

**INTERNATIONAL CIVIL AVIATION ORGANIZATION** 

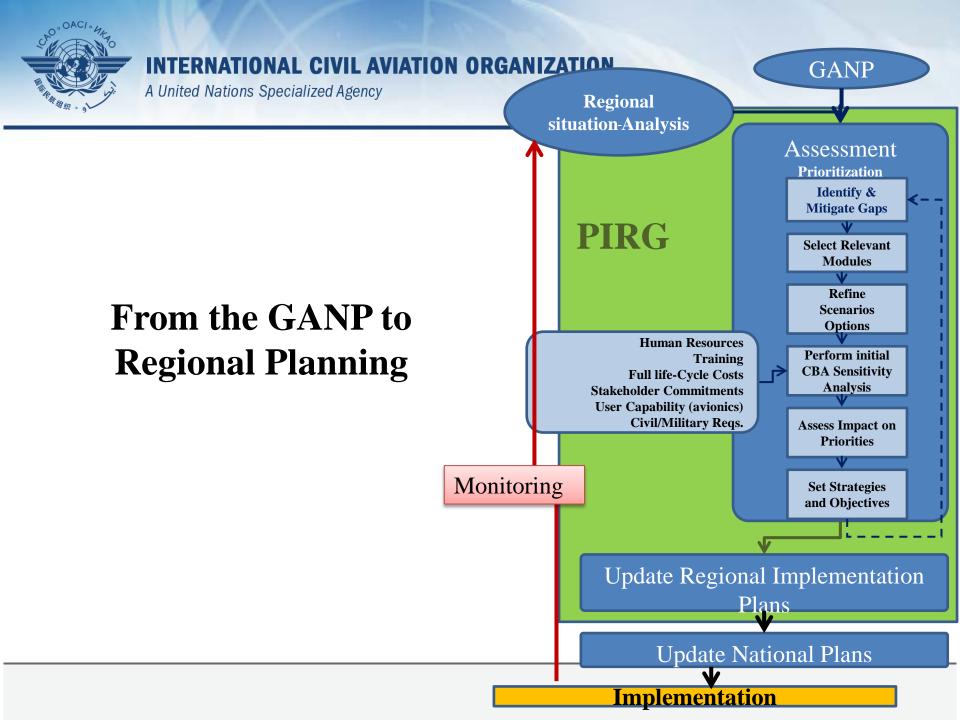
A United Nations Specialized Agency

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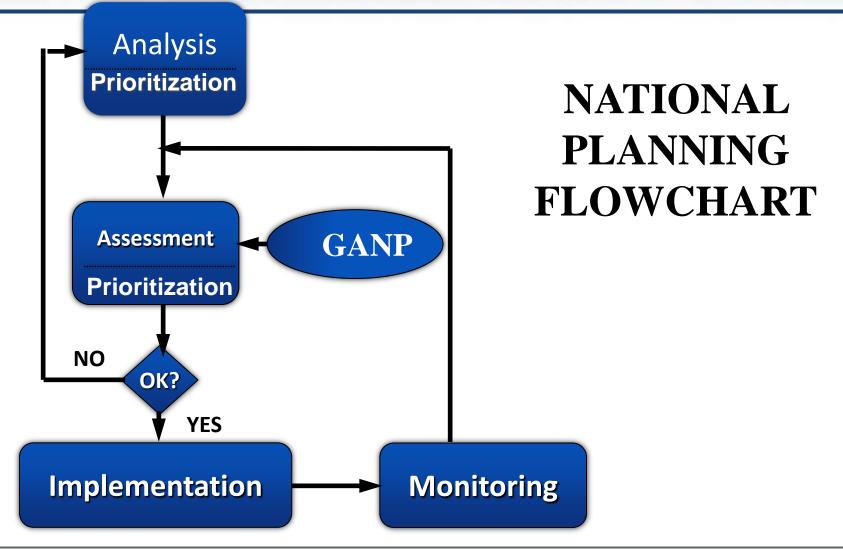
# Approach to implementation Process and checklist

