



**WORKING PAPER**

**FACILITATION PANEL (FALP)**

**EIGHTH MEETING**

**Montréal, 24-28 November 2014**

**Agenda Item 2: Amendments to Annex 9**

**PROPOSED AMENDMENTS TO ANNEX 9: AUTOMATED  
IMMIGRATION CLEARANCE SYSTEM**

(Presented by Secretariat)

**SUMMARY**

At the 38th Session of the ICAO Assembly, the Republic of Korea presented A38-WP/267, *A Proposal for ICAO Standards and Recommended Practices on the Automated Immigration Clearance System*. The Assembly agreed that the ICAO Council should be requested to consider including new Standards and Recommended Practices (SARPs) in Annex 9 on the matter. The Council, in turn, agreed that this would be taken up by the Secretariat, in coordination with the FAL Panel.

**Action by the FAL Panel:**

The FAL Panel is invited to consider the proposals to amend Annex 9, as set out in the Appendix.

**1. INTRODUCTION**

1.1 At the 38th Session of the Assembly (24 September to 4 October 2013), the Republic of Korea presented A38-WP/267, *A Proposal for ICAO Standards and Recommended Practices on the Automated Immigration Clearance System* (that can be found on the ICAO public website at [http://www.icao.int/Meetings/a38/Pages/WP\\_Num.aspx](http://www.icao.int/Meetings/a38/Pages/WP_Num.aspx)). It was noted that international efforts to standardize immigration procedures, particularly through “Automated Immigration Clearance Systems,” have resulted in significant increases in both border clearance efficiency and in (aviation) security.

1.2 A38-WP/267 concluded by proposing that new provisions be incorporated into Annex 9 recommending that States set up such Automated Immigration Clearance Systems.

1.3 The Assembly agreed that the ICAO Council should be requested to consider including new Standards and Recommended Practices (SARPs) in Annex 9 on the matter. The Council, in turn, agreed that this would be taken up by the Secretariat, in coordination with the FAL Panel.

## 2. **RECOMMENDATIONS**

2.1 The FAL Panel is thus invited to consider, with reference to A38-WP/267, the proposals to amend Annex 9 as set out in the Appendix.<sup>1</sup>

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<sup>1</sup> Please note that, in the Appendix, two of the proposals of A38-WP/267 have been omitted: the recommendation in paragraph 4.5 duplicates the recommendation in paragraph 4.3, and the recommendation in paragraph 4.6 is more appropriate for inclusion in the FAL Manual.

## APPENDIX

*Amend* Annex 9 as follows:

### Chapter 1. Definition and General Principles

#### A. Definitions

***Automated Immigration Clearance System.*** A system with self-screen stands that processes passenger departures step-by-step in order to enhance the departure process through e-MRTDs with biometric recognition. Facial, fingerprint or any other applicable methods are utilized to prevent unlawful activities such as passport swapping.

#### B. General Principles

**1.7 Recommended Practice.**— *Member States should manage their airport procedures efficiently through the introduction or development of Automated Immigration Clearance Systems.*

### Chapter 3. Entry and departure of persons and their baggage

**Recommended Practice.**— *Member States should allocate an appropriate number of staff to deal with inadmissible persons and to manage mechanical system errors.*

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