

INTERNATIONAL CIVIL AVIATION ORGANIZATION

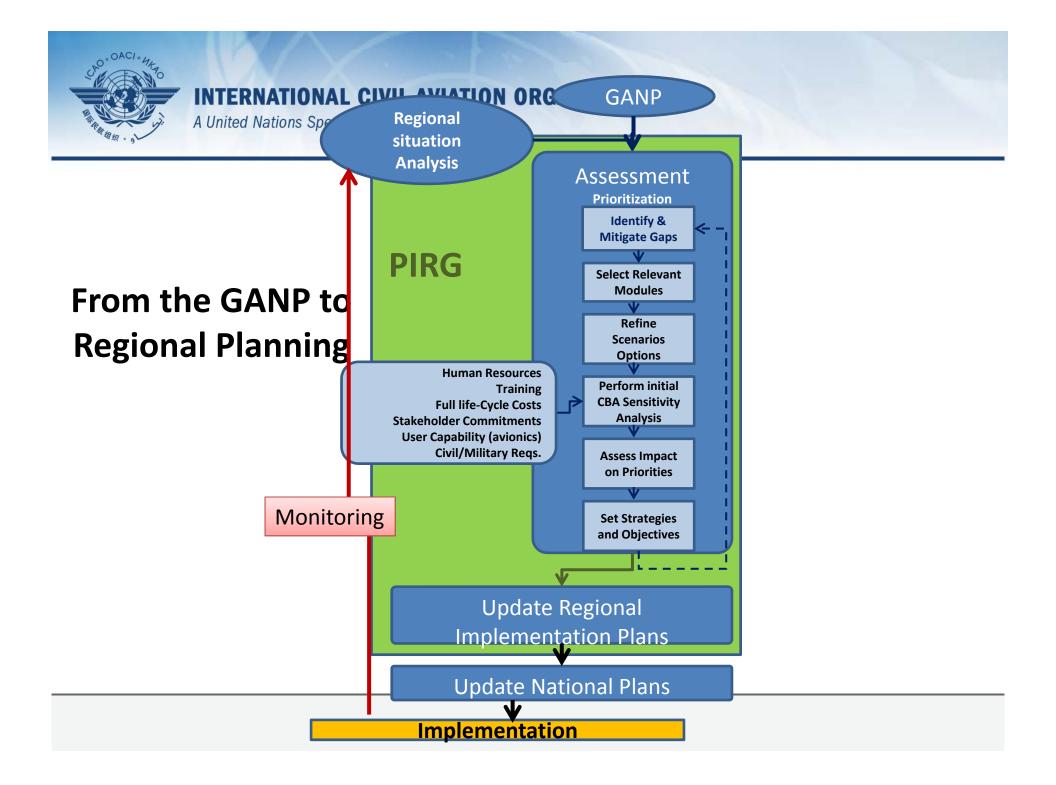
A United Nations Specialized Agency

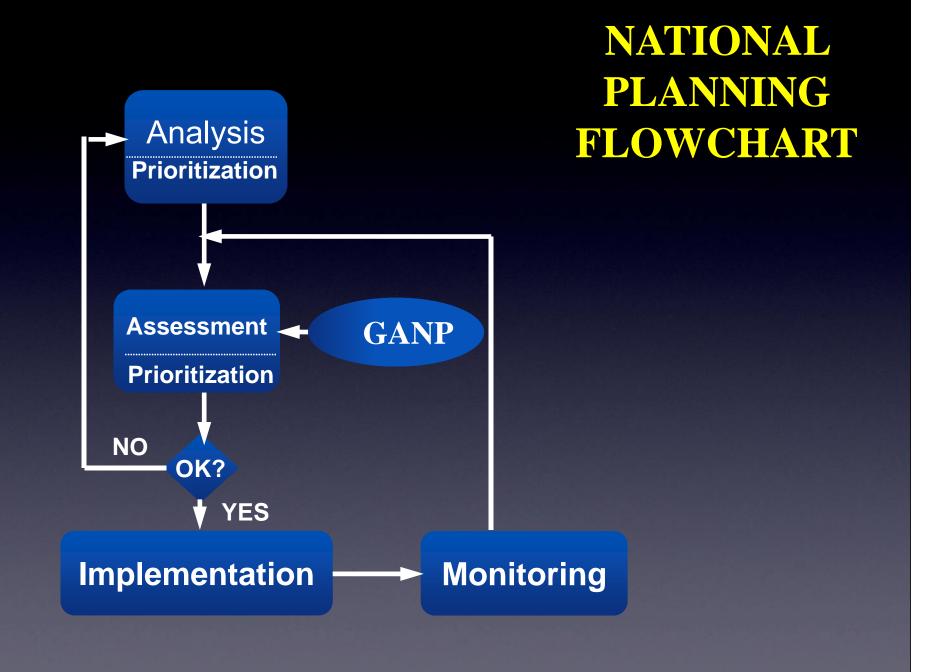
ASBU/SIP/MEXICO/2013-WP/11

Approach to implementation Process and checklist

H. Sudarshan Air Navigation Bureau

ICAO SIP Workshop on ASBU methodology (Mexico,22-26 July 2013)







