



# Protection of Safety Data, Safety Information & Related Sources

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**CAAS**

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# How is SIP essential for Safety Management?

- ❑ SSP & SMS are sustained by safety data & safety information necessary to address existing & potential **safety deficiencies & hazards**, including safety issues identified by personnel.
- ❑ Success depends on **continuous flow of information** from & **feedback** to organizations & individuals.
- ❑ **Protection** of safety data, safety information and related sources is essential to ensure **continued availability** of data & information

## *Benefits*

- ❑ Operational personnel closest to safety hazards encouraged to actively **identify hazards & suggest workable solutions**
- ❑ Regulator or Management able to gather important safety information & **build trust** with reporting organizations or operational personnel

# Why do we need to protect safety information?

- ❑ Create an environment in which people are confident to report
- ❑ Ensure continued availability of essential information



- ❑ Not intended to prevent administration of justice or taking of necessary actions to maintain or improve safety

# Safety Information Protection (SIP) in the ICAO Annexes

<u>Annex 13</u> - Aircraft Accident and Incident Investigation	<u>Annex 19</u> - Safety Management	<u>Annex 6</u> - Operation of Aircraft
Accident & incident investigation records	Safety data, safety information & related sources	Flight recorder recordings
Accident & incident investigation	Safety management	Normal operations
Balancing test	Principles of exception	Safety related purposes or criminal proceedings
5.12 to 5.12.6 & Appendix 2	5.3 to 5.3.7 & Appendix 3	Part I – 3.3.3, 3.3.4 & 3.3.5 Part II – 3.3.2.1 & 3.3.2.2 Part III – 1.3.2, 1.3.3 & 1.3.4
Applicable from 10 Nov 16	Applicable from 7 Nov 19	Applicable from 7 Nov 19
Doc 10053 (1ed) - Manual on Protection of Safety Information, Part 1	Doc 9859 (4ed) - Safety Management Manual	Doc 9859 (4ed) - Safety Management Manual

# Challenges associated with SIP

- Determining **competent authority & custodian**
- **Support** from **other government agencies, law enforcement authorities & judiciary**
- **Implementing legislation** (especially States with freedom of information or right-to-know laws)
- **Implementing policies & procedures**
  - Regulator level
  - Organisation level
- **Briefings &/or Training** for
  - other government agencies, law enforcement authorities & judiciary
  - implementing personnel
- Measuring & determining **effectiveness**

**Thank you  
for your attention**