



INTRODUCTION

USOAP results and performance-based oversight

*Papa Issa Mbengue
Regional Officer OPS, ESAF*

ICAO ESAF OPS Workshop
19-20 October 2021





SAFETY OVERSIGHT

➤ Performance-based compliance

A safety-risk-based approach to regulatory compliance that involves the setting or application of target levels of safety performance of a system or process, which in turn facilitates the implementation of variable regulations or operational variations from existing prescriptive regulations

➤ Performance-based regulatory oversight

A method, supplementary to the compliance-based oversight method, taken by a State's CAA, which supports the implementation of variable regulations or variations from existing prescriptive regulations, based on the demonstrable capabilities of the operator and the incorporation of safety-risk based methods for the setting or application of target levels of safety performance

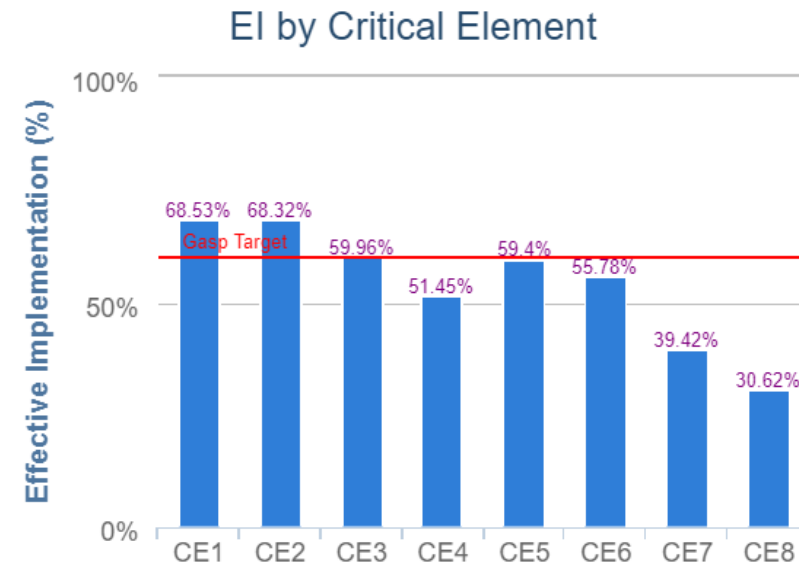
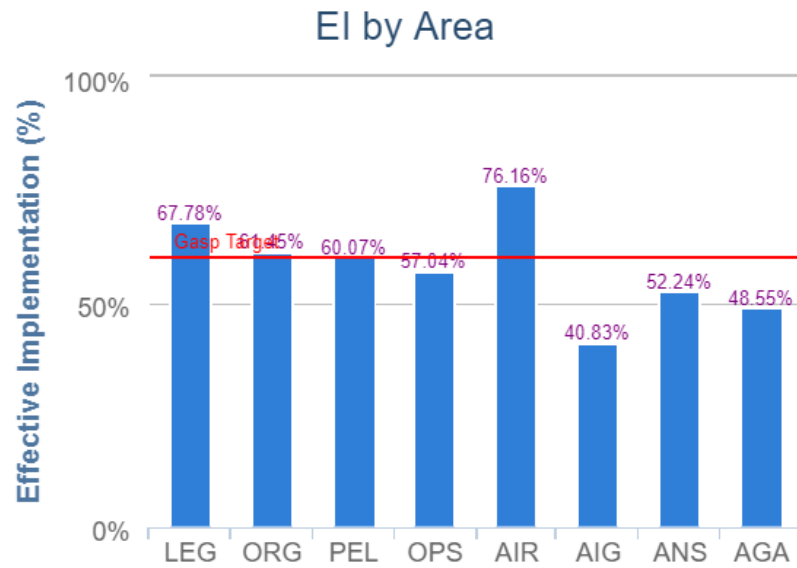
➤ SAFETY MANAGEMENT