Routes
User Requirements
ANSP Requirements

- Environment
- Safety

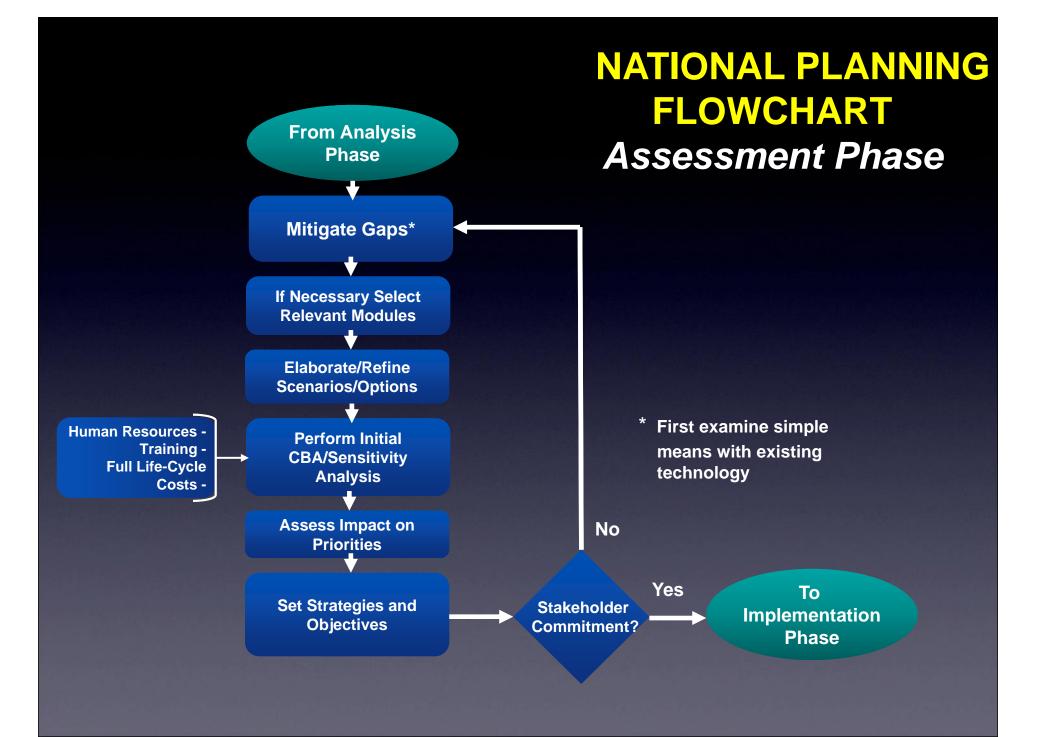
- Capacity

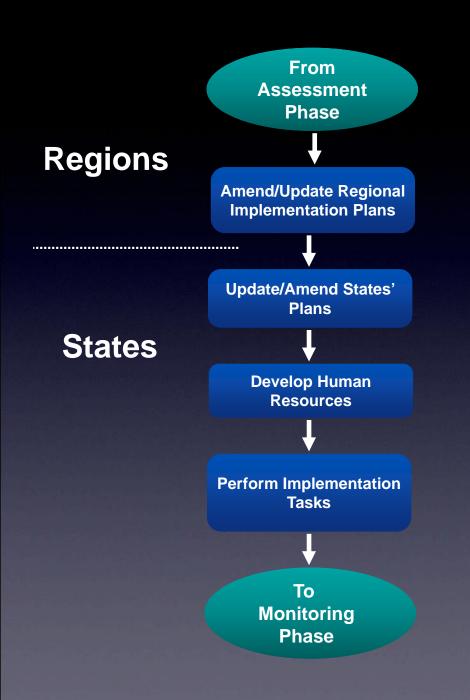
- Delays

- Information Management

- User Capability (Avionics)
- Training (All)
- ATM/CNS Infrastructure Capability
- Routs
- Aerodromes
- Human/ Economic Resources
- Interoperability
- Civil/Mil

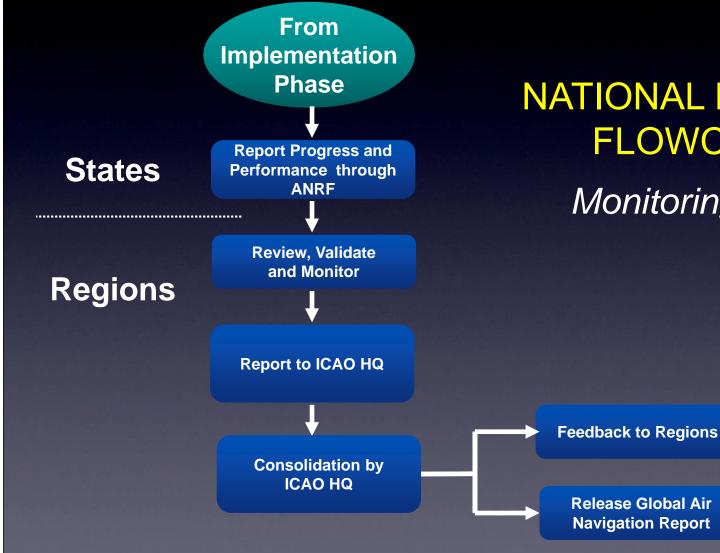
NATIONAL PLANNING FLOWCHART Analysis Phase





NATIONAL PLANNING FLOWCHART

Implementation Phase



NATIONAL PLANNING **FLOWCHART**

Monitoring Phase



Performance Improvement Area 1: Airport Operations

<u>Title of the Module:</u> B0-APTA: Optimization of Approach Procedures Including Vertical Guidance									
Elements: 1. APV with Baro VNAV 2. APV with SBAS 3. APV with GBAS	Eq - F - F - S	uipage/Air	onics integrated with	Equipage/Ground - SBAS (reference stations, master stations, GEO satellites) - GBAS					
Implementation monitoring Implementation progress	g and intended perform	nance impact	nce benefits associated	with five main KPAs o	nlv				
Indication progress1. Indicator:Percentage ofinternational aerodromeshaving instrumentrunways provided withAPV on the basis ofBaro VNAV/SBAS/GBAS	KPA-Access/Equity Increased aerodrome accessibility	<u>KPA-Capacity</u> Increased runway capacity	KPA-Efficiency Reduced fuel burn due to lower minima, fewer diversions, cancellations, delays	KPA-Environment Reduced emissions due to reduced fuel burn.	<u>KPA-Safety</u> Increased safety through stabilized approach paths.				