Air Navigation Bureau

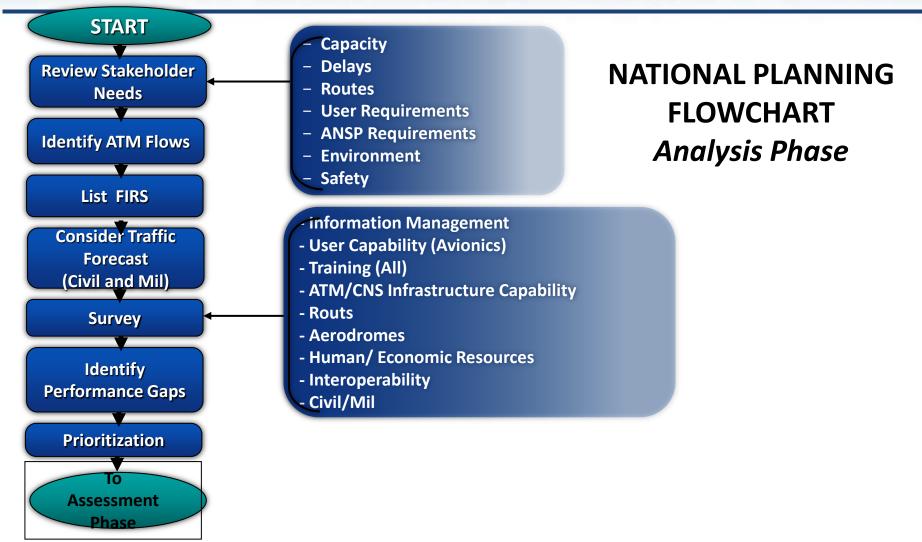
WORKSHOP ON ASBU FRAMEWORK: ALIGNMENT OF REGIONAL AND NATIONAL PERFORMANCE PLANS, PLANNING, IMPLEMENTATION, MONITORING AND REPORTING (NAIROBI, KENYA, 21-25 OCTOBER 2013)



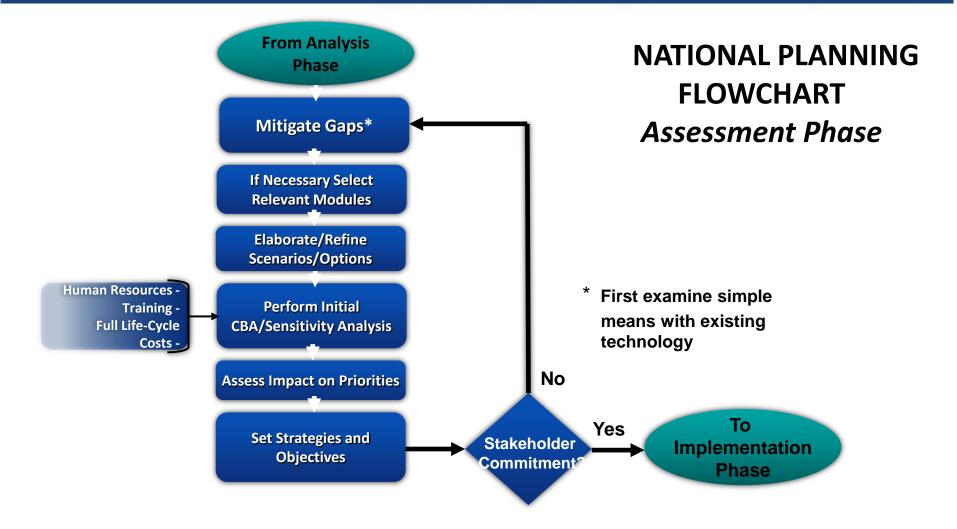




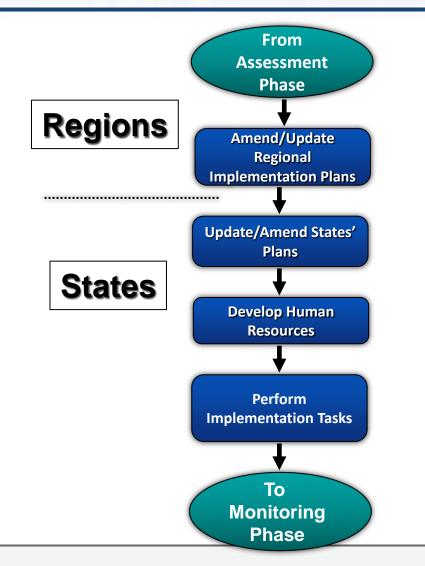








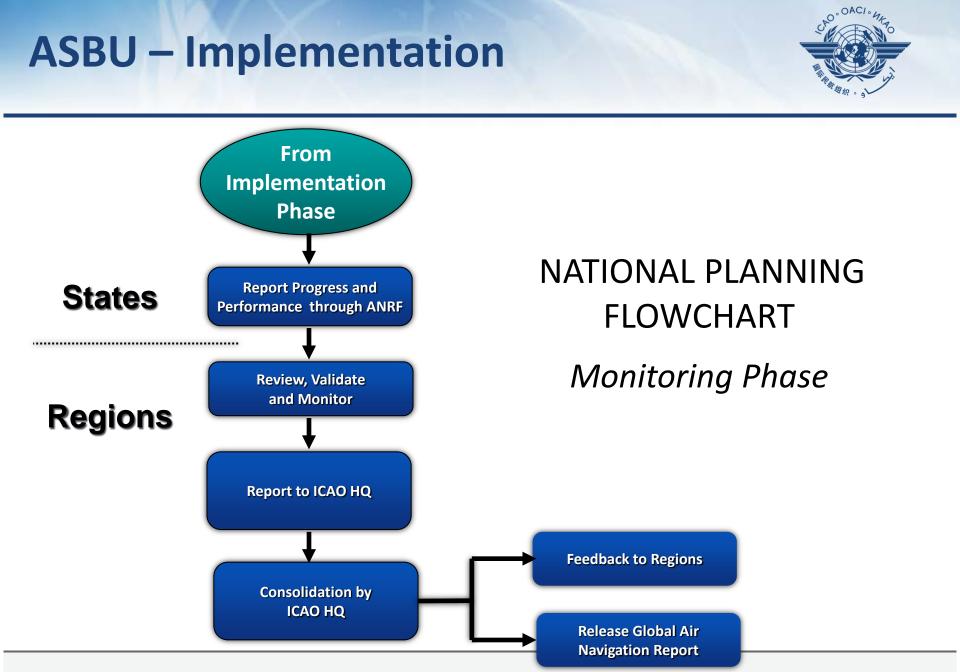




### NATIONAL PLANNING FLOWCHART

### Implementation Phase

14 October 2013



14 October 2013



<u>Title of the Module:</u> B0-APTA: Optimization of Approach Procedures Including Vertical Guidance									
Elements: 1. APV with Baro VNAV 2. APV with SBAS 3. APV with GBAS	Equipage/Air     Equipage/Air     Basic IFR GNSS avionics integrated with     Baro VNAV functionality			Equipage/Ground - SBAS (reference sta GEO satellites) - GBAS	ations, master stations,				
Implementation monitoring Implementation progress	g and intended perform	nance impact	nce benefits associated	with five main KPAs o	nlv				
1. Indicator: Percentage of international aerodromes having instrument runways provided with APV on the basis of Baro VNAV/SBAS/GBAS	KPA-Access/Equity Increased aerodrome accessibility	<u>KPA-Capacity</u> Increased runway capacity	Ince benefits associated         KPA-Efficiency         Reduced fuel burn         due to lower         minima, fewer         diversions,         cancellations,         delays	KPA-Environment           Reduced emissions           due to reduced fuel           burn.	KPA-Safety Increased safety through stabilized approach paths.				





<u>Title of the Module:</u> B0-WAKE: Increased Runway Throughput through optimized Wake Turbulence Separation									
Elements           1.Revision of current ICAO wake           separation minima		Equipage/Air - Nil		Equipage/Ground- A support tool to aid in the application of the new set of 6 categories of ICAO wakes					
<ul> <li>2. Increasing International ac Arrival Operational Capace</li> <li>3. Increasing International ac Departure Operational Capace</li> </ul>	ity erodrome			separation. - Wind sensors and a needed for element 3					
Implementation monitoring	and intended perform	mance impact		•					
<b>Implementation progress</b>		Qualitative performan	ce benefits associate	d with five main KPAs	only				
<b>1. Indicator:</b> <i>Percentage of international</i> <i>aerodromes applying the 6</i> <i>categories of wake vortex</i> <i>separation.</i>	<u>KPA-Access/Equity</u> Not Applicable	KPA-Capacity Aerodrome capacity and departure/arriva I rates will increase as the wake categories are increased from 3 to 6	<u>KPA-Efficiency</u> Not Applicable	<u>KPA-Environment</u> Not Applicable	<u>KPA-Safety</u> Not Applicable				



<u>Title of the Module:</u> B0-SURF: Safety and Efficie	ency of Surface Opera	tions (A-SMGCS Leve	el 1-2)		
Elements		Equipage/Air	Í	Equipage/Ground	
1. Surveillance		ADS-B / SSR transpo	nder system	- SMR/SSR Mode S/	ADS B/
2. Alerting systems				Multilateration	
3. (Not included in the Modu	le but added here			- Surveillance display	with alerting
as they are closely linked to				functionalities in the	8
Visual aids for navigation a				<ul> <li>A cooperative trans</li> </ul>	
strike hazard reduction				vehicles	politici system for
strike nazaru reduction				<ul> <li>Visual aids for navig</li> </ul>	ration
Implantation man <sup>i</sup> tation	and intended norther	anaa immaat			gauon
Implementation monitoring			· 1 · · · · · · · · · · · · · · · · · ·	'41. (* * . IZD A	1
Implementation progress		ualitative performance			
1. Indicator:	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-	KPA-Safety
Percentage of international	Improves KPA-	Sustained level of	Reduced taxi	<b>Environment</b>	Reduced runway
aerodromes with SMR/ SSR	Access/Equity to	aerodrome	times through	Reduced	incursions.
Mode S/ ADS-B	portions of the	capacity during	diminished	emissions due to	Improved response
Multilateration	manoeuvring area	periods of reduced	requirements for	reduced fuel burn	to unsafe situations.
	obscured from view	visibility	intermediate		Improved
2. Indicator:	of the control tower		holdings based on		situational
Percentage of international	for vehicles and		reliance on visual		awareness leading to
aerodromes with a	aircraft. Ensures		surveillance only.		reduced ATC
cooperative transponder	equity in ATC		Reduced fuel		workload.
systems on vehicles	handling of surface		burn.		
-	traffic regardless of				
3. Indicator:	the traffic's position				
Percentage of international	on the international				
aerodromes complying with aerodrome.					
visual aid requirements as					
per Annex 14					
per Annes 17					





Title of the Module:								
B0-ACDM; Improved Airport Operations through Airport-CDM								
Elements:		Equipage/Air			Equipage/Ground			
1.Airport –CDM		- Nil			Interconnection of gro	und systems of		
2.(Not included in the Mo	dule but added				different partners for A	Airport-CDM		
here as they are closely lin					- Rescue and Fire Fighti	ng (RFF)		
Module) Aerodrome certif	fication,				Equipment as per Ann	exe 14		
Aerodrome								
emergency planning, Airp	ort planning and							
Heliport operations								
Implementation monitori	ng and intended per							
<b>Implementation</b>			litative performance b	enefits associated wi	ith five main KPAs only			
progress	KPA-Access/Equit	<u>v</u>	KPA-Capacity	KPA-Efficiency	<b>KPA-Environment</b>	KPA-Safety		
1. Indicator:	Enhances equity or	n the	Enhanced use of	Improved	Reduced emissions	Not Applicable		
percentage of	use of aerodrome		existing	operational	due to reduced fuel			
international	facilities.		Implementation of	efficiency (fleet	burn			
aerodromes with Airport-			gate and stands	management); and	1			
CDM			(unlock latent	reduced delay.				
			capacity).	Reduced fuel burn	1			
2. Indicator:			Reduced workload,	due to reduced				
Percentage of certified			better organization	taxi time and				
international			of the activities to	lower aircraft				
aerodromes			manage flights.	engine run time.				
3. Indicator:								
Percentage of								
international								
aerodromes with RFF								
<i>equipment</i> as per Annex								
14								





Title of the Module:B0-RSEQ: Improve TraffElements:1.AMAN2.DMAN	<u> </u>	vay Sequencing (AM quipage/Air Nil	(AN/DMAN)	Equipage/Ground - Automation support	
Implementation monitorin	0 1		noo honofita ogganistad	with five main KDAs or	.l
<b>Implementation</b>				with five main KPAs or	. •
progress	KPA-Access/Equity	KPA-Capacity	<b>KPA-Efficiency</b>	KPA-Environment	<u>KPA-Safety</u>
1. Indicator:	Not Applicable	Time-based	Efficiency is	Not Applicable	Not Applicable
Percentage of		metering will	positively impacted		
international		optimize usage of	as reflected by		
aerodromes with		terminal airspace	increased runway		
AMAN/DMAN		and runway	throughput and		
		capacity.	arrival rates.		
		capacity.	ai i vai i aits.		



#### **Performance Improvement Area 2:**

**Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management** 

<u>Title of the Module:</u> B0-FICE: Increased Interoperability, Efficiency and Capacity through Ground-Ground Integration									
Elements: 1.AIDC 2.(Not included in the Mod as they are closely linked AMHS/IPS	ule but added here to this Module)	Equipage/Air Nil	-	Equipage/Ground • A set of AIDC messa • AFTN (AMHS/IPS)	ges in FDPS				
Implementation monitorin	ng and intended perfo	Qualitative performance	hanafits associated wit	h fiya main KDAs anly	7				
<ol> <li>Indicator: <i>Percentage of ATS units</i> <i>with AIDC</i></li> <li>Indicator: <i>States implementing</i> <i>AMHS/IPS</i></li> </ol>	<u>KPA-Access/Equity</u> Not Applicable	Quantative performanceKPA-CapacityReduced controllerworkload andincreased dataintegrity supportingreduced separationstranslating directlyto cross sector orboundary capacityflow increases.	KPA-EfficiencyThe reducedseparation can alsobe used to morefrequently offeraircraft flight levelscloser to theoptimum; in certaincases, this alsotranslates intoreduced en-routeholding.	KPA-Environment Not Applicable	KPA-Safety Better knowledge of more accurate flight plan information.				



**Performance Improvement Area 2:** 

**Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management** 

<u>Title of the Module:</u> B0-DATM; Service Improvement through Digital Aeronautical Information Management									
Elements: 1.AIXM 2.eAIP 3.Digital NOTAM 4.(Not included in the Mo here as they are closely Module) WGS-84; eTOD; and Q	dule but added linked to this	<u>Equipage/Air</u> - Nil	ormation management	Equipage/Ground AIXM; eAIP and Dig WGS-84; eTOD; QM The aeronautical info available to external u subscription to an ele physical delivery; The be based on Internet	S for AIM ormation is made users via either a ctronic access or e electronic access can				
Implementation monitori	ng and intended per			·	-1-				
Implementation progress 1. Indicator: States implementing AIXM; eAIP, Digital NOTAM WGS-84; eTOD; QMS for AIM	<u>KPA-Access/Equity</u> Not Applicable	- · · ·	nce benefits associated <u>KPA-Efficiency</u> Not Applicable	with five main KPAs or <u>KPA-Environment</u> Reduced amount of paper for promulgation of information	nly <u>KPA-Safety</u> Reduction in the number of possible inconsistencies				



**Performance Improvement Area 2:** 

**Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management** 

<b><u>Title of the Module:</u></b> <b>B0-AMET: Meteorological information supporting enhanced operational efficiency and safety</b>									
Elements: 1.WAFS-IAVW-TCW 2.Aerodrome warning, wind shear warning and alerts 3.SIGMET information		<u>Equipage/Air</u> - Nil		Equipage/Ground	FTN for reception of				
Implementation monitoring	g and intended perf	formance impact							
Implementation progress 1 Indicator: States implementation of SADIS 2G satellite broadcast and/or Secure SADIS FTP service. 2. Indicator: States implementation of WAFS Internet File Service (WIFS)	KPA-Access/Equit Not Applicable		ance benefits associated <u>KPA-Efficiency</u> Reduced arrival/departure holding time, thus reduced fuel burn due to MET support	with five main KPAs on <u>KPA-Environment</u> Reduced emissions due to reduced fuel burn due to MET support	KPA-Safety         Reduced         incidents/accidents in         flight and at         international         aerodromes due to         MET support.				





<u>Elements:</u> 1. Airspace planning 2. Flexible Use of airspace 3. Flexible Routing	e planning Use of airspace - FANS 1/A and ACARS		Equipage/Ground - CDM through Interne	et portal	
Implementation monitoring	g and intended perfor	mance impact Qualitative performance	benefits associated w	ith five main KPAs onl	v
<ol> <li>Indicator: Percentage of time segregated airspaces are available for civil operations in the State</li> <li>Indicator: Percentage of PBN routes implemented</li> </ol>	<u>KPA-Access/Equity</u> Better access to airspace by a reduction of the permanently segregated volumes of airspace.	KPA-CapacityFlexible routingreduces potentialcongestion on trunkroutes and at busycrossing points. Theflexible use ofairspace gives greaterpossibilities toseparate flightshorizontally. PBNhelps to reduce routespacing and aircraftseparations.	<u>KPA-Efficiency</u> In particular the module will reduce flight length and related fuel burn and emissions. The module will reduce the number of flight diversions and cancellations. It will also better allow avoiding noise sensitive areas.	KPA-Environment Fuel burn and emissions will be reduced.	<u>KPA-Safety</u> Not Applicable



<u>Title of the Module:</u> B0-NOPS: Improved Flow Performance through Planning based on a Network-Wide view									
Elements:		Equipage/Air - Nil		Equipage/Ground - System software for ATFM					
Implementation monitoring Implementation progress			benefits associated v	vith five main KPAs o	nly				
<b>1. Indicator:</b> <i>Percentage of ATS units</i> <i>using ATFM services.</i>	KPA-Access/Equity Improved Access and equity in the use of airspace or aerodrome by avoiding disruption of air traffic. ATFM processes take care of equitable distribution of delays.	KPA-Capacity Better utilization of available capacity, ability to anticipate difficult situations and mitigate them in advance.	KPA-Efficiency Reduced fuel burn due to better anticipation of flow issues; Reduced block times and times with engines on.	KPA- Environment Reduced fuel burn as delays are absorbed on the ground, with shut engines; or at optimum flight levels through speed or route management.	KPA-Safety Reduced occurrences of undesired sector overloads				





<u>Title of the Module:</u> B0-ASUR: Initial capability for ground surveillance									
Elements: 1.ADS-B	]	Equipage/Air - ADS-B OUT.		Equipage/Ground					
2.Multilateration		<ul> <li>ADS-B OUT.</li> <li>Mode S radar transpon</li> </ul>	ders for	<ul><li>FDPS and SDPS</li><li>ADS-B</li></ul>					
		Multilateration		- Multilateration					
Implementation monitorin					_				
Implementation		Qualitative performance		1	•				
	KPA-Access/Equity		KPA-Efficiency	KPA-Environment	<u>KPA-Safety</u>				
-	Not Applicable	Typical separation	Not Applicable	Not Applicable	Reduction of the				
of international		minima are 3 NM			number of major				
aerodromes with		or 5 NM enabling			incidents. Support				
ADS-B/MLAT		an increase in			to search and				
		traffic density			rescue <u>.</u>				
		compared to							
		procedural minima.							
		TMA surveillance							
		performance							
		improvements are							
		achieved through							
		high accuracy,							
		better velocity							
		vector and							
		improved coverage.							



Title of the Medules									
Title of the Module:									
B0-ASEP: Air Traffic Situational Awareness(ATSA)									
Elements:	<u>Eq</u> r	uipage/Air		Equipage/Ground					
1.ATSA-AIRB	- A	DS-B OUT		- Nil					
2.ATSA-VSA	- A	DS-B IN							
	<u> </u>	raffic display							
Implementation monitoring	g and intended perform	nance impact							
<b>Implementation progress</b>	Q	ualitative perform:	ance benefits associated	with five main KPAs o	nly				
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	<b>KPA-Efficiency</b>	<b>KPA-Environment</b>	KPA-Safety				
of aircraft with	Not Applicable	Not Applicable	Improved	Not Applicable	Improved				
ADS-B OUT			situational		situational				
			awareness in		awareness and				
2. Indicator: Percentage			identifying level		reduced likelihood				
of aircraft with			change		of wake turbulence				
ADS-B IN			opportunities with		encounters and				
			current separation		missed approaches.				
			minima (AIRB) and						
			improved visual						
			acquisition (VSA).						
			acquisition (v srs).						
	1 '								
	<u> </u>								



<b><u>Title of the Module:</u></b> B0-OPFL: Improved KPA-Access/Equity to Optimum Flight Levels through Climb/Descent Procedures using ADS-B					
Elements: ITP using ADS-B	- AD	<u>uipage/Air</u> DS-B IN DS-B OUT		Equipage/Ground - Conflict probe logics	
Implementation monitoring	g and intended performa	ince impact			
<b>Implementation progress</b>	Qua	Qualitative performance benefits associated with five main KPAs only			
1. Indicator: Percentage	KPA-Access/Equity	KPA-Access/Equity KPA-Capacity KPA-Efficiency KPA-Environment KPA-Safety			
of aircraft used ITP	Not Applicable	Improvement in capacity on a given air route.	Increased efficiency on oceanic and potentially continental en- route	Reduced emissions	A reduction of possible injuries for cabin crew and passengers.



Title of the Module: B0-ACAS: ACAS Improvements					
Elements: ACAS II (TCAS version 7.1)				<u>Equipage/Ground</u> Nil	
Implementation monitoring	Implementation monitoring and intended performance impact         Implementation progress       Qualitative performance benefits associated with five main KPAs only				
1. Indicator: Percentage of aircraft with ACAS, logic Version 7.1	<u>KPA-Access/Equit</u> Not Applicable	<u> </u>	KPA-Efficiency         ACAS improvement         will reduce         unnecessary         resolution advisory         (RA) and then reduce         trajectory deviations.	KPA-Environment Not Applicable	KPA-Safety ACAS increases safety in the case of breakdown of separation.



Title of the Module: B0-SNET: Increased Effectiveness of Ground-Based Safety Nets					
Elements:         1.Short Term Conflict Alert (STCA)         2.Area Proximity Warning (APW)         3.Minimum Safe Altitude Warning (MSAW)		<u>Cquipage/Air</u> SSR Mode C/S transponder ADS-B OUT		<b>Equipage/Ground</b> - Short Term Conflict Alert, - Area Proximity Warnings and - Minimum Safe Altitude Warnings	
Implementation monitoring	g and intended performation	ance impact			
<b>Implementation progress</b>	Qu	alitative performance	e benefits associated	l with five main KPAs o	only
1. Indicator:	KPA-Access/Equity	KPA-Capacity	<b>KPA-Efficiency</b>	<b>KPA-Environment</b>	KPA-Safety
Percentage of ATS units with ground based safety nets	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Significant reduction of the number of major incidents





#### Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

Title of the Module:							
	B0-CDO: Improved Flexibility and Efficiency in Descent Profiles (CDO)						
Elements:		Equipage/Air		Equipage/Ground			
1. CDO	-	- Nil		- Nil			
2. PBN STARs							
Implementation monitoring	g and intended perfo	rmance impact					
Implementation progress		Qualitative perform	nance benefits associated	with five main KPAs onl	у		
1. Indicator: Percentage of	KPA-Access/Equity	KPA-Capacity	KPA-Efficiency	KPA-Environment	KPA-safety		
international aerodromes	Not Applicable	Not Applicable	Cost savings through	Reduced emissions as	More consistent flight		
with CDO implemented			reduced fuel burn.	a result of reduced	paths and stabilized		
			Reduction in the	fuel burn	approach paths.		
2. Indicator: Percentage of			number of required		Reduction in the		
international			radio transmissions.		incidence of		
aerodromes/TMAs with					controlled flight into		
PBN STARs implemented					terrain (CFIT).		



Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

Title of the Module:         B0-TBO: Improved Safety and Efficiency through the initial application of Data Link En-Route         Elements:       Equipage/Air         1.ADS-C over oceanic and remote areas       - FANS 1/A; ATN B1         Constituental CPDL C       UDL Mathematical CPDL C						
2. Continental CPDLC       - VDL Mode 2/Continental CPDLC         Implementation monitoring and intended performance impact       - VDL Mode 2/Continental CPDLC         Implementation       Qualitative performance benefits associated with five main KPAs only					y	
<b>progress</b> <b>1. Indicator:</b> <i>Number of</i> <i>ADS-C /CPDLC</i> <i>procedures available</i> <i>over oceanic and remote</i> <i>Areas</i>	<u>KPA-Access/Equit</u> Not Applicable	- A lo tı so ir R co w b o a	<b><u>KPA-Capacity</u></b> A better ocalization of raffic and reduced separation allow ncreased capacity. Reduced communication workload and better organization of controller tasks allowing increasing sector capacity.	<u>KPA-Efficiency</u> Routes/tracks and flights can be separated by reduced minima, allowing to apply flexible routings and vertical profiles closer to the user-preferred ones.	as a result of reduced fuel burn.	KPA-afetyADS-C basedsafety nets supportscleared leveladherencemonitoring, routeadherencemonitoring, dangerarea infringementwarning andimproved searchand rescue.Reducedoccurrences ofmisunderstandings;solution to stuckmicrophonesituations.





#### Performance Improvement Area 4: Efficient Flight Path – Through Trajectory-based Operations

<b><u>Title of the Module:</u></b> <b>B0-CCO: Improved Flexibility and Efficiency in Departure Profiles (CCO)</b>						
Elements:		quipage/Air	()	Equipage/Ground		
<b>1.CCO</b>	-	- Nil		- Nil		
2.PBN SIDs						
Implementation monitoring	g and intended perfor	mance impact				
<b>Implementation progress</b>		Qualitative perform	ance benefits associated	with five main KPAs o	nly	
1. Indicator: Percentage	KPA-Access/Equity	KPA-Capacity	<b>KPA-Efficiency</b>	<b>KPA-Environment</b>	KPA-Safety	
of international	Not Applicable	Not Applicable	Cost savings	Authorization of	More consistent	
aerodromes with CCO			through reduced	operations where	flight paths.	
implemented			fuel burn and	noise limitations	<b>Reduction in the</b>	
			efficient aircraft	would otherwise	number of required	
2. Indicator: <i>Percentage</i>			operating profiles.	result in operations	radio transmissions.	
of international			Reduction in the	being curtailed or	Lower pilot and air	
aerodromes with PBN			number of required	restricted.	traffic control	
SIDs implemented			radio transmissions.	Environmental	workload	
				benefits through		
				reduced emissions.		

### **REGIONAL AIR NAVIGATION PLANNING PERFORMANCE BENEFIT METRICS- EXAMPLES**



KPAs	Related Performance Metrics				
1. Access & Equity	1. KPA/Access: Number of international aerodromes with APV				
	2. KPA/Access: Percentage of time Special Use Airspace (SUA) available to Civil Operations				
	3. KPA/Access: Percentage of requested flight level versus cleared flight level				
	4. KPA/Access: Number of access denials due to equipment failure				
	5. KPA/Equity Percentage of aircraft operators by class that equity is achieved				
	6. KPA/Equity: Percentage of different types of aircraft operating in a particular airspace or				
	international aerodrome.				
2. Capacity	1. Number of operations (arrivals+departures) per international aerodrome per day				
	2. Average ATFM delay per flight at an international aerodrome				
	3. Number of landings before and after APV per international aerodrome				
	4. Average en-route ATFM delay generated by airspace volume				
	5. Number of aircraft in a defined volume of airspace for a period of time				
3. Cost	1. IFR movements per ATCO hour on duty				
effectiveness	2. IFR flights (en-route) per ATCO hour duty				
4. Efficiency	1. Kilograms of fuel saved per flight				
,	2. Average ATFM delay per flight at the international aerodrome				
	3. Percentage of PBN routes				
5. Environment	1. Kilograms of CO <sub>2</sub> emissions reduced per flight (= KGs fuel saved per flight x 3.157)				
_	2. The number of electronic pages dispatched				

### **REGIONAL AIR NAVIGATION PLANNING PERFORMANCE BENEFIT METRICS- EXAMPLES**



KPAs	Related Performance Metrics				
6. Flexibility	1. Number of backups available in emergency				
	2. Number of changes approved to the flight plan				
	3. Number of alternatives granted				
7. Global	1. Number of ATC automated systems that are interconnected				
Interoperability					
8. Participation of	1. Level of participation in meetings				
the ATM	2. Level of responses to planning activities				
Community					
9. Predictability	1. Arrival/departure delay (in minutes) at international aerodrome				
10. Safety	1. Number of runway incursions per international aerodrome per year				
	2. Number of incidents/accidents with MET conditions as as a contributory factor				
	3. Number of ACAS RA events				
	4. Number of CFIT accidents				
	5. Number of missed approaches avoided due to use of CDO				
11. Security	Not Applicable				



