



NOTE DE TRAVAIL

GROUPE D'EXPERTS DE LA FACILITATION (FALP)

SEPTIÈME RÉUNION

Montréal, 22 – 26 octobre 2012

Point 5 : Amendement de l'Annexe 9

**PROPOSITION D'ADDITION DANS L'ANNEXE 9 — FACILITATION D'UNE NORME
POUR ÉTABLIR DES CONTRÔLES SUR LES MODALITÉS DE DÉLIVRANCE
DES DOCUMENTS DE VOYAGE**

(Note présentée par l'Australie, le Canada, les États-Unis, la Nouvelle-Zélande et le Royaume-Uni)

(Faute de ressources, seuls le sommaire et l'appendice ont été traduits.)

SOMMAIRE

Récemment, en raison de la plus grande sûreté matérielle des documents de voyage, les fraudes se produisent maintenant sur les modalités de leur délivrance. Il est proposé dans la présente note de modifier la norme 3.8 de l'Annexe 9 et d'y ajouter une nouvelle norme 3.8.1 pour exiger que les États sécurisent non seulement le document de voyage lui-même mais toutes les modalités de sa délivrance.

Suite à donner par le Groupe d'experts FAL :

Le Groupe d'experts FAL est invité à examiner la proposition de la présente note et à convenir que l'Annexe 9 soit modifiée de la manière décrite dans son appendice.

1. INTRODUCTION

1.1 This paper proposes that Standard 3.8 of Annex 9 – *Facilitation* be amended by removing wording related to travel document issuance and that a new Standard 3.8.1 be included in the Annex to broaden the scope of travel document integrity by securing the entire travel document issuance process.

1.2 Standard 3.8 was incorporated into Annex 9 in 2005 along with a number of other travel document security-related standards. At the time the security focus was primarily on the physical document. With advent of the ePassport and other enhanced security features of travel documents, fraud is increasingly shifting to the issuance process and as such a Standard is required which focuses on securing the entire issuance process.

2. DISCUSSION

2.1 Standard 3.8 of *Annex 9 – Facilitation* states:

“Contracting States shall establish controls on the creation and issuance of travel documents in order to safeguard against the theft of their stocks and the misappropriation of newly issued travel documents.”

2.2 The Standard is limited in that it calls for the establishment of controls on the creation and issuance of travel documents only in respect to theft of stock and misappropriation of newly issued travel documents. It fails to identify the importance of ensuring the security of the entire issuance process, including the increasing threat of obtaining genuine travel documents by fraudulent means (impersonation, employee malfeasance, etc.).

2.3 In recent years, due to increased physical security of travel documents, fraud has been shifting to the issuance process. To provide additional security and enhance the integrity of travel documents States need to focus on securing the issuance process including, most importantly, ensuring the identity of the person to whom the travel document is being issued. Furthermore, efforts to enhance the security of the issuance of travel documents will contribute to enhanced national and international security.

2.4 Assembly Resolution 37-20 requested Council to develop guidance material to assist States maintain the integrity and security of their passports and other travel documents. ICAO’s Technical Advisory Group on Machine Readable Travel Documents’s Implementation and Capacity Building Working Group has developed the ICAO *Guide for Assessing Security of Handling and Issuance of Travel Documents* which is currently used in assessment activities and workshops and can be used by States in achieving compliance with the amended standard.

3. RECOMMENDATIONS

3.1 Annex 9 should be amended, as set out in the Appendix.

3.2 The Facilitation Manual should be updated to include appropriate guidance or references to existing guidance material.

APPENDICE

Amender l'Annexe 9 comme suit :

3.8 Les États contractants établiront des contrôles ~~sur la création et la délivrance des documents de voyage~~ pour se prémunir contre le vol de leurs stocks et le détournement de documents de voyage nouvellement délivrés.

3.8.1 Les États contractants établiront des contrôles appropriés sur l'ensemble des modalités de demande, d'octroi et de délivrance des documents de voyage pour garantir un niveau élevé de leur intégrité et de leur sûreté.

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