



Agenda Item 4: Other matters

**CREATION OF THE SAM WORKING GROUP FOR THE SUSTAINABILITY AND
IMPROVEMENT OF THE ICAO USOAP CMA**

(Presented by the Secretariat)

SUMMARY	
This working paper presents information on the creation of the SAM working group to support States in the conduction of activities related to the ICAO USOAP CMA.	
References:	
<ul style="list-style-type: none">• First regional meeting of national continuous monitoring coordinators (NCCMC), 5-7 May 2015.• Second regional meeting of national continuous monitoring coordinators (NCCMC), 7-9 June 2016.• Third regional meeting of national continuous monitoring coordinators (NCCMC), 16-20 July 2018.• First virtual meeting of the USOAP CMA working group, 18 October 2018.• Second virtual meeting of the USOAP CMA working group, 9 November 2018.	
ICAO strategic objectives:	<i>A - Safety</i>

1. Background

1.1 The transition to the continuous monitoring approach (CMA) of the Universal safety oversight audit programme (USOAP) took place between 2011 and 2012, and the complete programme was launched on 1 January 2013, as scheduled and approved by the ICAO Council at its 197th Session in November 2012.

1.2 The USOAP CMA transition plan encompassed several activities, including one of the most important ones, namely the development and launching of the on-line framework (OLF), with its multiple tools and modules.

1.3 During the transition, ICAO changed its approach to the generation of PQ-related findings instead of findings and recommendations (F&R). ICAO also modified the formula for calculating effective implementation (EI), with the purpose of obtaining a more accurate EI percentage.

1.4 In order to implement the USOAP CMA, the States and ICAO signed a Memorandum of Understanding (MOU) whereby States undertook to complete and update the State aviation activity

questionnaire (SAAQ); the compliance checklists (CCs) through the electronic filing of differences (EFOD) system; the self-assessment of all protocol questions (PQs) and the corrective action plan (CAP). They also undertook to respond to the mandatory information requests (MIR), when so required by ICAO.

1.5 Furthermore, the SAM Region established the regional meetings of national continuous monitoring coordinators (NCCMC) to follow up on USOAP CMA activities to which the States had committed in the MOU. By 2018, the SAM Region has held three (3) regional NCCMC meetings.

2. **Regional safety objectives and goals for the period 2019-2030**

2.1 In order to continue improving the regional and State EI, the SAM Region has developed the safety axis based on the Global aviation safety plan (GASP). This axis includes new regional objectives and goals valid until 2030. One of the safety goals is to reach **95%** EI by 2030.

2.2 In order to achieve this goal, the SAM Region has planned a phased improvement of EI by each State. **Appendix A** to this working paper contains the phased EI improvement plan for each SAM State.

3. **Creation of the SAM working group for the sustainability and improvement of the USOAP CMA**

3.1 In order to follow up on actions related to the phased improvement of EI and on USOAP CMA activities agreed by States in the MOU, the SAM Regional USOAP CMA group was established at its first virtual meeting. At its second meeting, the group agreed, *inter alia*, to conduct by February 2019 a self-assessment of the protocol questions (PQs) related to critical element (CE) 6, which were considered as priority PQs.

3.2 The self-assessment of priority PQs will determine the level of compliance by each State in this segment, as well as the level of assistance they require.

4. **Suggested action**

4.1 The Meeting is invited to:

- a) take note of the information contained in this working paper and in **Appendix A**; and
- b) support the work of the national continuous monitoring coordinators (NCCMCs) and of the USOAP CMA working group.

APPENDIX A

**INDICATORS AND GOALS FOR SAM STATES REGARDING
EI AND SOM IMPROVEMENT**

✓ % EI improvement ✓ Safety oversight margin (SOM)						
States with an effective implementation (EI):	2020	2022	2024	2026	2028	2030
Lower than 65% Group 1	EI = 70 % SOM improvement	EI = 75 % SOM+	EI = 80 % SOM+	EI = 85 % SOM+	EI = 90 % SOM+	EI = 95 % SOM+
Between 65 and 74.99% Group 2	EI = 75 % SOM improvement	EI = 80 % SOM+	EI = 85 % SOM+	EI = 90 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+
Between 75 and 79.99 % Group 3	EI = 80 % SOM improvement	EI = 85 % SOM+	EI = 90 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+
Greater than 80 % Group 4	EI = 85 % SOM improvement	EI = 90 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+	EI = 95 % SOM+
