
- c) Designate, as necessary, Ad hoc Groups to work on specific topics and activities and organize clearly defined tasks and activities
- d) Coordinate tasks to maximize efficiency and reduce costs via electronic means including emails, telephone and teleconference calls, and convene meetings as necessary
- e) Report on and coordinate the progress of assigned tasks to the ANI/WG

4. *Work programme* – To be included

5. *Membership*

Task Force Member-Name:	State/T/IO	email
Dan Piano	Canada	pianoda@navcanada.ca
Roosevelt Peña	Dominican Republic	rapm77@hotmail.com
Ronald Fisher (Rapporteur)	United States	ronald.a.fischer@faa.gov
Michael Lam		michael.lam@faa.gov
Riaaz Mohammed	Trinidad and Tobago	rmohammed@caa.gov.tt
Javier Vanegas	CANSO	javier.vanegas@canso.org
Vanessa Salazar		vanessa.salazar@cepa.gob.sv
Gustavo Lopez		gustavo.lopez@cepa.gob.sv
Carlos Carbajal	COCESNA	carlos.carbajal@cocesna.org
Fernando Soto		fernando.soto@cocesna.org
Marco Vidal	IATA	vidalm@iata.org

NAM/CAR ATFM Task Force Work Programme

ATFM Mission Statement

The ATFM Work Programme (WP) provides specific initiatives for the development of a regional concept of ATFM implementation in NAM/CAR Region. This is consistent with ICAO Doc. 9971 and other related global documents. It takes into consideration the execution of a simple, basic, and incremental approach of promoting, sharing and implementing a regional, interoperable ATFM framework.

INTRODUCTION

People, automation, technology and collaboration with system stakeholders (inclusive of academia) will be the core principles of this project. The Work Programme seeks to:

1. Establish consistent regional ATFM planning and operating practices
2. Encourage a collaborative and harmonized approach to ATFM amongst States and Regions
3. Foster a systemic approach to ATFM, inclusive of all ATM community members

The document takes into consideration the diverse range of experience, technology and available resources. Each group tasking will be categorized using the following key:

Short Term: Achievable within 1 year time frame (Tactical)

Medium Term: Accomplishable within 1-2 year time frame (Pre-tactical)

Long Term: Obtainable within 3-5 year time frame (Strategic)

*** This document shall be a guideline for establishing ATFM NAM/CAR goals and reviewed periodically throughout the year. A formal review each calendar year shall be conducted during the ANI/WG and NACC/WG meeting so as to update/revise/modify its content for currency and applicability.

No	Activity/Actividad	Objective/Objetivo	Responsible/Responsable	Deliverable/Entregable	Date/Fecha
1	<u>Regional assessment of ANSPs</u> <ul style="list-style-type: none"> - Identify participants - Capabilities - Experience (entry/intermediate/advance) - FMU/FMP/Ops Sup/Mgr - What do ANSPs need? - Conduct study of existing/future FMP/FMU and a Regional Centralized FM Center - Note: A review as to the specific methodology to solicit this info (email/survey etc.) will be determined 	Provide a baseline assessment of resources, needs & capabilities	ATFM Task Force Team	Report/document to be determined	Short Term (Jul 2014)
2	<u>Improve Demand and Capacity Balancing (DCB)</u> <ul style="list-style-type: none"> - Establish coordination with key stakeholders - Identify major traffic flows/city pairs - Research airport/sector capacity calculation - Compare with ICAO ATFM Global Doc - Id high density airports/sectors - Develop mitigation strategies - 	Provide initial steps needed to work with aviation stakeholders, identify major city pairs and focus on constrained density areas	ATFM Task Force Team	Report/document identifying these objectives for aviation stakeholders	Short Term (Nov 2014)

