



ICAO

UNITING AVIATION

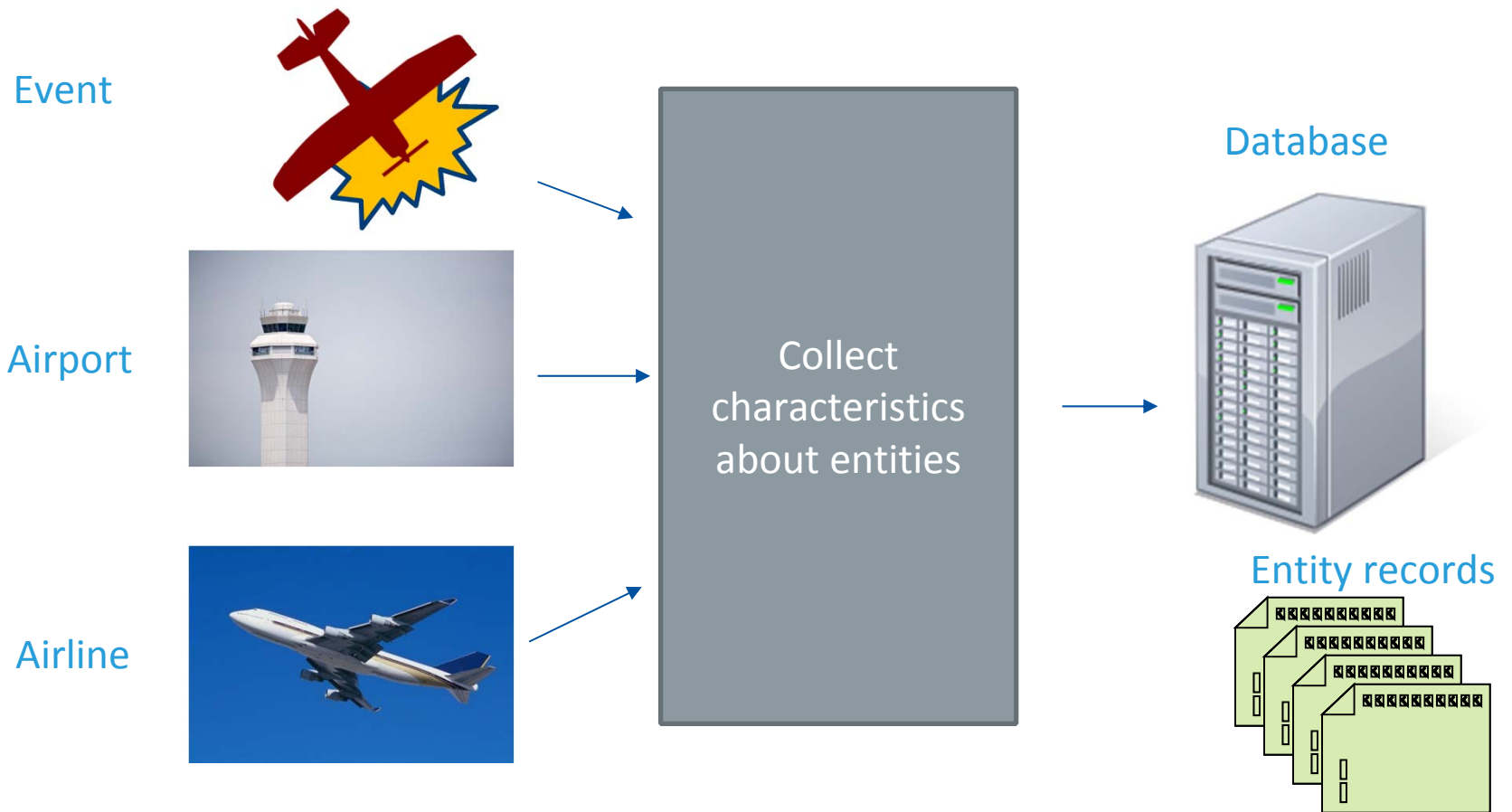
Module 2 Data

M. Merens

IAA, Air Navigation Bureau

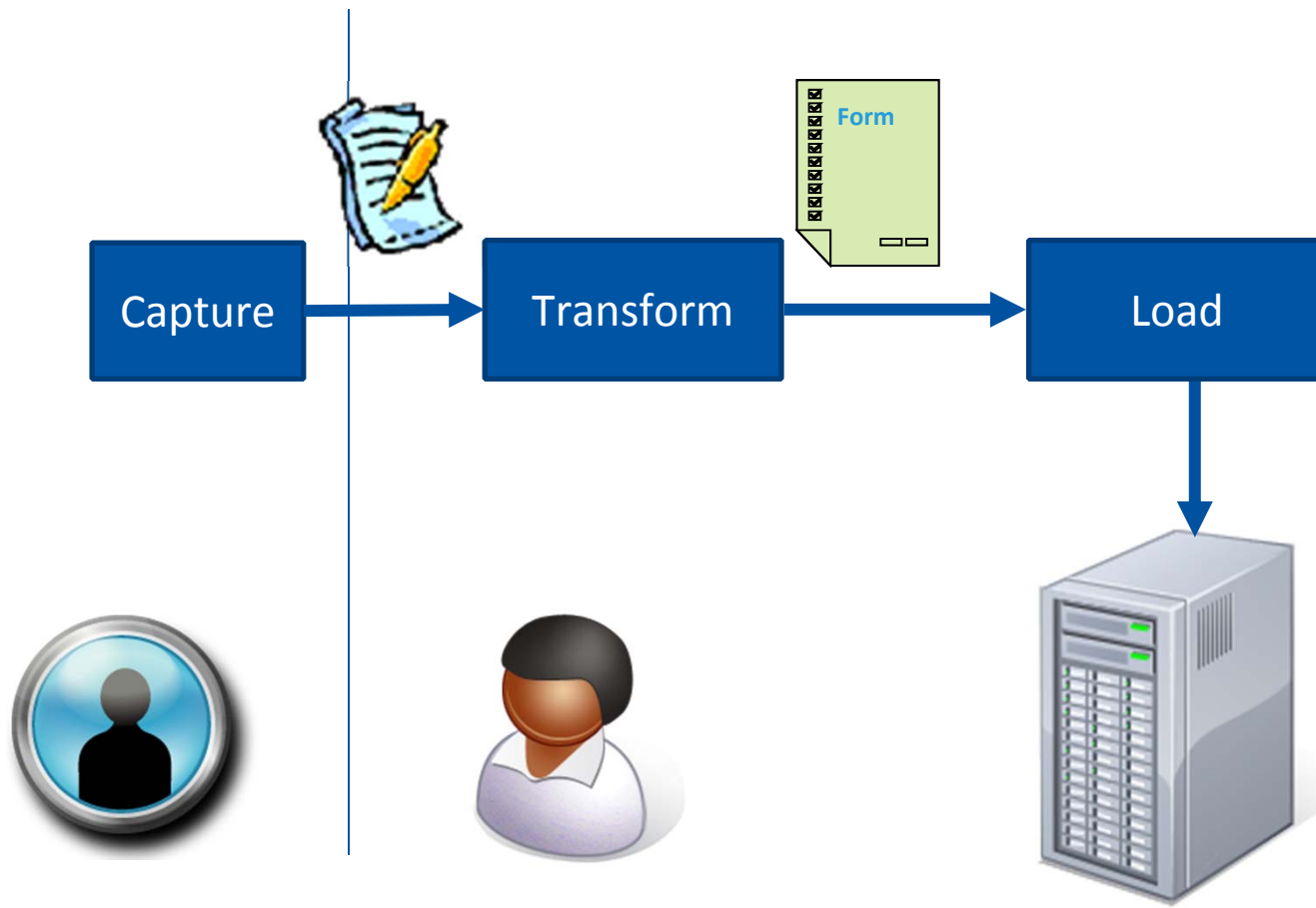


Digitization





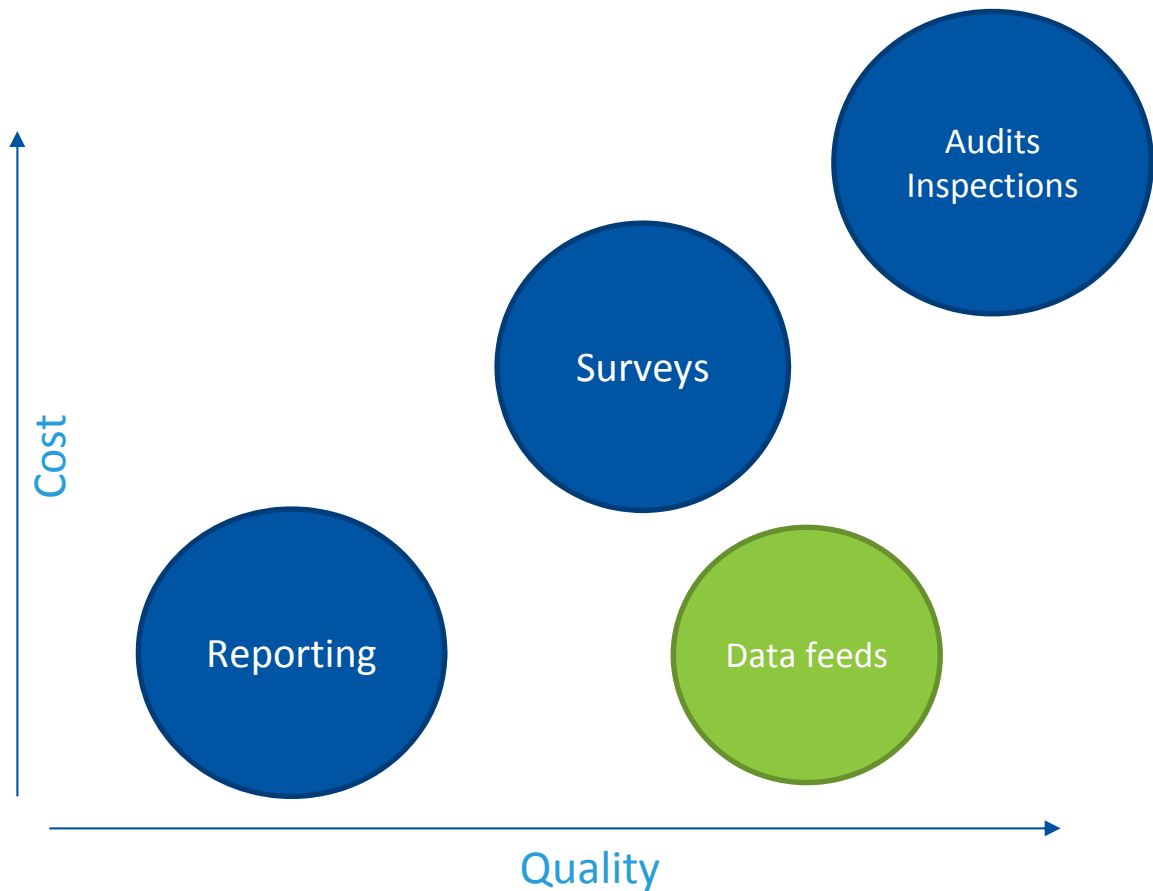
Collection Process





Collection Methods

- **Proactive**
 - Surveys
 - Audits
 - Inspections
- **Reactive**
 - Reports
- **Automated**
 - Data feeds





Audits and Inspections

- An audit or inspection needs a checklist with questions
- Each question must be either Yes/Satisfactory/Good, No/Unsatisfactory/Bad or Not Applicable/Not asked
- There can only be one finding per question
- Each audit or inspections can be calculated a score as a percentage [0%-100%]

$$\text{Score} = \frac{\sum \text{Yes}}{\sum \text{Yes} + \sum \text{No}}$$



