



AFRICAN CIVIL AVIATION COMMISSION (AFCAC)

AFI Regional Ministerial RSOO Forum

(Ezulwini, Swaziland, 24 March 2017)

Outcomes (Strategy and Actions) of the Forum on RSOO for Global Aviation Safety

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Context

- ▶ Global Forum on Regional Safety Oversight Organizations (RSOOs) for Global Aviation Safety was held in Swaziland from 22 to 23 March 2017
- ▶ Global Forum approved a *global strategy and action plan* for the improvement of RSOOs and the establishment of a global system for the provision of safety oversight
- ▶ Global Forum noted the Assembly Resolution A39-14 that encourages ICAO Member States to foster the creation of regional or sub-regional partnerships to collaborate on the development of solutions to common problems to build State safety oversight capability

Context

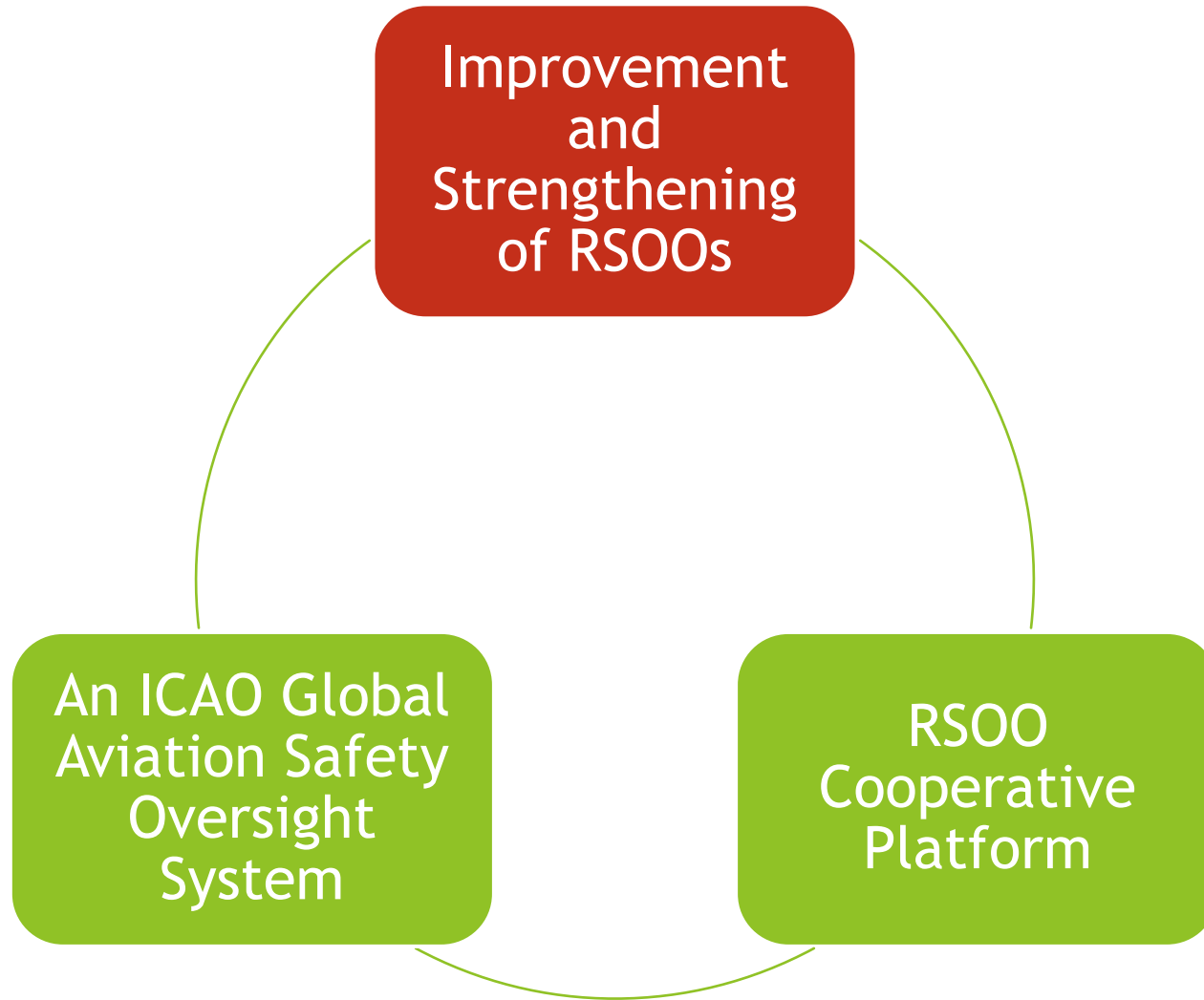
- ▶ Global Forum adopted the Strategy and Action Plan for the improvement of RSOOs, and the establishment of a global system for the provision of safety oversight
- ▶ The Strategy and the Action Plan seek to put in place solutions that will enhance the effectiveness of the existing RSOOs, as well as better align them with the global and regional programmes of ICAO
- ▶ The Global Forum noted that RSOOs will be recognized as an integral part of a global aviation safety oversight system led by ICAO, within the framework of the Global Aviation Safety Plan (GASP), and maintaining the States' obligation and responsibility for safety oversight under the Convention of International Civil Aviation

