



## **ASSEMBLY — 40TH SESSION**

### **REPORT OF THE EXECUTIVE COMMITTEE ON AGENDA ITEM 16**

(Presented by the Chairman of the Executive Committee)

The attached report on Agenda Item 16 has been approved by the Executive Committee. Resolution 16/1 is recommended for adoption by the Plenary.

*Note.— After removal of this covering sheet, this paper should be inserted in the appropriate place in the report folder.*

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**Agenda Item 16: Environmental Protection – International Aviation and Climate Change — Policy and Standardization**

16.1 At its fifth, sixth, tenth, eleventh and twelfth meetings, the Executive Committee considered the subject of environmental protection on the basis of progress reports by the Council on the Organization's work on international aviation and climate change (WPs 55 and 58). Based on these progress reports, the Executive Committee considered the Council's proposals to update Assembly Resolution A39-2, *Consolidated Statement of continuing ICAO policies and practices related to environmental protection – Climate change* (WP/58). In addition, there were 21 papers submitted by States and Observers: WPs 80 Revision No. 1, 81, 102, 176, 194, 266, 269, 273, 274, 277, 323, 354, 411, 413, 510, 525, 526, 531, 560 Revision No. 1, 561 and 605.

16.2 The Council submitted a report (WP/55) on progress made by ICAO on the basket of CO<sub>2</sub> mitigation measures related to aircraft technology and Standards, operational improvements, and sustainable aviation fuels, as well as updates on the State Action Plans and associated ICAO assistance projects in partnerships with other international organizations.

16.3 For example, WP/55 highlighted the adoption of the new Aeroplane CO<sub>2</sub> emissions Standard as the new Annex 16 — *Environmental Protection, Volume III — Aeroplane CO<sub>2</sub> Emissions*. It also summarized the key findings from the analysis on potential CO<sub>2</sub> reduction benefits from the implementation of the Aviation System Block Upgrades (ASBUs) Strategy – Block 0 and Block 1 modules, and the results of the first global horizontal flight efficiency analysis. It also noted the convening of the ICAO Seminars on Green Airports to share best practices on ground handling, land/air-side mobility, renewable energy, community engagement and sustainability reporting. The results of the Second Conference on Aviation and Alternative Fuels (CAAF/2) was highlighted, in particular the 2050 ICAO Vision on Sustainable Aviation Fuels toward a significant proportion of aviation fuels to be substituted with sustainable aviation fuels by 2050, as well as the convening of the first ICAO stocktaking seminar in 2019.

16.4 WP/55 also provided an update on the ICAO State Action Plan initiative. ICAO has continued to work with Member States to support the development and update of their Action Plans, and to date 116 Member States had voluntarily submitted their action plans to ICAO. In this regard, the paper highlighted various capacity-building activities, including the convening of seven ICAO Seminars, and the update of *Guidance on the Development of States' Action Plans on CO<sub>2</sub> Emissions Reduction Activities* (Doc 9988). The paper also reported on successful completion of two ICAO assistance projects in partnership with the European Union (EU) and with the United Nations Development Programme and the Global Environment Facility (UNDP-GEF).

16.5 The Committee was informed of ICAO's continuing cooperation with other international organizations involved in policy making on climate change, including with the United Nations Framework Convention on Climate Change (UNFCCC). In this regard, the Committee also noted that the UN Climate Action Summit was convened on 23 September 2019, with the aim of raising the global level of ambition and galvanize action to address climate change, and that ICAO attended the summit.

16.6 The Committee recognized the progress achieved in each of the elements of the basket of measures to reduce CO<sub>2</sub> emissions from international aviation since the 39th Assembly, and agreed that the Organization should be supported in coordinating, facilitating and monitoring actions to reduce international aviation CO<sub>2</sub> emissions and reporting on progress by the next Assembly.

16.7 The Committee also agreed that the Assembly can encourage ICAO to continue to cooperate with other United Nations bodies and international organizations, providing information on current and future activities, including on CO<sub>2</sub> emissions, and ensuring ICAO's leadership in all matters related to international civil aviation.

16.8 In WP/58, the Council submitted a proposal for the revision of Assembly Resolution A39-2, *Consolidated statement of continuing ICAO policies and practices related to environmental protection – Climate change*, which has been revised in light of developments described in WP/55 on international aviation and climate change since the 39th Session of the Assembly.

16.9 In WP/80 Revision No. 1, Costa Rica on behalf of the Member States of the Central American Corporation for Air Navigation Services (COCESNA) described the work carried out on updating the Central American Action Plan for emissions reduction from international aviation. It provided an estimate of the emissions generated by air operators with an Air Operator Certificate (AOC) issued by one of the States of the region, and identified the application potential of a set of measures that will be gradually implemented in the region.