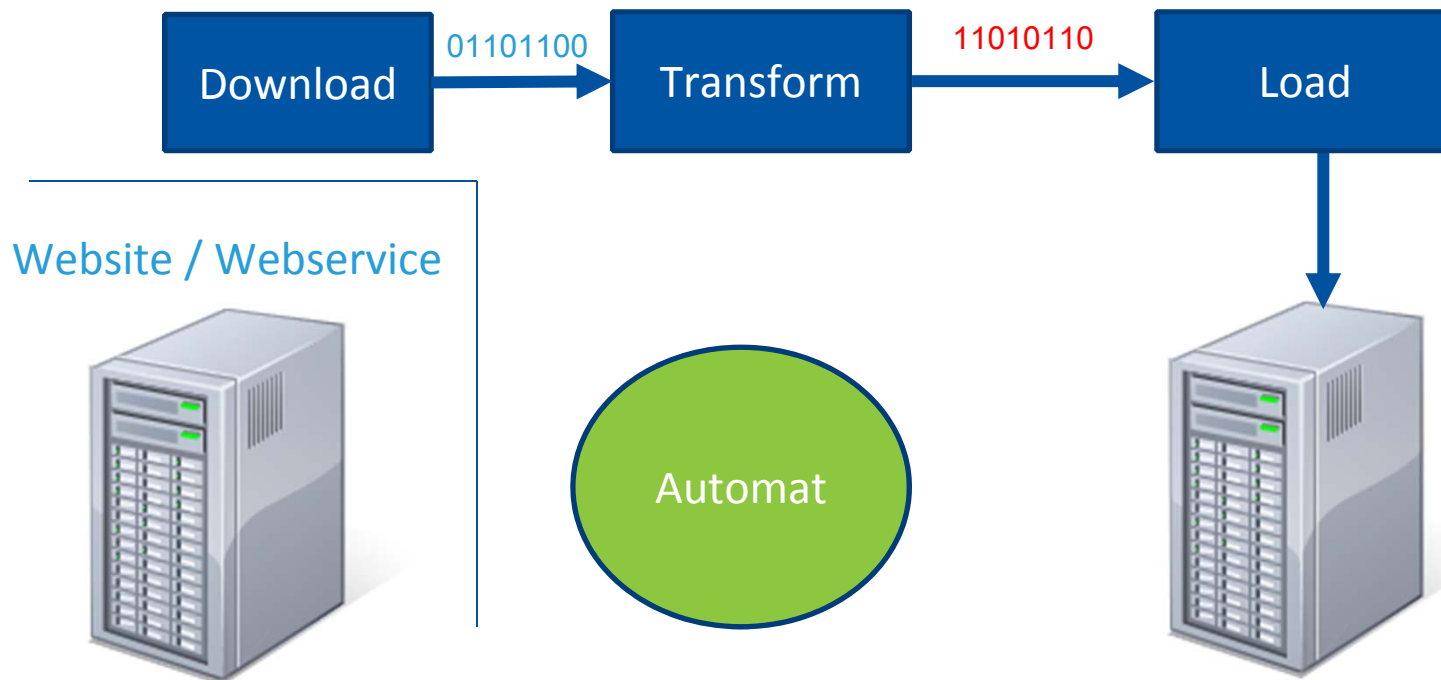
Examples

- **Good examples:**
 - USOAP Protocol Questions
 - SSP Gap Analysis Questions
- **Bad examples:**
 - EASA Part 145 audit
 - EU SAFA Inspections



Data feeds

Datafeeds allow full automation of the collection process



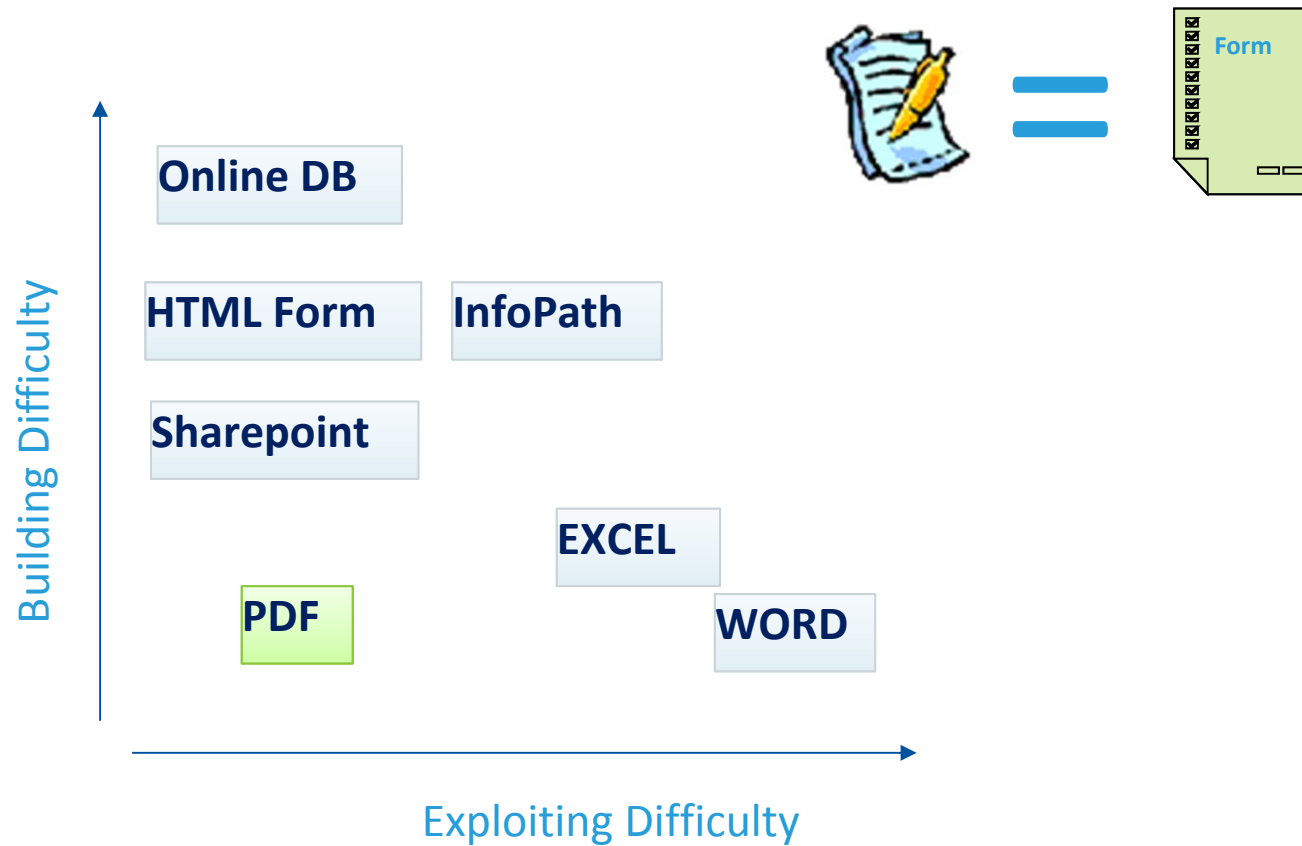


Datafeed Sources

- **Accidents and incidents**
 - Aviation Safety Network
 - Aviation Herald
 - ASCEND
 - iSTARS ADREP
- **Traffic**
 - FlightStats
 - iSTARS State Traffic
- **ADSB Positions**
 - FlightStats
- **Flight Schedules**
 - FlightStats
- **Airport Information**
 - iSTARS Airports
 - iSTARS PBN
- **Fleet Information**
 - iSTARS Fleet
 - ASCEND / FlightGlobal
- **Terrain Data**
 - NOAA GLOBE
- **METARS**
 - NOAA



