



Assessing Security of Handling and Issuance of Travel Documents: using the ICAO Guide for Reference and in Conducting Practical Assessments

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Introduction

- The threat
- The development of the assessment tool
- Why have an assessment?
- Independent or in-house assessment?
- Preparation for an assessment
- Carrying out an assessment
- Outcomes

The Threat

- Theft of blank documents and materials
- Application for a travel document in a false identity
- Applications for multiple travel documents
- Lost/stolen travel documents
- Corrupt staff

ICAOs Response

- Development of the ICAO Guide
The Assessment Guide
- Part 1 - Best Practices on Secure Issuance of
Travel Documents
- Part 2 - Assessment Guide

ICAO MRTD Site – Download at:

[http://www2.icao.int/en/MRTD/Pages/
Downloads.aspx](http://www2.icao.int/en/MRTD/Pages/Downloads.aspx)

The Guide

Part 1 – Best Practices on Secure Issuance of Travel Documents

- Organisational Structure, Security
- Application Processes
- Entitlement Processes
- Treatment of Materials & Blank books
- Personalisation & Delivery
- Document Security
- Facility Security
- Information Technology Security
- Personnel & Internal Integrity
- Lost & Stolen Travel Documents
- Overseas Issuance
- National & International Stakeholders

Part 2

The Assessment Guide

- Evaluation Tool
- Assess level of compliance
- Risk
- Automatic calculation of score

Why have an assessment?

Reasons can include:

- As part of an overall border and travel document assessment
- As part of a process to obtain visa relaxation
- As part of a scoping study

In-house or Independent Assessment?

In-house drawbacks:

- Degree of experience of assessor
- Bias
- Level of assurance required

Preparation for an Assessment

- Selection of assessor
- Background papers
- Agenda for visit & time issues

The Assessment Process

- Government commitment
- Carrying out the assessment
- Using the ICAO Assessment tool
- Site Visits

Assessment Report

- Timing
- Content

Conclusion

- ICAO guide and tool responds to increasing need
- Assessment is not a test!
- Information from Government beforehand is very desirable
- Report should not only highlight weaknesses but offer possible solutions

Thank You!

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