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Performance Improvement Area 1: Airport Operations

<u>Title of the Module:</u> B0-WAKE: Increased Runway Throughput through optimized Wake Turbulence Separation								
Elements 1. Revision of current ICAO wake separation minima 2. Increasing International aerodrome Arrival Operational Capacity 3. Increasing International aerodrome Departure Operational Capacity		- Nil		 <u>Equipage/Ground</u> A support tool to aid in the application of the new set of 6 categories of ICAO wakes separation. Wind sensors and automation support is needed for element 3 				
Implementation monitoring Implementation progress	· · · ·		ce henefits associate	d with five main KPAs	only			
1. Indicator: <i>Percentage of international</i> <i>aerodromes applying the 6</i> <i>categories of wake vortex</i> <i>separation.</i>	<u>KPA-Access/Equit</u> Not Applicable	· ·	KPA-Efficiency Not Applicable	KPA-Environment Not Applicable	<u>KPA-Safety</u> Not Applicable			



Performance Improvement Area 1: Airport Operations

Title of the Module:								
B0-SURF: Safety and Efficiency of Surface Operations (A-SMGCS Level 1-2)								
<u>Elements</u>]	Equipage/Air		Equipage/Ground				
1. Surveillance	-	ADS-B / SSR transpo	onder system	- SMR/SSR Mode S/	ADS B/			
2. Alerting systems				Multilateration				
3. (Not included in the Modu	le but added here			- Surveillance display	with alerting			
as they are closely linked to	o this Module)			functionalities in the	e tower.			
Visual aids for navigation a	and Wild life			- A cooperative trans	ponder system for			
strike hazard reduction				vehicles				
				- Visual aids for navig	gation			
Implementation monitoring	and intended perform	ance impact						
Implementation progress	Q	ualitative performanc	e benefits associated	with five main KPAs	only			
1. Indicator:	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-	KPA-Safety			
Percentage of international	Improves KPA-	Sustained level of	Reduced taxi	Environment	Reduced runway			
aerodromes with SMR/ SSR	Access/Equity to	aerodrome	times through	Reduced	incursions.			
Mode S/ ADS-B	portions of the	capacity during	diminished	emissions due to	Improved response			
Multilateration	manoeuvring area	periods of reduced	requirements for	reduced fuel burn	to unsafe situations.			
	obscured from view	visibility	intermediate		Improved			
2. Indicator:	of the control tower		holdings based on		situational			
Percentage of international	for vehicles and		reliance on visual		awareness leading to			
aerodromes with a	aircraft. Ensures		surveillance only.		reduced ATC			
cooperative transponder	equity in ATC		Reduced fuel		workload.			
systems on vehicles	handling of surface		burn.					
	traffic regardless of							
3. Indicator:	the traffic's position							
Percentage of international	on the international							
aerodromes complying with	aerodrome.							
visual aid requirements as								
per Annex 14								

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Performance Improvement Area 1: Airport Operations

<u>Title of the Module:</u>								
B0-ACDM; Improved Airport Operations through Airport-CDM								
Elements:		Equipage/Air			Equipage/Ground			
1.Airport –CDM		- Nil		-	Interconnection of gro			
2.(Not included in the Mo					different partners for A			
here as they are closely lin				-	Rescue and Fire Fighti			
Module) Aerodrome certi	fication,				Equipment as per Ann	exe 14		
Aerodrome								
emergency planning, Airp	ort planning and							
Heliport operations								
Implementation monitori	ng and intended per							
Implementation		Qua	litative performance b		th five main KPAs only	•		
progress	KPA-Access/Equit		KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety		
1. Indicator:	Enhances equity or	n the	Enhanced use of	Improved	Reduced emissions	Not Applicable		
percentage of	use of aerodrome		existing	operational	due to reduced fuel			
international	facilities.		Implementation of	efficiency (fleet	burn			
aerodromes with Airport-			gate and stands	management); and	1			
CDM			(unlock latent	reduced delay.				
			capacity).	Reduced fuel burn	L			
2. Indicator:			Reduced workload,	due to reduced				
Percentage of certified			better organization	taxi time and				
international			of the activities to	lower aircraft				
aerodromes			manage flights.	engine run time.				
3. Indicator:								
Percentage of								
international								
aerodromes with RFF								
equipment as per Annex								
14								



Performance Improvement Area 1: Airport Operations

<u>Title of the Module:</u> B0-RSEQ: Improve Traffic Flow Through Runway Sequencing (AMAN/DMAN)								
Elements:		<u>quipage/Air</u>		Equipage/Ground				
1.AMAN	-	Nil		- Automation support				
2.DMAN								
Implementation monitori		<u>+</u>						
Implementation	(Qualitative performation	nce benefits associated	with five main KPAs or	ly			
progress	KPA-Access/Equity	<u>KPA-Capacity</u>	KPA-Efficiency	KPA-Environment	KPA-Safety			
1. Indicator:	Not Applicable	Time-based	Efficiency is	Not Applicable	Not Applicable			
Percentage of		metering will	positively impacted					
international		optimize usage of	as reflected by					
aerodromes with		terminal airspace	increased runway					
AMAN/DMAN		and runway	throughput and					
		capacity.	arrival rates.					



Performance Improvement Area 2:

Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management

<u>Title of the Module:</u>	Title of the Module:							
B0-FICE: Increased Inter	operability, Efficiend	cy and	l Capacity through (Ground-Ground Integ	gration			
Elements:		<u>Equip</u>	age/Air]	Equipage/Ground			
1.AIDC		- Nil		-	A set of AIDC messa	ges in FDPS		
2.(Not included in the Mod	ule but added here			-	AFTN (AMHS/IPS)			
as they are closely linked	to this Module)							
AMHS/IPS								
Implementation monitori	ng and intended perf	iorma:	nce impact					
Implementation progress		Qu	alitative performance	benefits associated wit	h five main KPAs only	7		
1. Indicator:	KPA-Access/Equity		KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety		
Percentage of ATS units	Not Applicable		Reduced controller	The reduced	Not Applicable	Better knowledge of		
with AIDC			workload and	separation can also		more accurate flight		
			increased data	be used to more		plan information.		
2. Indicator:			integrity supporting	frequently offer				
States implementing			reduced separations	aircraft flight levels				
AMHS/IPS			translating directly	closer to the				
			to cross sector or	optimum; in certain				
			boundary capacity	cases, this also				
			flow increases.	translates into				
				reduced en-route				
				holding.				



Performance Improvement Area 2:

Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management

Title of the Module: B0-DATM; Service Improvement through Digital Aeronautical Information Management							
<u>Elements:</u> 1.AIXM 2.eAIP 3.Digital NOTAM 4.(Not included in the Module but added here as they are closely linked to this Module) WGS-84; eTOD; and QMS for AIM		<u>Equipage/Air</u> - Nil		Equipage/Ground AIXM; eAIP and Digital NOTAM WGS-84; eTOD; QMS for AIM The aeronautical information is made available to external users via either a subscription to an electronic access or physical delivery; The electronic access can be based on Internet protocol services.			
Implementation monitori	ng and intended per						
Implementation			*		with five main KPAs or		
progress	KPA-Access/Equit	Y	<u>KPA-Capacity</u>	KPA-Efficiency	KPA-Environment	<u>KPA-Safety</u>	
1. Indicator:	Not Applicable		Not Applicable	Not Applicable	Reduced amount of	Reduction in the	
States implementing					paper for	number of possible	
AIXM; eAIP, Digital					promulgation of	inconsistencies	
NOTAM					information		
WGS-84; eTOD; QMS							
for AIM							



Performance Improvement Area 2:

Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management

<u>Title of the Module:</u> B0-AMET: Meteorological information supporting enhanced operational efficiency and safety							
Elements: 1.WAFS-IAVW-TCW 2.Aerodrome warning, wind shear warning and alerts 3.SIGMET information		<u>Equipage/Air</u> - Nil		 <u>Equipage/Ground</u> Connection to the AFS satellite and public Internet distribution systems Connection to the AFTN Local arrangements for reception of aerodrome warning ,wind shear warning and alerts 			
Implementation monitoring	g and intended per	formance impact					
Implementation progress		Qualitative perform	ance benefits associated	with five main KPAs onl	у		
1 Indicator:	KPA-Access/Equi	ity KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety		
States implementation of	Not Applicable	Optimized usage	Reduced	Reduced emissions	Reduced		
SADIS 2G satellite		of airspace and	arrival/departure	due to reduced fuel	incidents/accidents in		
broadcast and/or Secure SADIS FTP service.		aerodrome capacity due to MET support	holding time, thus reduced fuel burn due to MET support	burn due to MET support	flight and at international aerodromes due to		
2. Indicator:					MET support.		
States implementation of							
WAFS Internet File Service (WIFS)							



<u>Title of the Module:</u> B0-FRTO: Improved Oper	ations through Enh	anced En-Route Trajector	ries		
Elements: 1.Airspace planning 2.Flexible Use of airspace 3.Flexible Routing	g Equipage/Air - FANS 1/A and ACARS		Equipage/Ground - CDM through Internet portal		
Implementation monitoring	g and intended perfo	ormance impact Qualitative performance	benefits associated w	ith five main KPAs onl	V
Implementation progress 1. Indicator: Percentage of time segregated airspaces are available for civil operations in the State 2. Indicator: Percentage of PBN routes implemented	KPA-Access/Equity Better access to airspace by a reduction of the permanently segregated volumes of airspace.	 <u>KPA-Capacity</u> Flexible routing reduces potential congestion on trunk routes and at busy 	KPA-EfficiencyIn particular the module will reduce flight length and related fuel burn and emissions. The module will reduce the number of flight diversions and cancellations. It will also better allow avoiding noise sensitive areas.	KPA-Environment Fuel burn and emissions will be reduced.	<u>KPA-Safety</u> Not Applicable



<u>Title of the Module:</u> B0-NOPS: Improved Flow Performance through Planning based on a Network-Wide view								
Elements:		<u>quipage/Air</u>		Equipage/Ground				
Air Traffic Flow Managem	ent -	Nil		- System software for	ATFM			
Implementation monitoring	g and intended perfor	rmance impact						
Implementation progress	(Qualitative performance	benefits associated v	vith five main KPAs o	·			
1. Indicator:	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	<u>KPA-</u>	<u>KPA-Safety</u>			
Percentage of ATS units	Improved Access an	d Better utilization	Reduced fuel burn	Environment	Reduced			
using ATFM services.	equity in the use of	of available	due to better	Reduced fuel burn	occurrences of			
	airspace or	capacity, ability to	anticipation of	as delays are	undesired sector			
	aerodrome by	anticipate difficult	flow issues;	absorbed on the	overloads			
	avoiding disruption		Reduced block	ground, with shut				
	of air traffic. ATFN		times and times	engines; or at				
	processes take care		with engines on.	optimum flight				
	equitable distribution	on		levels through				
	of delays.			speed or route				
				management.				
				•				



<u>Title of the Module:</u> B0-ASUR: Initial capability for ground surveillance							
B0-ASUR: Initial capabili Elements: 1. ADS-B 2. Multilateration Implementation monitorit Implementation progress 1. Indicator: Percentage of international aerodromes with ADS-B/MLAT	ng and intended perfe	Equipage/Air - ADS-B OUT. - Mode S radar transpon Multilateration ormance impact Qualitative performance		Equipage/Ground - FDPS and SDPS - ADS-B - Multilateration with five main KPAs or <u>KPA-Environment</u> Not Applicable	nly <u>KPA-Safety</u> Reduction of the number of major incidents. Support to search and rescue <u>.</u>		



Title of the Module:	Title of the Module:							
B0-ASEP: Air Traffic Situational Awareness(ATSA)								
Elements:	Equ	uipage/Air		Equipage/Ground				
1.ATSA-AIRB	- A	DS-B OUT		- Nil				
2.ATSA-VSA	- A	DS-B IN						
	- T	raffic display						
Implementation monitoring	g and intended perforn	nance impact						
Implementation progress	Q	ualitative perform	ance benefits associated	with five main KPAs o	only			
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	<u>KPA-Environment</u>	KPA-Safety			
of aircraft with	Not Applicable	Not Applicable	Improved	Not Applicable	Improved			
ADS-B OUT			situational		situational			
			awareness in		awareness and			
2. Indicator: Percentage			identifying level		reduced likelihood			
of aircraft with			change		of wake turbulence			
ADS-B IN			opportunities with		encounters and			
			current separation		missed approaches.			
			minima (AIRB) and					
			improved visual					
			acquisition (VSA).					



<u>Title of the Module:</u> B0-OPFL: Improved KPA-	Access/Equity to Optim	um Flight Levels th	rough Climb/Descen	t Procedures using ADS	5-B
Elements:	Equi	Equipage/Air		Equipage/Ground	
ITP using ADS-B	- AD	DS-B IN		- Conflict probe logics	
	- AD	OS-B OUT			
Implementation monitoring	g and intended performa	ance impact			
Implementation progress	Qu	alitative performan	ce benefits associated	l with five main KPAs o	only
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety
of aircraft used ITP	Not Applicable	Improvement in	Increased	Reduced emissions	A reduction of
		capacity on a	efficiency on		possible injuries for
		given air route.	oceanic and		cabin crew and
			potentially		passengers.
			continental en-		
			route		



Title of the Module: B0-ACAS: ACAS Improvements						
Elements:		<u> Cquipage/Air</u>	E	quipage/Ground		
ACAS II (TCAS version 7.1	1) -	TCAS V7.1	Ν	il		
Implementation monitoring	g and intended perfo	rmance impact				
Implementation progress		Qualitative performan	ce benefits associated wi	th five main KPAs only	,	
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety	
of aircraft with	Not Applicable	Not Applicable	ACAS improvement	Not Applicable	ACAS increases	
ACAS, logic Version 7.1			will reduce		safety in the case	
			unnecessary		of breakdown of	
			resolution advisory		separation.	
			(RA) and then reduce			
			trajectory deviations.			



Title of the Module: B0-SNET: Increased Effectiveness of Ground-Based Safety Nets							
				Equipage/Ground			
1.Short Term Conflict Alert (- SSR Mode C/S transponder		- Short Term Conflict Alert,			
2. Area Proximity Warning (A	,	S-B OUT		- Area Proximity Warnings and			
3. Minimum Safe Altitude Wa	rning			- Minimum Safe Altitude Warnings			
(MSAW)							
		•					
Implementation monitoring a	_	<u>.</u>					
Implementation progress	Qualitative performance benefits associated v			*			
1. Indicator:K	<u> KPA-Access/Equity</u>	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety		
Percentage of ATS units N	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Significant		
with ground based safety					reduction of the		
nets					number of major		
					incidents		



Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

<u>Title of the Module:</u>						
B0-CDO: Improved Flexib	ility and Efficiency	in De	escent Profiles (Cl	DO)		
Elements:		<u>Equi</u>	page/Air		Equipage/Ground	
1. CDO		- Nil		- Nil		
2. PBN STARs						
Implementation monitoring	g and intended per	forma	ance impact			
Implementation progress		Q	ualitative perform	ance benefits associated	with five main KPAs onl	у
1. Indicator: <i>Percentage of</i>	KPA-Access/Equi	ty	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-safety
international aerodromes	Not Applicable	-	Not Applicable	Cost savings through	Reduced emissions as	More consistent flight
with CDO implemented				reduced fuel burn.	a result of reduced	paths and stabilized
				Reduction in the	fuel burn	approach paths.
2. Indicator: <i>Percentage of</i>				number of required		Reduction in the
international				radio transmissions.		incidence of
aerodromes/TMAs with						controlled flight into
PBN STARs implemented						terrain (CFIT).



Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

		ough the initial applic: <u>Equipage/Air</u> - FANS 1/A; ATN B1		oute <u>Equipage/Ground</u> - ADS-C - VDL Mode 2/Continental CPDLC		
Implementation monitori	ng and intended per	formance impact		•		
Implementation		Qualitative perform	ance benefits associated	with five main KPAs onl	y	
progress 1. Indicator: <i>Number of</i> <i>ADS-C /CPDLC</i> <i>procedures available</i> <i>over oceanic and remote</i> <i>Areas</i>	<u>KPA-Access/Equit</u> Not Applicable	KPA-CapacityA betterlocalization oftraffic and reduseparation allowincreased capaceReducedcommunicationworkload andbetter organizaof controller taallowing increassector capacity	w reduced minima city. allowing to appl flexible routings and vertical profiles closer to tion the user-preferr sks ones. sing	nd Reduced emissions as a result of reduced fuel burn.	KPA-afety ADS-C basedsafety nets supports cleared leveladherencemonitoring, routeadherencemonitoring, danger area infringementwarning andimproved search and rescue.Reduced occurrences of misunderstandings; solution to stuck microphone	
					situations.	



Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

<u>Title of the Module:</u> B0-CCO: Improved Flexibility and Efficiency in Departure Profiles (CCO)						
Elements:		uipage/Air		Equipage/Ground		
1.CCO	- N	il		- Nil		
2.PBN SIDs						
Implementation monitoring						
Implementation progress	Q	ualitative perform	ance benefits associated	with five main KPAs o	nly	
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-Safety	
of international	Not Applicable	Not Applicable	Cost savings	Authorization of	More consistent	
aerodromes with CCO			through reduced	operations where	flight paths.	
implemented			fuel burn and	noise limitations	Reduction in the	
2 Indicatory Demonstran			efficient aircraft	would otherwise	number of required	
2. Indicator: <i>Percentage</i>			operating profiles.	result in operations	radio transmissions.	
of international			Reduction in the	being curtailed or	Lower pilot and air	
aerodromes with PBN			number of required	restricted.	traffic control	
SIDs implemented			radio transmissions.	Environmental	workload	
				benefits through		
				reduced emissions.		

REGIONAL AIR NAVIGATION PLANNING PERFORMANCE BENEFIT METRICS- EXAMPLES



KPAs	Related Performance Metrics
1. Access & Equity	1. KPA/Access: Number of international aerodromes with APV
• •	2. KPA/Access: Percentage of time Special Use Airspace (SUA) available to Civil Operations
	3. KPA/Access: Percentage of requested flight level versus cleared flight level
	4. KPA/Access: Number of access denials due to equipment failure
	5. KPA/Equity Percentage of aircraft operators by class that equity is achieved
	6. KPA/Equity: Percentage of different types of aircraft operating in a particular airspace or international aerodrome.
2. Capacity	1. Number of operations (arrivals+departures) per international aerodrome per day
	2. Average ATFM delay per flight at an international aerodrome
	3. Number of landings before and after APV per international aerodrome
	4. Average en-route ATFM delay generated by airspace volume
	5. Number of aircraft in a defined volume of airspace for a period of time
3. Cost	1. IFR movements per ATCO hour on duty
effectiveness	2. IFR flights (en-route) per ATCO hour duty
4. Efficiency	1. Kilograms of fuel saved per flight
·	2. Average ATFM delay per flight at the international aerodrome
	3. Percentage of PBN routes
5. Environment	1. Kilograms of CO ₂ emissions reduced per flight (= KGs fuel saved per flight x 3.157)
	2. The number of electronic pages dispatched

REGIONAL AIR NAVIGATION PLANNING PERFORMANCE BENEFIT METRICS- EXAMPLES



KPAs	Related Performance Metrics	
6. Flexibility	1. Number of backups available in emergency	
	2. Number of changes approved to the flight plan	
	3. Number of alternatives granted	
7. Global Interoperability	1. Number of ATC automated systems that are interconnected	
8. Participation of	1. Level of participation in meetings	
the ATM Community	2. Level of responses to planning activities	
9. Predictability	1. Arrival/departure delay (in minutes) at international aerodrome	
10. Safety	1. Number of runway incursions per international aerodrome per year	
	2. Number of incidents/accidents with MET conditions as as a contributory factor	
	3. Number of ACAS RA events	
	4. Number of CFIT accidents	
	5. Number of missed approaches avoided due to use of CDO	
11. Security	Not Applicable	27

