



International
Civil Aviation
Organization

Organisation
de l'aviation civile
internationale

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Международная
организация
гражданской
авиации

منظمة الطيران
المدني الدولي

国际民用
航空组织

Ref.: LT 2/6B.94-SA179

Lima, 15 May 2017

To: Mr. Claude Miquel, Directeur de la sécurité de l'aviation civile, Antilles-Guyane, French Antilles
Mr. Jerome Journet, Regional Director, West Indies and French Guiana
Mr. Jean-Michel Pubellier, Deputy Regional Director, West Indies and French Guiana
Mr. Michel Arenó, Head of French Guiana Air Control Centre Cayenne-Félix Eboué Airport, Head of the Aircraft Search and Rescue RCC
Lt. Col. Egbert Field, Guyana Civil Aviation Authority
Mr. Jerry Miranda, Ministry of Public Works, Suriname

Subject: **Invitation to the Workshop for acceptance and safety oversight of the air traffic service providers SMS – EASA/ICAO**
(Lima, Peru, 11 to 13 July 2017)

Action
required: Take note and confirm participation no later than **03 July 2017**

Sir:

I have the honour address you this letter to invite your Administration to participate at the Workshop for acceptance and safety oversight of the air traffic service providers Safety Management System (SMS), co-hosted by EASA (*European Aviation Safety Agency*), ICAO and the Regional Safety Oversight Cooperation System (SRVSOP). This workshop will be carried out at the ICAO South American Office in Lima, Peru, from **11 to 13 July 2017**.

The objective of this workshop is to train participant experts on the European and Regional approach to SMS requirements in ATS providers, as well as the process for initial acceptance and assessment of Safety Management Systems (SMS), in compliance to ICAO Annex 19 (*Safety Management*) and Doc. 9859 (*Safety Management Manual*).

The workshop is focused to air navigation services inspectors, as well as to air traffic control experts involved in the implementation of SMS, among others.

Please be aware that the event will be carried out in Spanish **with simultaneous interpretation services in English only if confirmation of English speaking States participants is received in this Regional Office no later than 27 June 2017.**

Attached you will find the draft Agenda (**Attachment A**) to be dealt with during the event. Local general information as well as accommodation alternatives can be found in the “*General Information*”, “*List of Hotels*” and “*Weather*” sections in the ICAO SAM Regional Office website, http://www.icao.int/SAM/Pages/ES/default_ES.aspx.

In such sense, I am pleased to invite your Administration to designate expert(s) from your State to participate on this workshop, by submitting, if applicable, the attached registration form (**Attachment B**), duly filled out for each delegate, by e-mail (icaosam@icao.int) to be received at this Regional Office no later than **03 July 2017**.

Mr. Roberto Sosa España (rsosa@icao.int), SAM Air Navigation Services & Safety Regional Officer (RO/ANS & SFTY), remains at your disposal should you require any additional information regarding this event.

Accept, Sir/Madam, the assurances of my highest consideration.



Franklin Hoyer
Regional Director
ICAO South American Office
Lima

Enclosure
As indicated

cc: Dr. Joyce Blokland-Wijnstein, Permanent Secretary, Directorate of Transport and Communication, Suriname
Mr. Faizel Baarn, Head of Civil Aviation Department, Suriname
Mr. Brian De Souza, Director, CASAS, Suriname
Mr. Marcus Doller, Air Safety Support Intl. (ASSI), United Kingdom
Mr. Bruce D’Ancey, Policy Specialist, Flight Ops, Air Safety Support International (ASSI), United Kingdom



ATTACHMENT A

**WORKSHOP FOR ACCEPTANCE AND SAFETY OVERSIGHT OF
THE AIR TRAFFIC SERVICE PROVIDERS SMS – EASA/ICAO**

(Lima, Peru, 11 to 13 July 2017)

