



**Thirty-fifth Meeting of the Executive Steering Committee of the Regional Aviation Safety Group -  
Pan America (ESC/35)**

Lima, Peru, 19-20 November 2020

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**Agenda Item 1: Items related to RASG-PA's internal operation**

**Policy for the utilization of financial resources**  
(Presented by Secretariat)

<b>EXECUTIVE SUMMARY</b>	
This Working Paper presents a proposal of the Policy for the use of the financial resources of the RASG-PA for its approval.	
<i>Suggested action:</i>	The Meeting is invited to: a) Take note of the content of this document and its Attachment and make comments that are considered pertinent; and b) Approve the content of the proposal.
<i>Strategic Objective:</i>	<ul style="list-style-type: none"><li>• Safety</li></ul>
<i>Reference:</i>	<ul style="list-style-type: none"><li>• Decision ESC/34/D5 del ESC/34</li></ul>

**1. Introduction**

1.1 During the RASG-PA ESC/34 Meeting, the need to define some guidelines for the more efficient use of the group's budget was agreed, and it was defined, through Decision ESC/34/D5, that the Co-Chairs meet with the NAAC and SAM Regional Offices to create a plan on the use of financial resources.

1.2 The RASG-PA Co-Chairs together with the ICAO NACC and SAM Regional Offices agreed on the fundamental criteria for the development of the Policy for the use of RASG-PA financial resources, in line with the expectations agreed during the ESC/34 meeting. The development of the policy was entrusted to the Secretariat.

1.3 The draft Policy for the use of financial resources of the RASG-PA was reviewed by the Co-Chairs and by the Regional Directors of the ICAO NACC and SAM Offices, and was submitted to the RASG-PA donors for consideration. After an exchange of criteria, all the parties involved agreed on the final content of the proposal, which is submitted to the ESC for its approval.

**2. Contents**

2.1 **Appendix A** of this Working Paper contains the proposed “Policy for the use of financial resources of the RASG-PA”, which prioritizes the allocation of financial resources for projects, activities and/or initiatives, aligned with the objectives of the group and destined to mitigate the security risks that are identified for the Region; and assigns to the Executive Steering Committee (ESC) of the group, the responsibility for the approval of the use and mobilization of resources.

**3. Suggested action**

3.1 The Meeting is invited to:

- a) Take note of the content of this document and its Attachment and make comments that are considered pertinent; and
- b) Approve the content of the proposal.

- END -

## APPENDIX A

### RASG-PA Policy for the utilization of financial resources

*This policy defines the general guidelines for the management of RASG-PA Financial Resources in line with its objectives and under the principles of transparency and neutrality of ICAO.*

*The utilization of financial resources received by ICAO for the development of RASG-PA activities will be subject to the approval of the Executive Steering Committee (ESC), and the funds will be executed in accordance with the administrative regulations of ICAO.*

*The RASG-PA will allocate financial resources for:*

- a) projects, activities, and/or initiatives, align with the group's objectives, and intended to mitigate the safety risks that are identified for the Region, and*
- b) other activities, subject to approval by ESC.*

*The request for resources may be made, preferably through a Working Paper for the RASG-PA ESC, that contains the following information:*

- a) Name of the project, activity or initiative;*
- b) Description of the project, activity or initiative;*
- c) Identification of the problem to be solved;*
- d) Project objectives;*
- e) Expected benefits of the implementation of the solution, including the expected effect on one or more SPIs of the RASG-PA;*
- f) Description of the deliverables and deadlines for each deliverable;*
- g) Relation or coordination matters with GREPECAS, if applicable;*
- h) The name of the person responsible to the ESC for the execution of the project, activity or initiative;*
- i) The name of the ESC member that will champion the project, activity or initiative;*
- j) Composition of the team that will work on the project, activity or initiative; and*
- k) Amount of resources requested from RASG-PA, and the specific way in which they will be used.*

*After the ESC examines the proposal, the approval may be carried out with the adoption of a conclusion, as shown in the following table:*

<b>CONCLUSION</b>		<b>Name of the Project, activity or initiative</b>	
<b>RASG-PA ESC/XX/C3</b>			
<b>What:</b> <ul style="list-style-type: none"> <li><i>Brief description of the project activity or initiative</i></li> <li><i>Identification of deliverables</i></li> </ul> <hr style="border: 0.5px solid black;"/> <b>How much:</b> <ul style="list-style-type: none"> <li><i>Amount of approved resources and way in which those resources will be used</i></li> </ul>	<b>Impacto esperado:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Political/Global</li> <li><input type="checkbox"/> Inter-regional</li> <li><input type="checkbox"/> Economic</li> <li><input type="checkbox"/> Environmental</li> <li><input type="checkbox"/> Operational/Tech.</li> </ul>		
<b>Why:</b> <i>Description of the expected impact of the project, activity or initiative (Change in KPI)</i>			
<b>When:</b> <i>Project activity or initiative completion date</i>	<b>Status:</b>		
<b>Who:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> States <input type="checkbox"/> ICAO NACC <input type="checkbox"/> PA-RAST Co-chairs</li> <li><input type="checkbox"/> ICAO SAM Office (Secretariat) <input type="checkbox"/> Coordinador del SMRT</li> </ul>	<i>The name of the ESC member that will champion the project, activity or initiative, and the name of the person responsible for its execution</i>		

*If the above request is, in part or total, funded by donor funds, an additional request for approval will be send to the donor entities. Appropriate contact will be provided to ICAO.*

*The NACC and SAM Regional Offices will seek increased efficiency in their internal processes and between themselves so that the RASG-PA work activities achieve the greatest possible impact in strengthening regional operational safety.*

*To facilitate decision-making, the RASG-PA ESC will incorporate as part of the meetings' agenda, the presentation of reports by the Secretariat and/or those in charge of each project, initiative or activity, on the progress and budget execution.*

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