USOAP CMA RESULTS-ESAF

USOAP Results by Area and Critical Element

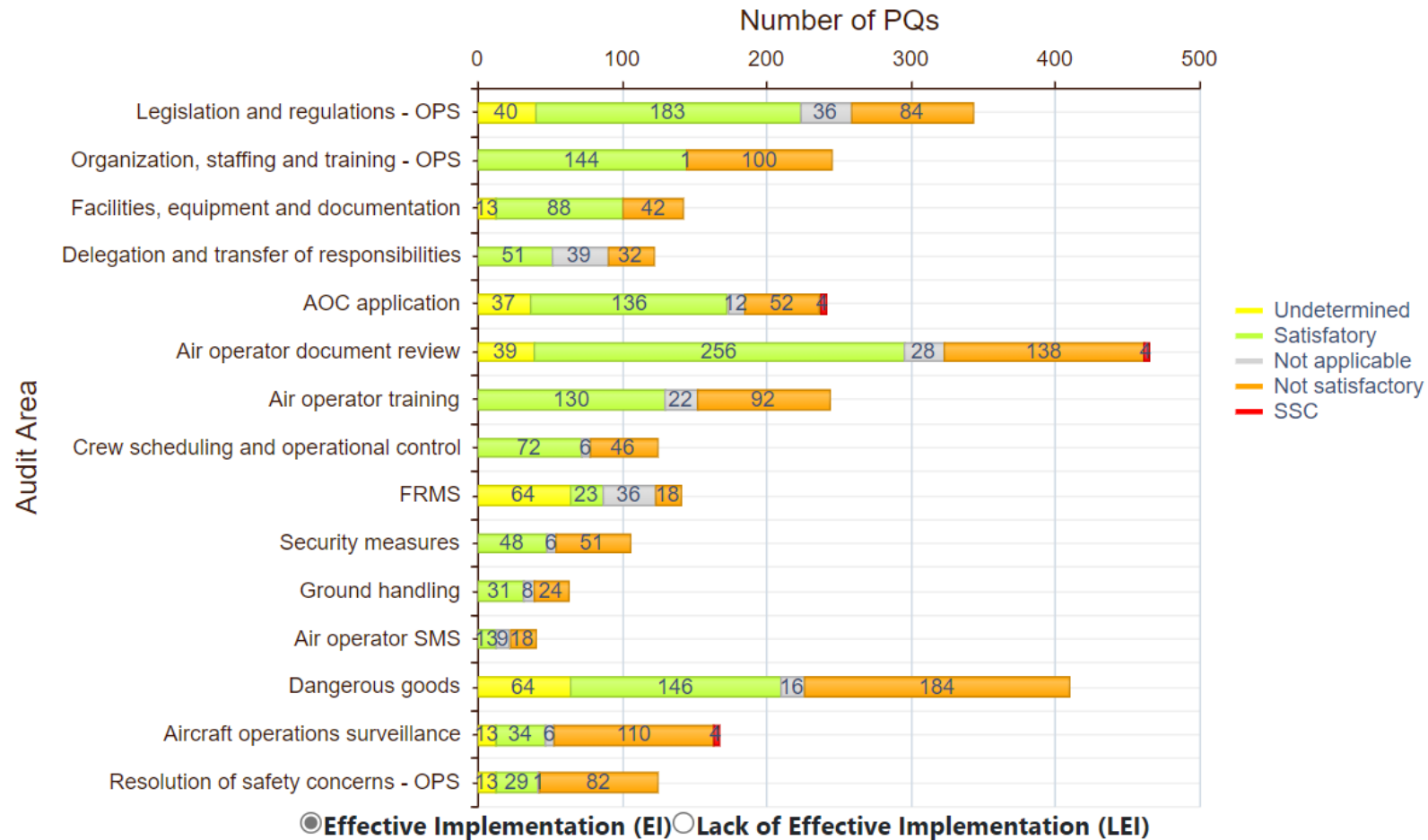
4 areas and 2 critical elements are above the target of 60% EI.





OPS RESULTS-ESAF

Number of Protocol Questions (PQs) in OPS by Audit sub-group





SAMPLE OPS SSP PROTOCOL QUESTIONS

- **SSP.OPS.04** What guidance and tools has the State provided to its personnel on the initial acceptance and continuous surveillance of air operators' SMS?
- **SSP.OPS.05** How does the State determine the initial and continued acceptability of the air operator's SMS?
- **SSP.OPS.06** How does the State assess the effectiveness of the hazard identification and risk management processes of air operators?
- **SSP.OPS.07** How does the State ensure that air operators monitor and analyse safety data to identify trends and take appropriate action when needed?
- **SSP.OPS.08** How does the State review and monitor safety performance indicators (SPIs), alert levels and target levels, when applicable, of individual air operators?
- **SSP.OPS.09** How does the State prioritize inspections, audits and surveys of air operators, towards those areas of greater safety concern or need?



STATE SAFETY ASSURANCE

- How does the State prioritize inspections, audits and surveys of air operators, towards those areas of greater safety concern or need?
- Risk-based surveillance



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(MID) Office
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THANK YOU