



| ICAO SECURITY & FACILITATION

Universal Security Audit Programme Continuous Monitoring Approach USAP-CMA

**The Regional Ministerial Conference on
Aviation Security in Africa and the Middle East**

**Sharm El Sheikh
22 to 24 September 2017**





USAP-CMA Objective

The objective of the USAP-CMA is to promote global aviation security through continuous auditing and monitoring of the aviation security performance of Member States.





This objective is achieved by:

- determining the level of effective implementation of the critical elements of an aviation security oversight system; and
- providing an indication of the effective implementation of Annex 17 – *Security* Standards and security-related Standards of Annex 9 – *Facilitation* to the *Convention on International Civil Aviation*.





State's Aviation Security Performance



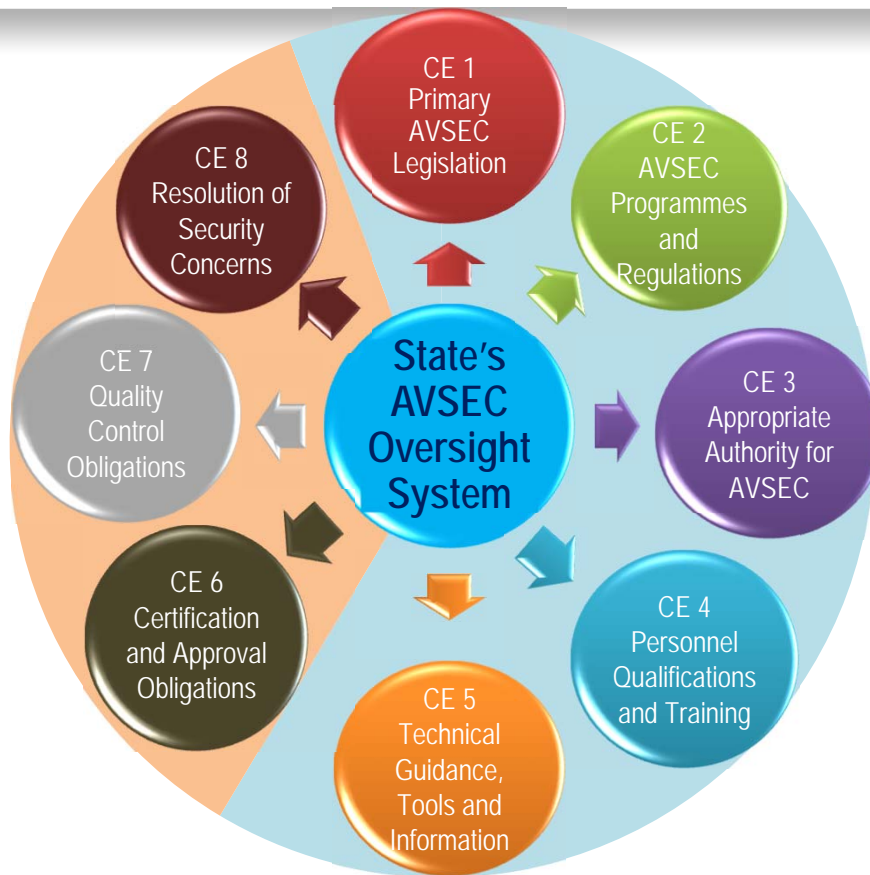
Oversight Indicator – Average effective implementation of the eight critical elements of a State's aviation security oversight system.



Compliance indicators – Average effective implementation of Annex 17 Standards and average effective implementation of security-related Standards of Annex 9.