Thursday, March 20, 2014

NAM/CAR ATFM Task Force Work Programme

3	Training <ul style="list-style-type: none"> - Assess training needs of ANSPs - Establish list of TNG subjects - Solicit instructors - Establish Schedule - Publish/coordinate events - Establish cross TNG, personnel exchange program - Perform ATFM workshops/seminars/visits 	Provide a core foundation to build upon for Regional participants	ATFM Task Force Team	Report listing training accomplishments as noted	Short Term (Dec 2014)
No	Activity/Actividad	Objective/Objetivo	Responsible/Responsable	Deliverable/Entregable	Date/Fecha
4	Pre-Tactical Operation Daily Briefing <ul style="list-style-type: none"> - Solicit input from ANSPs & stakeholders - Develop Ops Briefing checklist - Select Ops telcon platform (GTM/Webex etc) - Train/practice web conferences - Research Traffic Management Initiatives - Standardization - Notification - Implementation - Documentation - Review analysis - Publication of the Daily ATFM Plan 	Provide a venue for ANSPs, stakeholders and the aviation community to participate, engage & collaborate in ops info sharing	ATFM Task Force Team	An integrated, comprehensive pre-tactical web based conference which includes various stakeholders	Short Term (Dec 2014)
5	Tools, technology & automation <ul style="list-style-type: none"> - Research development of Ops web page - Flight plan processing - Data exchange between ANSPs - Identifying ATFM suite of tools such as Prediction & Monitoring Tools - Development of Meteorological Products - Est. Sub-Team for research/development of future concept of regional ATFM system - Est. a test bed for testing and implementing future technologies for modeling 	Ongoing research development. Mid-Long term objective which will evaluate, strategic goals for Regional ANSPs regarding integration & harmonization of ATFM tools & technologies. This should incorporate the capability for growth & airspace modeling	ATFM Task Force Team	Report/paper describing the findings	Med-Long term (2015)
6	Procedural Agreements <ul style="list-style-type: none"> - Establish bi-lateral/multi-lateral LOAs between States/FMUs - Identify high volume/prefer city pairs/routes - Develop multi-FIR regional network of ATFM coordination - Develop Regional harmonized methodology for the collection, analysis and reporting of demand/capacity, and delay data. - Research guidance on qualifications and competencies for ATFM personnel and develop action plan 	Provide formalize agreements that will facilitate the safe and efficient movement of high density, constrained focused areas	ATFM Task Force Team	Formalized inter/intra ATM agreements that will identify and enhance regional efficiency for the safety and movement of air traffic	Med-Long term (2015)
7	Flexible Use Airspace <ul style="list-style-type: none"> - Perform assessment of where FUA can be utilized/benefit - Identify civil/military coordination entities - Arrange for permanent liaison and cooperation between civil ATS and air defense units. - Conduct regional review of SUA - Assess use of airspace management process - Review use of dynamic airspace processes - Institute dynamic ATC sectorization and notification for demand and capacity - Develop performance measurement programme 	Identify and facilitate the cooperation between Civil/Military Organizations for the movement of Air Traffic	ATFM Task Force Team	Develop training, formalize LOA's, and pre-tactical coordination between organizations	Mid-Long Term (2015)

Thursday, March 20, 2014

NAM/CAR ATFM Task Force Work Programme

	<ul style="list-style-type: none"> - Review/establish LOAs between FMUs/Military - Data Sharing between Civil and Military Units 				
8	<p><u>Schedule Regional ATFM Workshops</u></p> <ul style="list-style-type: none"> - Enhance cooperation - Share best practices and ideas - Forecast future growth/needs - Encourage academia and industry participation 	Provide a foundational baseline of refresher & supplemental training for personnel involved in ATFM. To be accomplished in concert with stakeholder community	ATFM Task Force Team	Schedule ATFM workshops, seminars, webinars and symposiums for the purposes of furthering ATFM education	Mid-Long Term (2015)
<u>No</u>	<u>Activity/Actividad</u>	<u>Objective/Objetivo</u>	<u>Responsible/Responsabl</u> <u>e</u>	<u>Deliverable/Entregable</u>	<u>Date/Fecha</u>
9	<p><u>Contingency Planning</u></p> <ul style="list-style-type: none"> - Interoperability - Seamless continuity plan 	Establish a foundational baseline of education to construct a regional continuity plan of operation in the event of catastrophic occurrence	ATFM Task Force Team	This can be accomplished via ongoing training webinars, presentations and refresher training	Short-Med (2014)
10	<p><u>ANI/WG Decision 1/3</u></p> <p>Provide progress report/update for Chairperson reference NACC/WG/4</p>	Liaison with Regional Coordination Group	TF Rapporteur	Work Programme and Revised ToR to ICAO R/O	Nov. 15, 2013
<p><u>References:</u></p> <ul style="list-style-type: none"> - Manual on Collaborative Air Traffic Flow Management (Doc 9971) - Caribbean/South American Air Traffic Flow Management Concept of Operation (CAR/SAM CONOPS ATFM) - Global Air Traffic Management Operational Concept (Doc 9854) - Manual on Air Traffic Management System Requirements (Doc 9882) - Manual on Global Performance of the Air Navigation System (Doc 9883) - Manual on Flight and Flow – Information for a Collaborative Environment (Doc 9965) - Civil/Military Cooperation in Air Traffic Management (Cir 330-AN/189) - Procedures for Air Navigation Services — Air Traffic Management (PANS-ATM, Doc 4444) 					

Thursday, March 20, 2014