Electronic Forms





ADREP Core Taxonomy (29)

- **Filing Information (3)**
 - **When (2)**
 - **Where (5)** ISO 3166-1 DOC7910
 - **Classification (2)** Occ. Class Occ. Cat.
 - **Severity (3)** Acft. Damage Injury Level
 - **Narrative (1)**
 - **Aircraft identification (6)** ISO 3166-1 Mass Group
 - **Operator (3)** ISO 3166-1 DOC8585
 - **Operation type (1)** Op. Type
 - **History of flight (3)** DOC7910 Flight Phase
- References
- Taxonomies



References

Airport Codes

4 letter ICAO Code (DOC7910)

State Codes

UN 3-letter Country Codes (ISO 3166-1)

Airline Codes

3 letter ICAO Code (DOC8585)



Filing Information and Narrative

- **Basic information about who submitted the report and description**
 - Reporting Organization
 - File Number
 - Date received
 - Narrative



When and Where

- **Information about when and where the event happened**
 - UTC Date and Time
 - State of Occurrence ISO 3166-1
 - Location of occurrence
 - FIR
 - Latitude
 - Longitude



Classification and Severity

- **Classifications of the event**

- Occurrence class

- Accident, Serious Incident, Incident, Occurrence without safety effect, Not Determined

- Occurrence Category

- CICTT Taxonomy

- Damage Aircraft

- Destroyed, Substantial, Minor, None, Unknown

- Injury Level

- Fatal, Serious, Minor, None, Unknown

- Fatalities



Aircraft Information

- **Information about the aircraft**

- Registration
- Serial Number
- Aircraft Category
 - Airplane, Helicopter, Other
- Manufacturer / model
- State of registry
- Mass group
 - » MG1: 0-2250 kg
 - » MG2: 2251 - 5700 kg
 - » MG3: 5701 - 27000 kg
 - » MG4: 27001 - 272000 kg
 - » MG5: >272000 kg
 - » UNK: Unkown

ISO 3166-1



Operation

- **Information about the operator and its operation**
 - Operator Name
 - Operator Code `DOC8585`
 - State of Operator `ISO 3166-1`
 - Operation Type
 - Scheduled Commercial Air Transport
 - Non-scheduled commercial Air Transport
 - Non commercial operation



History of Flight

- **Information about the flight**

- Last departure point

DOC7910

- Planned destination

DOC7910

- Flight Phase

- CICTT FlightPhase taxonomy



Form Design Principles

- **Only ask for data you really need to generate information**
- **Avoid multiple value fields (like occurrence cat.)**
- **Allow multiple ways to submit (print, email, online, data)**
- **Support multiple IT systems (MAC, MS, iPad)**
- **Do not ask for subjective information**
- **Keep the value lists limited (less than 8)**



Exercise

- **Create a small PDF reporting form**
 - Go to <http://www.pdfamigo.com/>
 - Create a fillable PDF form for Narrative, Occurrence Class and File Information only
 - Save the form and send it to a colleague to fill it in

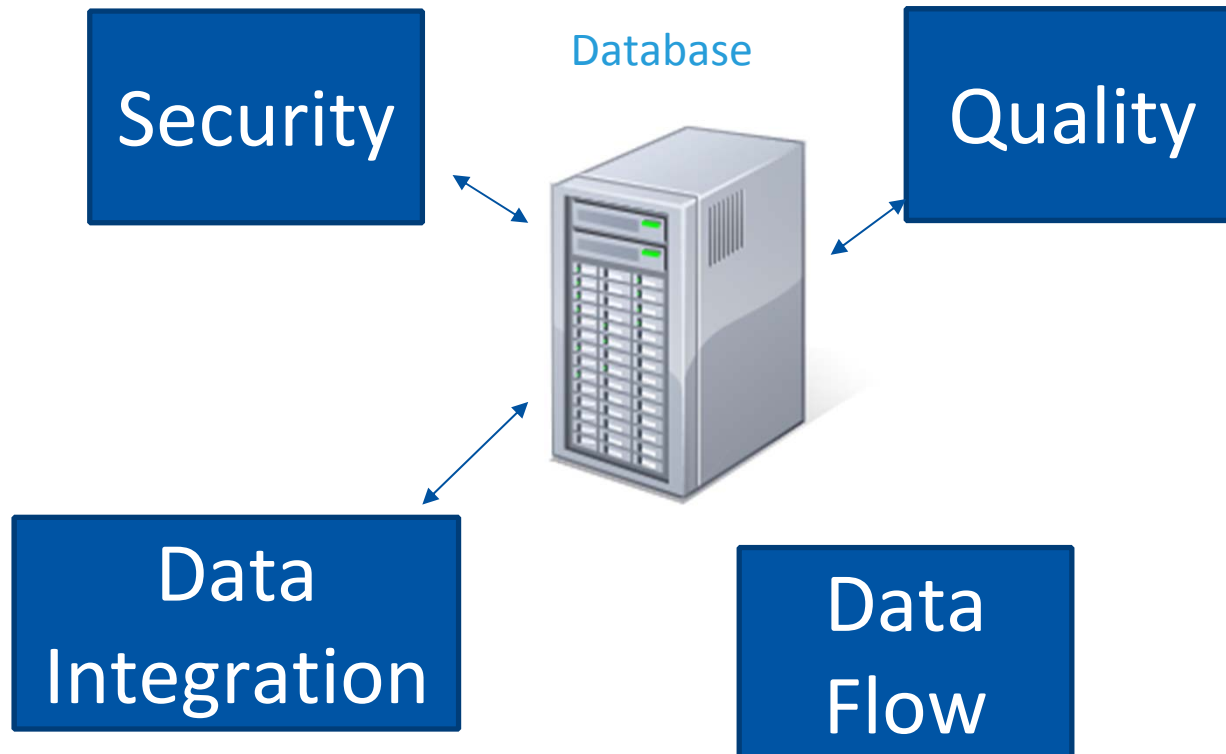


Exercise

- **Review existing forms**
 - Check the forms available under Report Forms
 - Which of those forms do comply to the principles and collect enough data?
 - Which do not?



Data Management





Security

- **Threat**
 - Unauthorized people access the data
- **Mitigation**
 - Control access to the data (username/password)
 - Do not send data by email but provide downloads (secure website, dropbox, iDrive etc.)



Data Quality

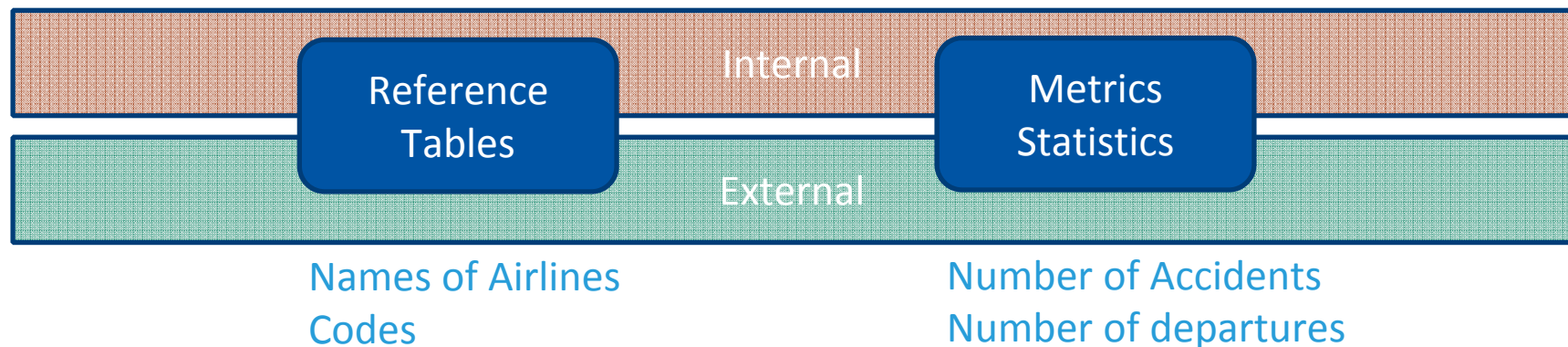
- **Correctness**
 - Is the data provided correct?
- **Completeness**
 - Are all fields provided, not empty?
- **Coherence**
 - Are fields standard between records?
- **Uniqueness**
 - Are there copies made of the data?