Implement



Establish



Risk information

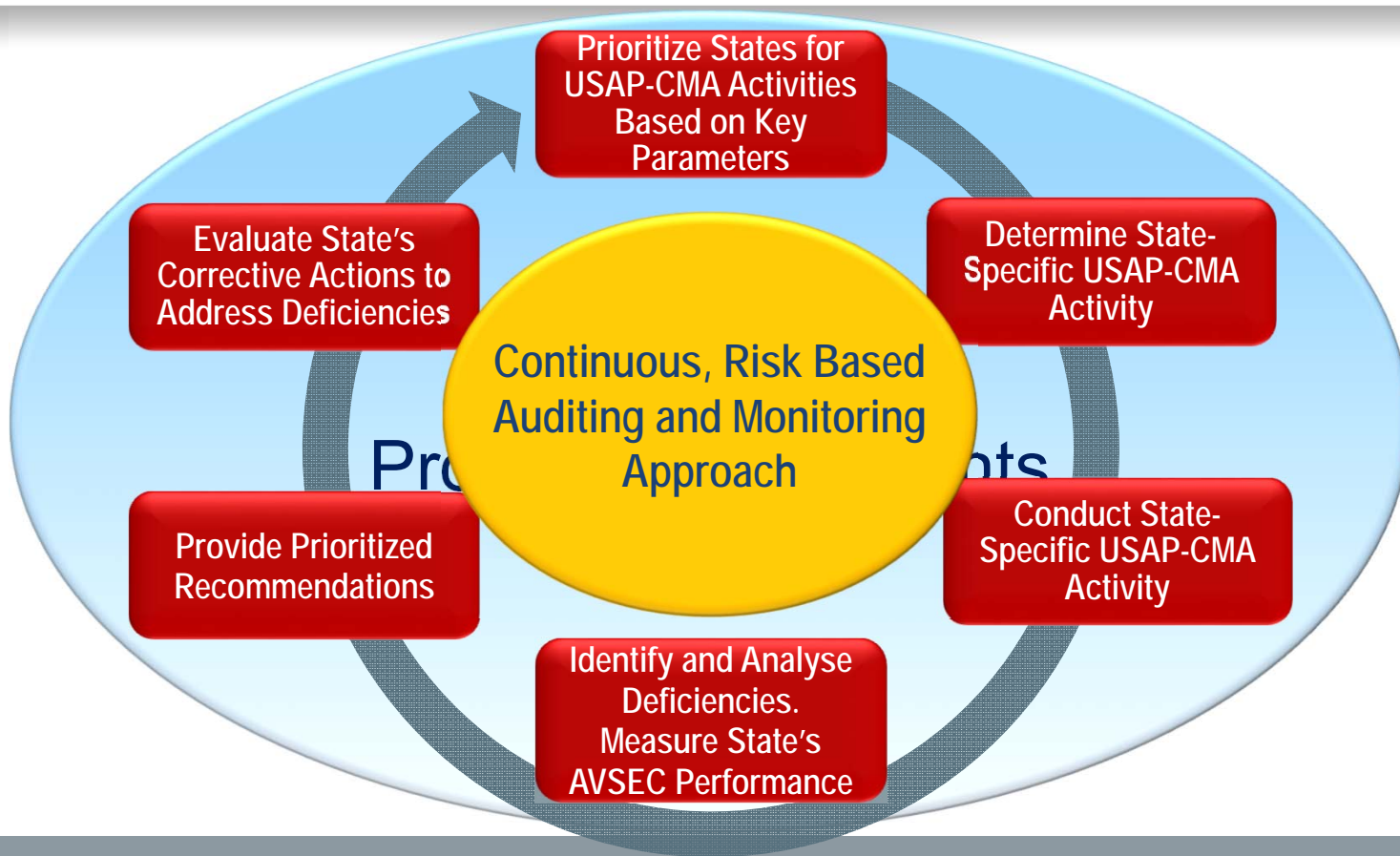
- Level or nature of activity inconsistent with security oversight capability
- State security record - acts of unlawful interference
- Failure or refusal to participate in significant aspects of the USAP-CMA process
- Failure to resolve the critical security-related deficiencies identified in the USAP-CMA activity, such as SSeCs

Performance information

- Results of USAP activity prior to CMA
- State's aviation security performance indicators
- Existing or potential SSeCs
- Level of acceptability of the State's CAP
- State's CAP implementation progress

Critical information

- Number of airports in the States serving international civil aviation
- Number of aircraft operators providing service from the State
- Annual number of originating and transfer passengers
- Annual volume of exported cargo and mail
- Significant development in the State's aviation security and oversight systems
- ICAO assistance activities in the State
- Date and type of the last USAP activity