Strategic Objectives

The strategy consists of the following three objectives:

- ▶ to improve and strengthen the RSOOs' capacity to carry out safety oversight tasks and functions on behalf of their Member States and to actively contribute to ICAO's global and regional programmes and activities
- ▶ to implement a global system for the provision of safety oversight that improves the efficiencies of current safety oversight programmes, and enables the continuous monitoring of a State's safety oversight in a more effective and coordinated manner
- ▶ to establish an RSOO cooperative platform aimed at bringing RSOOs together to exchange best practices, share and collaborate on the development of manuals and checklists, cooperate on providing technical assistance, and assist ICAO in related provisions development and global programme implementation

Strategic Objectives



Improvement and Strengthening of RSOOs

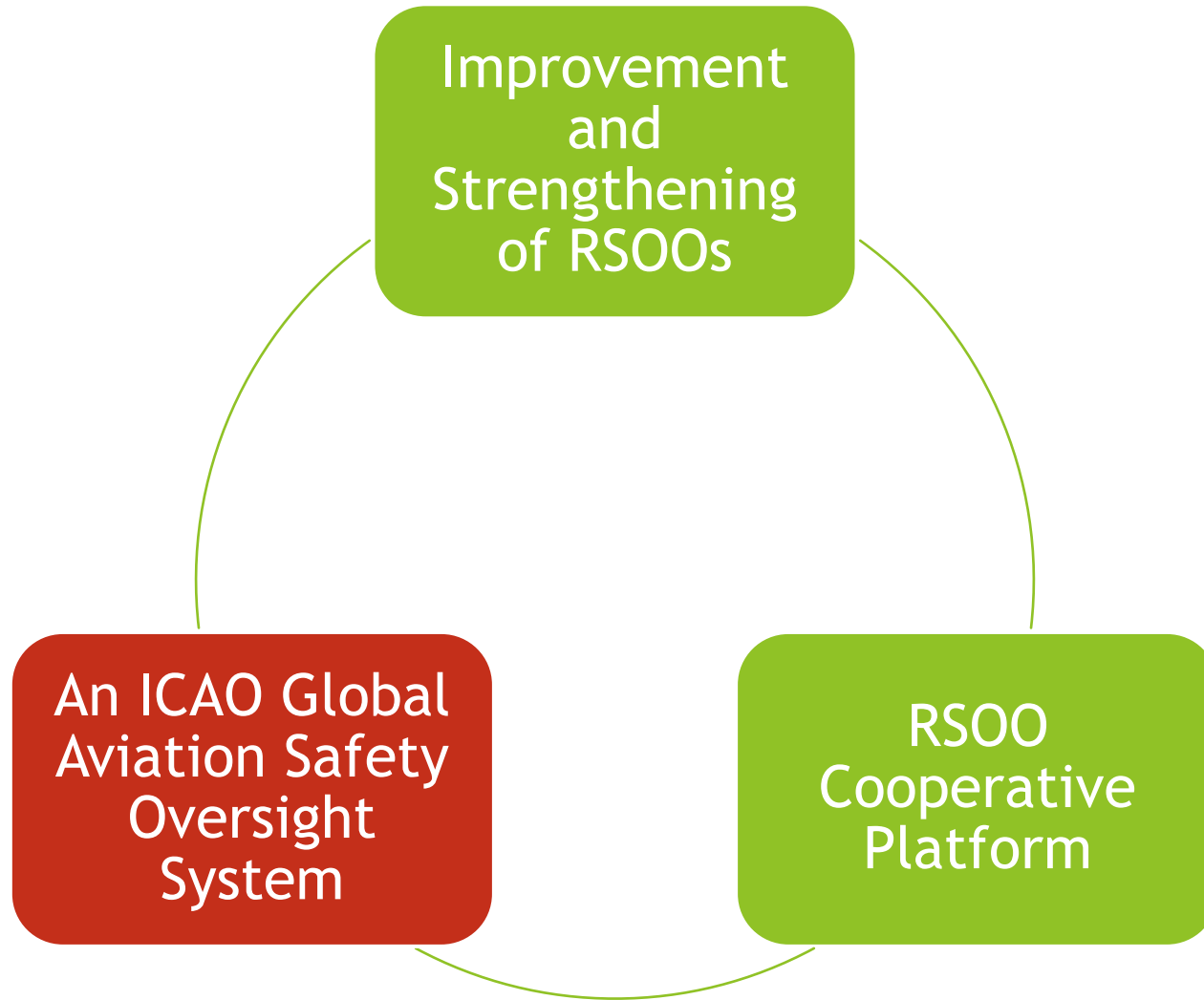
An evaluation of RSOOs (including eventually a gap analysis) will be conducted in order to update information on major challenges faced in relation to their improvement. 5 criteria have been defined for the Evaluation and the gap analysis:

- ❖ **Relevance:** This will assess the RSOO's mission, goals, programmes and activities, and their alignment with those of its Member States or donors as well as ICAO.
- ❖ **Effectiveness:** This will assess the extent to which an RSOO is able to meet its goals and objectives. For instance: Is the RSOO sufficiently resourced to enable it to meet its programmed activities?
- ❖ **Efficiency:** This will assess the use of human and financial resources, and make a comparison of the RSOO's outputs and the costs incurred.

Improvement and Strengthening of RSOOs

- ❖ **Sustainability:** This will assess the long-term viability of the RSOO, particularly in respect to legal authority, human resources and financial viability and sustainability. For instance: Has a mechanism been established for the sustainable funding of the RSOO?
- ❖ **Adaptability:** This will assess the ability of the RSOO to adapt to an evolving environment, brought about by a rapidly expanding aviation industry, changing technologies, scope and processes.

Strategic Objectives





Development and implementation of an ICAO Global Aviation Safety Oversight System

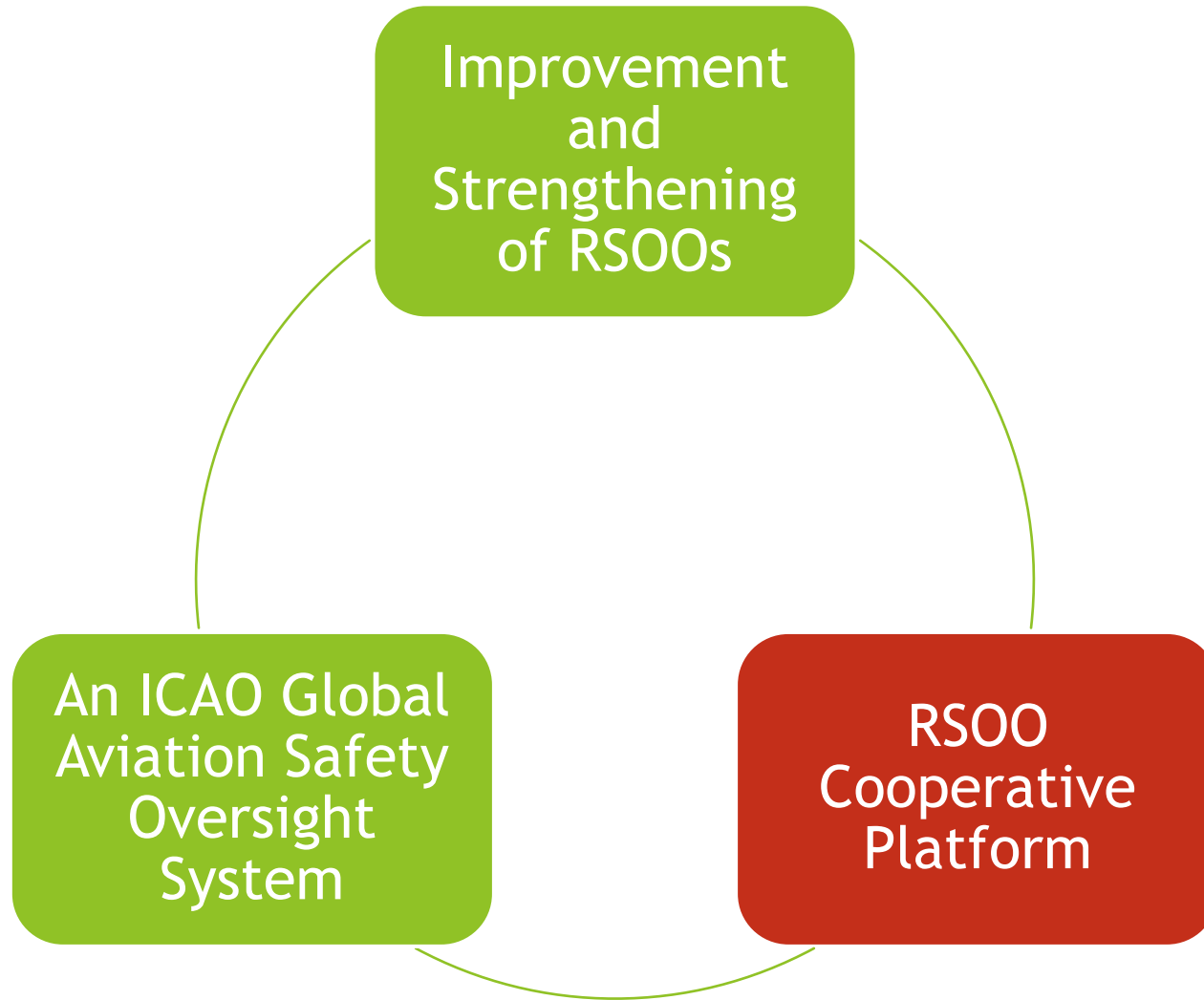
The primary objective of the global aviation safety oversight system is the implementation of an enhanced safety oversight model that resolves the challenges faced by current regional and national based models.

