INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

10TH AFI SECFAL STEERING COMMITTEE MEETING

Report on the Implementation of the 2020 AFI SECFAL Plan Work Programme and Projects

(Presented by the AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY
This Working Paper presents information related to the status of Implementation of the 2020 AFI SECFAL Plan Work Programme; State Specific Projects as approved; and implementation of the 9th SC Meeting Decisions and Recommendations. The Working Paper also presents for consideration and approval, of the Plan Work Programme and Projects for 2021

Action by the Meeting s proposed under **Paragraph 3.** |

Strategic Objectives	**Strategic Objective B Aviation Security and Facilitation**

1   INTRODUCTION

1.1   This paper contains information related to the status implementation of the AFI SECFAL Plan Work Programme and State Specific Projects for the year 2020 (**Attachment A**), Status of implementation of the 9th SC Meeting decisions and recommendations held virtually on 28th July 2020 (**Attachment B**), and 2021 Plan work programme (**Attachment D).**

1.2   Support activities to States in the 2021 Work Programme are based on the tasks required to implement the priority State Specific Projects as approved by the SC. The development of the priority projects was also informed by the needs assessment and analysis undertaken by the Plan secretariat. Furthermore, the work programme includes the Long-term (2021-2023) tasks, and those related to the implementation of the revised Windhoek targets as aligned with the Global Aviation Security Plan (GASeP) and those carried over from Plan Mid-term (2018-2020) programme. The challenges occasioned by the COVID-19 pandemic, prompted the delays and setback in completing some of the activities.

2.   DISCUSSION

2.1   The Ninth Meeting of the SC held virtually on 28th July 2020 reviewed the progress in the implementation of 2019 Plan work programme and retrospectively approved the 2020 work programme. Despite the circumstances and restrictions imposed by States due to COVID-19, the Plan

activities as approved including the carried over tasks and implementation of the SC Decisions and Recommendations has continued though remotely.

2.2	Priority was given to the assistance and support to States efforts to implement the Recommendations in the ICAO Council Aviation Recovery Task Force (CART), the African Union High-Level Task Force on Post-COVID-19 Recovery Strategy for the African Civil Aviation Sector. As mandated, the secretariat and the Chairperson of the SC have provided the necessary briefing and update of the progress to ICAO Secretary General and the ICAO Council.

2.3	To harness synergies the plan has concentrated on enhancing the existing coordination and collaboration mechanism amongst Plan partners and stakeholders at national and regional level. Taking advantage of the improved online platforms and the aggressive ICT development the plan has embraced the usage of remote methodologies and tools to conduct activities such as webinars, workshops and meetings.

2.4	In recognition of declaration of 2021 as the Year of Security Culture, appropriate activities were generated by the Plan to ensure effective sensitization of the concept as a GASeP pillar. The emphasis of activities is on promotion of security culture agenda.

2.5	Other areas of focus include assisting the State efforts to strengthen border controls, enhancing risk awareness and assessment, improving aviation security oversight and quality control activities, establishment of sustainable Plan funding mechanism and the development and implementation of priority projects based on urgent needs to support recovery from COVID-19 pandemic impact.

2.6	Since the last SC meeting, several achievements have been made notable of which include:

a)	The remote assistance an implementation of the AFI SECFAL Plan work programme, supporting the implementation of Windhoek Declaration and Targets as aligned with the (GASeP). All Activities have been conducted virtually or remotely due to Covid-19 travel restrictions.

b)	The State Specific Projects for Angola and Djibouti halted in 2020 due to COVID-19 pandemic and related restrictions have been reactivated and supported remotely. The Angola project was completed in June 2021 with the intended objectives of augmenting the regulatory framework being achieved. Djibouti project is ongoing, with its first phase completed in June 2021. Due to various security, political and COVID-19 reasons, the onsite activities of project for Central African Republic (CAR) and Guinea Bissau remain inactive and, however remote support is ongoing.

c)	It is worth noting that the support activities for the two SSeC States requires onsite mission if the project objectives are to be attained. It is also anticipated that onsite missions will be necessary for validation mission in one of the SSeC States once the restrictions are eased and physical activities are possible. It is imperative that alternative methods be innovatively explored to enable conduct of the subject validation exercise.

d)	As a mitigation measure to the curtailment of onsite missions for support activities, the Regional Office has developed a remote support tool to support the implementation of USAP -CMA Corrective Action Plans. During the period, fourteen States have been supported remotely including Zimbabwe, Botswana, Rwanda, Uganda, Namibia, Chad, Equatorial Guinea, Gabon, Gambia, Guinea and Sao Tome, Senegal, Comoros, and Mozambique.

e)	The Plan has enhanced the remote monitoring of the implementation of the Windhoek targets and implementation of CART recommendations and the associated "Take-off" guidance through implementation packages (iPacks) for the Restart and the Resilience of Civil Aviation. So far, Malawi, the Gambia, Sierra Leone and Eswatini have received sponsorship under ICAO TCB initiative for the iPacks. Further, training and awareness has also been provided through webinars.

f) Following introduction of limited scope USAP-CMA activities, six States (Botswana, Gambia, Gabon, Senegal, Togo, and Rwanda), have undergone remote audits and significant progress has been posted towards attaining the GASeP 2020 targets-(80% of States to achieve 65% average EI). Despite the low full USAP-CMA activities due to the COVID-19 pandemic, the current AFI overall average EIs has increased from **59.71% in 2020 to 61.66% in 2021.** Notwithstanding the low USAP CMA activities to validate States progress, the cumulative improvement since inception of the Plan **is 53.06 % in 2015 to 61.66%** as at June 2021.

g) Following the operationalization of the AFI Security and Facilitation Collaborative Experts Scheme (CES), under the auspices of the African Civil Aviation Commission (AFCAC) in 2020, induction workshops to enhance capacity of the experts and guarantee effective execution of onsite support tasks resumed in 2021. The AFI CES was established to provide assistance to States through sharing and using experts from within AFI region.

h) In collaboration with the ICAO Secretariat and relevant UN Agencies, the Plan has provided continuous support to the African Union Commission (AUC) and AFI States towards the development of the African e-passport in support of the free movement of people policy under the AUC agenda 2063. It is hoped that the issuance of the African e-passport will further promote the implementation of the Advance Passenger Information (API) and Passenger Name Record Data (PNR) systems.

i) Since November 2020, the membership to ICAO Public Key Directory (PKD) has increased from **3 to 14 States** in the AFI region and four States are in the final stages to join the ICAO PKD. In coordination with ICAO HQ, Airports Council International (ACI), the European Union (EU) International Organization for Migration (IOM) and the United Nations Counter Terrorism Executive Directorate (UNCTED) joint efforts are being undertaken to enhance implementation of API/PNR.

j) In support to the recovery from the impact of the COVID-19, four priority projects will be undertaken in 2021 through the funding allocated by the ICAO secretary amounting to **CAD 553,000.** Voluntary funding amounting to USD 150k from Saudi Arabia and 100K is to be allocated from Qatar voluntary contribution under ICAO IVF framework. In addition to the CAD 553K, the ICAO Council approved the allocation of **CAD 500,000 being** carry over from 2020 to be utilized for identified Plan activities between 2021 and 2023.

k) As mandated by the programme document, the ICAO Secretary General and SC Chairperson presented oral reports to the ICAO Council. Furthermore, the SC Chairperson made a detailed presentation to the Informal briefing to Council on the status of implementation of the Plan, its achievements, challenges and future priorities. Highlighted in all presentations was the need to establish a sustainable Plan funding mechanism under the ICAO Regular budget.

l) The Plan has initiated four priority activities including support to CART Recommendations Implementation, Facilitation Course; E-learning platform (restricted access and inspector recurrent training); ICAO TRIP strategy awareness and implementation workshop. Of the intended 500 AFI Region experts to benefit from the Course, 43 experts from Ethiopia, Kenya, South Africa, Ghana, Nigeria and Liberia received training in June 2021.

2.7 With regard to the status of implementation of the 9th SC Meeting Decisions and Recommendations, several actions have been taken under remote assistance and support framework. Details of the implementation status are highlighted in **Attachment B** and the Plan secretariat will continue to provide updates on the implementation status at every SC Meeting.

2.8 The COVID-19 pandemic and related restrictions has posed enormous challenges to the AFI SECFAL Plan and to large extent aggravated the long-standing inherent issues in the pursuit to attain the objectives of the Plan. Constraints of inadequate aviation infrastructure to enable effective compliance with ICAO SARPs, and delayed implementation of Windhoek and GASeP targets and relevant UN Security Council Resolutions (UNSCR) on aviation security and border controls continue to prevail.

2.9   Additional key challenges to the Plan include lack of sustainable funding to support the implementation of the work programme, priorities and ongoing State Specific Projects; slow pace by states to implement the UNSCRs on border control, including operationalization of API and PNR systems and joining the ICAO PKD. Other major impediments include weak political will to aviation in general, and legislative challenges in some States.

2.10   The voluntary financial and in-kind contributions and pledges offered by States and Partners, continue to be inadequate to support the Plan activities. As of 30th June 2021, the Fund balance is CAD 304k (excluding the CAD 553k allocated by the ICAO Secretary General). The 2021 budget estimates for the Plan were revised to reflect the funds allocated by the Secretary General to implement the 2021 priority activities. The revised budget estimates amounting to USD 1,260,000 are being presented for retrospective approval. Details of the financial statement, the priority projects budget estimates and the budget estimates are in **Attachment C.**

2.11   Considering the need to prioritize the Plan activities within the context of COVID-19 situation, while maintaining the continuous execution of the AFI SECFAL Plan objectives, the secretariat included activities to mitigate some of the challenges in the 2021Work Programme (**Attachment D**). The work programme includes, all the activities and projects not completed in 2020, tasks related to implementation of the decisions and recommendations of the 9th SC Meeting that yet to be fully completed, and Year of Security Culture (YOSC) activities (2021). In addition, included are Long Term Plan activities (2021 -2023) and roll-out of the relevant ICAO CART implementation Packs (i-Packs) to mitigate the adverse impact of COVID-19 crisis to aviation security and facilitation.

3.   ACTION BY THE STEERING COMMITTEE

3.1   The Steering Committee is invited to:

a)   Note the information contained in the paper, and in particular the implementation of the 2020 Plan Work Programme and State Specific Projects as highlighted in **Attachment A** to this Working Paper;

b)   Note the information contained in this paper, and in particular the implementation of the decisions and recommendations of the 9th SC as highlighted in **Attachment B** to this Working Paper;

c)   Note and endorse retrospectively, the four priority activities included in the work programme and to be undertaken in 2021;

d)   encourage to enroll in the new Annex 9 – Facilitation course and also nominate participants to the FAL Course offered under the Plan;

e)   Consider and approve retrospectively, the 2021 AFI SECFAL Plan Work Programme, submitted as **Attachment D** to this Working Paper;

f)   Consider and approve retrospectively the 2021 budget estimates highlighted in **Attachment C** to this working Paper;

g)   Commend States, ICAO Council and Partners for the continuing to support the AFI SECFAL Plan, in terms of advocacy, provision of both human and financial resources; and,

h)   Recognize the contribution made by the outgoing ICAO Secretary General Dr Fang Liu and her support to the AFI SECFAL Plan since inception.

ATTACHMENT A

2020 AFI SECFAL PLAN DRAFT WORK PROGRAMME

Priority/Objective	Activities	Status of Implementation
Plan Coordination Mechanism and Implementation modalities.	• Convene the 9th Steering Committee (SC) meeting. • Enhance collaboration and coordination with EU, ACI, AUC, AFCAC, AFRAA, IATA, RECs RSOOs, Partners and donors through sharing of information and programmes and participation in activities of mutual interest.	• **9th SC held virtually on 28 July 2020** • **Coordination meeting held on 24 and 25 Feb 2021 to harmonize Work Programmes and activities with Partners and donors to assist and support States.**
Regional Aviation Security and Facilitation Group (RASFALG-AFI) operational	• Liaise and coordinate with AFCAC for the RASFALG-AFI to continue and effectively take part in the execution of the Plan Work Programme • Identify State needs for projects to be implemented by RASFALG-AFI/ CES; • Monitor the effectiveness of the RASFALG-AFI/ CES and report to every SC meetings.	• **RASFALG-AFI work programme and Meetings continue to be reported every SC Meeting.** • **State needs for projects to be implemented by RASFALG-AFI/ CES continue to be identified/ implemented.** • **Functioning AFI CES under the RASFALG-AFI now operational. (details of activities presented by AFCAC in WP 2)**
Increase Regional Cooperation And Support **Review the implementation of the Resource Mobilization strategy Enable the key security objectives achieved more quickly and efficiently.**	• Enhance the campaign and awareness for Member States and donors to provide voluntary contributions support AFI SECFAL projects • Provide financial status report to the SC in collaboration with Chief FIN. • Explore /identify and utilize new and potential resource opportunities from industry and international financial institutions, such as African Development Bank AfDB. UN, World Bank, States, etc. • Support and encourage all stakeholders to conduct peer reviews, knowledge transfer, and /or share knowledge on security processes, procedures and technologies	• **Advocacy for funding and voluntary contributions from Member states, Partners and International Organizations continues.** • **Financial status of the Plan is periodically reported to SC.** • **Additional funding of CAD 553K allocated by ICAO Secretary General for four 2021 priority activities, and USD 150K from Qatar through the ICAO IVF.** • **Plan secretariat supporting peer review and other actions to publicize UNSCRs implementation, encourage States**

	• Publicize UNSC Resolution 2309 (2016) and GASeP's objectives/Roadmap to local entities involved in AVSEC. • Encourage States that have resources to provide assistance and commit to long-term engagement, ascertain own level of expertise, transferable knowledge and target assistance based on risk profile. • Deploy risk-based assessment tools. • Explore opportunities for increased short-term subject-matter expert (SME) deployments to ICAO in order to close SME gaps	**commitment, deployment of risk assessment tools and engagement of SMEs.**
Revised Windhoek Declaration and targets (in line with the aligned AFI SECFAL Plan Work Programme with GASeP), endorsed by the AU	• Collaborate with AFCAC to revise the Windhoek targets in line with the aligned AFI SECFAL Plan Work Programme targets. • In coordination with AUC and the African Civil Aviation Commission (AFCAC), present and secure the endorsement by the relevant AU organs of the Plan targets aligned with GASeP.	• **Revised Windhoek Declaration and targets is aligned with AFI SECFAL Plan Work Programme and GASeP.** • **Endorsement of the Revised Windhoek Declaration and targets by AU is ongoing.**
Resolution of existing, new and potential SSeCs.	• Perform States missions by support teams as identified from RASFALG AFI or as requested by the State. • Assistance Technical Missions and validation missions.	• **Limited support activities to resolve existing SSeCs is ongoing remotely despite travel restriction that deny onsite activities.** • **New /potential SSeCs successfully mitigated**
All African States have National Air Transport Facilitation (NATFP) Programmes in place	• Support to States in needs to develop and implement NATFP provided. • Coordinate Seminars and workshops at the Regional, State and airport levels, mentoring; and ad-hoc support.	• **FAL I-Packs deployed to 4 States (Sierra Leone, the Gambia Malawi and Eswatini.** • **FAL courses to be provided to all AFI states to enhance capacity to comply with Annex 9-FAL SARPs and increase number of AFI States that have approved NATFP.**
Develop Security Culture **Capacity building, Sustainable aviation security and facilitation training capability and adequate human resources**	• Coordinate the conduct/ organization of trainings courses and seminars as appropriate in collaboration with GAT, ISD, FAL partners, sponsors and donors such as ASTCs, AFCAC, ACI-APEX, AU-CASE, etc. • Monitor the development of relevant AVSEC/FAL training programmes and certification systems by GAT	• **Webinars on Security Culture conducted and Workshops are planned in 2021.** • **States are promoting ICAO Year of Security Culture (YOSC 2021) through Websites, events and other activities.** • **Security Culture activities will involve high Government stakeholders'/ officials.**

policies within the States are established. **Existence of a well-trained, motivated and professional work force**	• Provide assistance and support to States to develop human resources (HR) policies for sustainable aviation security and facilitation • Build and promote security culture by delivering relevant trainings and seminars. Promote awareness and security culture targeting high government stakeholders/officials. • Develop/review national training programmes, taking account of risk. • Support States in development of baseline requirements/minimum qualification for personnel involved in security.	• **Awareness through promotion of YOSC activities is ongoing together with review of training programmes and capacity building of personnel involved in Aviation security.**
Promote awareness on role of aviation security to national development and strengthened political will.	• Promote aviation security and facilitation as part of agenda of high level missions especially those performed by President of the Council, Secretary General and Regional Directors	• **President of the ICAO Council, Secretary General and ICAO RDs continue to advocate high-level political commitment and will to promote aviation security.**
Report to ICAO Assembly	• AFI SECFAL Plan Chairman to present oral report to ICAO Council. • ICAO SECGEN to brief Council on AFI SECFAL Plan implementation.	• **The Secretary General and SC Chairperson provided the Plan progress report to Council as mandated and Council support has been enhanced** • **ICAO Council commended the progress of the Plan and its observations/ recommendations have been incorporated in the 2021 Plan work.**
Strengthening Border Controls **Implementation of ICAO TRIP Strategy and Encourage implementation of UNSC Resolution 2309 (2016** **All States issue only machine readable**	• Support states in improving basic sources of reliable data and establish links with INTERPOL to use, and provide updates on SLTDs to INTERPOL border control systems/database. • Support African States in the implementation ICAO (TRIP) strategy, including the promotion of membership of the ICAO (PKD) and implementation of (API) through workshops/seminars/conferences and State missions. • Encourage states to develop /establish rules and procedures for SLTDs and e links with INTERPOL.	• **Membership to ICAO PKD has increased from 12 to 14 States. 4 States are in the final stages of joining.** • **40 AFI States are now issuing electronic passport** • **Seminar on API/PNR to states are ongoing.** • 9 **States (***11 States (Djibouti, Côte D'Ivoire, The Gambia ,Botswana, Togo, Sierra Leone, Ghana, ,Nigeria, South Africa , Rwanda and Mali, Uganda)* **have been assisted in the**

"Convention Travel Documents" to refugees and stateless persons by 2020	• Liaise with ATB to monitor the development and issuance of the African e-Passport to ensure compliance with the specifications of Doc 9303 that will enable full interoperability at border controls.	**implementation of API/PNR under the UN Counter terrorism Programme (UNCTP).** • **AFI states that have developed /established rules and procedures for SLTDs and elinks with INTERPOL have reportedly increased.** • **All AFI States issue only machine readable "Convention Travel Documents" to refugees and stateless persons by 2020.** • **Plan support to AU towards issuance of the African e-Passport to ensure compliance with the specifications of Doc 9303 continues.**
Enhance Risk Awareness And Assessment **Risk management procedures are established in all States**	• Identify gaps and vulnerabilities, which can then be urgently addressed in the most practical way possible, and with optimal use of resource; • Organize/Conduct Risk Management Workshops to identified/priority States by ASTCs for States in ESAF and WACAF Region	• **Workshops on Risk Management postponed due to COVID 19 Pandemic are planned for 3rd and 4th quarter of 2021. One Workshop was held at ASTC ERNAM in 2020.** • **ICAO Risk Management Workshop is now available on line to ease access and conduct of the same. The platform will soon be used as a main tool for delivery of the workshops**
Sound crisis management procedures are established in all States	• Provide support to States in needs to develop and implement Crisis management procedures; • Organize/Conduct Crisis Management Workshops at ASTCs in ESAF and WACAF Region	• **Workshops on Crisis Management postponed in 2020 due to COVID-19 Pandemic are planned for 2021.**
Improve Oversight And Quality Control **Elevate/improve average EI, at least 80% of States in the African region achieve at least 65% EI of the CEs.**	• Based on analysis of USAP results, identify key areas of deficiencies in states and develop prioritised clusters for targeted technical support activities for 2020; • Conduct timely technical support missions to States eligible for USAP-CMA as prioritized; • Recommend necessary interventions to include implementation of onsite State Specific Projects as necessary; • Provide guidance and Seminars on USAP-CMA Methodology, signing of MoU, specific state obligations in the audit process	• **8 prioritized States and those eligible for USAP-CMA supported for CAP virtually. (Namibia, Uganda, Zimbabwe, Botswana, Rwanda (ESAF), Chad, Equatorial Guinea, Gabon, Gambia, Guinea and Sao Tome (WACAF).** • **14 States implementing USAP-CMA CAPs supported as appropriate.** • **2 USAP-CMA seminars (English and French) were conducted virtually.**

	and development and implementation of USAP-CMA Corrective Action Plans(CAPs) • Promote collaboration and sharing of information amongst African States • Helping States to develop their national audit programme as needed	• **The current AFI overall average EIs has increased from 53.06 % at inception of the Plan to 61.66% as of June with expected increase by end of the year 2021, after implementation of some limited scope USAP-CMA activities.** • **24 of the 38 AFI States audited have individually have achieved score of 65%, being within GASeP target.** • **02 (ESAF) of the priority State Specific projects were completed in 2020 and supported virtually.**
By end of 2020, All African Region States have ratified relevant international instruments.	• Promote ratification of all relevant international instruments and assist States in their implementation • Monitor and assist the ratification status of the States in the African Region, of relevant International Instruments in liaison with ICAO Legal Affairs and External Relations Bureau.	• **Legal Seminar was held at Banjul, The Gambia from 24-25 February 2020 to update Government Officials on the work program of ICAO in the legal field and International Law and to promote ratification of all relevant international instruments.**

<mark>ATTACHMENT B</mark>

STATUS OF IMPLEMENTATION OF THE 9ᵀᴴ SC MEETING DECISIONS AND RECOMMENDATION

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
SC09/Dec 01	*The SC:* *Approved in principle the initiatives and activities necessary to support, provide technical assistance and monitor State efforts towards the implementation of ICAO CART report and Take-Off guidance document and the AUC HLTF recommendations , aimed at effective restart and recovery of aviation sector and building resilient aviation security and facilitation systems.*	• **Webinars conducted to assist CART recommendations implementation with regard to AVSEC and FAL.** • **Meetings held with States to follow up on actions taken.** • **FAL ipack Malawi, The Gambia, Sierra Leone and Eswatini completed.**
SC09/Dec 02	*The SC:* a) *Urged member States, International Organizations and Partners to continue to support the plan activities and projects through contribution (in kind and financial) towards the establishment of a sustainable funding mechanism of the AFI SECFAL Plan;* b) *Requested ICAO secretariat to continue with the support to the implementation of the Plan Work Programme, notably the priority activities related to COVID19 and recovery process.*	• **The Plan has strengthened collaboration with AFI states through in kind and financial support AFI-CES and various International Organizations.** • **The Plan Work Programme, project implementation and new 2021 priority activities continued to be implemented, most of activities conducted virtually due to COVID-19 Pandemic.**
SC09/Dec 03	*The SC:* a) *Approved in principle the request to carry over the activities and projects not implemented due to COVID19 to the restart and recovery period;* b) *Encouraged the beneficiary States and Secretariat to continue to monitor progress following the support activities to ensure sustainability of the outcomes; and* c) *Urged States, donors, financial institutions and industry partners, to make financial contributions and other forms of assistance to support implementation of the AFI SECFAL Plan State Specific Projects and support the efforts to address the impact of COVID19 especially the implementation of CART and HLTF recommendations, mitigation measures and monitoring processes.*	• **All activities not completed in the 2020 Work Programme due to COVID 19 travel restrictions were carried over to the 2021** • **The secretariat is remotely monitoring the implementation of recommendations of experts on activities for Comoros, Lesotho, South Sudan and Mozambique.**

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
SC09/Dec 04	*The SC:* a) *Urged African States which were yet to nominate points of contact to consider doing so for coordination and follow-up to State letters;* b) *Invited African States and stakeholders to continue providing necessary support to the RASFALG-AFI Group; and* c) *Encouraged States, International organizations and Partners to continue supporting AFI-CES through financial and in kind contributions and joint capacity building activities for smooth execution of support activities.*	• **AFI States have designated AVSEC points of contacts and rate of responses to AFCAC SLs increased to 78%.** • **Active cooperation and engagement from States were registered regarding the release of experts to attend the RASFALG meetings and the designation of AFI-CES Experts.** • **Increased Cooperation between AFCAC and its partners in assistance to achieve effective aviation security outcomes and sustainable implementation of its programmes.** • **Joint activities CASE II Project the AFCAC, U.S, TSA program were organized. Broad terms**
SC09/Dec 05	*The SC:* a) *Approved the proposed revisions to the AFI SECFAL Plan Master Document; and* b) *Approved in principle the amendments to the SC ToRs that physical Meetings be conducted once a year during the AFI Week or virtually if conditions for physical meeting are not conducive.*	• **2nd edition of the AFI SECFAL Plan Master Document; and SC ToRs were endorsement as amended by the ICAO Secretary General.**
SC09/Rec 0I	*The SC:* *Advised the Secretariat that in future, proposed amendments for consideration by the SC should be clearly indicated and highlighted through the document being considered for amendment for ease of reference;*	• **The Secretariat noted the recommendation and all future amendments will be highlighted accordingly.**
SC09/Dec 06:	*The SC:*	• **Amended Windhoek Targets were consolidated are endorsed by the**

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
	a) *Approved in principle, the proposed amendments to Windhoek Targets and directed AFCAC and Plan Secretariat to consider all comments given by the meeting for clarity of the targets especially the timelines.* b) *Urged AFCAC to expedite finalization of the development of the tools to facilitate the operationalization of the CES scheme.* c) *Directed AFCAC and Plan secretariat to consult on the necessity to review the preamble provisions of the Windhoek Declaration as this would call for consideration of a Ministerial Conference.* d) *Urged African member States to put more effort on actions taken towards achievement of Windhoek Declaration and set Targets and provide update accordingly;* e) *Urged African States to designate Aviation Security Experts to operationalize the Cooperative Experts Scheme (AFI-CES)* f) *Encouraged African States in need to take advantage and utilize the AFI-CES for technical support activities.*	**relevant AU Specialized Technical Committee (AU STC) in June 2021.** • **Development of the tools to facilitate the operationalization of the CES scheme finalised by AFCAC. AFI CES Orientation workshop to be held on 11- 12 August 2021.** • **Consultation by AFCAC and Plan secretariat indicated no necessity to review the preamble provisions of the Windhoek Declaration.** • **During regional meetings, webinars and workshops, AFI States continue to be urged to enhance efforts to achieve Windhoek Declaration and set Targets and provide update accordingly;** • **All States have designated Aviation Security Experts and the AFI-CES is now operational.** • **AFCAC and ICAO continue to urge States to solicit AFI-CES support.**
SC09/Dec 07 :	*The SC:* a) *Recognized the financial and in kind voluntary contribution received from States and encouraged member States, International organizations and Partners to maintain and/or strengthen their contribution towards the AFI SECFAL Plan activities and initiatives;* b) *Noted the inadequate AFI SECFAL Plan financial status and called for the establishment of a sustainable funding mechanism;* c) *Encouraged the AFI SECFAL Plan Secretariat and AFCAC to consider introducing a voluntary scheme to be supported by AFI States through reduced PDMs and rebate tickets by African Airlines for experts travel missions; and*	• **AFI SECFAL Plan Secretariat and AFCAC are coordinating and exploring on modalities to introduce voluntary scheme to be supported by AFI States through reduced PDMs and rebate tickets by African Airlines for experts travel missions.** • **The SC Chairperson and Plan Secretariat has requested the Council**

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
	d) Requested the Council to consider a modest provision for the plan in ICAO Regular Budget.	**to consider a modest provision for the plan in ICAO Regular Budget.**
SC09/Dec 08:	*The SC:* a) *Urged AFI States to implement all the Annex 9 Standards related to the ICAO TRIP Strategy, including improved use of INTERPOL data bases, mainly reporting stolen, lost and revoked travel documents in the INTERPOL SLTD database, issuing MRCTDs and implementing an API system;* b) *Requested AFI States to ensure that their respective Annex 9 Compliance Checklist (CC) are duly completed in the EFOD system;* c) *Called upon all AFI States that have not done so to immediately establish a National Air Transport Facilitation Programme (or equivalent) and associated Committees in accordance with relevant provisions of Annex 9;* d) *Encouraged AFI States to enroll in the new Annex 9 - Facilitation ITP;* e) *Urged all AFI States to prioritize issuing e-Passports, and to join the ICAO PKD if optimisation of the benefits of issuance of eMRTDs are to be achieved; and* f) *Directed the AFI SECFAL Plan secretariat, in collaboration with the Air Transport Bureau, to continue monitoring the African ePassport project to ensure that it meets the Doc 9303 specifications in order to enable its full interoperability at the borders.*	• **Priority project to be undertaken in 2021 towards strengthening of ICAO TRIP strategy by AFI States was allocated funding by ICAO SG** • **Through ICAO webinars, AFI States be urged to ensure Annex 9 Compliance Checklist (CC) are duly completed in the EFOD system;** • **ICAO has so far completed FAL i-Packs for four (4) AFI States assist the establishment of National Air Transport Facilitation Programmes(NATFCs) and National Air Transport Facilitation Committees (NATFCs);** • **FAL courses to enhance capacity of 500 experts towards fully implementation of Annex 9 in AFI states was launched in June 2021 with two courses covering 6 States with 43 participants delivered in June 2021.** • **Although the 40 AFI states are currently issuing e-Passports, and not all have joined the ICAO PKD.** • **The AFI SECFAL Plan secretariat continue to support AU in collaboration with ATB (FAL), on the African ePassport project.**

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
SC09/Rec 02	*The SC* *Called upon the ICAO Secretariat to consider communicating the API /PNR information to States in a more simplified and adaptable manner that the States are able to absorb, internalize and implement, including State- specific approach, to address the persistent slow pace of implementation of API and PNR by African States.*	• **Through the 2021 priority project and funding allocated by ICAO SG, the Secretariat continue to support implementation of API/PNR systems through webinars to share experience and support partners (IOM, UNCTED and UNCTP. The money is already deposited in the Fund)**
SC09/Rec 03:	*The SC:* *a) Commended the support and contribution of ACI through the APEX programme and in particular the African Airports Development Program (AADP) to assist African airports in bridging the gaps identified in APEX in Security reviews in the fields of training, drafting of documentation and expertise site missions.* *b) Noted the initiative taken by ACI during the COVID-19 pandemic, and encouraged States to take advantage and seize the opportunity to ensure security is not compromised during implementation of health protocols observed during COVID 19 and recovery period.*	• **The Secretariat has continued to coordinate and support the APEX programme by ACI to AFI States.**

Decision / Recommendation Reference	Decision / Recommendation Text	Action Taken/Current Status
SC09/Rec 04	*The SC:* a) *Noted the activities, initiatives, plans, contributions and efforts made by ASTCs in the area of capacity building to implement the AFI SECFAL Plan Work Programme;* b) *Encouraged the ASTCs to endeavor enhancement of collaboration and participation to ensure that the platform covers the whole of the AFI region, and to enhance cooperation and coordination with AATO;* c) *Commended the initiatives taken by ASTCs towards developing e-learning and virtual classroom programs during the COVID 19 pandemic and at recovery period; and* d) *Advised that ASTCs could be considered for support under the AU HLTF initiative.*	• **The secretariat has continued to remotely coordinate and support ASTCs on provision of ICAO sponsored trainings and ASTC local trainings despite the COVID-19 pandemic restrictions.** • **AUC was approached to consider inclusion of ASTCs as beneficially to financial stimuli towards recovery of aviation**

Attachment C

Financial Statement

INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI SECFAL

STATEMENT OF INCOME AND EXPENDITURE BY WOs
FOR THE PERIOD FROM 14 DECEMBER 2016 TO 30 JUNE 2021 (Preliminary)
(in Canadian dollars)

Work Order	Work Order description	Balance in CAD
ASF17102-01	AFI SECFAL Plan Fund Unearmarked General Workorder	350,792.02
ASF17102-02	Legal Seminar to be conducted in Nairobi (27-28 Nov 2017)	7,181.84
ASF17102-03	Crisis Management Training Course (30 Oct – 03 Nov 2017)	(353.39)
ASF17102-04	Risk Management Workshop (07 – 10 Nov 2017)	(353.38)
ASF17102-05	6th AFI SECFAL Steering Committee Meeting (8 Dec 2017)	.00
ASF18102-01	Risk management Course & Crisis management training Nairobi, Kenya (20-29 Mar 2018)	(19,978.56)
ASF18102-02	Travel Documents Authentication training, Niamey, Niger (9-12 Apr 2018)	12,175.88
ASF18102-03	Training costs for NCASQCP and NCASP Workshops (15-27 October 2018)	5,497.98
ASF18102-04	Travel and DSA costs for NCASQCP and NCASP Workshops (15-27 October 2018)	1,984.72
ASF18102-05	AVSEC TECHNICAL ASSISTANCE TO GUINEA BISSAU (Oct-Dec 2018)	17,299.60
ASF19102-02	Technical assistance Mission to Mozambique	(33,569.54)
ASF19102-06	Technical Assistance Mission to Lesotho	(12,423.53)
ASF19102-07	Technical Assistance Mission to Angola	(10,488.22)
ASF19102-14	RASFALG/7 Meeting 2019 – Tunis, Tunisia	(7,157.05)
ASF20202-13	Technical Assistant missions to Tanzania	(1,626.97)
ASF20202-15	Legal Seminar in Banjul, Gambia - 24 and 25 February 2020	(1,335.42)
	Total exchange gain/(loss) and interest	(3,225.93)
	Total Fund Balance	**304,420.05**

Financial Statement-June 2021-(Minus the CAD 553k allocation by the ICAO SG)

1313	VOL	SAUDI ARABIA VOLUNTARY CONTRIBUTION AFI SECFAL Pl	-201,600.00	USD	-150,000.00
1313	VOL	MALAYSIA AFI-SECFAL VOLUNTARY CONTRIBUTION 2017-	-68,100.00	USD	-50,000.00
1313	VOL	UGANDA AFI-SECFAL VOLUNTARY CONTRIBUTION 2017- 1	-67,400.00	USD	-50,000.00
1313	VOL	Transfer from project BEL14801 to AFISECFAL	-496,831.98	USD	-390,284.35
1313	VOL	correction TN#13094706 Transfer from project BEL14801	-496,831.98	USD	-390,284.35
1313	VOL	correction TN#13094706 Transfer from project BEL14801	496,831.98	USD	390,284.35
1313	VOL	Account adj: Transfer from project BEL14801 to AFISECFA	496,831.98	USD	390,284.35
1313	VOL	MALAYSIA AFI-SECFAL VOLUNTARY CONTRIBUTION 2019	-66,500.00	USD	-50,000.00
			-403,600.00		-300,000.00

Voluntary financial contributions directly received from States

	AFI SECFAL Plan – Budget Estimates				
	New AFI SECFAL Plan projects and activities -2021				
s/n	Project	Estimated budget of projects (202C3:C81)– USD	States to be covered and duration	Activities	Comments
1.	CART recommendations implementation support - Webinars and workshops	64,512.00	All AFI States -[Jan-Dec 2021]	Webinars and individual State specific support workshops and meetings.	All activities will be virtual until lifting of restrictions and cost is mainly on interpretation services.
2.	Facilitation Course training (260 experts)	182,000.00	25 States - [April- Dec 2021]	Course to be conducted in English and coordination GAT/ATB (20 persons per class at $700* per candidate) Total 260 Experts.	Course content has been approved and was launched in 2020. Cost saving can be achived since Regional office experts are facilitators to the course.
3.	E-learning platform (restricted access and inspector recurrent training)	120,000.00	38 States -[To be launched by September 2021]	Development of course software and course content in coordination with GAT, procurement of server and agreement with host.	Course content is aimed at State aviation security personnel and restricted access training.
4.	ICAO TRIP strategy awareness and implementation workshop	64,512.00	All AFI States -[Ongoing through 2021 and beyond]	5 awareness seminars with State stakeholders conducted by Regional offices and ATB	All activities will be virtual until lifting of restrictions and cost is relayed to interpretation services.
	Total	431,024.00			
			Interpretation cost per interpreter - USD 1008*4 Interpreters*10 meetings		
			Interpretation cost per interpreter - USD 1008*4 Interpreters*6 workshops		
			Cost of training 260 Experts @ USD 700 each.** Negotiated fee with GAT.		
			Soft ware procurement		
			E-learning platform for Aviation security recurrent and Airport access/awareness training awareness (*2 courses) cost of server, configuration and deployment		
			Interpretation cost per interpreter - USD 1008*4 Interpreters*10 meetings		
			Interpretation cost per interpreter - USD 1008*4 Interpreters*6 workshops		
			Note: The ongoing AFI SECFAL Plan approved by the Steering committee continue to be covered under the available balance of the Plan Fund.		

Budget estimates of the new 2021 AFI SECFAL Plan priority activities.

ATTACHMENT D

2021 AFI SECFAL PLAN WORK PROGRAMME

Priority/Objective	Activities	Deliverables
Plan Coordination Mechanism and Implementation modalities.	• Convene the 10th Steering Committee (SC) meeting • Enhance collaboration and coordination with EU, ACI, AUC, AFCAC, AFRAA, IATA, RECs RSOOs, Partners and donors through sharing of information and programmes and participation in activities of mutual interest.	• *10th SC Meeting Convened* • *Harmonised Work Programmes and activities with Partners and donors to assist and support States implemented and establish AVSEC/FAL implementation database initiated.*
Regional Aviation Security and Facilitation Group (RASFALG-AFI) operational	• Liaise and coordinate with AFCAC on RASFALG-AFI activities and execution of the group and Plan Work Programme and monitor the effectiveness of the group and AFI- CES and report to every SC meetings. • Identify State needs for projects to be implemented by AFI/ CES; • Solicit States, International organizations and Partners to enhance in kind and financial support to AFI-CES.	• *Progress of RASFALG-AFI work programme and Meetings and AFI CES reported every SC Meeting.* • *State specific projects to be implemented by RASFALG-AFI/ CES identified/ implemented.*
INCREASE REGIONAL COOPERATION AND SUPPORT Review the implemenation of the Resource Mobilisation strategy	• Enhance awareness and urge member States, International Organizations and Partners to support the plan activities and projects through contribution (in kind and financial) towards the establishment of a sustainable Plan funding mechanism; • Provide financial status report to the SC in collaboration with Chief FIN.	• *Secure funding and voluntary contributions from Member states, Partners and International Organizations* • *Financial status report of the Plan provided to SC*

Priority/Objective	Activities	Deliverables
Enable the key security objectives achieved more quickly and efficiently.	• Explore /identify and utilize new and potential resource opportunities from industry and international financial institutions, such as African Development Bank AfDB. UN, World Bank, States, etc. • Support and encourage stakeholders peer reviews, knowledge transfer, and sharing of aviation security processes, and technologies • Publicize UNSC Resolution 2309 (2016) and GASeP's objectives/Roadmap to local entities involved in AVSEC. • Encourage States that have resources to provide assistance and commit to long-term engagement, ascertain own level of expertise, ensure transfer of knowledge and target assistance based on risk profile. • Deploy risk-based assessment tools to target assistance taking into account needs assessment methods to identify root causes for States' deficiencies; target assistance taking into account needs assessment methods to identify root causes for States' deficiencies; risk-based prioritizing of needs within States, between States and regions; risk-based targeting for assistance, corresponding to the ICAO Risk Context Statement(RCS) and national risk assessments; • Explore opportunities for increased short-term subject-matter expert (SME) deployments to ICAO in order to close the SME gaps	• *Needs, priorities and funding sources identified.* • *Increase speed of implementation of assistance and peer review process and Follow-up actions.* • *strategy for potential short-term deployment of SMEs developed* • *Restart and recovery of aviation sector and building resilient aviation security and facilitation systems achieved* • *Reduced per diems and rebate tickets by African Airlines for experts travel missions initiated* • *Regular update of information in the CRRIC.*

Priority/Objective	Activities	Deliverables
	• In liaison with AFCAC, to explore introduction of voluntary scheme to be supported by AFI States through reduced PDMs and rebate tickets by African Airlines for experts missions**.** • Support implementation of ICAO CART report and Take-Off guidance document and the AUC HLTF recommendations, aimed at recovery of aviation sector and building resilient aviation security and facilitation systems. • Support the deployment of AVSEC and FAL i-packs to States	
Revised Windhoek Declaration and targets (in line with the aligned AFI SECFAL Plan Work Programme with GASeP), endorsed by the AU	• Collaborate with AFCAC to revise the Windhoek targets in line with the aligned AFI SECFAL Plan Work Programme targets. • In coordination with the African Civil Aviation Commission (AFCAC), present and secure the endorsement by the relevant AU organs of the Plan targets aligned with GASeP.	• *AFCAC supported to secure AU endorsement of the Revised Windhoek Declaration and target done in June 2021.*
Resolution of existing, new and potential SSeCs	• Perform States missions by support teams as identified from RASFALG AFI or as requested by the State • Assistance Technical Missions and validation missions	• To the extent possible, existing SSeCs in the region are resolved and any potential new SSeCs are mitigated as soon as practicable after notification by ICAO.
DEVELOP SECURITY CULTURE **Capacity building , Sustainable aviation security and facilitation training capability and adequate**	• Coordinate the conduct/ organization of trainings courses and seminars as appropriate in collaboration with GAT, ISD-SEC, FAL, partners, sponsors and donors such as AFCAC, ACI-APEX, AU-CASE, etc. • Monitor the development of relevant AVSEC/FAL training programmes and certification systems by GAT	• *At least two trainings and seminars(one English and another French) related to promotion of security culture and human resources (HR) policies delivered by end of 2021;*

Priority/Objective	Activities	Deliverables
human resources policies within the States are established **Existence of a well-trained, motivated and professional work force**	• Provide assistance and support to States to develop human resources (HR) policies for sustainable aviation security and facilitation • promote year of security culture(YOSC) by delivering relevant trainings and seminars, webinars, and supporting State specific activities • Promote awareness and security culture targeting high government stakeholders/officials. • Develop/review national training programmes, taking account of risk in collaboration with ASTCs. • Support States in development of baseline requirements/minimum qualification for personnel involved in security	• *Increased number of states with appropriately developed strategies and plans for the attraction, development and retention of human resources by the end of 2021;* • *Increased number of States with NCASTPs embracing security culture* • *Number of states with Sustainable aviation security and facilitation training capacities increased.* • *Increased awareness and Security incidents reduced and promptly reported as when they occur* • *Increased capacity and awareness in Travel Documents (border control procedures) verification* • *New AVSEC/FAL training programmes and certification systems adopted and implemented at national level in collaboration with ASTCs.* • *Increased local security culture awareness and campaigns by high government stakeholders'/ officials.*
Promote awareness on role of aviation security to national	• Promote aviation security and facilitation as part of agenda of high level missions especially those performed by President of the Council, Secretary General and Regional Directors	• *Increased/strengthened high-level political commitment and will to promote aviation security and facilitation.*

Priority/Objective	Activities	Deliverables
development and strengthened political will.		
Report to ICAO Assembly	• AFI SECFAL Plan Chairman to present oral report to ICAO Council. • ICAO SECGEN to brief Council on AFI SECFAL Plan implementation.	• *Reaction, observations and response to AFI SECFAL Plan progress report by ICAO Council received as appropriate.* • *Support of the Council enhanced.*
STRENGTHENING BORDER CONTROLS **Implementation of ICAO TRIP Strategy and Encourage implementation of UNSC Resolution 2309 (2016)** **All States issue only machine readable "Convention Travel Documents" to refugees and stateless persons .**	• Support AFI States to implement all the Annex 9 Standards related to the ICAO TRIP Strategy, including improved use of INTERPOL data bases, mainly reporting stolen, lost and revoked travel documents in the INTERPOL SLTD database, issuing MRCTDs and implementing an API system. • Support African States in the implementation ICAO (TRIP) strategy, including the promotion of membership of the ICAO (PKD) and implementation of (API) through workshops/seminars/conferences and State missions. • Encourage states to develop /establish rules and procedures for STLDs and e links with INTERPOL. • Liaise with ATB to continue monitoring the African ePassport project to ensure that it meets the Doc 9303 specifications in order to enable its full interoperability at the borders. • Support AFI States that have not done so to immediately establish a National Air Transport Facilitation Programme (or	• *Increased membership to PKD by 20% and implementation of API by 20% by the end of 2021;* • *At least 6 workshop/seminar/conference on ICAO TRIP, PKD and API in collaboration with AFCAC Convened* • *AFI states that have procedures for SLTDs and e links with INTERPOL increased.* • *Convene seminar to promote the target of all AFI States issuance of only machine readable "Convention Travel Documents" to refugees and stateless persons.* • *AU supported on its efforts towards issuance of the African e-Passport to ensure compliance with the specifications of Doc 9303.* • *strengthened border and travel document security, passenger screening procedures and*

Priority/Objective	Activities	Deliverables
	equivalent) and associated Committees in accordance with relevant provisions of Annex 9.	*biometric information systems* • *Increase the number of States implementing a national strategy or framework related to evidence of identity* • *90% of States to have NATFPs and NATFCs established with specific roles during Public health crisis;* • *Increased AFI States' respective Annex 9 Compliance Checklist (CC) completed in the EFOD system;*
ENHANCE RISK AWARENESS AND ASSESSMENT **Risk management procedures are established in all States**	• Identify gaps and vulnerabilities, which can then be urgently addressed in the most practical way possible, and with optimal use of resource • Conduct Risk Management Workshops to identified/priority States by ASTCs for States in ESAF and WACAF Region	• *Convene at least four Workshops on Risk Management (2 for ESAF and 2 for WACAF) by 4th quarter of 2021.* • *Increase in number of AFI States that implement /apply threat and Risk Management/ Assessment methodology;* • *60% of AFI States to have a risk management methodology established by Dec 2021;* • *Risk based security measures relating to cyber security, MANPADS, RPAS and Landside security incorporated in National AVSEC policies in States increased/strengthened.*

Priority/Objective	Activities	Deliverables
Sound crisis management procedures are established in all States	• Provide support to States in needs to develop and implement Crisis management procedures • Organise/Conduct Crisis Management Workshops at ASTCs in ESAF and WACAF Region	• *at least two Workshops on Crisis management (one in ESAF and another in WACAF) delivered by 4th Quarter of 2021;* • *Increase in number of AFI States that implemented crisis management procedures (crisis management plans, contingency plans and security and emergency instructions) by Dec 2021.*
IMPROVE OVERSIGHT AND QUALITY CONTROL **States in the African region reach above 80% EI of the CEs by end of 2021;**	• Based on analysis of USAP results, identify key areas of deficiencies in states and develop prioritised clusters for targeted technical support activities for 2021 • Conduct timely technical support missions to States eligible for USAP-CMA as prioritised • Recommend necessary interventions to include implementation of onsite State Specific Projects as necessary • Provide guidance and Seminars on USAP-CMA Methodology, signing of MoU, specific state obligations in the audit process and development and implementation of USAP-CMA Corrective Action Plans(CAPs) • Promote collaboration and sharing of information amongst African States • Helping States to develop their national audit programme as needed	• *At least 8 prioritised States and those eligible for USAP-CMA supported.* • *At least 8 States implementing USAP-CMA CAPs supported.* • *At least one USAP-CMA seminars(French) conducted by December 2021* • *80% EI of the CEs achieved by at least 60% of audited states by December 2021* • *Sharing of QC information between government agencies and industry on peer review audit activities amongst African States is enhanced* • *At least 10 priority State Specific projects (6 WACAF, 4 ESAF) developed in 2021 and implementation initiated.*

Priority/Objective	Activities	Deliverables
	• Monitor progress of the State specific project beneficiary States to ensure sustainability of the outcomes; a	• *At least 4 (2 WACAF, 2 ESAF) concluded State Specific Projects followed up and monitored for sustainability*
All African Region States have ratified relevant international instruments	• Promote ratification of all relevant international instruments and assist States in their implementation • Assist and monitor ratification of relevant International Instruments in liaison with ICAO Legal Affairs and External Relations Bureau.	• *One legal Seminar convened in (WACAF/ESAF to promote ratification of all relevant international instruments towards increasing international instrument ratification in AFI States.*
Improve Technological Resources and encourage innovation	• Support application of technological solutions and innovative techniques that provide the tools for enhancing security effectiveness while ensuring operational efficiency. • Encourage innovative techniques and technologies by States and industry. Support increased use of appropriate technology for screening and facilitation. • Support States to implement tools to transmit in a timely manner passengers' information prior to takeoff to effectively support screening at the destination airport, while preserving their individual rights, as well as to develop and improve compatible systems for the collection and use of API and PNR information.	• *Increased information sharing between States on equipment requirements and specifications;* • *Increased efficiencies and operational performance improvements in line with GASeP roadmap.* • *Adapt compatible systems for the collection and use of API and PNR information improved*