



**COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION
SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN),
ACTION PLAN MEETING**

(Nairobi, Kenya, 25 to 26 October 2016)

**Agenda Item: - Implementation of the Universal Security Audit Programme
Continuous Monitoring Approach (USAP-CMA) in Africa**

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This information paper provides to the Meeting with latest information on the progress on the implementation of the USAP-CMA, including the status on the level of effective implementation of the critical elements of States' aviation security oversight systems

Action: *The Meeting is invited refer to Chapter 3 of this Working paper.*

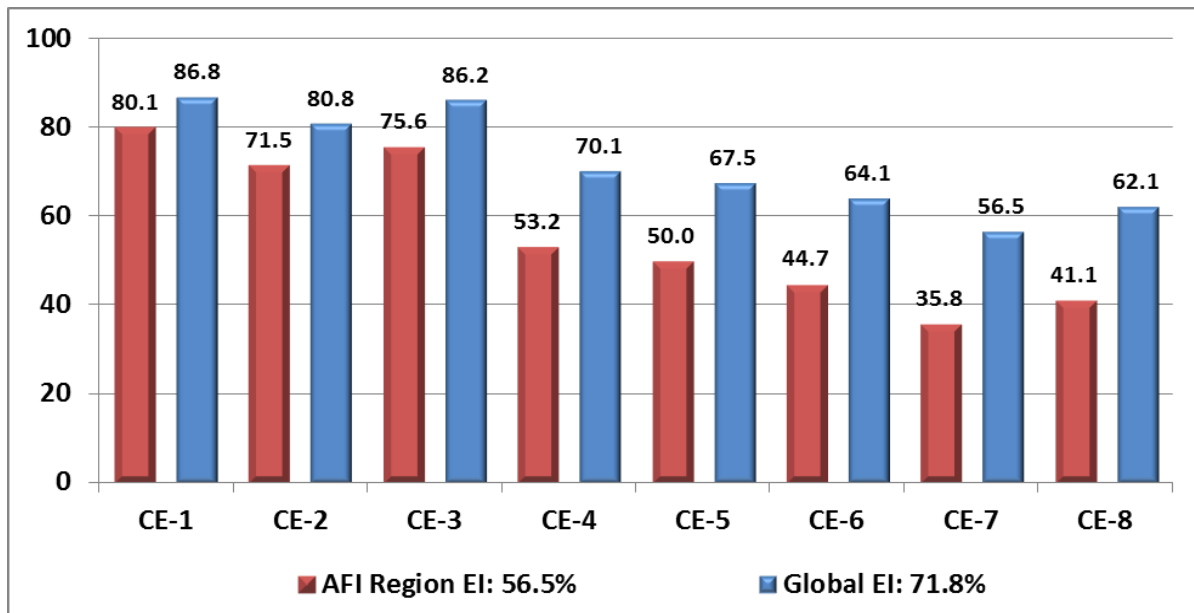
1. INTRODUCTION

1.1. The AFI SECFAL Plan progress and performance will be primarily measured in terms of USAP-CMA findings and progress against the stated objectives of the AFI SECFAL Plan, the timely resolution of noted deficiencies, the impact of training activities, the nature of major aviation security incidents and a reduction in the number of Significant Security Concerns (SSeCs) identified and their timely resolution.

2. IMPLEMENTATION OF THE UNIVERSAL SECURITY AUDIT PROGRAMME CONTINUOUS MONITORING APPROACH (USAP-CMA) IN THE AFI REGION

2.1 As of 30 September 2016, a total of 48 USAP-CMA activities, including 45 audits and 3 validation missions to evaluate the resolution of Significant Security Concerns (SSeCs), had been conducted. An additional five audits are planned in the remainder of 2016. A total of 12 States in the AFI Region have been audited under the USAP-CMA so far.

2.2 The following chart illustrates the global results, as well as the average results in the AFI Region for USAP audits conducted under both the second cycle USAP audits and under the USAP-CMA. These measure the effective implementation (EI) by States of the eight critical elements of an aviation security oversight system (CEs), as identified in Doc 10047 — *Aviation Security Oversight Manual — The Establishment and Management of a State’s Aviation Security Oversight System*. It should be noted that while this information has been aggregated into a single chart, the underlying protocol questions used for the conduct of the audits have changed significantly since the second cycle. As a result, the aggregated percentages should be used with some caution, particularly when comparing the global results to individual States’ USAP-CMA results. As more USAP-CMA audits are conducted in all regions, it is expected that the global results will become increasingly precise. Further information and analysis can be found in the USAP-CMA Analysis of Audit Results booklet, 6th edition, available through the USAP secure portal.



2.3 These results indicate that there is still significant room for improvement and that CE-7 - States’ quality control obligations, remains the critical element with the lowest overall level of effective implementation in the region. Meanwhile, CE-8 - resolution of security concerns, CE-6 - Certification and approval obligations, CE-5 - The provision of technical guidance, tools and security-critical information, and CE-4 - Personnel qualifications and training are also areas of concern.

2.4 The timely signature of the USAP-CMA MoU clearly indicates engagement by Member States in the security audit programme. It is worthwhile to indicate that a good number of AFI States are yet to sign the USAP-CMA MoU. The importance of the MoU was recently re-emphasized by sending reminder letters to States that have not yet signed, encouraging them to do so at the earliest opportunity.

2.5 As at 20 October 2016, four SSeCs remain unresolved in two AFI States. The ICAO Secretariat, including the Regional Offices, is working closely with these States to monitor progress toward the resolution of the SSeCs and to provide assistance in this regard.

3. ACTION BY THE MEETING

The meeting is invited to:

- a) note of the implementation of the USAP-CMA in the AFI region
- b) urge States that have not done so, to sign the MoU relating to the USAP-CMA
- c) request States to continue their support to the USAP in seconding short- and long-term experts for USAP activities

-END-