16.10 In WP/102, presented by France on behalf of the European Union (EU) and its Member States and the other Member States of the European Civil Aviation Conference (ECAC), expressed their support for the ICAO basket of measures, recognizing that the use of sustainable aviation fuels, complemented by the emergence of fully electric and hybrid electric aircraft, has the potential to significantly reduce aviation's climate footprint. The paper also welcomed the progress made and encouraged the Assembly to take steps towards updating the 2050 ICAO Vision to include an aspirational quantified goal by 2050. The paper described the key challenges as regards the deployment of sustainable aviation fuels and urged for ICAO and its Member States to consider taking stronger policy actions to incentivize investments and contribute to the development of a cost-competitive sustainable aviation fuels market. The paper underlined the importance of addressing the impact of international aviation on climate change with an ambitious long-term perspective and called for ICAO to agree on a long-term goal for international aviation, consistent with the 1.5°C temperature goal of the Paris Agreement.

16.11 In WP/176, the Member States of the African Civil Aviation Commission (AFCAC) highlighted that twelve African Member States benefitted from the joint assistance project of ICAO and the EU, which demonstrated the importance of assistance programmes to successfully achieve the goal on environmental protection. Through the ICAO-EU assistance project, these twelve African Member States submitted robust State Action Plans for CO<sub>2</sub> mitigation to ICAO. The paper noted that other African Member States are yet to benefit from such assistance programmes and for the implementation of selected mitigation measures, and encouraged Member States and the ICAO Secretariat to finance similar programmes and establish a permanent assistance and capacity- building programme in Environmental Protection for Member States.

16.12 In WP/411, Guatemala, sponsored by the Member States of the Latin American Civil Aviation Commission (LACAC) highlighted the progress made regarding the preparation and update of State Action Plans as well as the development of synergy between civil aviation authorities and key stakeholders as they work together to reduce emissions. They also noted that States in the region will implement various mitigation measures to minimize fuel consumption and reduce emissions, as well as their support for the outcome of CAAF/2 and the need to connect various links of the production chain for sustainable aviation fuels, which requires appropriate coordinated actions between public and private sectors.

16.13 In WP/266, Kenya outlined its experience and progress in the collection, monitoring and reporting of data related to CO<sub>2</sub> emissions from international aviation. This was part of a set of initiatives undertaken by Kenya to update and strengthen its voluntary action plan for CO<sub>2</sub> mitigation from the aviation sector. Kenya highlighted its achievements to date, and the key role that should continue to be played by ICAO's capacity-building programme.

16.14 In WP/269, Mexico expressed its support to the progress over the last triennium for each element of the ICAO basket of measures. It highlighted its support for annual ICAO stocktaking seminars to support the quantification of the 2050 ICAO Vision for Sustainable Aviation Fuels, as well as ICAO's work to promote and foster innovative CO<sub>2</sub> emissions reduction technologies, such as electric and hybrid aircraft, which are a crucial component to explore long-term emissions reduction opportunities for the international aviation sector. Mexico encouraged other Member States to communicate their efforts to reduce aviation CO<sub>2</sub> emissions by the development and refinement of State Action Plans, with assistance and capacity building to be provided by ICAO.

16.15 In WP/323, Dominican Republic highlighted the role of ICAO in assisting States under a successful cooperation model that can be adapted for other Member States, primarily developing States. It noted that the capacity-building project of ICAO and the EU, together with the UNDP and GEF, among others, has demonstrated the importance of assistance programmes to the successful achievement of the global environmental protection objectives and goals. Dominican Republic urged Member States and the ICAO Secretariat to establish a permanent assistance and capacity-building programme in environmental protection for Member States.

16.16 In WP/413, Dominican Republic welcomed ICAO's initiative on State Action Plans, and shared its experience with submission of three versions of its Action Plan to ICAO, thus displaying leadership in environmental management and in the adoption of various mitigation measures, such as at airports.

16.17 In WP/194, the Airports Council International (ACI), the Civil Air Navigation Services Organisation (CANSO), the International Air Transport Association (IATA), the International Business Aviation Council (IBAC) and the International Coordinating Council of Aerospace Industries Associations (ICCAIA), coordinated by the Air Transport Action Group (ATAG), expressed their determination to reduce emissions through technology, sustainable aviation fuels, operational measures and better infrastructure and highlighted the efforts made by the industry in exceeding its short-term climate action goal. Views were expressed that the Council should develop, with the full support and collaboration of industry, a long-term climate goal for international civil aviation for adoption at the 41st Session of the ICAO Assembly.

16.18 In WP/273, IBAC highlighted that business aviation has launched initiatives to promote the use of sustainable aviation fuels, and that a series of information and demonstration events, together with the publication of an Operator's User Guide, have sought to improve understanding and awareness of sustainable aviation fuels and to encourage the increased production of sustainable aviation fuels and their use by business aircraft operators. IBAC also requested that ICAO facilitate and Member States implement appropriate policies to encourage the production of SAF in greater quantities.

16.19 The Committee acknowledged 11 working papers submitted for information purposes. They were not presented to the meeting but are summarized below:

16.20 In WP/81, the Member States of the Central American Corporation for Air Navigation Services (COCESNA) described the work to improve the environment, by establishing guidelines called “The Green Paper.”

