

Session 1: Overview of CORSIA CORSIA Administrative Aspects and Timelines

ICAO Secretariat





Draft Annex 16, Volume IV, Part II, Chapter 1 and Appendix 1:

- 1.1 Attribution of international flights to aeroplane operators
- 1.2 Attribution of an aeroplane operator to a State
- 1.3 State Administrative Partnerships and Processes
- 1.4 Record keeping
- 1.5 Compliance periods and timeline (Appendix 1)



• Ensure completeness

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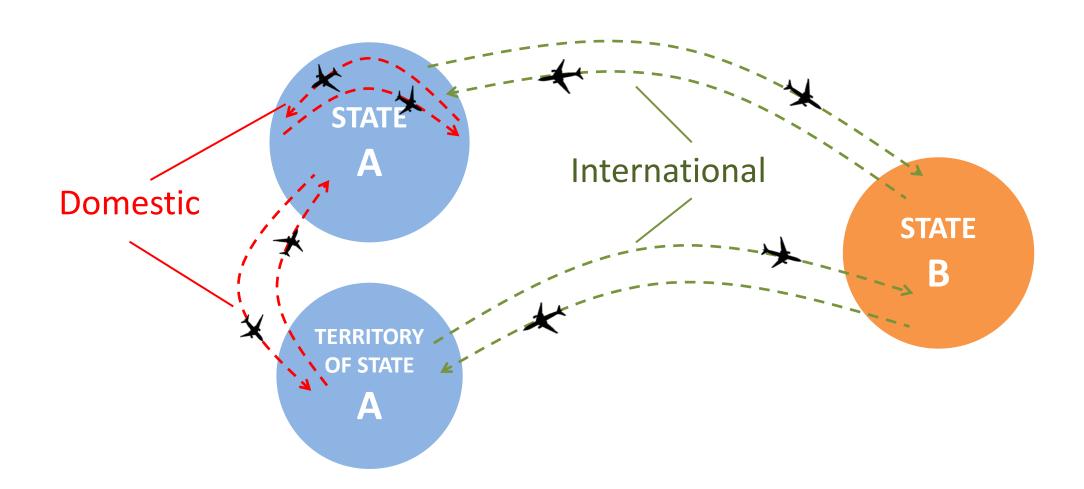
- Identify all applicable international flights
- Emissions from all applicable international flights must be reported

- Avoid duplication
 - Each international flight is allocated to a single aeroplane operator
 - Each aeroplane operator is allocated to a single State



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Definition of Flights in CORSIA



Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.1.2

Attribution based on information in the flight plan form (see Doc 4444, ICAO model flight plan item 7 – Aircraft identification):

