

ICAO DOC 9303: COMPOSITION / AMENDMENTS / TECHNICAL REPORTS

Tom Kinneging
Convener ISO/IEC JTC1
SC17 WG3



Montréal, 2018-10-24





ICAO Doc 9303 - Machine Readable Travel Documents

- 1. Introduction
- 2. Specifications for the Security of Design, Manufacture and Issuance of MRTDs
- 3. Specifications common to all Machine Readable Travel Documents
- 4. Specifications specific to TD3 size MRTDs, Machine Readable Passports
- 5. Specifications specific to TD1 size MRTDs, Machine Readable Official Travel Documents
- 6. Specifications specific to TD2 size MRTDs, Machine Readable Official Travel Documents
- 7. Machine Readable Visas
- 8. Emergency Travel Documents
- 9. The Deployment of Biometric Identification and Electronic Storage of Data in MRTDs
- 10.Logical Data Structure
- 11. Security Protocols
- 12. Public Key Infrastructure for Machine Readable Travel Documents



Part 1 - Introduction

- 1. Foreword
- 2. Scope
- 3. General considerations
- 4. Definitions and Abbreviations
- 5. Guidance on the use of Doc 9303
- 6. References



Part 2 - Specifications for the Security of Design, Manufacture, and Issuance of MRTDs

- 1. Scope
- 2. Security of the MRTD and its Issuance
- 3. Machine Assisted Document Verification
- 4. Security of MRTD Production and Issuance Facilities
- 5. Provision of Information on Newly Issued MRTDs
- 6. Provision of Information on Lost and Stolen MRTDs

Appendix A – Security Standards for MRTDs

Appendix B – Machine Assisted Document Security Verification

Appendix C – The Prevention of Fraud Associated with the Issuance Process

Appendix D – ASF/SLTD Key Considerations



Part 3 - Specifications Common to all Machine Readable Travel Documents

- 1. Scope
- 2. Physical Characteristics of MRTDs
- 3. Visual Inspection Zone (VIZ)
- 4. Machine Readable Zone (MRZ)
- 5. Codes for Nationality, Place of Birth, Location of Issuing State/Authority and other Purposes
- 6. Transliterations Recommended for Use by States
- 7. Deviations
- 8. References

Appendix A – Examples of Check Digit Calculation

Appendix B – Arabic Transliteration, Details and Examples



Part 3 - Amendments

- Editorial corrections
- Clarifications
- Three letter codes
 - EUE (European Union)
 - XBA (African Development Bank)
 - XEC (Economic Community of West African States)
 - XCE (Council of Europe)
 - XDC (Southern African Development Community)
- Representation of dates



Part 4 - Specifications for Machine Readable Passports and other TD3 size MRTDs

- 1. Scope
- 2. Construction and Dimensions of the MRP and MRP Data Page
- 3. General Layout of the MRP Data Page
- 4. Contents of the MRP Data Page
- 5. References

Appendix A – Examples of a Personalized MRP Data Page

Appendix B - Construction of the Machine Readable Zone of the Passport Data Page



Part 4 - Amendments

- Revised figures (date formats)
- Added "After issuance no additional pages shall be added to the MRP"



Part 5 - Specifications for TD1 size Machine Readable Official Travel Documents (MROTDs)

- 1. Scope
- 2. Dimensions of the TD1 size MROTD
- 3. General Layout of the TD1 size MROTD
- 4. Contents of a TD1 size MROTD
- 5. References

Appendix A – Examples of a Personalized TD1 size MROTD

Appendix B – Construction of the Machine Readable Zone of a TD1 size MROTD

Appendix C – Technical Specifications for a Machine Readable Crew Member Certificate (CMC)



Part 5 - Amendments

- Editorial corrections
- Revised figures (date formats)



Part 6 - Specifications for TD2 size Machine Readable Official Travel Documents (MROTDs)

- 1. Scope
- 2. Dimensions of the TD2 size MROTD
- 3. General Layout of the TD2 size MROTD
- 4. Contents of a TD2 size MROTD
- 5. References