Under the global aviation safety oversight system, an ICAO recognized safety oversight provider will be any international, regional or sub-regional aviation safety oversight body that carries out tasks and functions on behalf of a State or group of States.

Three levels are defined, based on the complexity of tasks and functions performed:

- ❖ ***Level 1 - advisory, consultancy and coordinating tasks and functions***
- ❖ ***Level 2 - operational assistance tasks and functions***
- ❖ ***Level 3 - certifying agency tasks and functions***

Strategic Objectives



Proposed RSOO Cooperative Platform

The objectives of this Platform include:

- ❖ To facilitate inter-RSOO Communication
- ❖ To become an information hub and facilitate the exchange of information and sharing of best practices, including the establishment of common or harmonised definitions, standards and specifications relevant to RSOOs
- ❖ To facilitate the supply of technical assistance and support to RSOO
- ❖ To serve as interface with ICAO on topics of mutual interest for RSOO
- ❖ To receive tasks from ICAO that concerns development of the RSOO community
- ❖ To provide an RSOO pool of experts



Proposed RSOO Cooperative Platform

- ▶ Any RSOO recognized by ICAO or RSOO having a clear roadmap towards ICAO evaluation may become part of the cooperative platform.
- ▶ Consideration shall be given to supporting other recognized regional mechanisms and platforms such as COSCAPs in development towards becoming an RSOO.
- ▶ The interim Platform should develop progressively and initially: i) develop Terms of Reference describing its tasks and governance scheme, ii) organize regular meetings among and between RSOOs, adjacent to other relevant forums, and iii) develop a web-based application.
- ▶ The resources necessary should be supported by contributions by the RSOOs or their member States as well as ICAO Voluntary Funds.
- ▶ The Platform will not replace the representation of the RSOO member States to ICAO. It will cooperate closely with the State representatives to ICAO in order to coordinate positions.



Action Plan for the Improvement of Regional Safety Oversight Organizations (RSOOs) and the Establishment of a Global System for the Provision of Safety Oversight

Activities to be conducted include:

- ❖ Development of a classification of RSOOs based on levels of empowerment of tasks and functions (*April 2017*)
- ❖ Revision of the RSOO Manual Doc. 9734, Part B (*July 2017*)
- ❖ Launching of the concept of the RSOO cooperative platform (*March 2017*)
- ❖ Establishment of a working group of stakeholder experts (*May 2017*)
- ❖ Complete a feasibility study on the development and implementation of a global aviation safety oversight system (*October 2017*)



Action Plan for the Improvement of Regional Safety Oversight Organizations (RSOOs) and the Establishment of a Global System for the Provision of Safety Oversight

- ❖ Preparation of Recommendation to AN-Conf/13 *(November 2018)*
- ❖ Endorsement of the global aviation safety oversight system by the Assembly *(September 2019)*
- ❖ Taking into account of the information and data collected from RSOOs, review and, as required, amend ICAO provisions, programmes (e.g. the USOAP) and existing guidance material (e.g. Doc. 9734, Part B) *(November 2020)*
- ❖ Assess and/or audit RSOOs in respect to tasks and functions and related levels of empowerment *(December 2020)*

CONCLUSION

AFCAC believe that:

The implementation of the Strategy and the Action Plan for the improvement of RSOOs, and the establishment of a global system for the provision of safety oversight will foster the resolution of many challenges faced by RSOOs

AFCAC congratulate the Global Forum on:

The agreement reached to go beyond the current prevailing concept of national and regional-based safety oversight systems to a globally based system that would include the RSOOs.



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Thank You