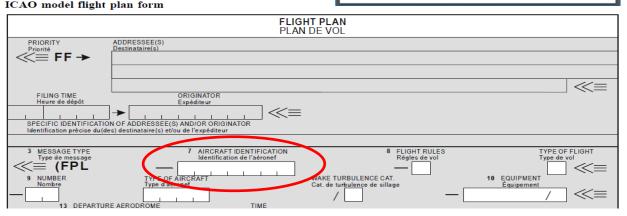
ICAO designator, or

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- Registration marks

Emissions Monitoring Plan code

If none of the above, attribution to the aeroplane owner



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Doc 4444

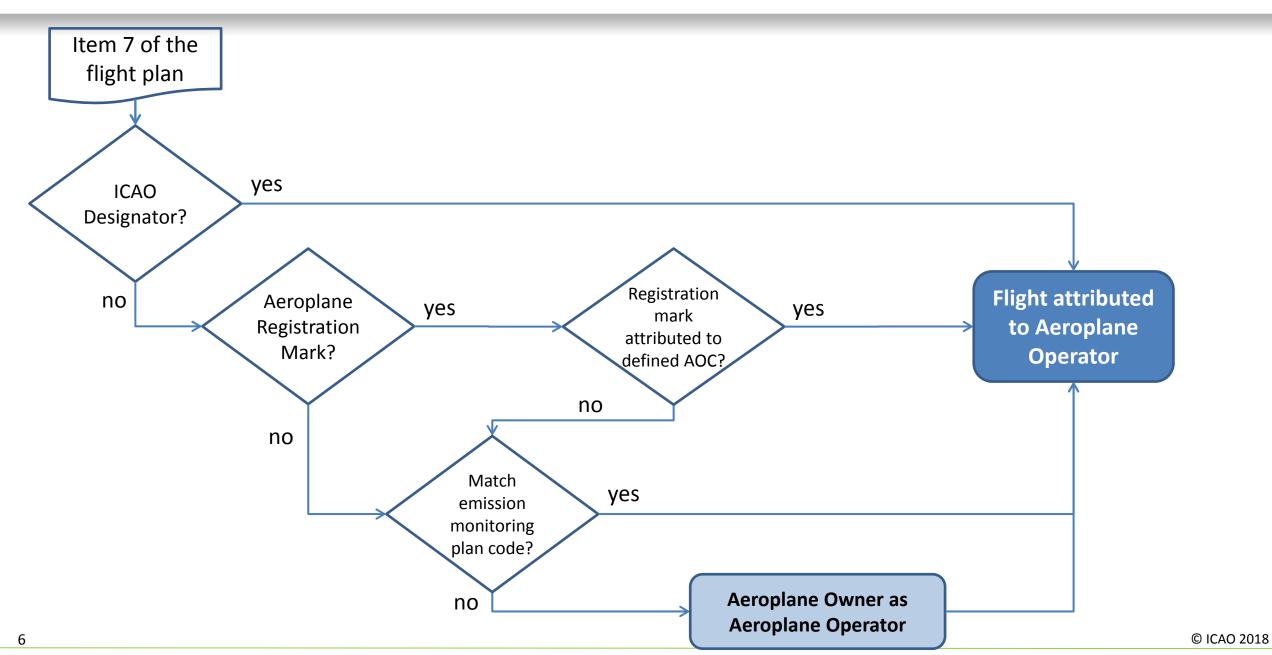
Air Traffic Management

INTERNATIONAL CIVIL AVIATION ORGANIZATIO

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.1.3



Decision Flowchart to Attribute International Flights



Recommendation: The State should use the ICAO document entitled "CORSIA Aeroplane Operator to State Attributions"

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.2.3

Attribution of an Aeroplane Operator to a State

Attribution of an aeroplane operator based on:

- ICAO designator, or
- Air operator certificate, or
- Place of juridical registration

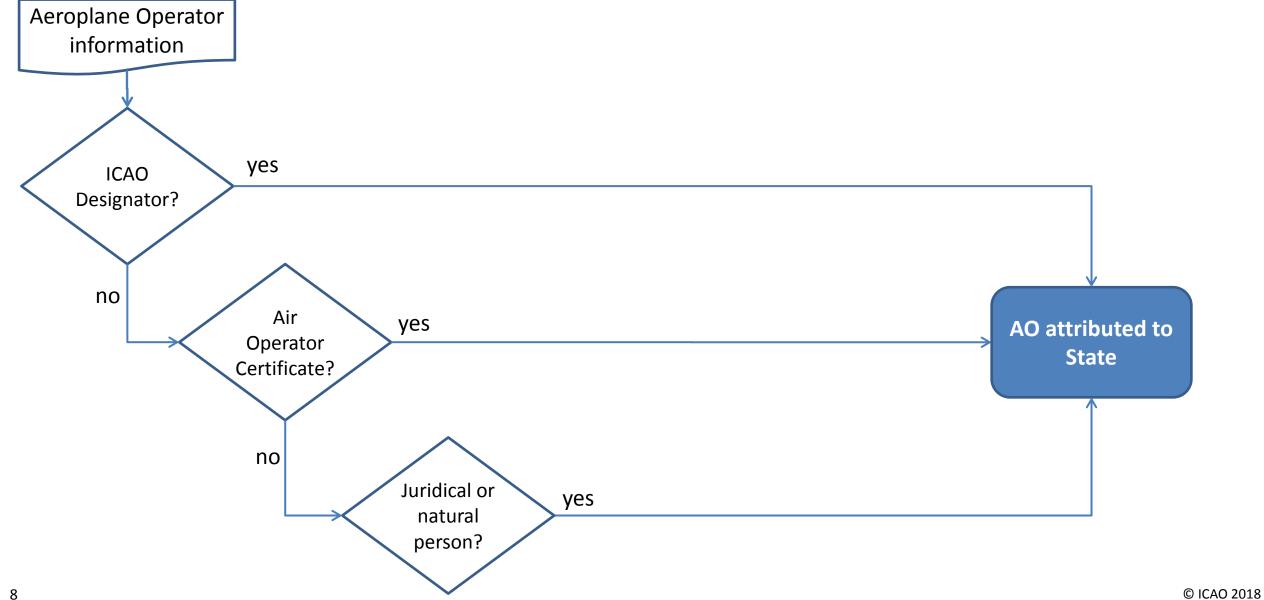
Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.2.4

