A high-angle photograph of five business professionals in a meeting. They are seated around a white table, looking at documents and laptops. A large blue semi-transparent shape is overlaid on the left side of the image, containing white text. The background is a light-colored tiled floor.

SSP Implementation

Phase 2

Phase 2

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a – Identify, define and document the safety management responsibilities and accountabilities

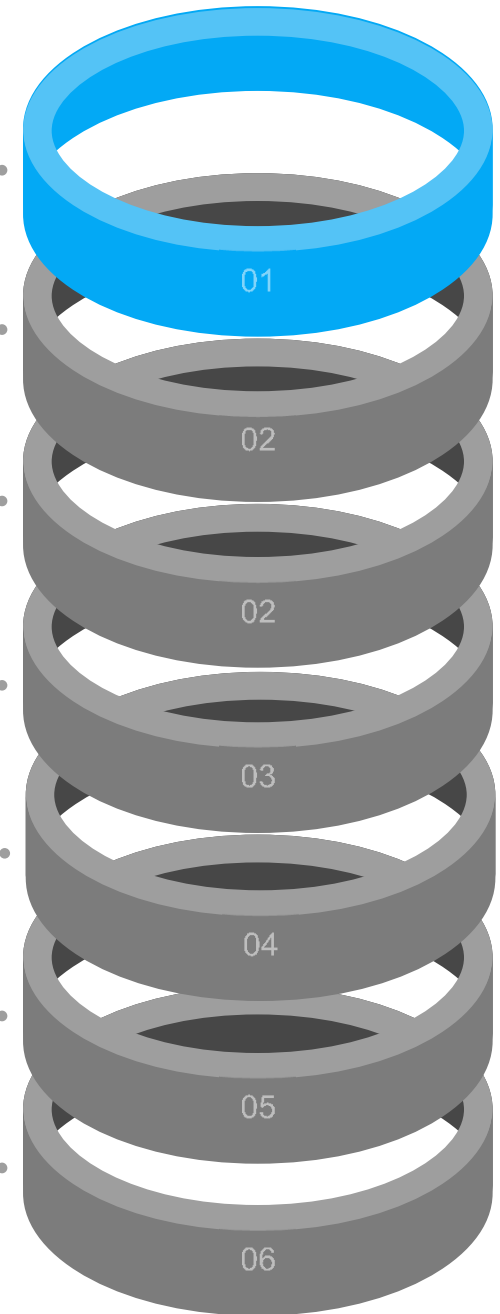
Ele. 1.2 (ii) b – Define and document the policy and objectives

Ele. 1.3 – Establish an accident and serious incident investigation process

Ele. 1.4 (i) - Establish basic enforcement (penalty) legislations

Ele. 3.1 (i) – State safety oversight and surveillance of providers

Ele. 2.1 (i) – Facilitate and promote SMS education for service providers



Phase 2

Item 1.2 (i)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a – Identify, define and document the safety management responsibilities and accountabilities

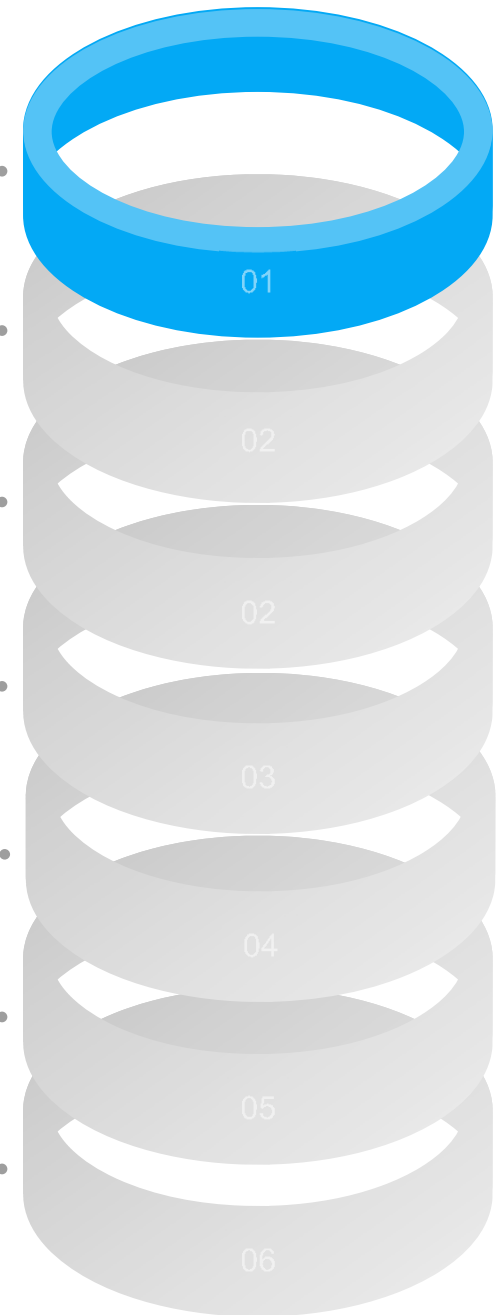
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Ele. 3.1 (i) – State safety oversight and surveillance of providers