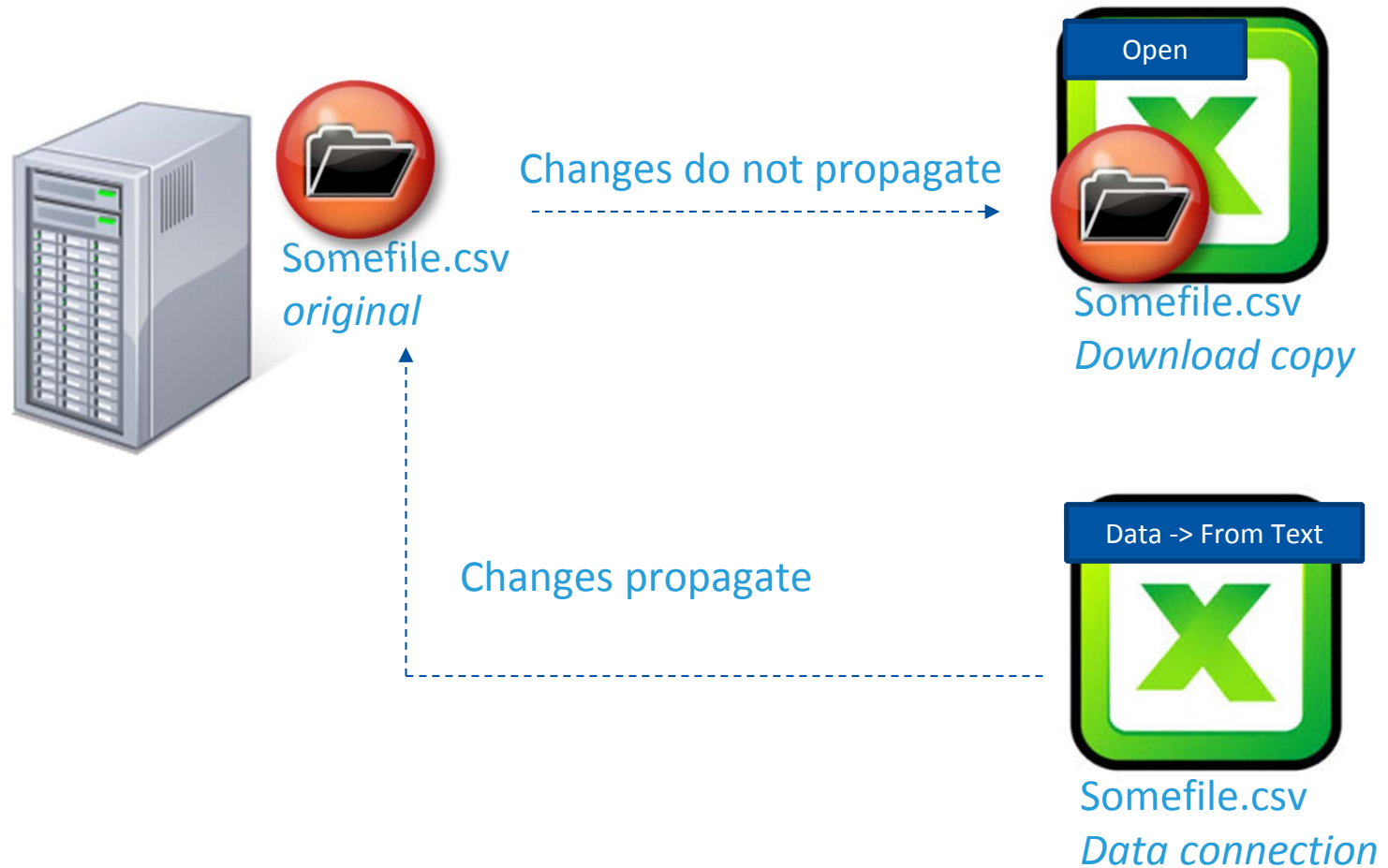
Single Source of Truth

Single Source Of Truth (SSOT) refers to the practice of **structuring information** models and associated schemata such that **every data element is stored exactly once** (e.g., in no more than a single row of a single table).



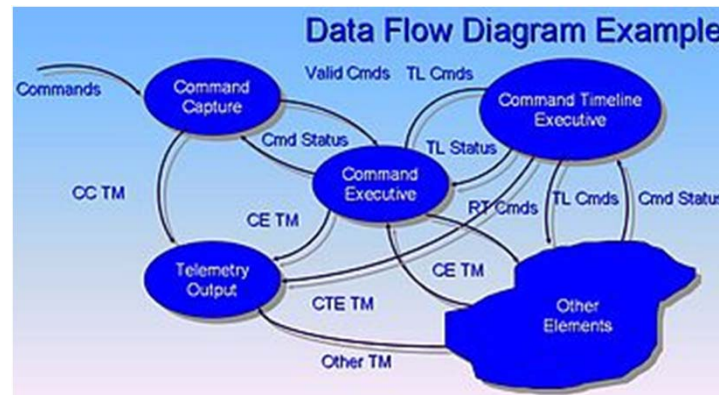


Data Connections



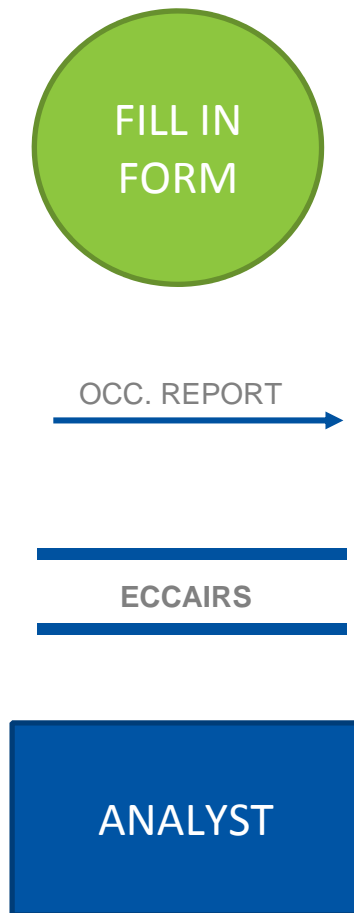
Data Flow Diagram

A data flow diagram (DFD) is a graphical representation of the "flow" of data through an information system





E. Yourdon DFD Methodology



Process

The process shows a part of the system that transforms inputs into outputs

Flow

The flow is used to describe the movement of chunks from one part of the system to another part

Store

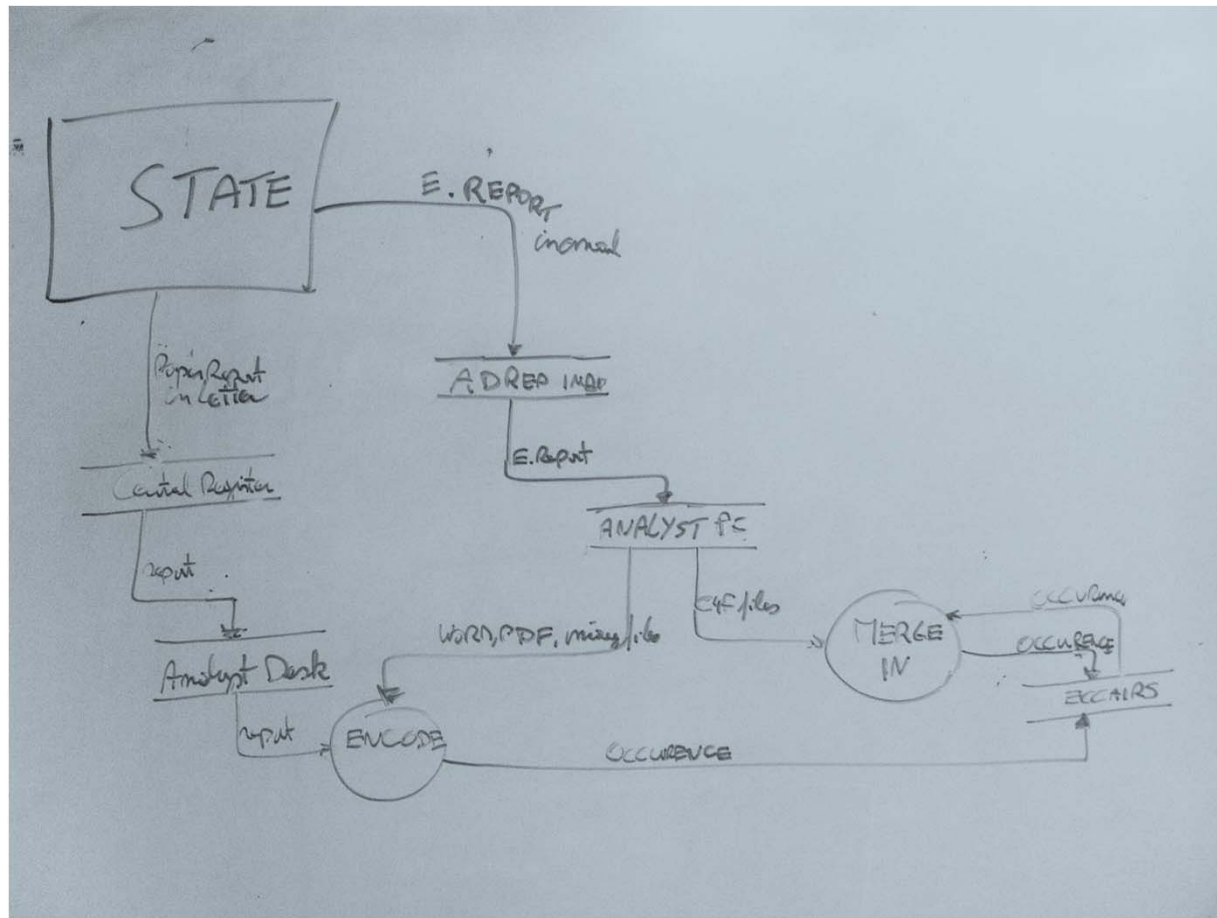
The store is used to model a collection of data packets at rest like a database