Each State shall submit to ICAO a list of aeroplane operators which are attributed to it – update regularly, as necessary

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.2.7



Decision Flowchart to Attribute Aeroplane Operators





 The aeroplane operator with a wholly owned subsidiary aeroplane operator that is legally registered in the same State can be treated as a single consolidated aeroplane operator liable for compliance with the requirements of this Volume, subject to the approval of the State.

• Evidence shall be provided in the aeroplane operator's Emissions Monitoring Plan to demonstrate that the subsidiary aeroplane operator is wholly owned.

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraph 1.2.6

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- Delegation of administrative processes
 - A State <u>may delegate administration processes</u> to another
 State through an Administrative Partnership based on Bilateral
 Agreement among the respective States.

 A State shall <u>not delegate enforcement of the requirements in</u> this Volume, <u>or their administrative tasks towards ICAO</u>, to another State.

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- Administrative partnerships (optional)
 - A State <u>providing</u> capacity support <u>shall notify ICAO</u> about the contracting administrating authorities scope and duration of the Administrative Partnership and a copy of the Bilateral Agreement.
 - A State <u>receiving</u> capacity support shall ensure that <u>Aeroplane</u>
 <u>Operators are advised of the administrative arrangements prior to</u>
 <u>start</u> of the Administrative Partnership and any potential changes thereafter.

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraphs 1.3.3, 1.3.5



- Aeroplane Operator
 - Keep relevant records for a period of 10 years

Recommendation: The aeroplane operator should keep records relevant to its CO₂ emissions per State pair during the 2019-2020 period in order to cross-check its offsetting requirements calculated by the State during the 2030-2035 compliance periods.

- State
 - Keep records relevant to the Aeroplane Operator's CO₂ emissions per State pair during the period of 2019-2020 in order to calculate the Aeroplane Operator's offsetting requirements during the 2030-2035 compliance periods.

Reference: draft Annex 16, Volume IV, Part II, Chapter 1, paragraphs 1.4.1, 1.4.2, 1.4.3

Compliance Periods and Timeline

• Compliance periods

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- Five 3-year compliance periods starting in 2021:
 - 2021-2023 (Pilot phase)
 - 2024-2026 (First phase)
 - 2027-2029; 2030-2032; 2032-2035 (Second phase)
- Appendix 1 on timelines:

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- Summarises administrative roles and responsibilities of the stakeholders involved, and
- Provides a list of activities and the associated dates by which the activities shall be completed.