16.21 In WP/605, China and Russian Federation submitted the joint statement on the establishment of an equitable and effective global mechanism on international aviation and climate change, as well as to develop an alternative global economic incentive system aimed at real reduction of CO<sub>2</sub> emission in the international civil aviation sector through the global technical modernization of the industry in order to improve flight safety and implementation of the UN Sustainable Development Goals. China and the Russian Federation also invited the Assembly to ask the President of the ICAO Council to inform the Secretary General of this position of the States that have acceded to the statement.

16.22 In WP/274, Dominican Republic showcased its strategic planning to advance sustainable development in the State’s aviation sector.

16.23 In WP/510, Indonesia outlined the importance of the progress made in the area of environmental protection.

16.24 In WP/525, Japan presented its adaptation plans and efforts to establish environmental measures to prevent climate change, as well as shared significant points in promoting relevant policies.

16.25 In WP/526, Germany provided a current overview of the concept of producing sustainable jet fuel using additional renewable electricity, so-called Power-to-Liquids (PtL).

16.26 In WP/531, the United States provided information on its historical improvements of aviation’s efficiency, and on ongoing research and development of improvements in technology, operations, and sustainable aviation fuels by the State’s government and aviation sector.

16.27 In WP/277, the International Coalition for Sustainable Aviation (ICSA) requested the urgent need for all States and sectors to contribute to the achievements of objectives set forth by the Paris Agreement, reinforced by the recent IPCC Special Report on the impacts of global warming of 1.5° C, while noting that International Maritime Organization (IMO) recently agreed on a long-term climate goal for the international maritime sector. ICSA also elaborated a proposed timetable for ICAO to agree on a long-term goal for the international aviation sector, no later than the 41st Assembly in 2022.

16.28 In WP/354, ACI provided background information on airports and ACI’s views on environmental protection, including CO<sub>2</sub> emissions and climate change adaptation, as well as emphasised ACI’s support for the work of ICAO on environmental protection through CAEP and by other means.

16.29 In WP/560 Revision No. 1, ICSA provided information it developed on a bottom-up, global aviation CO<sub>2</sub> inventory for calendar year 2018.

16.30 In WP/561, ICSA set out its vision for a “zero climate impact” international aviation pathway towards 2050.

16.31 The Committee acknowledged the results achieved under the ICAO State Action Plans initiative, and agreed that the ICAO Secretariat should continue to enhance ICAO’s capacity building and assistance activities for preparation and implementation of States’ Action Plans to reduce aviation CO<sub>2</sub> emissions, including the organization of seminars and training, provision of guidance and tools, facilitating access to financial resources and experts, and the establishment of additional feasibility studies, particularly in developing States and Small Island Developing States.

16.32 The Committee also agreed that more partnerships among States should be encouraged to assist those that have not yet prepared or improved their State Action Plans, including through the ICAO's State Action Plan Buddy Programme.

16.33 The Committee also noted proposals from some Member States that additional resources should be made available for the development of State Action Plans to reduce aviation CO<sub>2</sub> emissions, and for feasibility studies and implementation of CO<sub>2</sub> emissions mitigations measures, for example, through the establishment of additional partnerships between ICAO, Member States and international organizations.

16.34 Regarding sustainable aviation fuels, the Committee noted that several challenges remain as regards the commercial-scale deployment of sustainable aviation fuels. In this regard, it agreed that Member States should support work on the 2050 ICAO Vision for Sustainable Aviation Fuels (SAF), including the organization of annual ICAO stocktaking seminars, while recognizing divergent views regarding whether it is necessary to set a quantified proportion of SAF to be used by 2050 and regarding approaches for how to develop such a quantified proportion goal (either top-down or bottom-up approach). The Committee also highlighted the need for ICAO to provide forum to exchange information and facilitate better understanding of lower carbon aviation fuel.

16.35 Regarding a long-term global aspirational goal for international aviation, the Committee noted that WP/55 from the ICAO Council included a request that further work on the feasibility of a long-term global aspirational goal, as requested by Resolution A39-2, paragraph 9, be presented at the 41st Session of the Assembly. It also noted a clear majority of States proposed that the work on a long-term global aspirational goal should be prioritized toward the 41st Session of the ICAO Assembly but others opposed such proposals, while recognizing some divergence of views regarding whether it is necessary to develop another long-term aspirational goal and regarding the approaches for how to develop such a long-term aspirational goal (either by top-down or bottom-up approach).

16.36 The Committee recalled the convening of the UN Climate Summit by the UN Secretary General to raise more ambition for climate actions by all countries and all sectors, and that ICAO participated to highlight the importance of coalitions and partnerships to accelerate the implementation of green technologies and innovations that will further reduce aviation emissions in a longer term. The Committee was reminded that the ICAO Innovation Fair that took place in Montréal from 22 to 23 September 2019 showcased environment-driven innovative technologies and renewable energy solutions, which are important components to explore long term emissions reduction opportunities for the international aviation sector.

16.37 Further views were expressed on the need for providing a clear message in paragraph 9 of Resolution A39-2 to emphasize the urgency for adoption of a long-term global aspirational goal, in light of the call for more ambition and urgent action arising from the UN Climate Summit and the recent Youth Climate Strikes, including the one held in Montréal on 27 September 2019. However, other States pointed to the need to take the opportunity to implement ambitious goals that had already been set as a first step. Some States were of the view that, if changes to Resolution A39-2 were introduced, amendments to other paragraphs, not only to paragraph 9, would also need to be considered. In this regard, the Delegation of the Russian Federation asked to set up an ad-hoc working group to reach an agreement on some points of the draft climate change resolution, which was supported by some States, but the proposal was not accepted.

16.38 A clear majority of States agreed that additional clarity should be given to the Council to prioritize the work on the feasibility of a long-term global aspirational goal for international aviation CO<sub>2</sub>

emissions reduction, and present options, followed by a roadmap for implementation, for consideration by the 41st Session of the ICAO Assembly. They expressed that the work should be done with the technical support of CAEP and in consultation with States, the industry and other relevant stakeholders, building upon ongoing and planned initiatives and actions, including innovative technologies, and partnerships amongst States and industry, and whilst providing the necessary support, including capacity building and technical assistance to States under the *No Country Left Behind* initiative. Other States rejected to prioritize this work on the feasibility of a long-term global aspirational goal, and requested the Council to take stock of the implementation of national plans of States in terms of their respective long-term visions, and to get better understanding of the resources available for assistance to developing countries in terms of technology, capacity building and finance, as well as ensure the fairness in light of special circumstances and respective capabilities of States.

16.39 In considering the updated Resolution A39-2, a clear majority of States expressed their support to the draft Resolution text proposed in the Appendix to WP/58 without any further change, while other States insisted that the draft Resolution should be modified and submitted their specific suggestions for amendments. Following oral statements made by some Member States, written input to the meeting was submitted by three Member States, posted on the ICAO website ([https://www.icao.int/Meetings/a40/Documents/consolidated\\_statement\\_continuing\\_ICAO\\_policies\\_practices\\_wp\\_058\\_en.pdf](https://www.icao.int/Meetings/a40/Documents/consolidated_statement_continuing_ICAO_policies_practices_wp_058_en.pdf)) and presented to the Committee.

16.40 China and the Russian Federation proposed to move the Annex *The guiding principles for the design and implementation of market-based measures (MBMs) for international aviation* from the draft resolution under consideration to the draft resolution presented in the WP/59. However, the proposal was rejected.

16.41 Despite the objections of a few States, the Committee agreed, by majority, to recommend that the Assembly adopt the following Resolution:

**Resolution 16/1: Consolidated statement of continuing ICAO policies and practices related to environmental protection - Climate change**

*Whereas* ICAO and its member States recognize the critical importance of providing continuous leadership to international civil aviation in limiting or reducing its emissions that contribute to global climate change;

*Reemphasizing* the vital role which international aviation plays in global economic and social development and the need to ensure that international aviation continues to develop in a sustainable manner;

*Acknowledging* that the work of the Organization on the environment contributes to 14 of the 17 United Nations Sustainable Development Goals (SDGs), including SDG 13 “*Take urgent action to combat climate change and its impacts*”;

*Whereas* a comprehensive assessment of aviation’s impact on the atmosphere is contained in the special report on *Aviation and the Global Atmosphere*, published in 1999, which was prepared at ICAO’s request by the Intergovernmental Panel on Climate Change (IPCC);

*Whereas* the IPCC special report recognized that the effects of some types of aircraft emissions are well understood, it revealed that the effects of others are not, and identified a number of key areas of scientific uncertainty that limit the ability to project aviation's full impacts on climate and ozone; the Organization will update the information contained in the IPCC special report;

*Acknowledging* that international aviation emissions, currently accounting for less than 2 per cent of total global CO<sub>2</sub> emissions, are projected to increase as a result of the continued growth of air transport;

*Whereas* the ultimate objective of the United Nations Framework Convention on Climate Change (UNFCCC) is to achieve stabilization of greenhouse gas (GHG) concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system;

*Whereas* the Kyoto Protocol, which was adopted by the Conference of the Parties to the UNFCCC in December 1997 and entered into force on 16 February 2005, calls for developed countries (Annex I Parties) to pursue limitation or reduction of greenhouse gases from "aviation bunker fuels" (international aviation) working through ICAO (Article 2.2);

*Whereas* the Paris Agreement, which was adopted by the Conference of the Parties to the UNFCCC in December 2015, enhances the implementation of the UNFCCC including its objective, and aims to strengthen the global response to the threat of climate change, in the context of sustainable development and efforts to eradicate poverty, including by holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels, recognizing that this would significantly reduce the risks and impacts of climate change;

*Recognizing* the global aspirational goals for the international aviation sector of improving fuel efficiency by 2 per cent per annum and keeping the net carbon emissions from 2020 at the same level, as adopted by the ICAO Assembly at its 37th Session in 2010 and reaffirmed at its 38th and 39th Sessions in 2013 and 2016, as well as the work being undertaken to explore a long-term global aspirational goal for international aviation in light of the 2 °C and 1.5 °C temperature goals of the Paris Agreement;

*Recognizing* that the aspirational goal of 2 per cent annual fuel efficiency improvement is unlikely to deliver the level of reduction necessary to stabilize and then reduce aviation's absolute emissions contribution to climate change, and that goals of more ambition are needed to deliver a sustainable path for aviation;

*Affirming* that addressing GHG emissions from international aviation requires the active engagement and cooperation of States and the industry, and *noting* the collective commitments announced by Airports Council International (ACI), Civil Air Navigation Services Organisation (CANSO), International Air Transport Association (IATA), International Business Aviation Council (IBAC) and International Coordinating Council of Aerospace Industries Associations (ICCAIA) on behalf of the international air transport industry, to continuously improve CO<sub>2</sub> efficiency by an average of 1.5 per cent per annum from 2009 until 2020, to achieve carbon neutral growth from 2020 and to reduce its carbon emissions by 50 per cent by 2050 compared to 2005 levels;

*Recalling* the UNFCCC and the Paris Agreement and *acknowledging* its principle of common but differentiated responsibilities and respective capabilities, in light of different national circumstances;

*Also acknowledging* the principles of non-discrimination and equal and fair opportunities to develop international aviation set forth in the Chicago Convention;

*Recognizing* that this Resolution does not set a precedent for or prejudice the outcome of negotiations under the UNFCCC or the Paris Agreement, nor represent the position of the Parties to those agreements;

*Noting* that, to promote sustainable growth of international aviation and to achieve its global aspirational goals, a comprehensive approach, consisting of a basket of measures including technology and standards, sustainable aviation fuels, operational improvements and market-based measures to reduce emissions is necessary;

*Acknowledging* the significant technological progress made in the aviation sector, with aircraft produced today being about 80 per cent more fuel efficient per passenger kilometre than in the 1960's;

*Welcoming* the adoption of the CO<sub>2</sub> emissions certification Standard for aeroplanes by the Council in March 2017;

*Recognizing* the work being undertaken to consider the environmental aspects of aircraft end-of-life such as through aircraft recycling;

*Recognizing* that air traffic management (ATM) measures under the ICAO's Global Air Navigation Plan contribute to enhanced operational efficiency and the reduction of aircraft CO<sub>2</sub> emissions;

*Welcoming* the assessment of the environmental benefits of the Aviation System Block Upgrades (ASBUs) completed for Block 0 and Block 1, and the results of the first global horizontal flight efficiency analysis;

*Welcoming* the convening of the ICAO Seminars on Green Airports in November 2017 and May 2019;

*Noting* that the first Conference on Aviation and Alternative Fuels in November 2009 (CAAF/1) endorsed the use of sustainable aviation fuels, particularly the use of drop-in fuels in the short to mid-term, as an important means of reducing aviation emissions;

*Also noting* that the CAAF/1 established an ICAO Global Framework for Aviation Alternative Fuels (GFAAF) through which progress has been registered, with six pathways for the certification of sustainable aviation fuels to date, and more airports regularly distributing such fuels;

*Further noting* that the second Conference on Aviation and Alternative Fuels in October 2017 (CAAF/2) adopted recommendations and approved a declaration, including the 2050 ICAO Vision for Sustainable Aviation Fuels, as a living inspirational path for a significant proportion of aviation fuels to be substituted with sustainable aviation fuels by 2050;

*Recognizing* that the technological feasibility of drop-in sustainable aviation fuels is proven and that the introduction of appropriate policies and incentives to create a long-term market perspective is required;

*Acknowledging* the need for such fuels to be developed and deployed in an economically feasible, socially and environmentally acceptable manner and the progress achieved in the harmonization of the approaches to sustainability;

*Recognizing* that sustainability criteria, sustainability certification, and the assessment of life cycle emissions of such fuels are considered as part of work for the implementation of Carbon Offsetting and Reduction for International Aviation (CORSA);

*Acknowledging* the need to explore and facilitate the civil aviation sector's access to renewable energy including through its cooperation with the Sustainable Energy for All (SE4ALL) initiative, as part of the Organization's contribution to SDG 7 "Ensure access to affordable, reliable, sustainable and modern energy for all";

*Recalling* that Assembly Resolution A37-19 requested the Council, with the support of member States, to undertake work to develop a framework for market-based measures (MBMs) in international aviation, including further elaboration of the guiding principles listed in the Annex to A37-19, and that the guiding principles were elaborated as listed in the Annex to Assembly Resolutions A38-18 and A39-2, which are reproduced in the Annex to this Resolution;

*Noting* that, consistent with Assembly Resolution A39-2, a substantial strategy for capacity building and other technical and financial assistance was undertaken by the Organization, in line with the No Country Left Behind (NCLB) initiative, to assist the preparation and submission of States' action plans, including the holding of regional seminars, the development and update of ICAO Doc 9988, *Guidance on the development of States' Action Plans on CO<sub>2</sub> Emissions Reduction Activities*, an interactive web-interface, the ICAO Fuel Savings Estimation Tool (IFSET), the ICAO Environmental Benefits Tool (EBT) and a Marginal Abatement Cost (MAC) curve tool;

*Welcoming* that, as of June 2019, 114 member States that represent more than 93 per cent of global international air traffic voluntarily prepared and submitted action plans to ICAO;

*Recognizing* the different circumstances among States in their capacity to respond to the challenges associated with climate change and the need to provide necessary support, in particular to developing countries and States having particular needs;

*Affirming* that specific measures to assist developing States as well as to facilitate access to financial support, technology transfer and capacity building should be initiated as soon as possible;

*Recognizing* the assistance provided by ICAO in partnership with other organizations to facilitate Member States' action to reduce aviation emissions, as well as continuous search for potential assistance partnerships with other organizations;

*Recognizing* the importance of work being undertaken to identify the potential impacts of climate change on international aviation operations and related infrastructure; and

*Recognizing* the progress made by ICAO in its implementation of the Climate Neutral UN initiative and the significant support provided by ICAO to the initiative, in particular through the development of the ICAO Carbon Emissions Calculator, to support the assessment of emissions from passengers travelling by air and welcoming its expansion to add air cargo emissions;

*The Assembly:*

1. *Resolves* that this Resolution, together with Resolution A40-XX: *Consolidated statement of continuing ICAO policies and practices related to environmental protection – General provisions, noise and local air quality* and Resolution A40-XX: *Consolidated statement of continuing ICAO policies and practices related to environmental protection – Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)*, supersede Resolutions A39-1, A39-2 and A39-3 and constitute the consolidated statement of continuing ICAO policies and practices related to environmental protection;
2. *Requests* the Council to:
  - a) ensure that ICAO exercise continuous leadership on environmental issues relating to international civil aviation, including GHG emissions;
  - b) continue to study policy options to limit or reduce the environmental impact of aircraft engine emissions and to develop concrete proposals, encompassing technical solutions and market-based measures, and taking into account potential implications of such measures for developing as well as developed countries; and
  - c) continue to cooperate with organizations involved in policy-making in this field, notably with the Conference of the Parties to the UNFCCC;
3. *Reiterates* that:
  - a) ICAO should continue to take initiatives to promote information on scientific understanding of aviation's impact and action undertaken to address aviation emissions and continue to provide the forum to facilitate discussions on solutions to address aviation emissions; and
  - b) emphasis should be on those policy options that will reduce aircraft engine emissions without negatively impacting the growth of air transport especially in developing economies;
4. *Resolves* that States and relevant organizations will work through ICAO to achieve a global annual average fuel efficiency improvement of 2 per cent until 2020 and an aspirational global fuel efficiency improvement rate of 2 per cent per annum from 2021 to 2050, calculated on the basis of volume of fuel used per revenue tonne kilometre performed;
5. *Agrees* that the goals mentioned in paragraph 4 above would not attribute specific obligations to individual States, and the different circumstances, respective capabilities and contribution of developing and developed States to the concentration of aviation GHG emissions in the atmosphere will determine how each State may voluntarily contribute to achieving the global aspirational goals;
6. *Also resolves* that, without any attribution of specific obligations to individual States, ICAO and its Member States with relevant organizations will work together to strive to achieve a collective medium-term global aspirational goal of keeping the global net carbon emissions from international aviation from 2020 at the same level, taking into account: the special circumstances and respective capabilities of States, in particular developing countries; the maturity of aviation markets; the sustainable growth of the international aviation industry; and that emissions may increase due to the expected growth in international air traffic until lower emitting technologies and fuels and other mitigating measures are developed and deployed;

7. *Recognizes* the many actions that ICAO Member States have taken and intend to take in support of the achievement of the collective aspirational goals, including air traffic management modernization, acceleration of the use of fuel-efficient aircraft technologies, and the development and deployment of sustainable aviation fuels, and *encourages* further such efforts;
8. *Agrees* to review, at its 41st Session, the goal outlined in paragraph 6 above in light of progress towards the goal, studies regarding the feasibility of achieving the goal, and relevant information from States;
9. *Requests* the Council to continue to explore the feasibility of a long-term global aspirational goal for international aviation, through conducting detailed studies assessing the attainability and impacts of any goals proposed, including the impact on growth as well as costs in all countries, especially developing countries, for the progress of the work to be presented to the 41st Session of the ICAO Assembly. Assessment of long-term goals should include information from Member States on their experiences working towards the medium term goal;
10. *Further encourages* States to submit voluntary action plans outlining respective policies and actions, and annual reporting on international aviation CO<sub>2</sub> emissions to ICAO;
11. *Invites* those States that choose to prepare or update action plans to submit them to ICAO as soon as possible preferably by the end of June 2021 and once every three years thereafter, in order that ICAO can continue to compile the quantified information in relation to achieving the global aspirational goals, and the action plans should include information on the basket of measures considered by States, reflecting respective national capacities and circumstances, quantified information on the expected environmental benefits from the implementation of the measures chosen from the basket, and information on any specific assistance needs;
12. *Encourages* States that have already submitted action plans to share information contained in action plans and build partnerships with other Member States in order to support those States that have not prepared action plans, and to make the submitted action plans available to the public, taking into account the commercial sensitivity of information contained in States' action plans;
13. *Requests* the Council to facilitate the dissemination of economic and technical studies and best practices related to aspirational goals and to continue to provide guidance and other technical assistance for the preparation and update of States' action plans prior to the end of June 2021, in order for States to conduct necessary studies and to voluntarily submit action plans to ICAO;
14. *Requests* the Council to maintain and enhance appropriate standard, methodologies and a mechanism to measure/estimate, monitor and verify global GHG emissions from international aviation, and States support the work of ICAO on measuring progress through the reporting of annual data on traffic, fuel consumption and CO<sub>2</sub> emissions;
15. *Requests* the Council to request States to continue to support the efforts of ICAO on enhancing the reliability of measuring/estimating global GHG emissions from international aviation, and to regularly report CO<sub>2</sub> emissions from international aviation to the UNFCCC, as part of its contribution to assessing progress made in the implementation actions in the sector based on information approved by its Member States;
16. While recognizing that no effort should be spared to obtain means to support the reduction and stabilization of CO<sub>2</sub> emissions from all sources, *urges* that ICAO and its Member States express a clear

concern, through the UNFCCC process, on the use of international aviation as a potential source for the mobilization of revenue for climate finance to the other sectors, in order to ensure that international aviation would not be targeted as a source of such revenue in a disproportionate manner;

17. *Requests* the Council to:

- a) continue to play a pivotal role in providing assistance to its Member States through the dissemination of the latest information on best practices and the provision of guidance and other technical assistance to enhance capacity building and technology transfer, including through the ICAO Technical Cooperation Programme;
- b) build further partnerships with other international organizations to meet the assistance needs of ICAO's Member States, including through the ICAO Action Plan Buddy Programme, and facilitate access to existing and new financial resources, technology transfer and capacity building, to developing countries and report on results achieved as well as further recommendations, preliminarily by the end of 2021 and at the 41st Session of the Assembly; and
- c) continue to initiate specific measures to assist developing States as well as to facilitate access to financial resources, technology transfer and capacity building;

18. *Requests* States to promote scientific research aimed at continuing to address the uncertainties identified in the IPCC special report on Aviation and the Global Atmosphere and in the Assessment reports, and ensure that future assessments undertaken by IPCC and other relevant United Nations bodies include updated information, if any, on aircraft-induced effects on the atmosphere;

19. *Requests* the Council to:

- a) continue to develop and keep up-to-date the guidance for Member States on the application of policies and measures aimed at reducing or limiting the environmental impact of emissions from international aviation, and conduct further studies with respect to mitigating the impact of international aviation on climate change;
- b) encourage States to cooperate in the development of predictive analytical models for the assessment of aviation impacts;
- c) continue evaluating the costs and benefits of the various measures, including existing measures, with the goal of addressing aircraft engine emissions in the most cost-effective manner, taking into account the interests of all parties concerned, including potential impacts on the developing world; and
- d) assist Member States with studies, evaluations and development of procedures, in collaboration with other States in the region, to limit or reduce GHG emissions on a global basis and work together collaboratively to optimize the environmental benefits that can be achieved through various programmes;

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20. *Requests* States to:

- a) consider policies to encourage the introduction of more fuel efficient aircraft into the market, and work together through ICAO to exchange information and develop guidance for best practices on aircraft end-of-life such as through aircraft recycling; and
- b) accelerate investments on research and development to bring to market more efficient technology;

21. *Requests* the Council to:

- a) update the CO<sub>2</sub> emissions certification Standard for aeroplanes, as appropriate; and
- b) update medium- and long-term technological goals for aircraft fuel burn;

22. *Requests* States to:

- a) accelerate the development and implementation of fuel efficient routings and air navigation procedures to reduce aviation emissions, and work with ICAO to bring the environmental benefits to all regions and States, taking into account the Aviation System Block Upgrades (ASBUs) strategy;
- b) reduce legal, security, economic and other institutional barriers to enable implementation of the new air traffic management operating concepts for the environmentally efficient use of airspace; and
- c) work together through ICAO to exchange information and best practices on Green Airports;

23. *Requests* the Council to:

- a) maintain and update guidance on operational measures to reduce international aviation emissions, and place emphasis on increasing fuel efficiency in all aspects of the ICAO's Global Air Navigation Plan (GANP); encourage States and stakeholders to develop air traffic management that optimizes environmental benefits;
- b) continue to develop and update the necessary tools and guidance to assess the benefits associated with air traffic management improvements, and assess the environmental benefits associated with the implementation of the Aviation System Block Upgrades (ASBUs) strategy;
- c) continue to provide the forum to exchange information on best practices for Green Airports, covering such subjects as smart buildings, renewable energy, green mobility, climate change resilience, community engagement and sustainability reporting, aiming at sharing lessons learned and best practices amongst airports; and
- d) publish and maintain guidance material on the implementation of environmentally sustainable practices at airports, including the publication of the remaining parts of the Eco-Airport Toolkit e-collection;

24. *Requests States to:*

- a) set a coordinated approach in national administrations for policy actions and investment to accelerate the appropriate development, deployment and use of clean and renewable energy sources for aviation, including the use of sustainable aviation fuels, in accordance with their national circumstances;
- b) consider the use of incentives to encourage the deployment of clean and renewable energies sources for aviation, including sustainable aviation fuels;
- c) consider measures to support research and development as well as processing technology and feedstock production in order to decrease costs and support scale-up of sustainable production pathways up to commercial scale, taking into account the sustainable development of States;
- d) recognize existing approaches to assess the sustainability of all fuels in general, including those for use in aviation which should achieve net GHG emissions reduction on a life cycle basis, contribute to local social and economic development; competition with food and water should be avoided; and
- e) adopt measures to ensure the sustainability of aviation fuels, building on existing approaches or combination of approaches, and monitor their production at a national level;

25. *Requests the Council to:*

- a) encourage Member States and invite industry, financial institutions and other international organizations to actively participate in exchange of information and best practices, and facilitate the establishment of partnerships and the definition of policies that will further promote the transition to clean, renewable sources of energy for aviation, including sustainable aviation fuels, through regional seminars;
- b) continue to maintain the ICAO Global Framework for Aviation Alternative Fuels (GFAAF);
- c) continue to give a global view of the future use of sustainable aviation fuels and to account for changes in life cycle GHG emissions in order to assess progress toward achieving global aspirational goals;
- d) work with financial institutions to facilitate access to financing infrastructure development projects dedicated to sustainable aviation fuels and incentives to overcome initial market hurdles;
- e) cooperate with other relevant international initiatives, including the Sustainable Energy for All (SE4ALL) initiative, to facilitate the aviation's access to renewable energy; and
- f) continue to undertake a stocktaking process to continuously assess progress on the development and deployment of sustainable aviation fuels, including regular workshops and seminars, leading up to the convening of the CAAF/3 no later than 2025, with a view to updating the 2050 ICAO Vision for Sustainable Aviation Fuels to include a quantified proportion of SAF to be used by 2050;

26. *Requests* the Council to identify the potential impacts of climate change on international aviation operations and related infrastructure, identify adaptation measures to address the potential climate change impacts and develop guidance on climate change risk assessment for international aviation, in cooperation with other relevant international organizations and the industry; and
27. *Requests* the Council to continue to cooperate with the Climate Neutral UN initiative, remain at the forefront of developing methods and tools for quantifying aviation's GHG emissions with respect to the initiative, including the ICAO Carbon Emissions Calculator that also incorporates cargo emissions, and further develop and implement the strategy for reducing GHG emissions and enhancing in-house sustainability management practices of the Organization.

### Annex

The guiding principles for the design and implementation of market-based measures (MBMs) for international aviation:

- a) MBMs should support sustainable development of the international aviation sector;
- b) MBMs should support the mitigation of GHG emissions from international aviation;
- c) MBMs should contribute towards achieving global aspirational goals;
- d) MBMs should be transparent and administratively simple;
- e) MBMs should be cost-effective;
- f) MBMs should not be duplicative and international aviation CO<sub>2</sub> emissions should be accounted for only once;
- g) MBMs should minimize carbon leakage and market distortions;
- h) MBMs should ensure the fair treatment of the international aviation sector in relation to other sectors;
- i) MBMs should recognize past and future achievements and investments in aviation fuel efficiency and in other measures to reduce aviation emissions;
- j) MBMs should not impose inappropriate economic burden on international aviation;
- k) MBMs should facilitate appropriate access to all carbon markets;
- l) MBMs should be assessed in relation to various measures on the basis of performance measured in terms of CO<sub>2</sub> emissions reductions or avoidance, where appropriate;
- m) MBMs should include *de minimis* provisions;

- n) where revenues are generated from MBMs, it is strongly recommended that they should be applied in the first instance to mitigating the environmental impact of aircraft engine emissions, including mitigation and adaptation, as well as assistance to and support for developing States;
- o) where emissions reductions are achieved through MBMs, they should be identified in States' emissions reporting; and
- p) MBMs should take into account the principle of common but differentiated responsibilities and respective capabilities, the special circumstances and respective capabilities, and the principle of non-discrimination and equal and fair opportunities.

— END —