Illustrative Exercise 4: CORSIA Data and Information Flow

ICAO Secretariat





Exercise 4 – Introduction

• Offsetting requirements:

Offsetting requirements for an Aircraft Operator

= Aircraft Operator's CO₂
emissions covered by
CORSIA

X Sector growth factor of the given year

• Eligible emissions units:

- Eligible Emissions Units
 - Purchase ★
 - Sell 😕
 - Surrender ✓

- **❖**Ineligible Emissions Units
 - Purchase ★
 - Sell 🕊
 - Surrender ★



Exercise 4 – Assumption

Assumption Table 7: Information on the routes operated by Aircraft Operator A1 in 2024, and resulting offsetting requirements under CORSIA in 2024

State	Airline	From	То	Covered?	CO ₂ (2024)	Sector Growth Factor (2024)
Α	A1	А	В	Yes	60	
Α	A1	А	С	No	60	
Α	A1	А	D	Yes	60	
Total CO ₂ emissions covered by CORSIA in 2024 by the Airline A1					120	21.10%
	Offsetting requirements for Aircraft Operator A1					

Assumption Table 8: Information on programmes issuing emissions units

Programme	Emissions Unit Identification Numbers	Eligibility for CORSIA
Α	1000-1999	Eligible
В	2000-2800	Eligible
С	3000-3486	Eligible
D	4000-4999	Ineligible
Е	5000-5450	Eligible
F	6000-6900	Ineligible
G	7000-7200	Ineligible
Н	8000-8999	Eligible
I	9000-9900	Eligible

3 © ICAO 2017



Exercise 4-A / Instruction

Based on the information provided:

a)	How many eligible	emissions	s units were
	surrendered, based	d on the ir	nformation on
	transactions by Air	craft Oper	ator A1 provided in
	the table below?		

b)	Did Aircraft Operator A1 meet its offsetting
	requirements for 2024?

Ye		NI.	D	
I YE	76	No	Rea	son:
			INCU	3011.

#	Transaction	Quantity of Units	Identification Numbers	Notes
1	Purchase	2	3455-3456	
2	Purchase	7	4587-4593	
3	Sell	4	4590-4593	
4	Surrender	1	4587	
5	Purchase	6	1111-1116	
6	Sell	2	1112; 3455	
7	Surrender	1	4588	
8	Purchase	3	3661-3663	
9	Surrender	1	3456	
10	Surrender	2	3662; 3663	
11	Purchase	20	2746-2765	
12	Surrender	9	2746-2754	
13	Surrender	14	1111; 1113-1116; 2755-2763	

4 © ICAO 2017



Exercise 4-A / Solution

Based on the information provided:

- a) How many eligible emissions units were surrendered, based on the information on transactions by Aircraft Operator A1 provided in the table below?

 24 ✓
- o) Did Aircraft Operator A1 meet its offsetting requirements for 2024?

☐ Yes ☐ No Reason:

Programme	Emissions Unit Identification Numbers	Eligibility for CORSIA
Α	1000-1999	Eligible
В	2000-2800	Eligible
С	3000-3486	Eligible
D	4000-4999	Ineligible
E	5000-5450	Eligible
F	6000-6900	Ineligible
G	7000-7200	Ineligible
Н	8000-8999	Eligible
I	9000-9900	Eligible

#	Transaction	Quantity of Units	Identification Numbers	Notes
1	Purchase	2	3455-3456	Eligible Units (Purchase)
2	Purchase	7	4587-4593	Ineligible Units (Purchase)
3	Sell	4	4590-4593	Sell, not surrender (ineligible units)
4	Surrender	1	4587	Ineligible Units (Surrender)
5	Purchase	6	1111-1116	Eligible Units (Purchase)
6	Sell	2	1112; 3455	Sell, not surrender (eligible units)
7	Surrender	1	4588	Ineligible Units (Surrender)
8	Purchase	3	3661-3663	Invalid ID-Number → Ineligible Units (Purchase)
9	Surrender	1	3456	Eligible Units (Surrender)
10	Surrender	2	3662; 3663	Invalid ID-Number → Ineligible Units (Surrender)
11	Purchase	20	2746-2765	Eligible Units (Purchase)
12	Surrender	9	2746-2754	Eligible Units (Surrender)
13	Surrender	14	1111; 1113-1116; 2755-2763	Eligible Units (Surrender)



Exercise 4-A / Solution

Based on the information provided:

- a) How many eligible emissions units were surrendered, based on the information on transactions by Aircraft Operator A1 provided in the table below?
 24 ✓
- o) Did Aircraft Operator A1 meet its offsetting requirements for 2024? ✓

Yes X No Reason:

Programme	Emissions Unit Identification Numbers	Eligibility for CORSIA
Α	1000-1999	Eligible
В	2000-2800	Eligible
С	3000-3486	Eligible
D	4000-4999	Ineligible
E	5000-5450	Eligible
F	6000-6900	Ineligible
G	7000-7200	Ineligible
Н	8000-8999	Eligible
ĺ	9000-9900	Eligible

Not enough eligible
emissions units
surrendered (24 units)
requirements of 25.3;
(other emissions units
were either not eligible or
not surrendered (but
sold), or had ineligible
Identification

#	Transaction	Quantity of Units	Identification Numbers	Notes
1	Purchase	2	3455-3456	Eligible Units
	T di citase	_	3133 3130	(Purchase)
2	Purchase	7	4587-4593	Ineligible Units
		-		(Purchase)
3	Sell	4	4590-4593	Sell, not surrender
				(ineligible units)
4	Surrender	1	4587	Ineligible Units
				(Surrender)
5	Purchase	6	1111-1116	Eligible Units
				(Purchase)
6	Sell	2	1112; 3455	Sell, not surrender
			,	(eligible units)
7	Surrender	1	4588	Ineligible Units
		_	.555	(Surrender)
				Invalid ID-Number →
8	Purchase	3	3661-3663	Ineligible Units
				(Purchase)
9	Surrender	1	3456	Eligible Units
<i></i>	Junenaci	-	3430	(Surrender)
				Invalid ID-Number →
10	Surrender	2	3662; 3663	Ineligible Units
				(Surrender)
11	Purchase	20	2746-2765	Eligible Units
11	Fulcilase	20	2740-2703	(Purchase)
12	Surrender	9	2746-2754	Eligible Units
14	Julienaei	9	2/40-2/34	(Surrender)
13	Surrender	14	1111; 1113-1116; 2755-2763	Eligible Units
13	Surremuer	14	1111, 1113-1110, 2733-2703	(Surrender)



Exercise 4-B / Introduction and Instruction

Introduction

- Aircraft Operators are required to submit various
 CORSIA-related data and/or information to their State.
- States are required to review that data / information and submit it to ICAO.
- ICAO in turn is required to produce information and provide it to the public, States and operators.

Instruction

Fill in the sections of the tables below with the data / information that is to be transferred and to which stakeholder.

<u>Note</u>: The stakeholders (for the right-hand column) in this exercise are: Aircraft Operators; States; ICAO; Eligible Emissions Programs; Verifiers; and the Public.

Aircraft Operators

Data / Information	From AO to whom?

States

Data / Information	From State to whom?

ICAO

Data / Information	From ICAO to whom?



Exercise 4-B / Solution

Introduction

- Aircraft Operators are required to submit various
 CORSIA-related data and/or information to their State.
- States are required to review that data / information and submit it to ICAO.
- ICAO in turn is required to produce information and provide it to the public, States and operators.

Instruction

Fill in the sections of the tables below with the data / information that is to be transferred and to which stakeholder.

<u>Note</u>: The stakeholders (for the right-hand column) in this exercise are: Aircraft Operators; States; ICAO; Eligible Emissions Programs; Verifiers; and the Public.

Aircraft Operators

Data / Information	From AO to whom?
Fuel Burn Monitoring / Estimation	State / Verifier
CO ₂ emissions data for international flights	State / Verifier
Post Verification of Emissions Report	State
Purchase / Sale / Surrender of Emissions Units	Emissions Programme
Volume / number of Emissions Units	State
Evidence / date that eligible emissions units were used to offset CORSIA requirements	State

States

Data / Information	From State to whom?
CO ₂ emissions data for international flights	ICAO
Volume / number of Emissions Units	ICAO
Evidence / date that eligible emissions units were used to offset CORSIA requirements	ICAO
Offsetting requirements	Aircraft Operator

ICAO

Data / Information	From ICAO to whom?
Total sector CO ₂ emissions data for international flights	Public
Total emissions units volume offset	Public
Sector growth rate	Public / States
Offsetting requirements	States

8 © ICAO 2017

Exercise 4-B / Solution