Terminator

Terminators represent external entities with which the system communicates

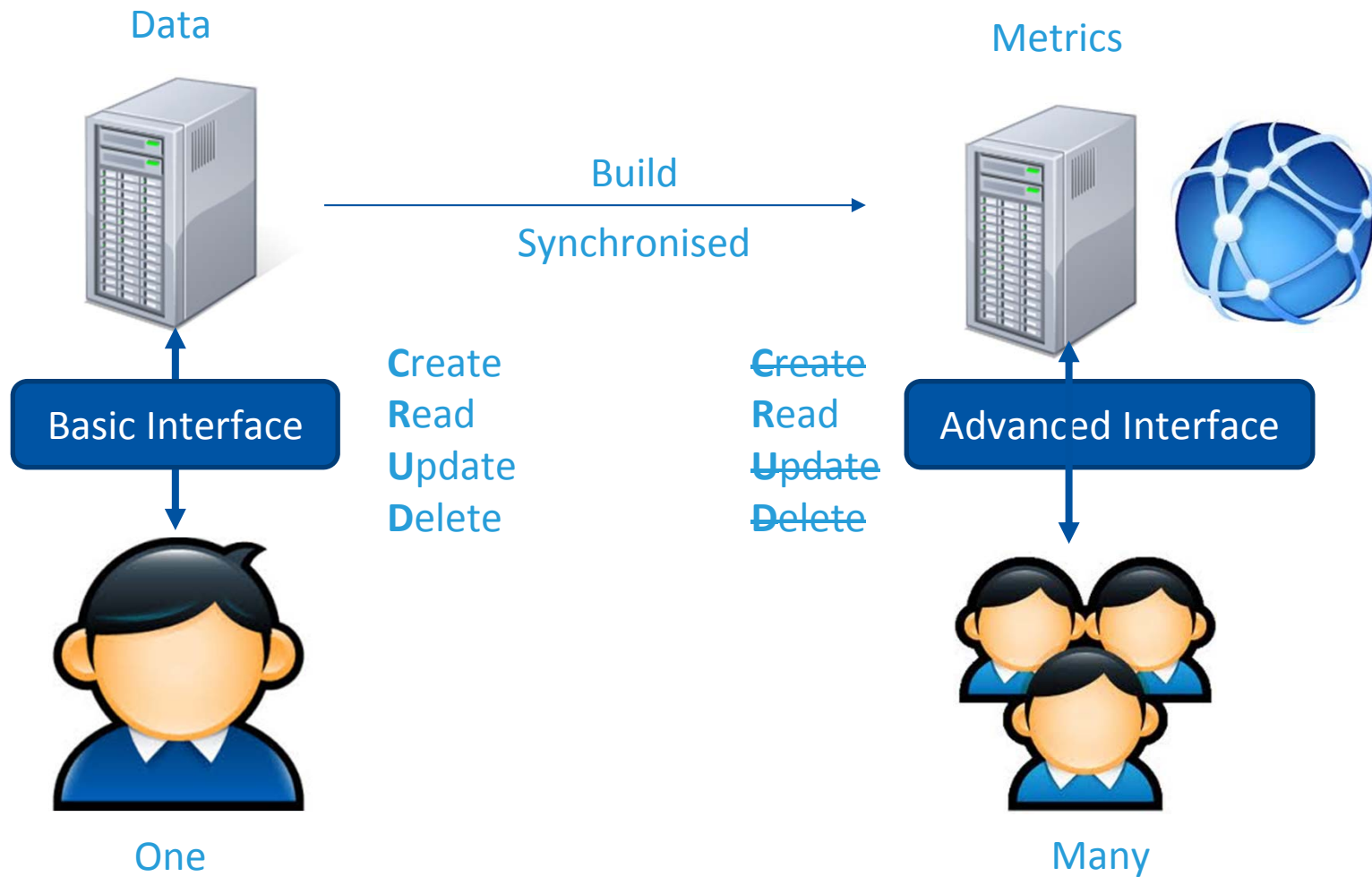


ICAO Internal Accident Reporting DFD



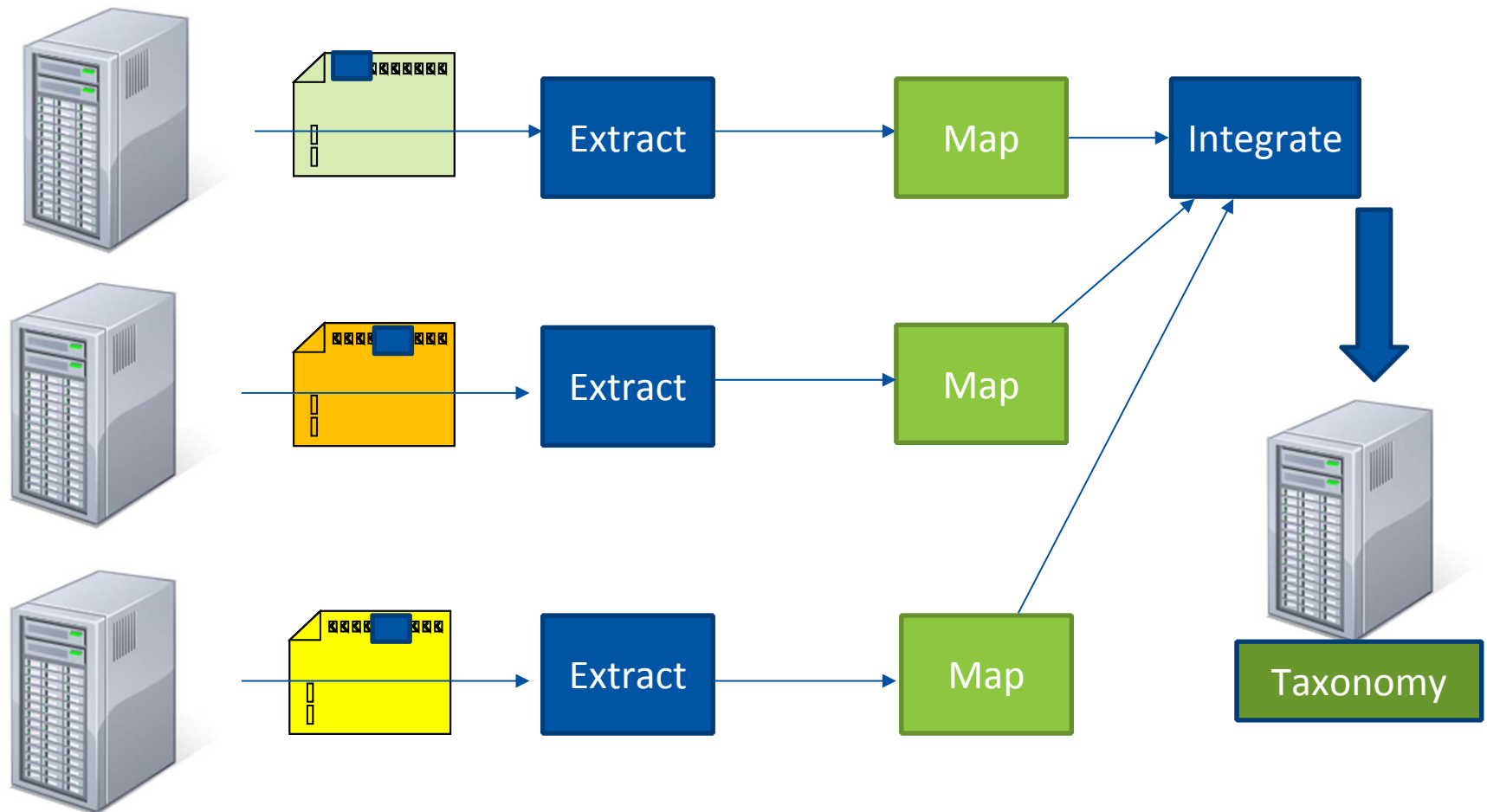


Metrics and Data





Data Integration

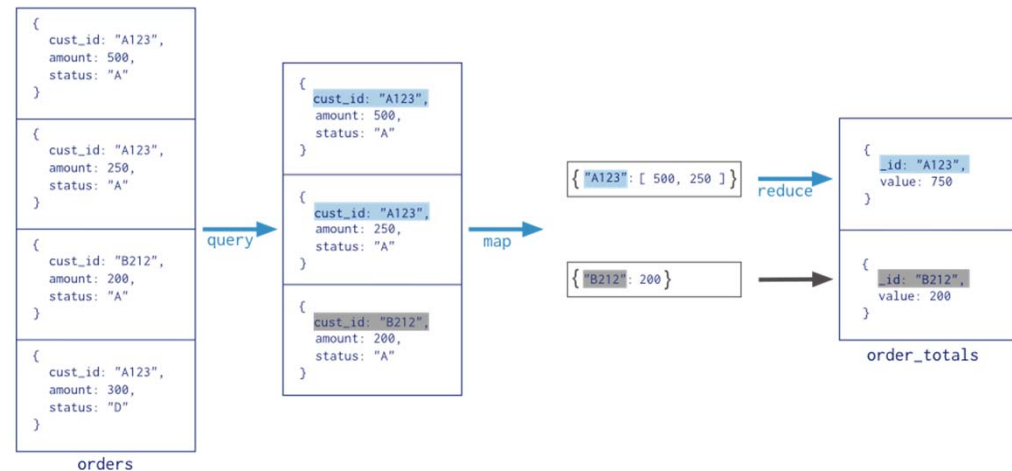




Map Reduce

- MapReduce helps building metrics out of data directly
- Can deal with any amount of data

