



INTERNATIONAL CIVIL AVIATION ORGANIZATION

A United Nations Specialized Agency

**Tenth Symposium and Exhibition on Machine Readable Travel Documents
(MRTDs) and Border Security, Montreal, October 7-9, 2014**

ICAO TRIP Strategy: Towards Global Implementation

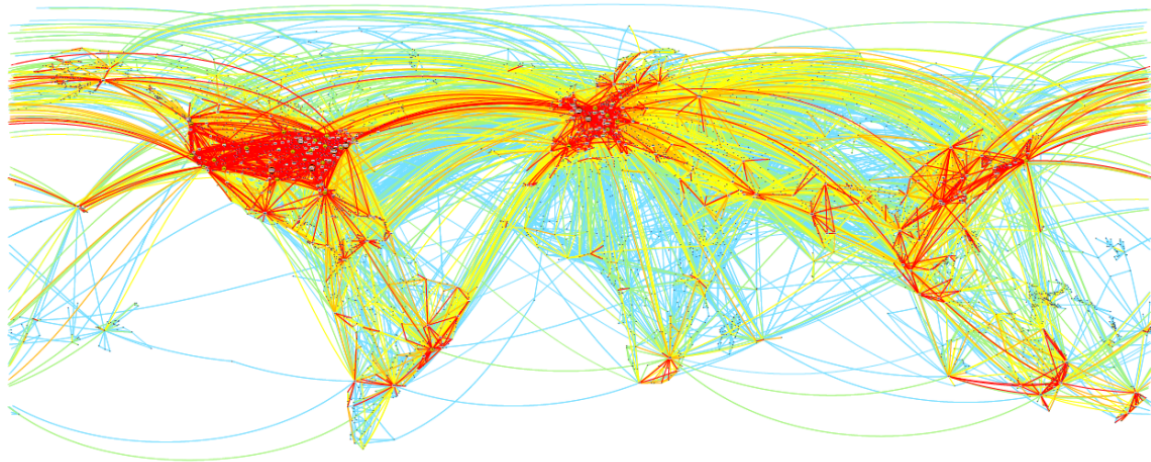
Mauricio Siciliano, ICAO TRIP Programme

Overview



1. Intro: from ICAO MRTD to ICAO TRIP
2. ICAO TRIP Strategy
3. Relevance to UN C-T Strategy and Resolutions
3. ICAO TRIP Global Implementation: assistance needs and agenda for the future

