



Agenda Item 3: Review of results obtained in the SAM Region on security matters

RESULTS OF THE AVSEC TRAINING PROGRAMME

(Presented by the Secretariat)

SUMMARY	
<p>This working paper provides information on the progress made in security training, with emphasis on training activities and the establishment of partnerships for capacity building.</p> <p>It describes the initiatives and activities carried out in support of States for the implementation of Annex 17 – Security, and effective and sustainable aviation security measures.</p>	
REFERENCES	
<p>- Annex 17, Amendment 15</p> <p>- Doc. 8973/9, Security manual</p>	
ICAO strategic objectives:	<i>C – Security and facilitation</i>

1. Background

1.1 The ICAO SAM Region has an Aviation Security Regional Officer (ASRO) working in the AVSEC and Facilitation area, which, through the application of the Aviation security capacity-building and assistance strategy and in coordination with the Implementation Support and Development - Security section (ISD-SEC), has provided assistance to the States for the strengthening of security programmes and improvement of their security systems through training focused on capacity building.

1.2 In accordance with Assembly Resolution A39-18, the Secretariat has the objective of providing specific assistance to the States that so require for addressing the security deficiencies identified therein. The importance of security was reasserted with the adoption of the United Nations Security Council Resolution (UNSCR) 2309 requesting ICAO to provide assistance to States in meeting international aviation security standards. Specific security and assistance activities continue to be carried out within the framework of implementation support and development - Aviation security programme (ISD-SEC). The ISD-SEC fulfils its mission of addressing AVSEC deficiencies together with the Regional Offices, through comprehensive training and assistance activities related to the mitigation of basic deficiencies identified in the States through the Universal security audit programme continuous monitoring approach (USAP-CMA), and capacity-building so that member States can apply effective countermeasures in a sustainable manner. This paper highlights the measures taken by ISD-SEC to provide effective assistance to member States and informs the Civil Aviation Authorities of the South American Region about the progress made in ICAO capacity-building processes and programmes.

2. Objectives and activities

2.1 In order to maximise the support to member States through the “No country left behind” (NCLB) initiative, the responsibility for capacity-building and assistance activities have been transferred directly to the Regional Offices, which have increased their resources in order to provide training and assistance more efficiently. Applying a risk-based approach, the Regional Offices, with the support of the ISD-SEC, implement and manage AVSEC technical assistance projects and make sure that assistance is delivered in a coordinated manner to member States in all Regions. Furthermore, the Regional Offices take advantage of their knowledge of local relationships and security issues to contribute to the required training and assistance, and to find the best way in which ICAO can use its limited resources to strengthen security.

2.2 Most of the capacity-building work of ICAO is focused on training and assistance for the implementation of basic security measures so that States may comply with the standards and recommended practices (SARPS) of Annex 17 – *Security*. Assembly Resolution A39-18 requests ICAO to provide assistance to member States in complying with the SARPS through effective implementation of security measures. The ISD-SEC supervises capacity-building activities at regional and State level, developing a risk-based action plan to identify those States that require assistance and to determine where such assistance and training will be more effective.

2.3 Under the auspices of the NCLB initiative, the Regional Offices, in cooperation with the ISD-SEC and other partners that provide assistance, generate Aviation security improvement plans (ASIP), which are multi-phased short- to medium-term plans for capacity-building in the States, based on an assessment of needs and the commitment of States to improve their AVSEC capabilities. ASIPs are customised to the specific needs of each State, establishing a timetable with goals that States must meet to ensure effective implementation. In the South American (SAM) Region, an active commitment to ASIP implementation has been maintained by **three States (Bolivia, Colombia, Paraguay – completed in 2016/2017)**, and **three ASIPs have been launched in 2017 in the Region: Ecuador, Guyana and Suriname.**

Aviation security training centres (ASTC) network

2.4 With the adoption of an annual AVSEC training calendar, the ISD-SEC, together with the Regional Office, offers all member States the opportunity to receive training and/or strengthen their capacity to implement a sustainable national aviation system. This training is mainly provided through a network of aviation security training centres (ASTCs). In this regard, the Region has two aviation security training centres (ASTCs): ETAC in Quito, Ecuador, and CIPE in Buenos Aires, Argentina. ASTCs cooperate with each other through the exchange of information and course planning. In **2015**, the ASTCs trained **a total de 49 officials** from 13 NACC and SAM States, and in **2016**, they trained **a total of 60 officials** from 8 NACC and SAM States.

