

International Civil Aviation Organization

Second Meeting of the RASG-MID Steering Committee (RSC/2)

(Amman, Jordan, 28 – 30 October 2013)

Agenda Item 3: Regional Performance Framework for Safety

STUDY ON THE ESTABLISHMENT OF RSOO(S)

(Presented by the Secretariat)

SUMMARY

This paper outlines the study to be conducted by ICAO and ACAC on the establishment of RSOO(s) for the ACAC and ICAO MID States.

Action by the meeting is at paragraph 3.

REFERENCES

- DGCA-MID/2 Report

1. INTRODUCTION

1.1 The ACAC/ICAO Seminar/Workshop on Regional Safety Oversight Programmes, which was held in Rabat, Morocco, from 10 to 12 December 2012, agreed that the ICAO would support the ACAC General Assembly Decision to conduct a study on the establishment of an RSOO (s) for the benefit of the ACAC and ICAO MID States and developed a draft strategy on the subject.

2. DISCUSSION

2.1 Through the DGCA-MID/2 Conclusion 2/10, the DGCA-MID/2 meeting, which was held in Jeddah, Saudi Arabia from 20 to 22 May 2013, endorsed the Strategy for the establishment of RSOO(s) and agreed that ICAO support ACAC in conducting a study on the establishment of RSOO(s) for the ACAC and ICAO MID States and the States and stakeholders contribute to the cost of the study.

2.2 A step-by-step approach will be followed. A simplified questionnaire, as at **Appendix A** to this working paper, will be sent to the ACAC and ICAO MID States in order to get States' commitment to participate in the study. The replies to this questionnaire will be the basis for the planning of visits to States.

2.3 In accordance with the strategy for the establishment of RSOO(s), and based on the information to be collected and analysed from visits to the ACAC and ICAO MID States as required, the study will have the following scope:

- a) identify the needs and requirements of the ACAC and ICAO MID States in terms of safety oversight, harmonization and/or standardization of regulations and best practices, certification and issuance of approvals, safety management, (SSP), training, and available resources;
- b) based on the identified available capabilities/resources, and common interest/priorities, explore and propose possible scenarios for the establishment of sub-regional aviation safety or safety oversight organizations with a logical grouping of States;
- c) identify the activities to be covered under the sub-regional safety or safety oversight organizations as well as the level and timelines for the transfer of each function to the sub-regional organizations; and
- d) develop detailed frameworks that are well-defined in terms of purpose, objectives, activities, expected results or outcomes, resources, sustainable funding, etc., related to the establishment of effective regional/sub-regional safety and/or safety oversight organizations.

2.4 The study will result in a proposal for the establishment of the RSOO(s) including well-defined legal, organizational, and financial frameworks and a work plan with clear deliverables and timelines.

2.5 The Final Report on the study will be presented to the DGCA-MID/3 meeting in 2015 and the ACAC Executive Committee for review and agreement on the way forward.

3. ACTION BY THE MEETING

- 3.1 The meeting is invited to:
 - a) review the initial questionnaire, as at **Appendix A** to this working paper, to be sent out to the ACAC and ICAO MID States; and
 - b) urge States and stakeholders to:
 - i. support the conduct of the study on the establishment of RSOO(s) for the ACAC and ICAO MID; and
 - ii. contribute to the cost of the study.

APPENDIX A

INITIAL RSOO(s) QUESTIONNAIRE

 As a follow-up action to the ACAC-GA /16 decision n° 5 and the DGCA-MID/2 Conclusion 2/10, please confirm your commitment to participate in the study on the establishment of RSOO(s), which includes a visit to your State for the collection of necessary information?

2) Is your civil aviation administration currently able to carry out all of its safety oversight and regulatory functions? If not, what functions are you presently delegating, or would like to see delegated to a competent regional agency?

- 3) What would you consider to be the appropriate level of functions for RSOO(s) to carry out? Please indicate in terms of the following:
 - a) Advisory and consultative.

b) Regulatory.

c) Oversight.

d) Enforcement.

e) Safety management (SSP)

f) Other.

- 4) What areas of activity would you like RSOO(s) to address? Please explain in terms of the following:
 - a) Flight Safety (flight operations, aircraft airworthiness and personnel licensing).

b) Aerodromes, including certification thereof.

c) Air Navigation Services (ATM, PANS-OPS, AIM, CNS, MET, SAR)

d) Other.

- 5) What specific tasks would you like to see carried out by an RSOO for ACAC States? Please explain in terms of the following::
 - a) Drafting and harmonizing regulations.

b) Developing guidance material, procedures and inspector handbooks.

c) Conducting surveillance activities, such as inspections and audits.

d) Supporting the development and implementation of SSP.

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e) Carrying out tasks in support of certification and issuance of approvals.

f) Carrying out tasks in support of enforcement.

g) Training.

h) Conducting pre-audits in preparation for ICAO audits/ CMA.

i) Carrying out Post-audit activities in support of the State Corrective Action Plan

j) Other.

6) Is your State already a member of any other RSOOs (including COSCAPs)?

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