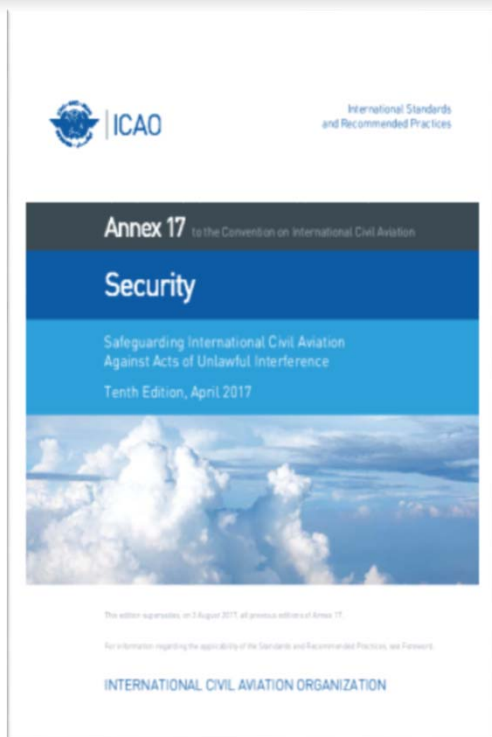




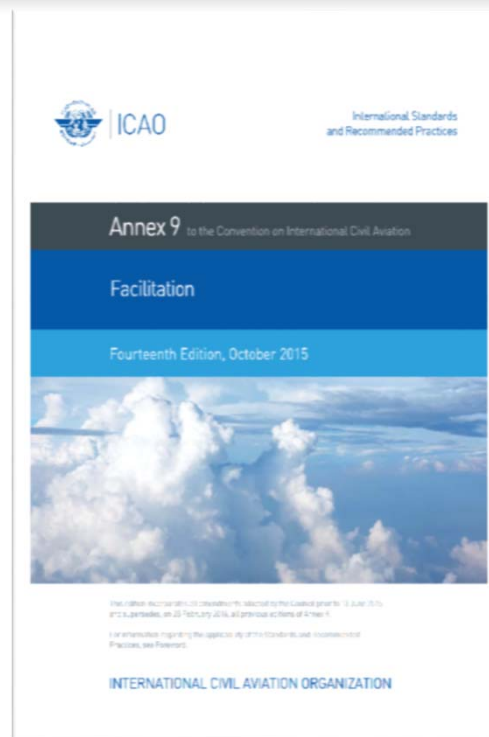
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USAP-CMA Related Documents



ANNEX 17: 84 Standards



ANNEX 9: 15 Standards



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USAP-CMA Related Documents

The image displays three document covers from the International Civil Aviation Organization (ICAO). The first two covers, 'Aviation Security Manual' (Doc 8973/9) and 'Aviation Security Oversight Manual' (Doc 10047), have a purple background. The third cover, 'Universal Security Audit Programme Continuous Monitoring Manual' (Doc 9807), has a white background with a photograph of people in an airport terminal.

Aviation Security Manual
Doc 8973/9
RESTRICTED
Ninth Edition — 2014
International Civil Aviation Organization

Aviation Security Oversight Manual
Doc 10047
The Establishment and Management of a State's Aviation Security Oversight System
First Edition — 2015
International Civil Aviation Organization

Universal Security Audit Programme Continuous Monitoring Manual
Doc 9807
Second Edition, 2016
INTERNATIONAL CIVIL AVIATION ORGANIZATION



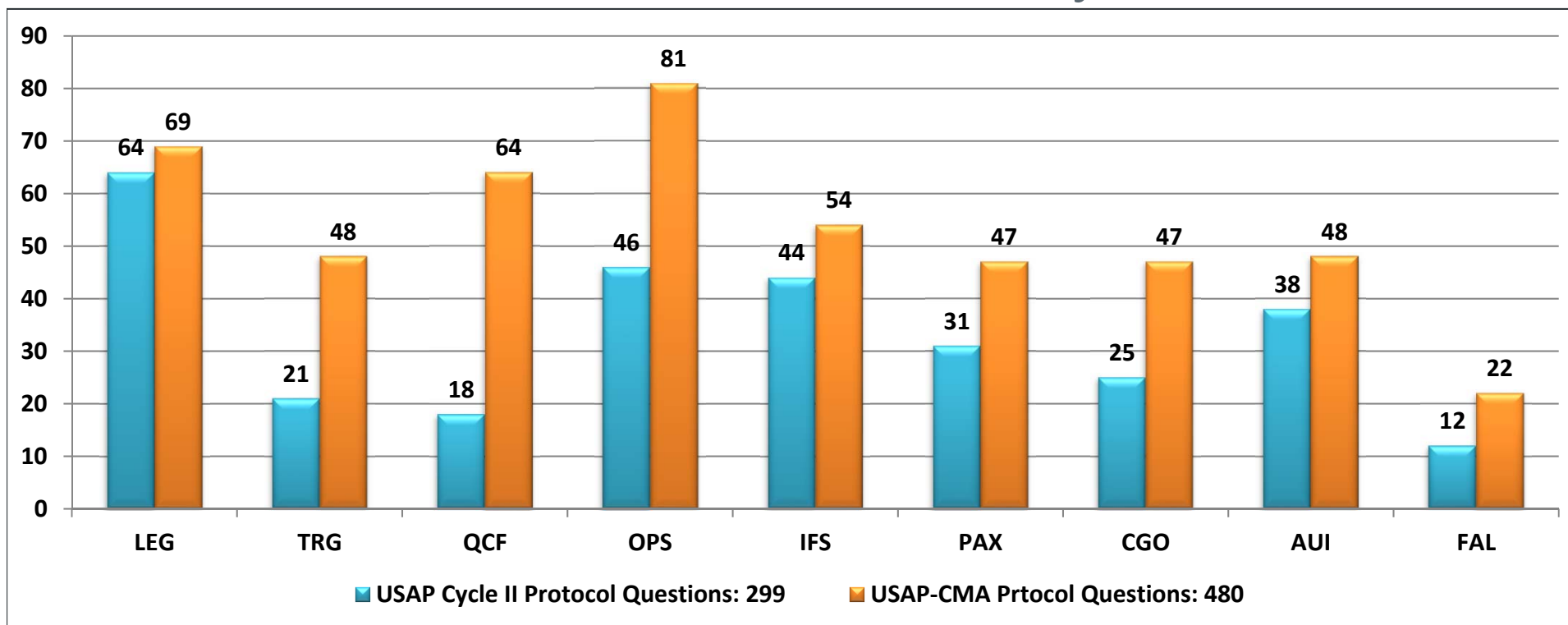
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USAP-CMA Related Documents

<p>Doc 9957</p>  <h2>The Facilitation Manual</h2> <p>Approved by the Secretary General and published under his authority</p> <p>First Edition — 2011</p> <p>International Civil Aviation Organization</p>	<p>Doc 10042</p>  <h2>Model National Air Transport Facilitation Programme</h2> <p>Approved by the Secretary General and published under his authority</p> <p>First Edition — 2015</p> <p>International Civil Aviation Organization</p>	 <p>ICAO</p> <p>Doc 9303</p> <h3>Machine Readable Travel Documents</h3> <p>Seventh Edition, 2015</p> <p>Part 1: Introduction</p>  <p>Approved by the Secretary General and published under his authority</p> <p>INTERNATIONAL CIVIL AVIATION ORGANIZATION</p>
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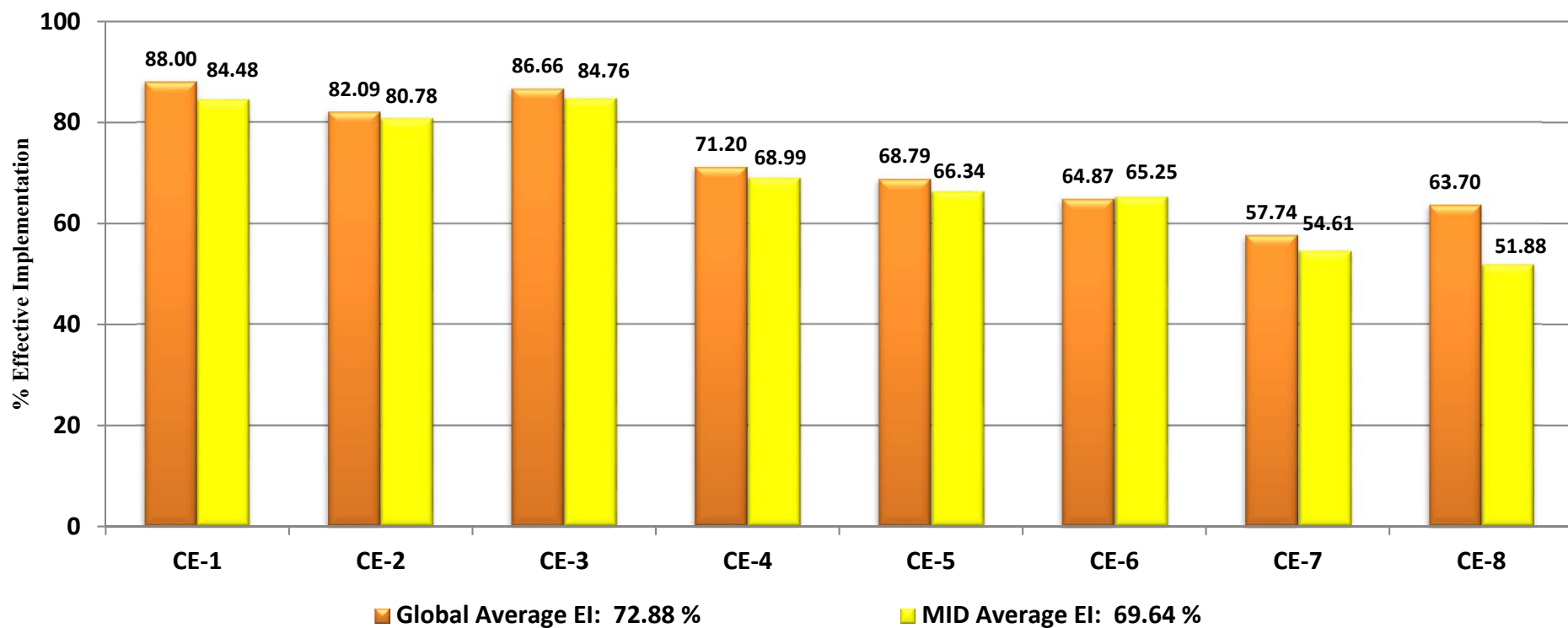


Number of Protocol Questions by Audit Area



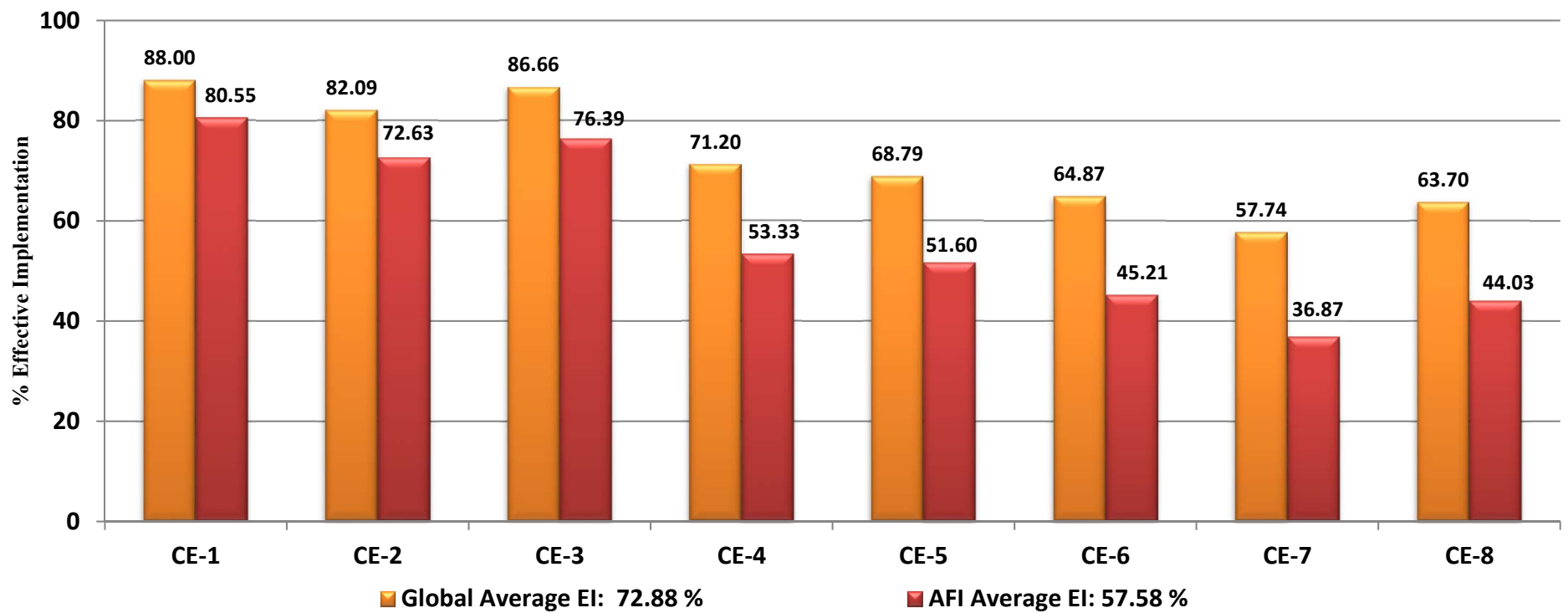


Global and MID Average EI of Critical Elements





Global and AFI Average EI of Critical Elements





EI of the CEs of an aviation security oversight system

	Global (191)	AFI and MID (66)
Below 65%	64	30
Below 70%	75	35



Questions?





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