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## ICAO Assessment Guide: Purpose, Structure and Methodology

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# Overview

- \* Background to the Guide
- \* Guide outline in brief
- \* Why have an assessment?
- \* Independent or in-house assessment?
- \* Carrying out an assessment
- \* Outcomes

# Background to the Guide

- \* Economic, environmental and political drivers
- \* Fraudsters look for weak spots in any system
- \* Travel document fraud is responding to more sophisticated travel document security features
- \* Weak issuance systems can significantly devalue good quality travel documents
- \* Guide developed by ICAO to respond to this threat

# The Guide in Brief (1)

\* Two Parts

## Part 1

- Explains reason for the guide
- Contains 12 chapters on individual areas relating to the handling and issuance of travel documents
- Best practice/recommended practices
- Also contains links to other useful reference material – some of it Government produced

# The Guide in Brief (2)

## Part 2

- Assessor's Excel worksheet
- Each of the 12 chapters is assessed for compliance
- Assessment also involves level of risk score – low, medium, high
- Automatic calculation of risk score to identify where highest vulnerabilities exist

# Why Have an Assessment?

- \* As part of overall border and travel document assessment
- \* As part of visa relaxation/imposition process
- \* As part of a scoping study

# IN-HOUSE or INDEPENDENT

- \* Degree of assessor's experience
- \* Bias
- \* Level of assurance required

# THE ASSESSMENT

- \* Government commitment
- \* Carrying out the assessment
- \* Site visits
- \* Using the ICAO assessment guide/tool



# ASSESSMENT REPORT

- \* Timing
- \* Content

# SUMMARY

- \* ICAO guide and tool responds to increasing need
- \* Assessment is not a test!
- \* Preparation and planning essential
- \* Report should not only highlight weaknesses but offer possible solutions



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