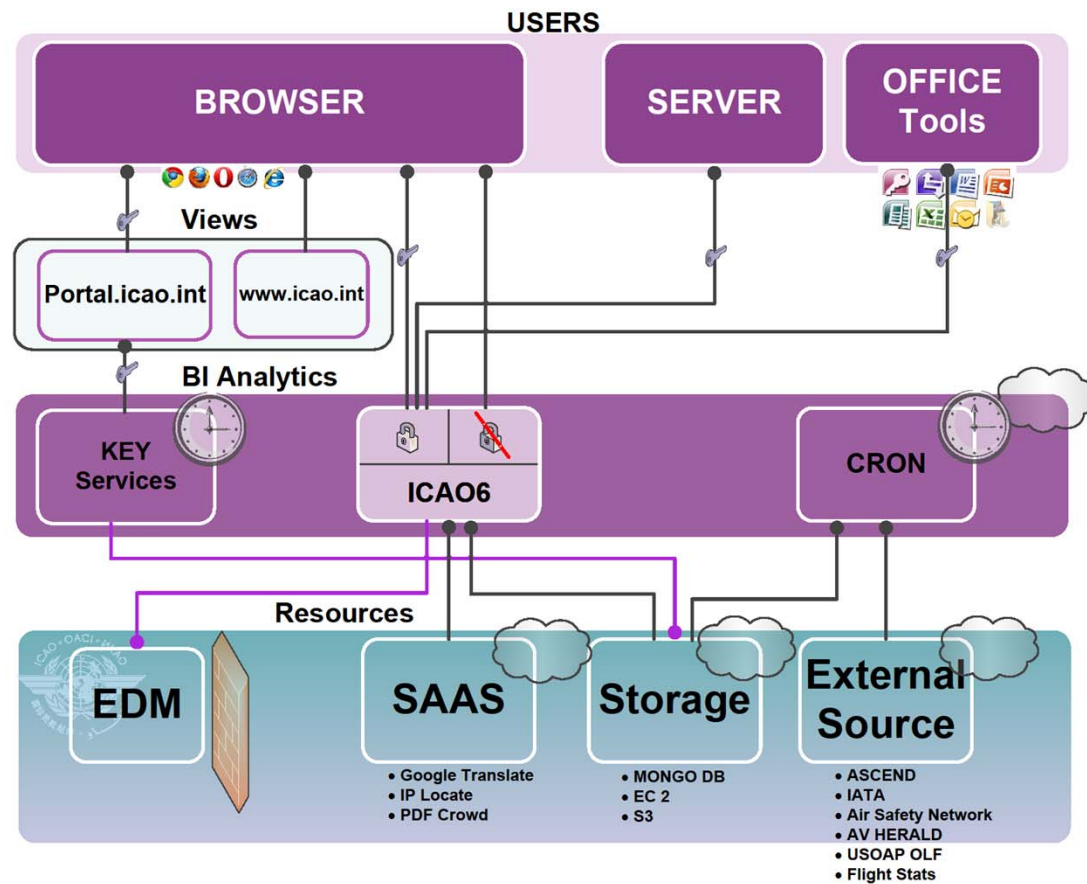
```
Collection  
↓  
db.orders.mapReduce(  
  map   → function() { emit( this.cust_id, this.amount ); },  
  reduce → function(key, values) { return Array.sum( values ) },  
  query → { query: { status: "A" },  
  output → out: "order_totals"  
})
```





iSTARS Architecture

iStars2.0 SPACE Architecture (February 2014)



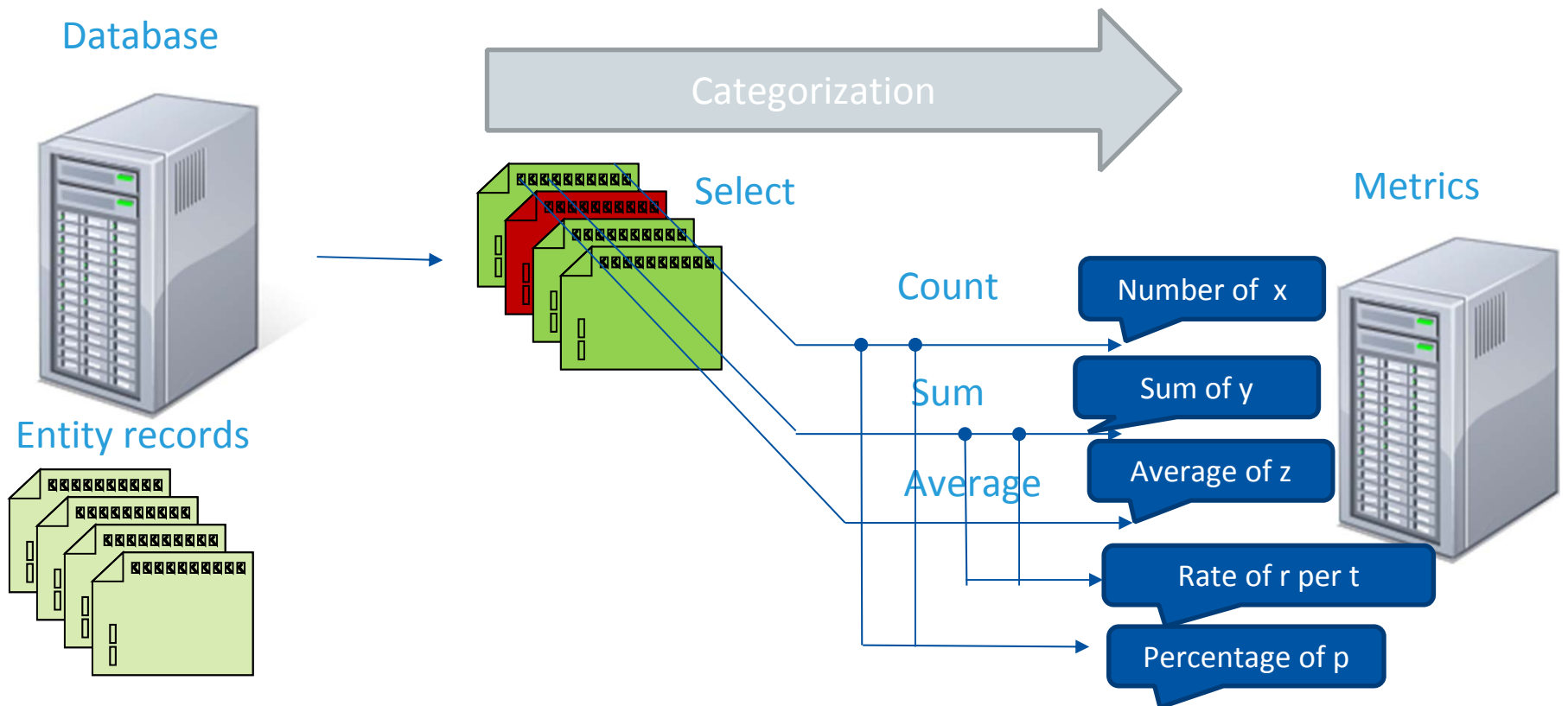


Exercise

- **Describe the data integration flow**
 - Draw a DFD of the process on how in your State, you complete accident data, get traffic data and calculate accident rates
 - Identify the manual interventions and possible corruptions



Building Metrics



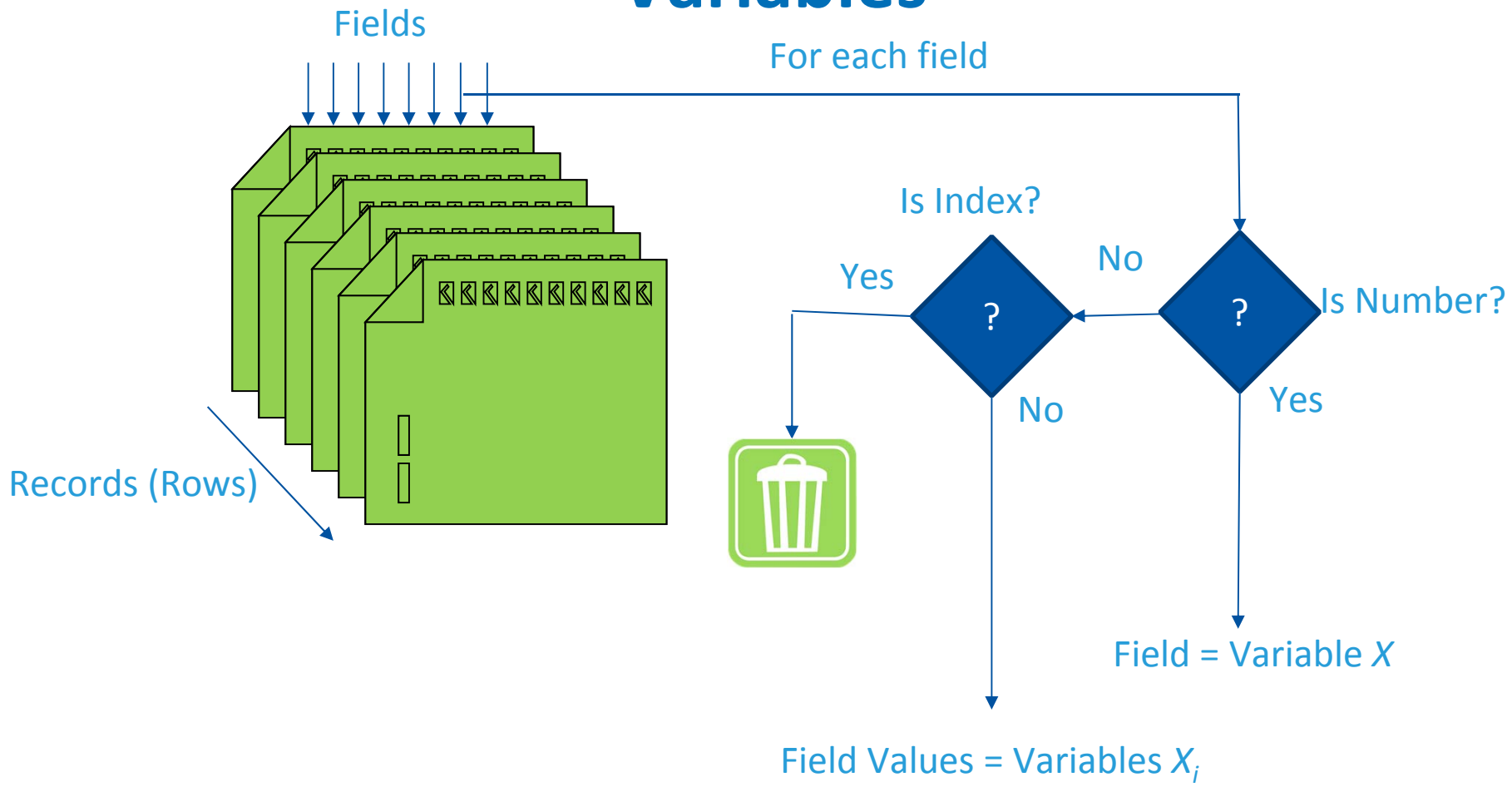


Metric Types

- **Metrics are Values of Variables**
 - Percentage of
 - Count / Number of
 - Sum of
 - Average of
 - Rank of
 - Maximum of
 - Etc.