Appendix A – Examples of a Personalized TD2 size MROTD

Appendix B – Construction of the Machine Readable Zone of a TD2 size MROTD





Part 7 - Specifications for Machine Readable Visas

- 1. Scope
- 2. Technical Specifications for Format-A Machine Readable Visas (MRV-A)
- 3. General Layout of the MRV-A
- 4. Detailed Layout of the MRV-A
- 5. Technical Specifications for Format-B Machine Readable Visas (MRV-B)
- 6. General Layout of the MRV-B
- 7. Detailed Layout of the MRV-B
- 8. Use of Optional barcodes on Machine Readable Visas
- 9. References

Appendix A - Examples of a Personalized MRVs

Appendix B – Construction of the MRZ

Appendix C – Positioning in Passport

Appendix D – Materials and Production Methods



Part 8 - Guidance on Emergency Travel Documents (New)

- 1. Scope
- 2. Introduction
- 3. Background
- 4. Principles and Recommended Practices
- 5. Summary
- 6. References



Part 9 - Deployment of Biometric Identification and Electronic Storage of Data in MRTDs

- 1. Scope
- 2. eMRTD
- 3. Biometric Identification
- 4. The Selection of Biometrics Applicable to eMRTDs
- 5. Storage of the Biometric and other Data in a Logical Format in a Contactless IC
- 6. Test Methodologies for (e)MRTDs
- 7. References

Appendix A - Placement of the Contactless IC in an eMRP

Appendix B – Process for Reading eMRTDs



Part 9 - Amendments

- Editorial corrections
- Added reference to ISO/IEC 14443 application profile
- Updated references to
 - ISO/IEC 18745-2,
 - ISO/IEC 10373-6,
 - ISO/IEC 14443 1-4
- Revised Appendix A



Part 10 - Logical Data Structure (LDS) for Storage of Biometrics and other Data in the Contactless IC

- 1. Scope
- 2. Requirements of the Logical Data Structure
- 3. Application Profile for the Contactless IC
- 4. File Structure Specifications
- 5. Elementary Files
- 6. Data Elements Forming Data Groups 1 through 16
- 7. References

Appendix A – Logical Data Structure Mapping Examples



Part 10 - Amendments

- Editorial corrections
- Inclusion of Document Signer Certificate in Security Object has become MANDATORY
- Added Appendix B (14443 application profile: The contactless IC in an eMRP)
- Added Appendix C (14443 application profile: Inspection Systems)





Part 11 - Security Mechanisms for MRTDs

- 1. Scope
- 2. Assumptions and Notations
- 3. Securing Electronic Data
- 4. Access to the Contactless IC
- 5. Authentication of Data
- 6. Authentication of the Contactless IC
- 7. Additional Access Control Mechanisms
- 8. Inspection System
- 9. Common Specifications
- 10.References

- Appendix A Entropy of the MRZ-Derived Access Keys
- Appendix B Point Encoding for the ECDH-Integrated Mapping
- Appendix C Challenge Semantics
- Appendix D Worked Example: Basic Access Control
- Appendix E Worked Example: Passive Authentication
- Appendix F Worked Example: Active Authentication
- Appendix G Worked Example: PACE Generic Mapping
- Appendix H Worked Example: PACE Integrated Mapping



Part 11 - Amendments

- Editorial corrections
- Added Appendix I (PACE CA Mapping)





Part 12 - Public Key Infrastructure for MRTDs

- 1. Scope
- 2. Overview of the Public Key Infrastructure
- 3. Roles and Responsibilities
- 4. Key Management
- 5. Distribution Mechanisms
- 6. PKI Trust and Validation
- 7. Certificate and CRL Profiles
- 8. CSCA Master List Structure
- 9. References

Appendix A – Lifetimes

Appendix B – Certificate and CRL Profile Reference Text

Appendix C – Earlier Certificate Profiles

Appendix D – RFC 5280 Validation Compatibility





Technical Reports & Guidance (TAG-TRIP 2)

- TR Visible Digital Seals
- TR Logical Data Structure 2
- TR Portrait Quality
- TR RF and Protocol Testing Part 3
- TR RF and Protocol Testing Part 4
- TR RF and Protocol Testing Part 5
- Guidance on CSCA Migration
- Best practice guidelines for Optical Machine Authentication
- Guidance on Evidence of Identity



'Old' new specifications

- 2011 LDS v1.8
 - LDS versioning in (signed) SOD
- 2014 Supplemental Access Control
 - Password Authenticated Connection Establishment (PACE)
 - SAC = BAC + PACE
 - 01-01-2018 PACE-only passports



https://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx

Tom Kinneging tom.kinneging@idemia.com