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Ele. 1.1

State safety legislative framework

Component 1 – STATE SAFETY POLICIES AND OBJECTIVES			
Element 1.1 – State safety legislative framework			
1.1-1	Has [State] promulgated a <u>national safety legislative framework and specific regulations</u> that define the management of safety in the State? [4.2.1, Element 1.1; 4.3.2; 4.4.4]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	
1.1-2	Are the legislative framework and specific regulations periodically reviewed to ensure that they remain relevant to the State? [4.2.1, Element 1.1; 4.4.4 b)]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	

**PQ LEG
1.020**

State SSP document and legislative framework

PQ Num.	Protocol question	Guidance for reviewing evidence	ICAO reference	CE
1 .020	Has the SSP accountable executive completed and approved the <u>State SSP document</u> that describes the components and elements of the <u>SSP framework</u> ?	Verify that the <u>SSP document</u> : 1) describes the components and elements <u>of the SSP framework</u> ; 2) has been completed; and 3) has been approved by the SSP accountable executive.	GM A19 Att. A, 1.2 Doc 9859 4.4.3 f), App. 8 to Ch 4	CE-1



Doc 9859 - App. 8, Ch. 4

Appendix 8 to Chapter 4

SAMPLE CONTENTS OF AN SSP DOCUMENT

TABLE OF CONTENTS

Page|

- Amendment records
- Foreword (by DGCA/Minister)
- Overview (of SSP document)
- Abbreviations/definitions.....
- Chapter 1. State aviation regulatory system
- Chapter 2. State safety policy and objectives
- 2.1 State safety legislative framework
- 2.1.1 Primary legislation
- 2.1.2 Subsidiary legislation
- 2.1.3 Operating regulations/requirements
- 2.1.4 Industry guidance material
- 2.1.5 Civil Aviation Authority framework and accountabilities
- 2.1.6 Framework/regulations review
- 2.1.7 SSP documentation and records

Phase 2

Item 1.2 (ii) (a)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a) – Identify, define and document the safety management responsibilities and accountabilities

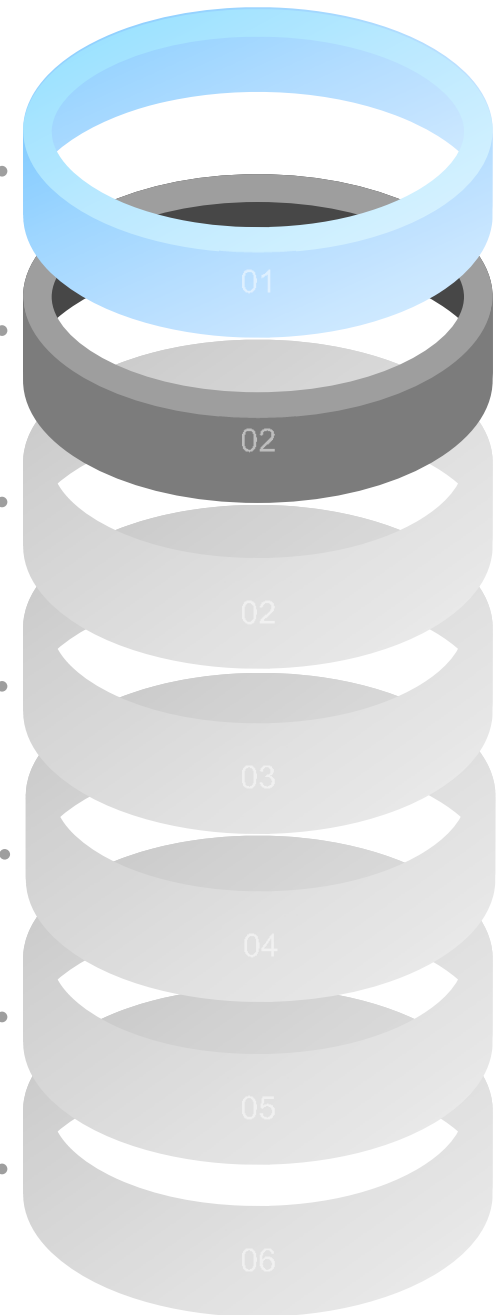
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**PQ ORG
2.309**

Definition of responsibilities and accountabilities

PQ Num.	Protocol question	Guidance for reviewing evidence	ICAO reference	CE
2.309	Has (State) defined the specific activities and accountabilities related to the management of safety in the State that <u>each aviation regulatory organization</u> under the SSP is accountable for?	<ol style="list-style-type: none"> 1) Ensure that relevant organizations and the <u>organizational chart</u> are also included in the <u>SSP document</u>. 2) Verify if the <u>SSP document</u> identifies the specific activities and accountabilities of these organizations responsible for safety performance oversight of their service providers. 	GM A19 Att. A, 1.2 Doc 9859 4.4.5 a)	CE-3

Phase 2

Item 1.2 (ii) (b)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a) – Identify, define and document the safety management responsibilities and accountabilities

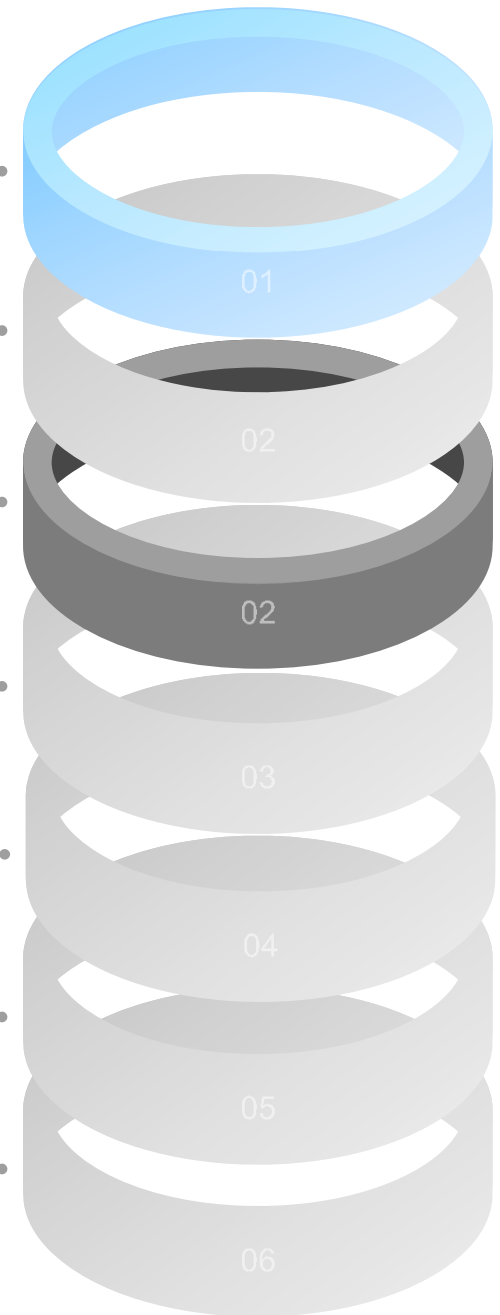
Ele. 1.2 (ii) b) – Define and document the policy and objectives

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PQ ORG
2.311

Policy and objectives

PQ Num.	Protocol question	Guidance for reviewing evidence	ICAO reference	CE
2 .311	Has (State) established a <u>safety policy and objectives</u> within the framework of the SSP?	<ol style="list-style-type: none"> 1) Review the State safety policy statement. 2) Review the State safety objectives which must be congruent with the State safety policy. 3) Verify that the State safety policy is signed by the SSP accountable executive or an appropriate State authority. 	GM A19 3.1.1 a) Att. A Doc 9859 4.2.3; 4.2.4; 4.4.5 b) and c) Ch 4 App. 1	CE-2

Phase 2

Item 1.2 (i)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a) – Identify, define and document the safety management responsibilities and accountabilities

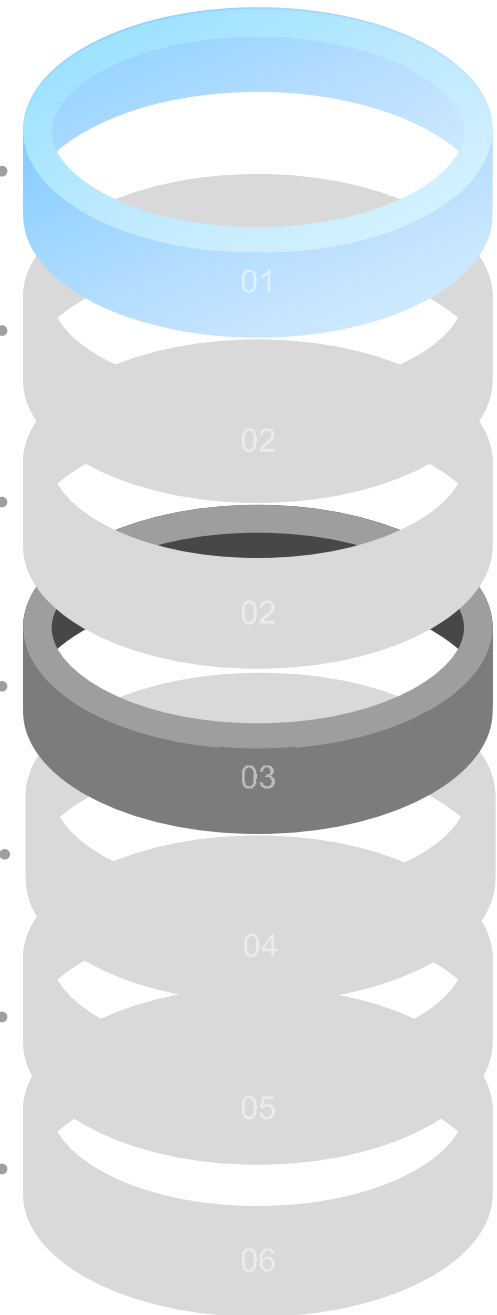
Ele. 1.2 (ii) b) – Define and document the policy and objectives

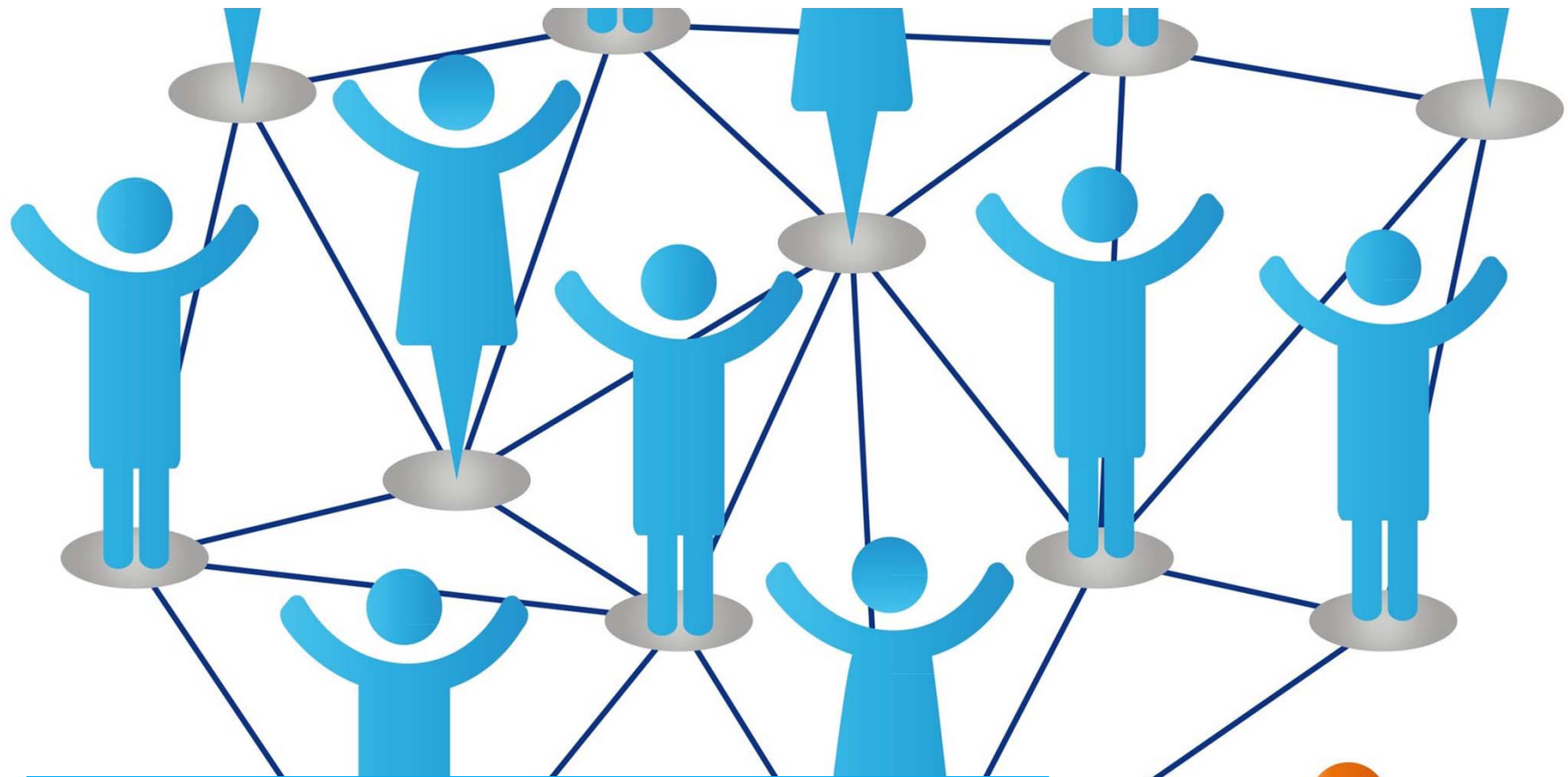
Ele. 1.3 – Establish an accident and serious incident investigation process

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*Independent does not mean
isolated*



Phase 2

Item 1.4 (i)

Ele. 1.1 – Establish a State safety legislative framework

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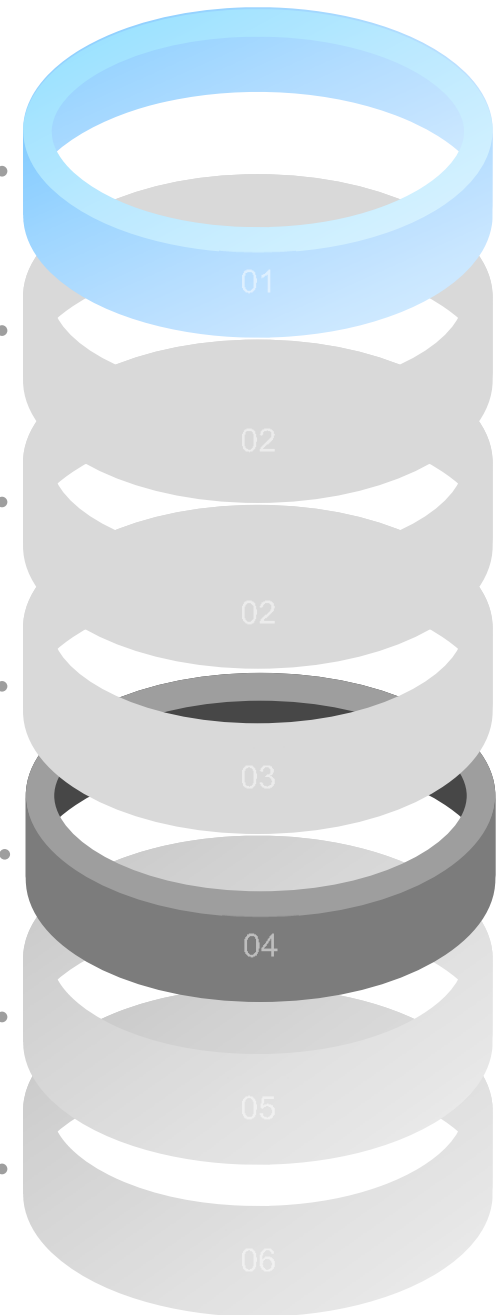
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Ele. 3.1 (i) – State safety oversight and surveillance of providers

Ele. 2.1 (i) – Facilitate and promote SMS education for service providers



The State should ensure or establish fundamental legislative provisions for regulatory enforcement (penalty) action, including suspension or revocation of certificates.

El. 1.4 (i)

Basic enforcement (penalty) legislation

Component 1 – STATE SAFETY POLICIES AND OBJECTIVES

Element 1.4 – Enforcement policy

1.4-1	Does [State] primary aviation legislation provide for the enforcement of the applicable legislation and regulations? [4.4.7]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	
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Phase 2

Item 3.1 (i)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a) – Identify, define and document the safety management responsibilities and accountabilities

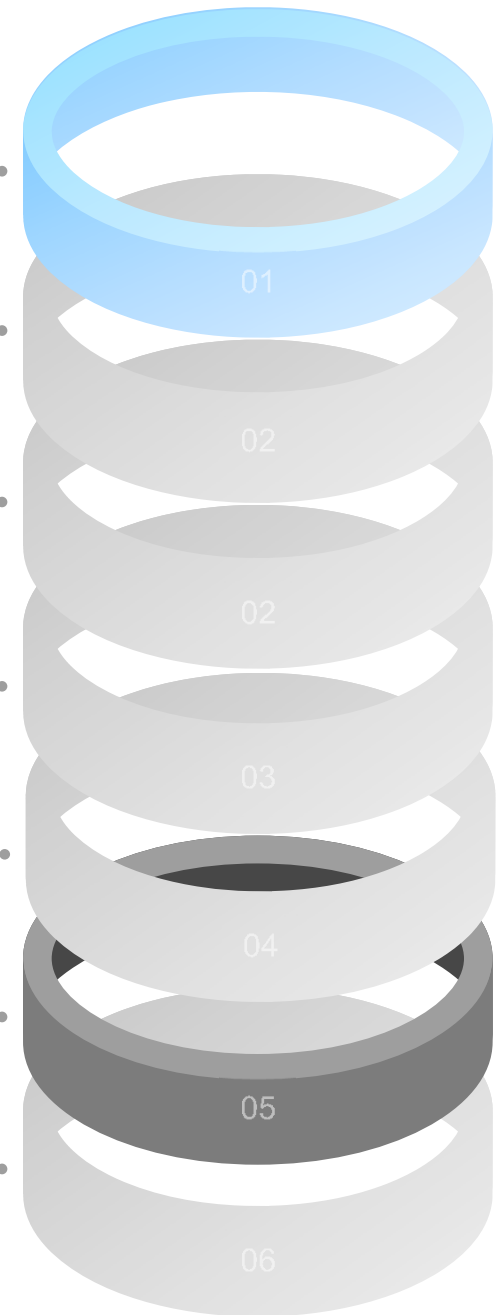
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**PQ LEG
1.016**

**Safety oversight accountable executive
authority**

PQ Num.	Protocol question	Guidance for reviewing evidence	ICAO reference	CE
1 .016	Does the State safety programme (SSP) accountable executive have the necessary authority and accountability / functional responsibilities to administrate and coordinate the implementation and operation of the SSP?	<p>Verify that, as a minimum, the following <u>has been delegated</u> to the accountable executive:</p> <ol style="list-style-type: none"> 1. authority and accountability, on behalf of the State, for the implementation and maintenance of the SSP across its aviation system, with the exception of the State’s accident investigation organization; 2. authority on human resources issues related to the SSP place holder organization; 3. authority on major financial issues related to the SSP place holder organization; 4. authority on service provider <u>certification</u> and <u>safety oversight by the SSP place holder organization</u>; and 5. responsibility for the coordination of all SSP-related issues of the State. 	GM A19 Att. A, 1.2 Doc 9859 4.4.3 a)	CE-1

El. 3.1 (i)

Safety oversight – **Formal surveillance programme**

Component 3 — STATE SAFETY ASSURANCE			
Element 3.1 — Safety oversight			
3.1-1	Has [State] established a <u>formal surveillance programme</u> to ensure satisfactory compliance by service providers with State safety regulations and requirements? [4.2.1, Element 3.1]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	

Phase 2

Item 2.1 (i)

Ele. 1.1 – Establish a State safety legislative framework

Ele. 1.2 (ii) a) – Identify, define and document the safety management responsibilities and accountabilities

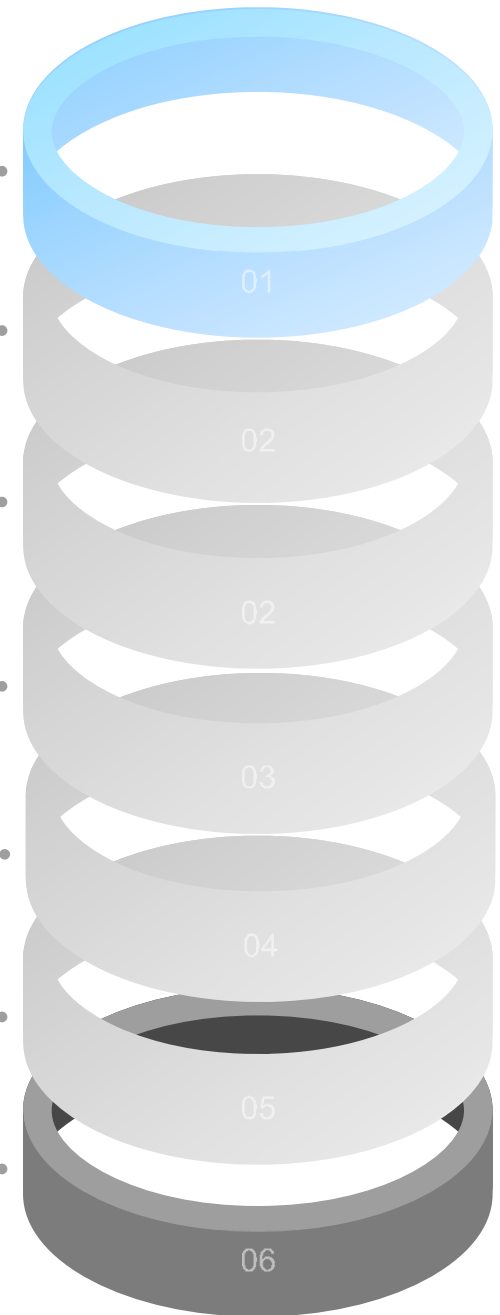
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*During the education and promotion phase of SMS implementation, the State **should prepare service providers** and industry stakeholders for SMS implementation requirements through forums, seminars, briefings and workshops.*

A high-angle photograph of a business meeting. Five people are gathered around a table, looking at documents and talking. The image is overlaid with a semi-transparent blue filter. The text "Phase 2" is centered in white.

Phase 2



Questions?



Thank you

for your time and attention