Variables

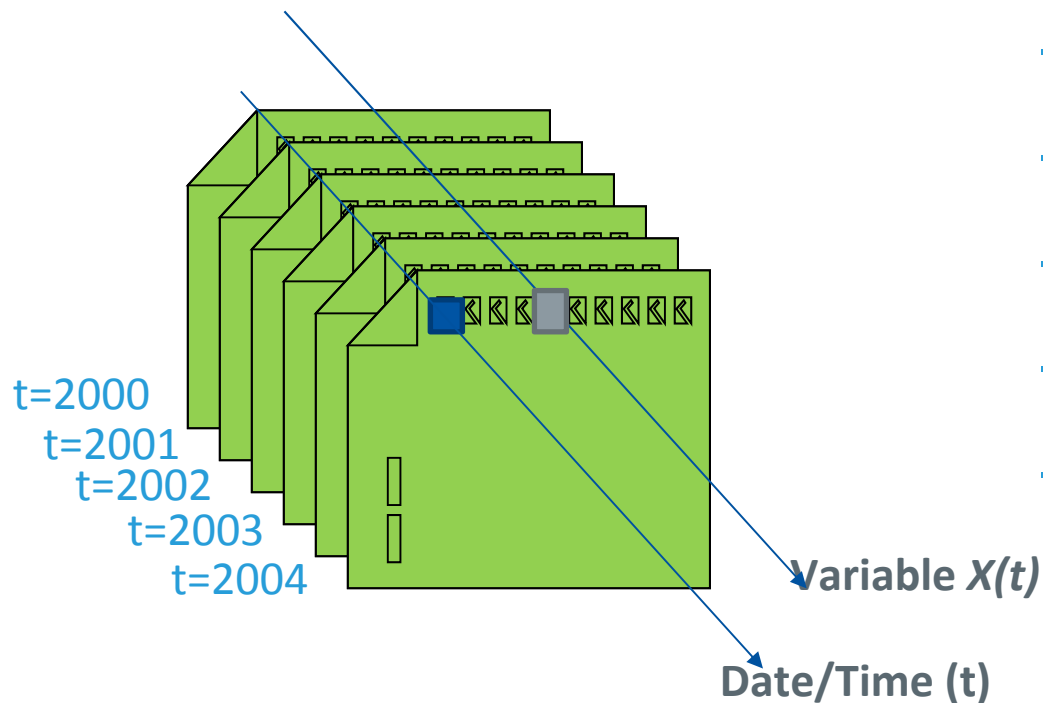




Time Series

Signal theory metrics

- Sliding Average
- Trend/Growth of
- Frequency of
- Bandwidth of
- Signal to noise ratio of



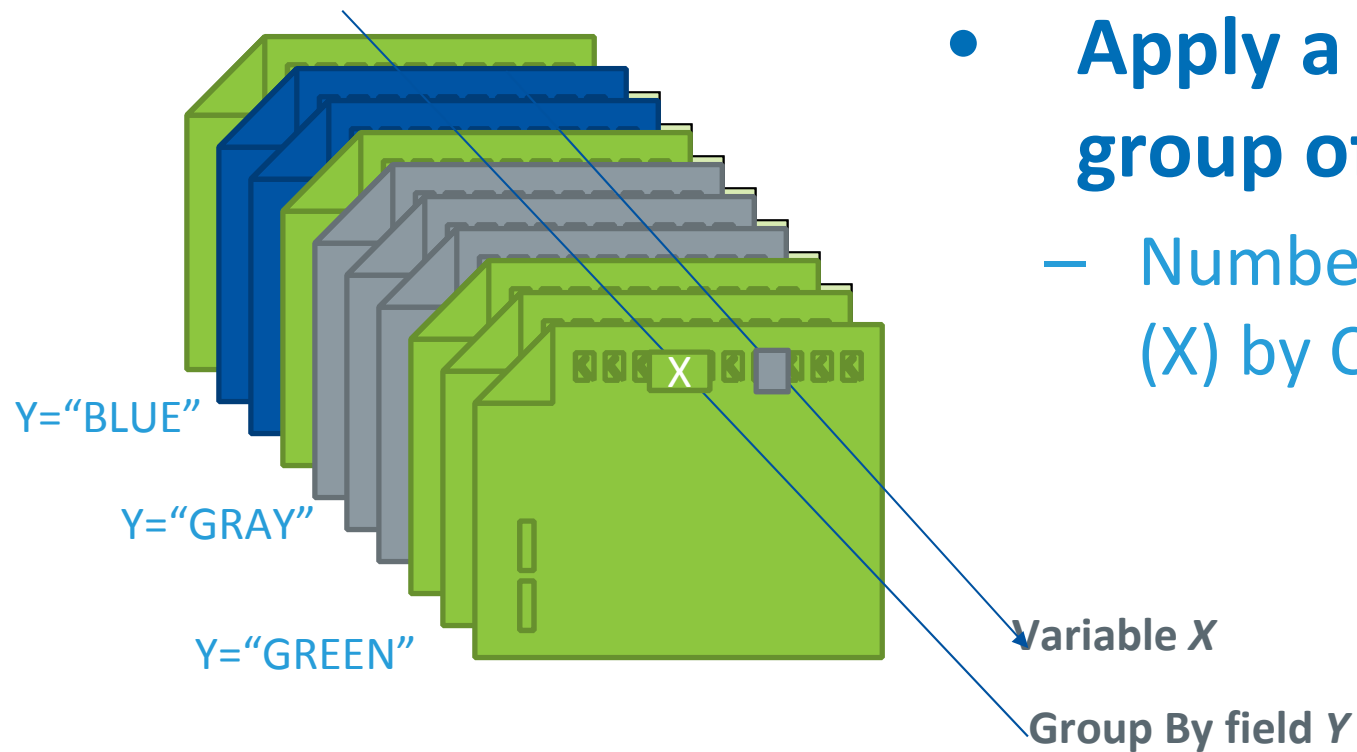


Multi-variate fields

- **A multi-variate field is a field with more than one value:**
 - Occurrence categories: [SCF-PP;ARC;RE;F-POST]
- **For simple analysis, create one variable X_i per field value which are not globally coherent:**
 - Sum of $X_i \neq$ Number of Records
- **For advanced analysis, map combinations to new single value field**
 - [SCF-PP;ARC;RE;F-POST] = “Runway Safety”



GROUP BY



- Apply a metric to a group of records
 - Number of Accidents (X) by Country (Y)