More information on timelines during Session #4

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Reference: draft Annex 16, Volume IV, Part II, Chapter 1, 1.5 and Appendix 1



- Part II, Chapter 1 and Appendix 1 of the draft Annex 16, Volume IV contain general requirements for administrative procedures regarding the implementation of CORSIA:
 - Distinguishing between domestic and international flights;
 - Attributing international flights to aeroplane operators;
 - Attributing aeroplane operator to a State;
 - Addressing administrative partnerships and processes; identifying record keeping requirements;
 - Defining compliance periods and timelines for specific actions.



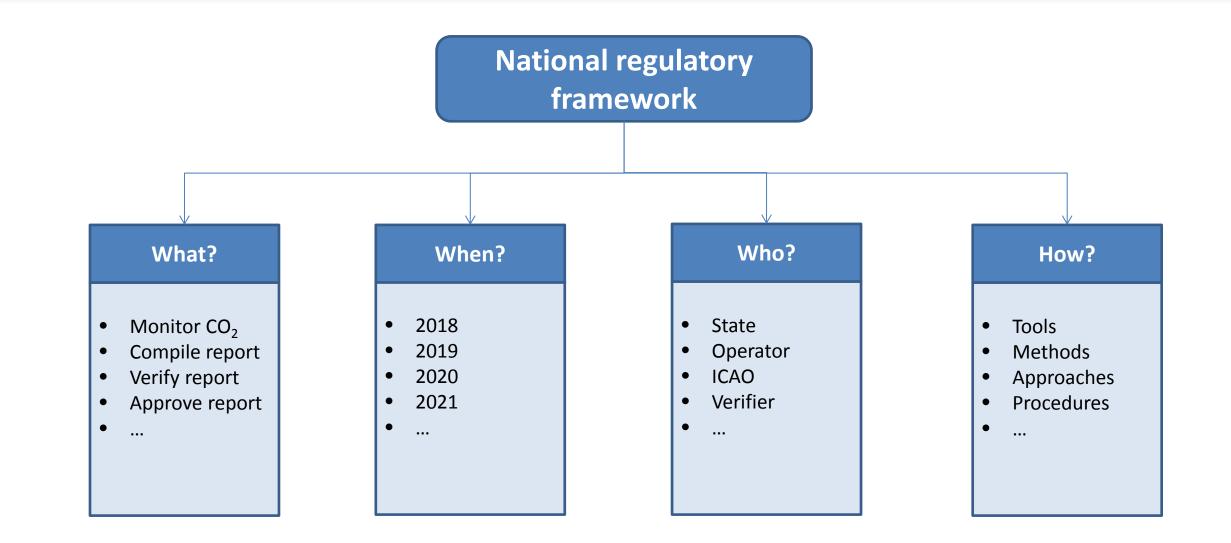
Questions?



- States and aeroplane operators need to be ready to implement CORSIA on 1 January 2019
 - For this to happen, preparations should start in 2018
- Establishment of a national regulatory framework
 - Format depends on national circumstances
 - National law, memorandum of understanding, decree, contract etc.
 - Team of experts with roles and responsibilities
 - Team leader(s), national experts, industry, representatives of associations, etc.
 - Identify roles/responsibilities of each team member with clear reporting lines and accountability

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ICAO ENVIRONMENT National regulatory framework (3/3)

- Nominated CORSIA Focal Point
- National experts to provide required expertise / capacity to:
 - Compile a list of attributed aeroplane operators and accredited verification bodies
 - Assess/Review Emissions Monitoring Plans
 - Conduct order of magnitude check of Emissions Report
 - Estimate offsetting requirements
 - ...
- Cooperation with national teams responsible for the State Action Plan for International Aviation and for the national GHG inventory
 - Harmonize estimation of GHG emissions
 - Ensure no double-counting of any emissions credits









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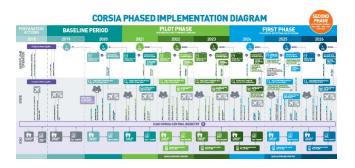












For more information, please visit our website: <u>http://www.icao.int/env</u>

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