Air traffic flow increase



2002

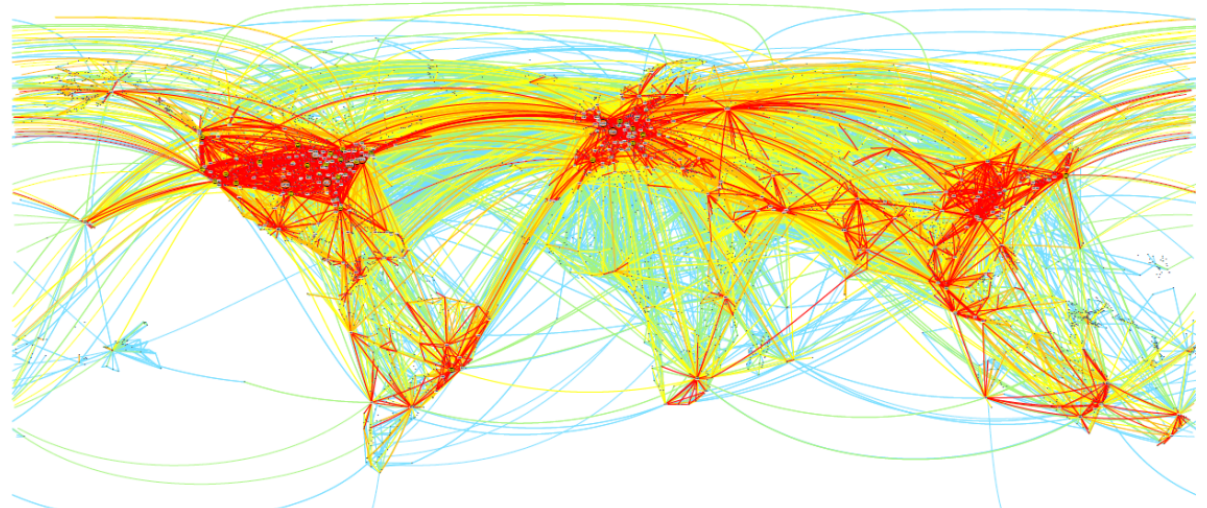
2014

CITIES mov

- 1 - 14999
- 15000 - 58929
- 58930 - 140764
- 140765 - 303197
- 303198 - 556306

FLOW mov

- FLOW2101-44279
- FLOW1001-2100
- FLOW401-1000
- FLOW166-400
- FLOW1-165



2014 world data...



- **3 billion passengers**
- 52% tourists – by air
- 52 million ton. of freight = 1.5%
Cargo Volume = 34.6% in value
- 1 000 airlines
- 25 000 aircraft in service
- More than 4 000 airports
- 36 million airline routes
- 170 air navigation centers

ICAO's strategic objectives 2014-2016



Safety: Enhance global civil aviation safety

Air Navigation Capacity and Efficiency: Increase capacity and improve efficiency of the global civil aviation system

Security & Facilitation: Enhance global civil aviation security and facilitation

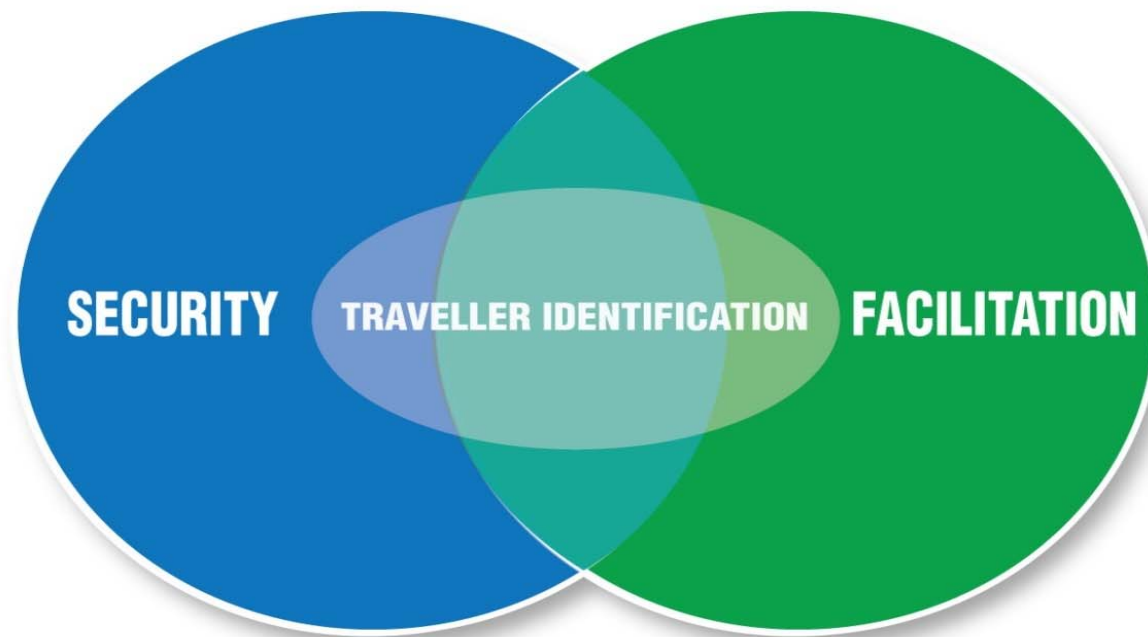
Economic Development of Air Transport: Foster the development of a sound and economically-viable civil aviation system

Environmental Protection: Minimize the adverse environmental effects of civil aviation activities

Enhance Global Security and Facilitation



This Strategic Objective reflects the need for ICAO's leadership in aviation security, traveller identification, facilitation and related border security matters



Annex 9 - *Facilitation* to the Chicago Convention



- Integrates functions of agencies related to border controls – **travel documents, immigration, customs, quarantine, law enforcement, transport operators, etc.**
- Requires inter-agency (and cross-border) cooperation to implement Annex 9 obligations
- Chapter 3: main SARPs related to traveller identification and MRTDs



From MRTD to ICAO TRIP MRTD International Specifications



- Enhance Security
- Biometrics for identity confirmation
- Public Key Infrastructure



Part 1

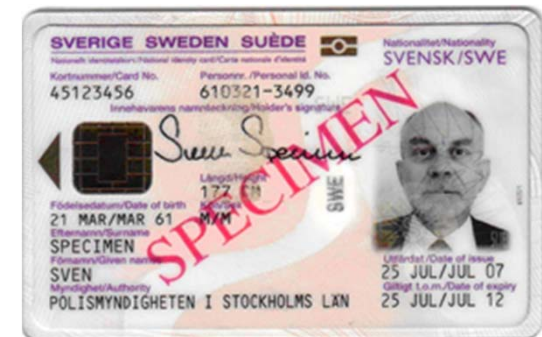
Vol. 1. Passports

Vol. 2. ePassports



Part 2

Visa



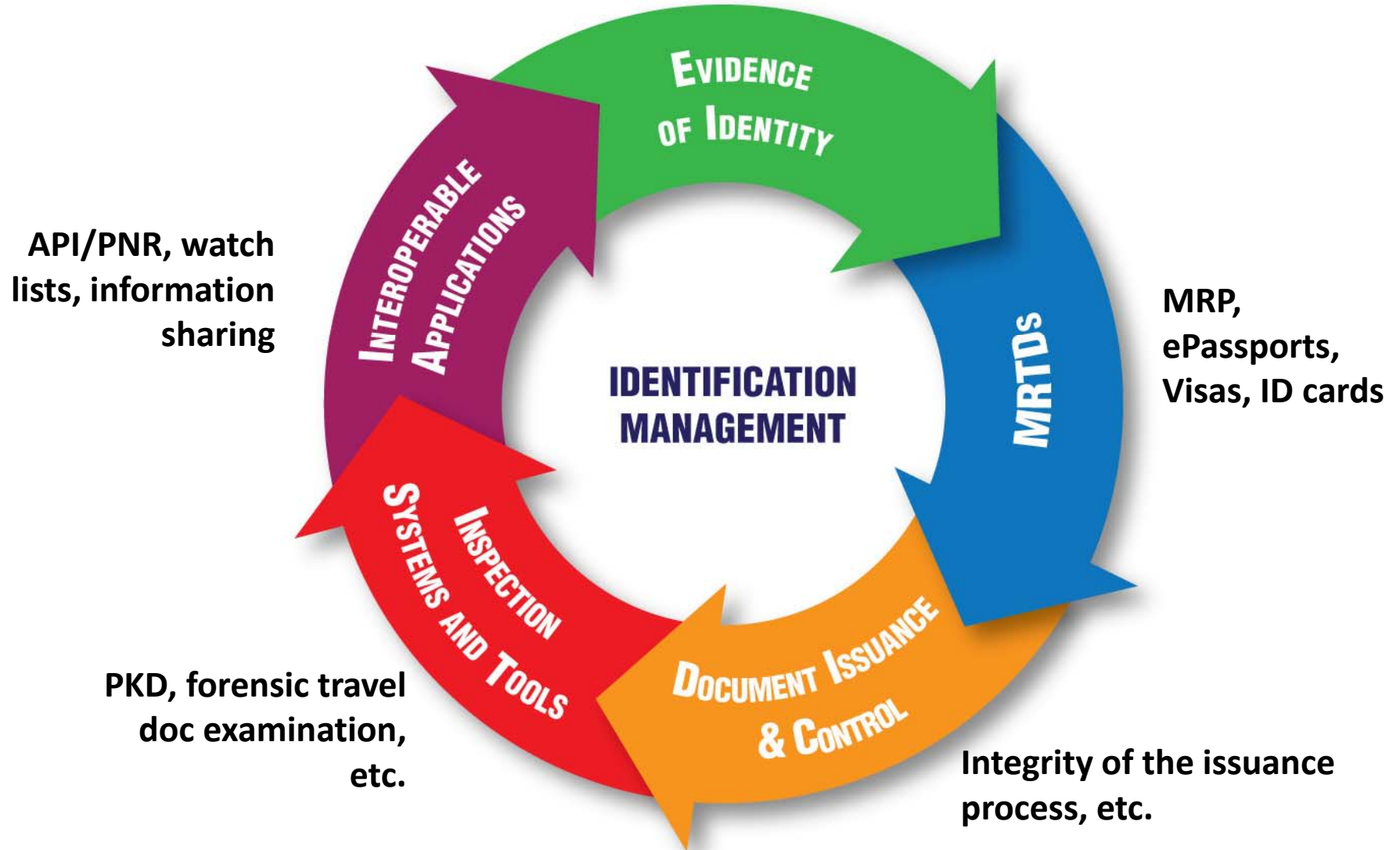
Part 3

Vol.1. Official Travel Document

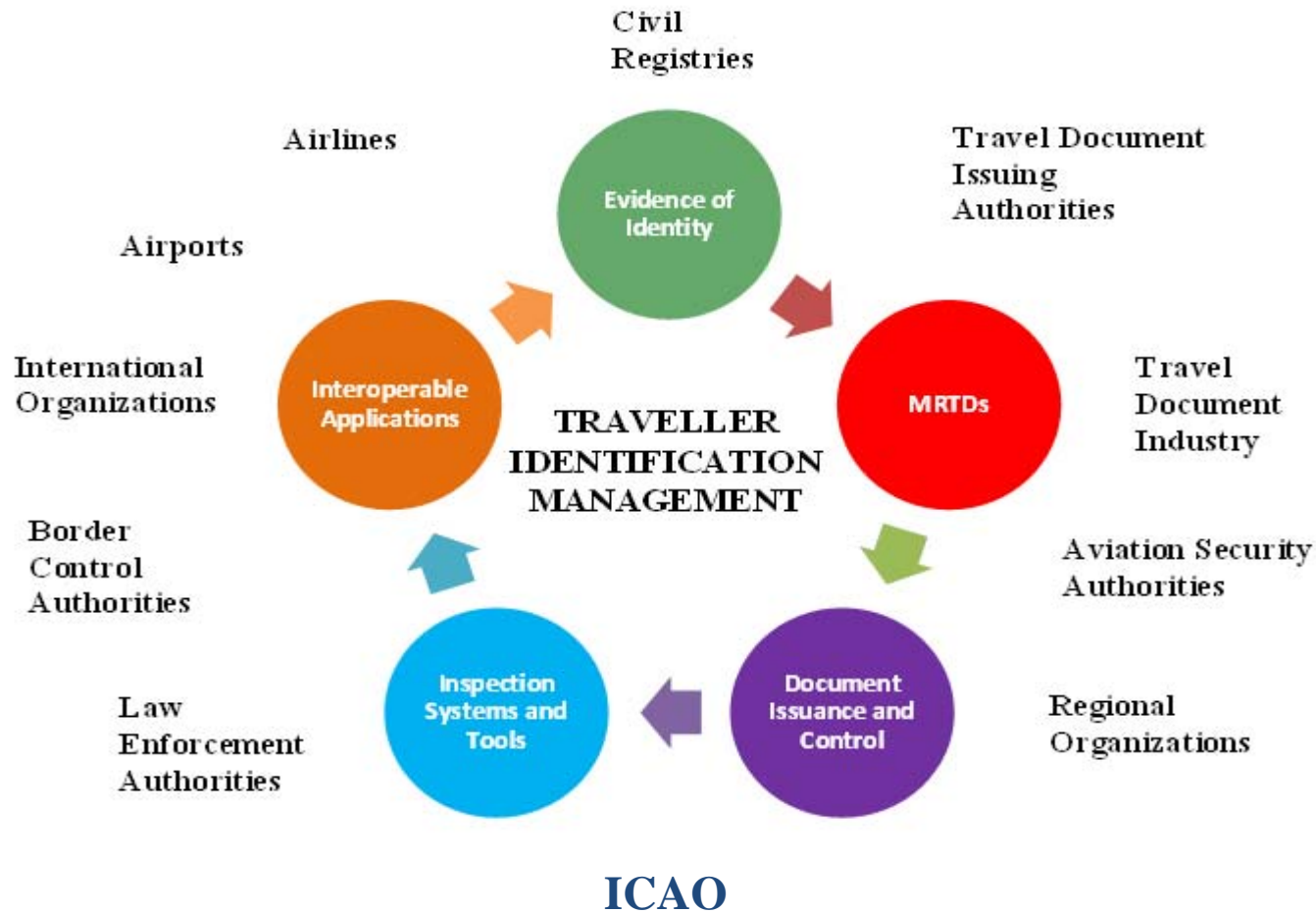
Vol.2. Official Travel Document – Specifications for E-MRTDs

ICAO TRIP STRATEGY

Breeder docs, civil registries, integrity of the issuance process, etc.



Holistic Approach to Identification Management



ICAO TRIP Strategy Implementation



- The Secretariat developing a Work Programme of the TRIP Strategy - in coordination with TAG/TRIP
 - results, targets and milestones
 - estimated resources and activities required to achieve the strategic outcomes
 - indicators for measuring success, and
 - attribution of responsibilities for all activities
- A Facilitation Section at the Secretariat, which will include the ICAO TRIP Programme.
 - Optimizes ICAO's resources
 - consolidates alignment with Annex 9 – Facilitation

ICAO and the UN Security Council



Agreement between the United Nations and the International Civil Aviation Organization

(13 May 1947)

Article VII: Assistance to the Security Council

The **International Civil Aviation Organization** agrees to co-operate with the **Economic and Social Council** in furnishing such information and rendering such assistance to the **Security Council** as that Council may request, including ***assistance in carrying out decisions of the Security Council for the maintenance or restoration of international peace and security***

ICAO TRIP Relevance to UN CT Strategy and Resolutions



Security Council Resolution 1373 (2001)

The Security Council,

2. *Decides also* that all States shall:

(g) Prevent the movement of terrorists or terrorist groups by effective border controls and controls on issuance of identity papers and travel documents, and through measures for preventing counterfeiting, forgery or fraudulent use of identity papers and travel documents.

Security Council Resolution 1624 (2005)

The Security Council,

2. *Calls upon* all States to cooperate, inter alia, to **strengthen the security of their international borders, including by combating fraudulent travel documents and, to the extent attainable, by enhancing terrorist screening and passenger security procedures with a view to preventing those guilty of committing terrorist acts from entering their territory**

ICAO TRIP Relevance to UN CT Strategy and Resolutions



NEW - Security Council Resolution 2178 (2014) on Foreign Terrorist Fighters (FTF)

The Security Council,

2. *Reaffirms* that all States shall prevent the movement of terrorists or terrorist groups by effective border controls and controls on issuance of identity papers and travel documents, and through measures for preventing counterfeiting, forgery or fraudulent use of identity papers and travel documents, underscores, in this regard, the importance of addressing, in accordance with their relevant international obligations, the threat posed by foreign terrorist fighters, and encourages Member States to employ evidence-based traveller risk assessment and screening procedures including collection and analysis of travel data, without resorting to profiling based on stereotypes founded on grounds of discrimination prohibited by international law.

ICAO TRIP Relevance to UN CT Strategy and Resolutions



- 9. *Calls upon* Member States to require that **airlines** operating in their territories **provide advance passenger information to the appropriate national authorities to detect departure from their territories, or attempted entry into or transit through their territories, by means of civil aircraft, of individuals designated by the Committee established pursuant to resolutions 1267 (1999) and 1989 (2011) (“Al-Qaida Sanctions Committee”), and further calls upon Member States to **report any such departure from their territories, or such attempted entry into or transit through their territories, of such individuals to the Committee, as well as sharing this information with the State of residence or nationality,** as appropriate and in accordance with domestic law and international obligations.**

ICAO TRIP Relevance to UN CT Strategy and Resolutions



- 11. *Calls upon* Member States to **improve international, regional, and sub-regional cooperation**, if appropriate through bilateral agreements, to prevent the travel of foreign terrorist fighters from or through their territories, **including through increased sharing of information for the purpose of identifying foreign terrorist fighters**, the **sharing and adoption of best practices**, and **improved understanding of the patterns of travel by foreign terrorist fighters...**

ICAO TRIP Applications



ICAO TRIP Global Implementation Assistance Needs



Challenges and gaps in developing and fragile countries:

- Weak **capacity** to uniquely identify individuals for the purposes of border controls, terrorism prevention and law enforcement
- Lack of **robust identification management** systems
- **Identity and travel document fraud** used for engaging in terrorism and organised trans-border crime
- Staff lacking **competencies, skills** and tools
- Lack of trust and technical means required for effective **inter-agency and cross-border cooperation**
- Inadequate traveller identification management and border controls
- Considerable **mobility of cross-border** populations

ICAO TRIP Implementation



- **Project: Strengthening Travel Document Security in the *Sahel-Sahara* (funded by Canada)**
- **Central America: 5 Gap Assessment Missions (funded by Canada)**
- African Region – Assistance projects under preparation with several Regional Economic Communities:
 - CEN-SAD: Community of Sahel-Saharan States, 28 States
 - COMESA: Common Market for Eastern and Southern Africa, 19 States
 - ECCAS: Economic Community of Central African States, 10 States
 - ECOWAS: Economic Community of West African States, 15 Member States
- Consolidating partnership with other int'l/regional organizations: UN Agencies, FRONTEX, OSCE, SICA, INTERPOL

ICAO TRIP Global Implementation – Initiatives Under Development



- **Africa Region : Combating Terrorism Through Enhanced Passports Security, Identification Management and Border Controls**

- Project proposed to the UN Counter-Terrorism Implementation Task Force (CTITIF) and Counter-Terrorism Center (UNCCT).
- International Partnership
- Total project budget - Phase 1: USD\$12,386,098
- **Also project proposals for Central Asia, the Americas (Phase II), South East Asia**

36 States (Algeria, Angola, Benin, Burkina Faso, Burundi, Congo, Cameroon, Central African Republic, Chad, Comoros, Cote d'Ivoire, Democratic Republic of Congo, Djibouti, Egypt, Equatorial Guinea, Eritrea, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, Kenya, Liberia, Libya, Mali, Mauritania, Morocco, Niger, Nigeria, São Tomé and Príncipe, Senegal, Sierra Leone, Somalia, Sudan, Togo and Tunisia.)

ICAO TRIP Implementation: Vision for the Future



Establishing an ICAO-led framework dedicated to providing technical assistance to States in implementing the ICAO TRIP Strategy in the regions.

- Linking together:
 - States in need of assistance
 - Funds: donor programmes and development banks
 - ICAO expertise and partner organization's implementation capacity
- **Objective**: to provide a one-stop shop for States in need to access funds and expertise required for Traveller Identification capacity building

ICAO TRIP Implementation Vision for the Future



Invitation to Stakeholders --

- Does your State face challenges and requires assistance in ICAO TRIP implementation?
- Are you a donor agency or international organization willing to provide support?
- Is your Organization willing to contribute with funding and/or expertise?
- **Contact ICAO to discuss options and opportunities ICAOTRIP@icao.int**

Maintaining focus on UN values, objectives and priorities



- The global ICAO TRIP implementation to be aligned with the UN family
- UNCTC, UNCTED, CTITF, implementation of the UN SC Resolutions and the Global Counter-Terrorism Strategy
- Global Vision – establishing a sustainable **‘Mechanism for Technical Assistance to States with Regard to the ICAO TRIP Strategy’**

ICAO TRIP - Helpful References



- **ICAO TRIP Strategy (A38-WP/397)**
http://www.icao.int/Meetings/a38/Documents/WP/wp011_en.pdf
- **Consolidated Statement of Continuing Policies and Practices Related to Facilitation, at**
http://www.icao.int/Meetings/a38/Documents/WP/wp431_en.pdf
- **ICAO TRIP/MRTD programme website**
<http://www.icao.int/Security/mrtd/Pages/default.aspx>





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THANK YOU!

Questions? Comments?

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<http://www.icao.int/Security/mrtd/Pages/default.aspx>