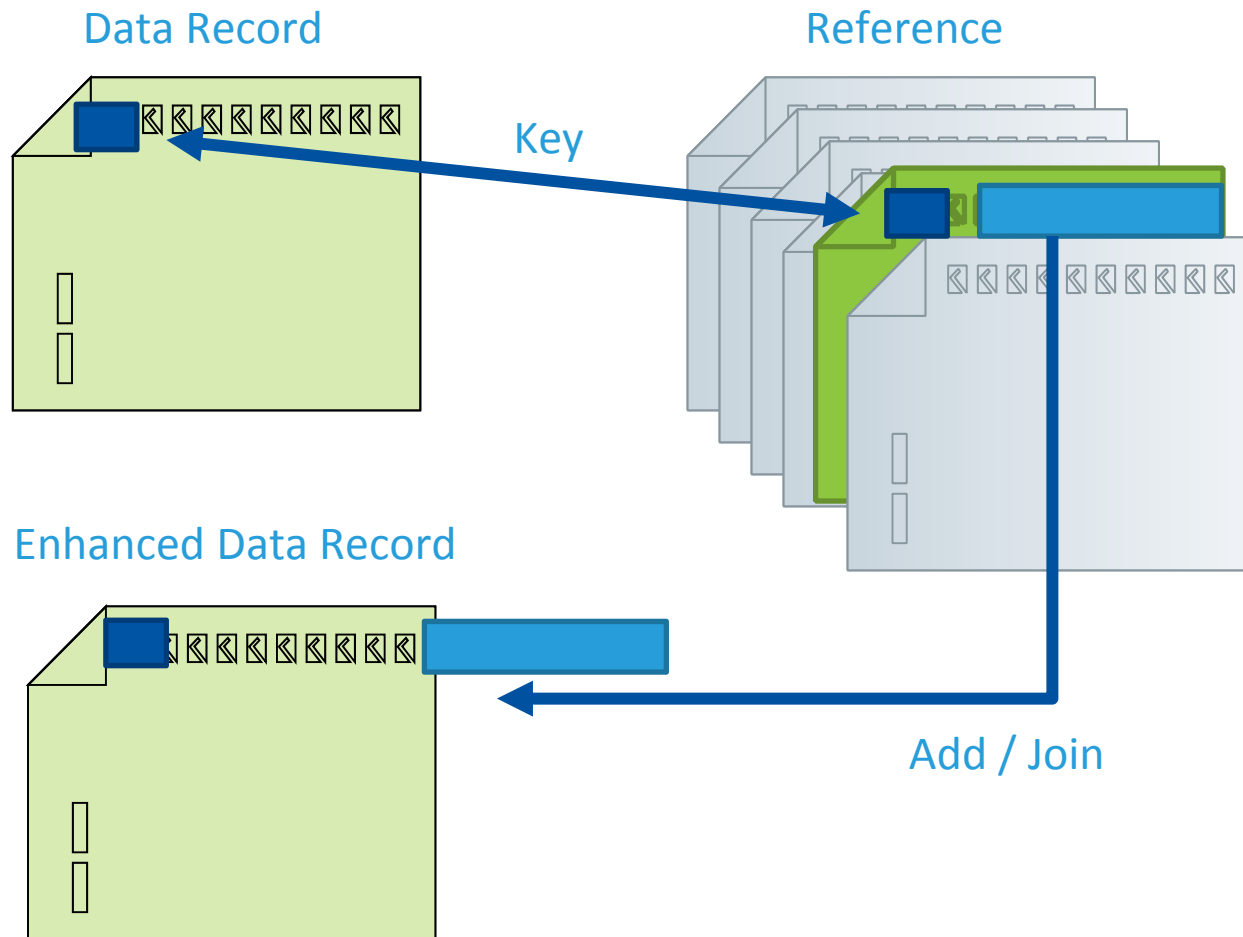


Empty Value Check

- **Empty fields represent unreported data, which is different from “Unknown”**
- **For each field, check for the proportion of records with empty values**
- **If the proportion of empty values is too high:**
 - Discard the metric,
 - Fill in the missing values, or
 - Query a subset of data to exclude the empty values

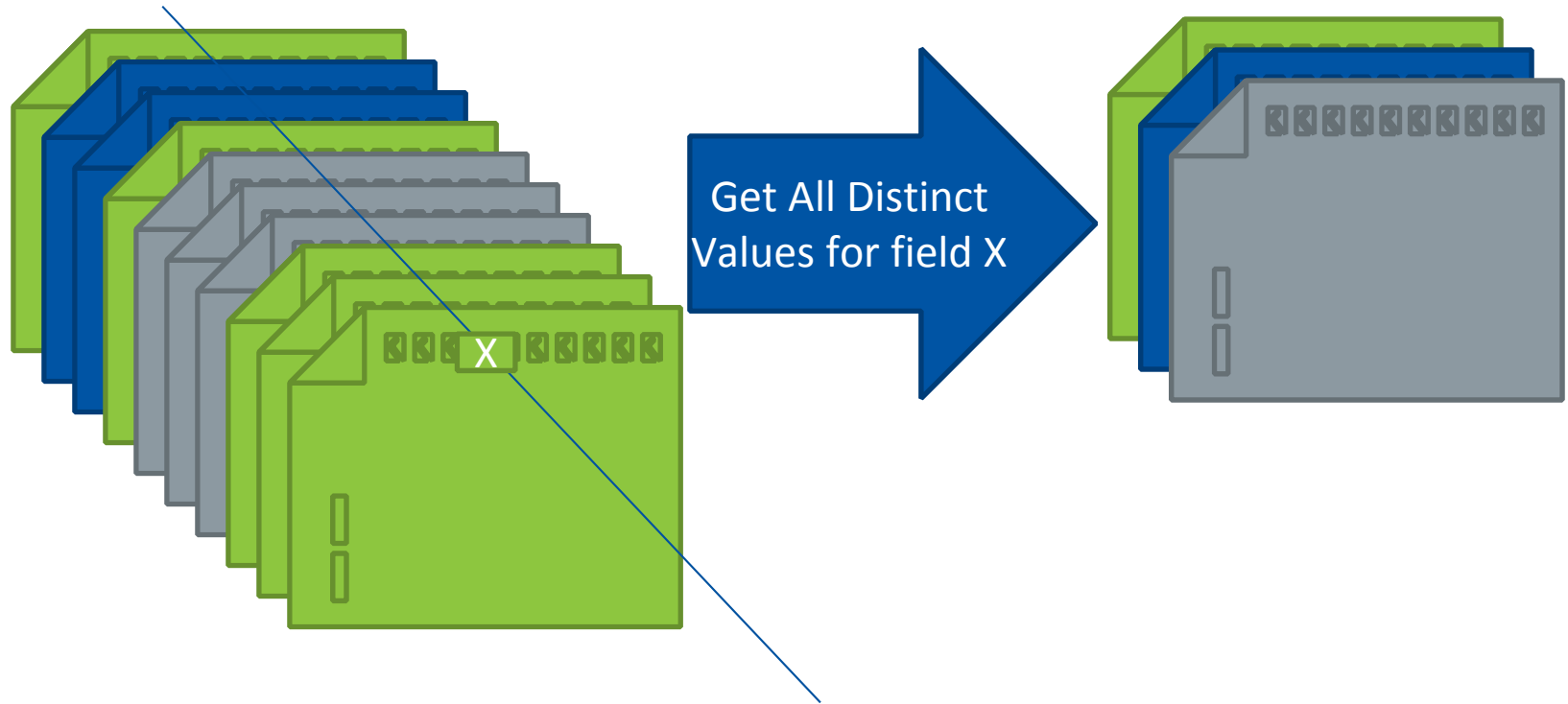


EXCEL VLOOKUP



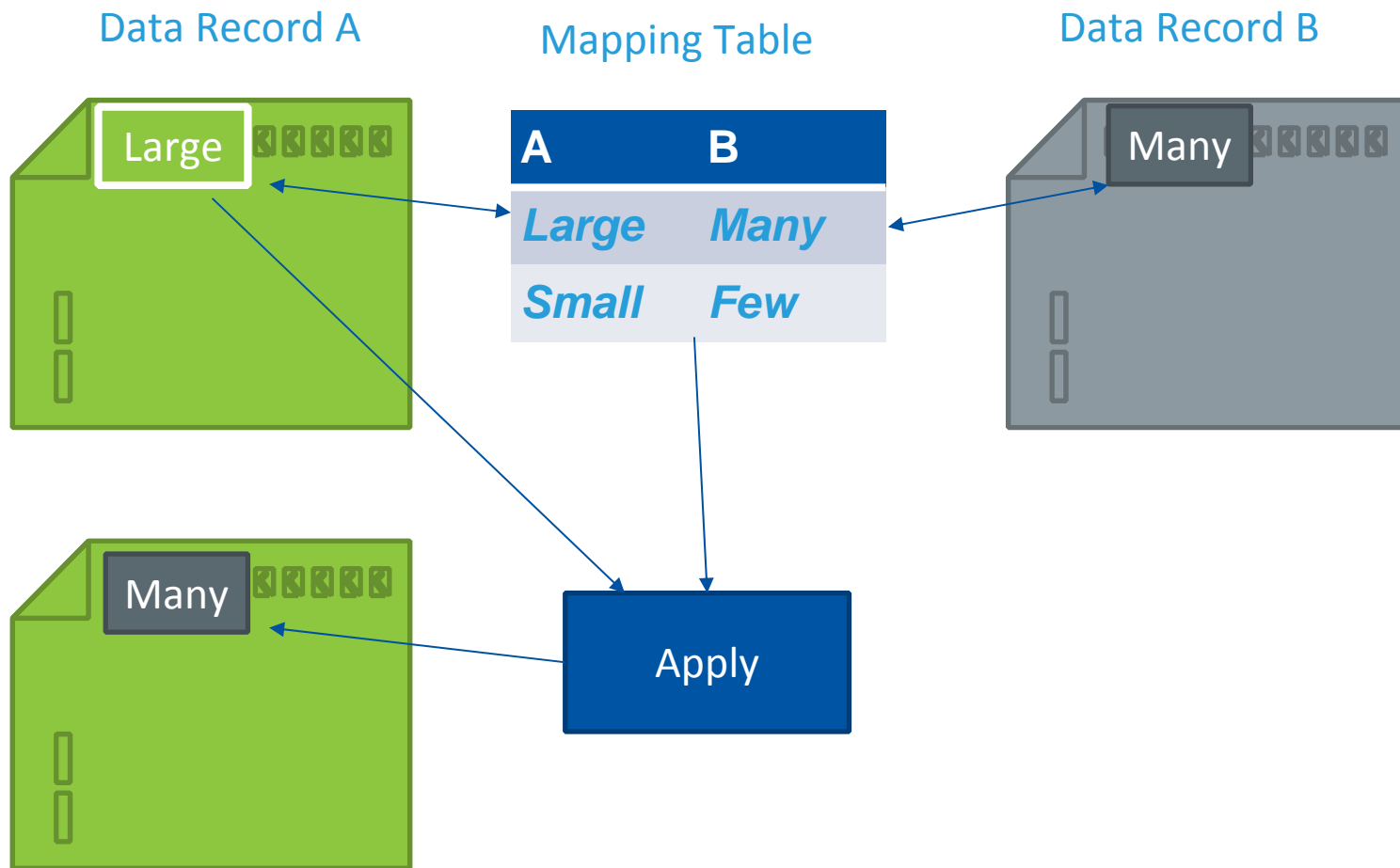


EXCEL DISTINCT Values





Mapping Tables





Exercise

- **Practice EXCEL data query and filter**
 - Join information about States from different tables:
 - Open the Statenames file
 - Add the LEI Map World and the PBN Implementation World
 - Add to each ICAO Member State, the Overall EI and the % of PBN runways
 - What is the coverage of the data?



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Central American
and Caribbean
(NACC) Office
Mexico City

South American
(SAM) Office
Lima

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Headquarters
Montréal

Western and
Central African
(WACAF) Office
Dakar

European and
North Atlantic
(EUR/NAT) