International Civil Aviation Organization

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MRTD and border security capacity building: conceptual framework, capacity gaps and ICAO ICBWG

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Structure of Presentation

1. What is capacity - and capacity building?
2. Capacity-building project cycle
3. Remaining gaps re: MRTDs and border controls: a global perspective
4. The role of ICAO TAG/MRTD ICBWG

1. The pillars of administrative & operational capacity

Vital importance of all 3 capacity components

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All three must be integrated and balanced!

1. Infrastructure and capital assets
2. Competent human resources
3. Regulatory and institutional framework

Three levels of capacity: integrated border management

1. Sufficient capacity of each relevant government agency
2. Inter-agency cooperation: data sharing and concerted action
3. Cross-border cooperation: data sharing and joint operations

Capacity building: definitions

Activities which strengthen the knowledge, abilities, skills and behavior of individuals and improve institutional structures and processes such that the organization can efficiently meet its mission and goals in a sustainable way

Capacity refers to the ability of individuals and institutions to make and implement decisions and perform functions in an effective, efficient and sustainable manner.

[CB] focuses on the overall organizational performance and functioning capabilities, as well as the ability of an organization to adapt to change.

Stakeholders: inter-agency cooperation & information sharing in MRTD and border controls

Primary stakeholders

- Passport Office
- Civil Registry
- The Immigration
- Border Guards (MoD/MoI)
- MoI
- The Customs
- The National Police
- Consular Department of the MFA

Secondary stakeholders

- National Criminal Intelligence Agency
- Airport Authority
- Ministry of Tourism
- Quarantine / Public Health
- National Security Intelligence Agency
- Ministry of Justice
- Other law enforcement agencies
- International Organisations
- Non-govt partners: airlines, relevant NGOs, think-tanks

Importance of inter-agency & cross-border cooperation

Combating document fraud, illegal migration, trans-border crime and terrorism ----

◆ Are multi-agency and trans-border in nature: cannot be handled effectively by a single agency or unilaterally

◆ Require inter-agency and cross-border cooperation and data sharing

◆ A global problem, not limited to specific regions

◆ 9/11: an "eye-opener"

Constraints

◆ Lack of trust and tradition of cooperation

◆ Lack of regulatory framework

◆ Lack of a secure communications and data sharing platform

Solutions:

◆ "Salami tactics"

◆ Joint informal meetings and conferences

◆ Joint training

◆ Sharing best practices of information exchange as case studies

◆ Long term goals: ongoing information sharing, concerted action, joint enforcement operations, harmonising policies on a regional level.

2. Capacity-building project cycle

- Identifying issues, challenges and problems
- Needs assessment: identifying capacity gaps
- Formulating TC projects or programmes
- Scanning donor priorities, to mach beneficiary countries' needs
- Securing donor funding and launching the project
- Implementing the project, enhancing capacity and eliminating original weaknesses
- Using lessons learnt for further programme development

3. Remaining capacity gaps: MRTD and border controls

1. No MRPs (1 APR 2010 deadline)
2. ICAO non-compliant MRPs
3. EoI and civil registries
4. MRPs but no passport readers: manual processing
5. Flaws in passport-issuing processes
6. Lack of vocational training and technical knowledge

Also: lesser areas – often overlooked - but still important

- Service passports
- Diplomatic passports
- Emergency passports / one-way return documents
- UN Convention travel docs
  - Refugee travel doc
  - Stateless person travel doc (Certificate of Identity)
- Visas and ID cards
- Bilateral border-crossing passes

4. ICAO/TAG ICBWG: technical assistance to States

- Implementation and Capacity Building Working Group
- Established in May 2008
- Assists the ICAO Secretariat in:
  - Developing and implementing projects in MRTD-related areas
  - Providing capacity-building outreach activities and technical consultations
  - Developing guidance materials and training courses

ICAO TAG/MRTD ICBWG

icbwg@icao.int

- Welcome to join ICBWG's **work** (States, int'l agencies, ISO-affiliated private sector experts)
- Welcome to approach ICBWG re: your **capacity-building needs**: technical consultations and expertise requests, assessments and project development, seeking funding from the donor community, etc.

Thank you very much

Comments or Questions?

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