DRAFT AGENDA

Item	Subject	Duration*
TUESDAY, 11 JULY 2017 - REGULATORY REFRESHER		
Introduction	Applicable regulations related to ANSPs and SMS.	40 min.
Commission Implementing Regulation (EU) N° 1035/2011 of 17 October 2011	Description of its structure: articles and annexes. Detailed explanation about the European regulatory requirements for SMSs. General safety requirements, safety achievements, safety assurance, risk assessment, safety promotion, etc.	1.5 hrs.
ESARR3, ESARR4 & ESARR5	Brief explanation of its structure and comparison with the requirements of Annex II.3 of Regulation 1035/2011.	45 min.
Commission Implementing Regulation (EU) N° 1034/2011 of 17 October 2011	Description of the monitoring requirements for NSAs. Initial certification vs On-going compliance.	2 hrs.
ICAO Annex 19	Comparison between EU regulation and ICAO regulation: - Scope of certified air navigation services in the UE. - SMS requirements EU vs. SMS requirements ICAO Annex 19.	45 min.
LAR 211	SMS requirements included in LAR 211	30 min.

WEDNESDAY, 12 JULY 2017 - PRESENT EXAMPLES OF CERTIFIED ANSPs AND THEIR SMS		
Introduction	Description of how the Spanish Aviation Safety Agency (AESA) adopts and implements all regulations concerning the certification and oversight process into both administrative and technical considerations.	1 hr.
AESA certification and oversight tasks	<p>Detailed description of:</p> <ul style="list-style-type: none"> - Development of internal procedures to guide inspectors. Examples of documents, guidelines and available checklists. - Development of external procedures to guide providers. Examples of available guidelines. - Best practices. 	1.5 hrs.
SMS inspector qualification	<p>Definition and implementation of qualification profiles (courses, number of inspections per year, etc.) for personnel conducting inspections of ANSPs' SMSs.</p> <p>Example of a typical training roadmap for an SMS inspector.</p>	30 min.
Certified ANSPs and their SMS	<p>Examples of certified ANSPs and their SMSs.</p> <p>Comparison AESA tasks (procedures, checklists,) between certification of SMS from a large organization and a small one.</p>	3 hrs.
THURSDAY, 13 JULY 2017 - NEW CERTIFICATION REGULATION		
Basic Regulation: Regulation (EC) N° 216/2008	Brief description of its structure and importance as the basis for the EASA regulation framework.	30 min.
Commission Implementing Regulation (EU) 2017/373	Detailed description of its structure: articles and annexes, as well as its AMCs and GMs.	2 hrs.
Changes introduced	Comparative analysis between the current regulation and the new European certification regulation (gap analysis).	1 hr.

Actions to carry out	Description of actions resulting from changes in regulations: <ul style="list-style-type: none">- Development of new procedures or change current ones.- Planning the implementation of new regulation.- Planning the current certificates updating.- Meetings with current ANSPs	2.5 hrs.
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*Each day will include a period of time for trainers and participants to discuss the subjects treated and draw conclusions on the day.



ADJUNTO B / ATTACHMENT B

TALLER PARA LA ACEPTACIÓN Y VIGILANCIA
DEL SMS EN EL PROVEEDOR DE SERVICIOS DE TRÁNSITO AÉREO – EASA/OACI

WORKSHOP FOR ACCEPTANCE AND SAFETY OVERSIGHT
OF THE AIR TRAFFIC SERVICE PROVIDERS SMS – EASA/ICAO

Lima, Perú, 11 al 13 de julio de 2017 / Lima, Peru, 11 to 13 July 2017

FORMULARIO DE REGISTRO / REGISTRATION FORM

1. Estado/*State*:
Organismo/*Organization*: _____

2. Nombre/*Name*: _____

3. Cargo/*Position*: _____

4. Participa como / *Participates as*:

Miembro/*Member* Alternativo/*Deputy* Delegado/*Delegate* Observador/*Observer* / Ponente/*Lecturer* Instructor/*Instructor* Alumno/*Student*

5. Dirección oficial /
Business address: _____

6. Tel.: _____ E-mail: _____

7. Hotel o dirección en
la ciudad/ *Hotel or*
local address: _____

8. Información de vuelo/
Flight information: Vuelo llegada/ fecha/ hora/
Arrival flight/ date/ hour: _____

Vuelo salida/ fecha/ hora/
Departure flight/ date/ hour: _____

Firma/
Signature: _____ Fecha/
Date: _____

Please return this form to: / Por favor envíe este formulario a: E-mail: icaosam@icao.int