2.5 It should be noted that consideration is being given to the provision of ICAO-sponsored training, free of charge, starting in 2018, and in case any of the ASTCs cannot host ICAO-sponsored courses or workshops, any interested State of the SAM Region may request to host it by providing the necessary facilities for this type of activity.

Capacity-building in relation to sustainability

2.6 In addition to providing training to States that have security requirements, ICAO reviews its training and assistance products to ensure their effectiveness with respect to the security deficiencies

identified and their consistency with the latest versions of Annex 17 — *Security* (Amendment 15) and ICAO security guidelines (Doc 8973, of limited distribution). ISD-SEC has worked closely with the stakeholders of the Secretariat and the Aviation security panel working groups to update and review its capacity-building portfolio. Last year, the ISD-SEC, in cooperation with the Global Aviation Training Office (GAT), reviewed all its aviation security training packages (ASTPs) and is incorporating the suggested updates. For example, the course for supervisors was validated last year, and the ICAO aviation security instructor certification process was fully implemented in English and its version in other languages will be offered later this year. Likewise, the final review of the ICAO Cargo and mail security course is underway, following the updates provided by the Training working group and the Cargo Security working group, to reflect the current cargo security environment. ICAO has partnered with the Airport Council International (ACI) to prepare a security management course. The ICAO security workshops are currently being reviewed to obtain an updated version this year.

2.7 In addition to providing training and assistance to improve the capacity of the State to implement basic security practices in accordance with Annex 17 — *Security*, ISD-SEC, together with the Regional Office, also offers member States opportunities for developing the sustainability of their security measures. This helps States take the necessary steps to ensure that security measures are effectively implemented in a permanent and sustainable manner, in accordance with UNSCR 2309. Through these opportunities, States can take advantage of the contribution and best practices of stakeholders to increase their ability to effectively sustain the implementation of ICAO SARPs and sound security practices over time, through risk management capabilities and the creation of stable and essential national programmes. It should also be noted that different assistance options are being studied in order to create long-term capabilities that will allow States to address security requirements, continue complying with ICAO SARPs, and respond to a changing security environment.

2.8 UNSCR 2309 urges States to address any security gap identified internally or through ICAO, as soon as possible. Continuing voluntary contributions by States enabled the provision of assistance to the States in 2015, 2016 and 2017 within the framework of the ICAO security assistance and capacity-building strategy. Voluntary funds have been used for the provision of specific assistance, the conduction of requirement assessments, the execution of ASIPs, and the funding of work positions to ensure effective implementation of aviation security support. These contributions are necessary to make sure that States that need assistance and wish to improve and strengthen aviation security, will receive both assistance and training as needed. However, the ICAO assistance mission continues to be hampered by the scarcity of resources, and ISD-SEC together with the Regional Offices cannot provide assistance to all the States that require it. Consequently, ICAO continues to request additional funding for assistance programmes and activities.

Support by Transport Canada

2.9 In 2015, a new agreement was signed with *Transport Canada* for a period of three years. One of its objectives is to support training of AVSEC personnel in the Region. This project is called “*Improving global civil aviation security*”, a component of the ICAO/Canada Grant Agreement for the Americas.

2.10 Starting with the three-year programme: a Cargo and mail security course was delivered in 2015, a National inspector course was delivered in 2016, and a Risk management workshop is being conducted in 2017 and early 2018 for all the States of the Region.

2.1 Through ICAO, the *ICAO/Canada Grant Agreement* provides all the manuals for the participants and covers air travel and accommodation expenses for the instructors and three fellowships for each invited State, covering air travel and accommodation for each fellowship beneficiary.

3. **Suggested action**

3.1 States are invited to:

- a) Take note of the various security training activities carried out in the Region;
- b) continue supporting the ICAO training programme through the participation of delegates from SAM States in the seminars, courses and workshops;
- c) train more experts in the AVSEC area at national level, disseminating the knowledge received from ICAO;
- d) support the “*ICAO/Canada Grant Agreement for the Americas*”, using the fellowships offered by ICAO and hosting the courses/workshops when offered to them;
- e) States that have received capacity-building assistance are invited to provide constant feedback to the ICAO SAM Regional Office on the impact of assistance on the analysis of tools used in this regard; and
- f) support training activities, cooperation with other States and/or compliance with ASIPs, providing/seconding security instructors and/or experts when so requested, according to their possibilities.

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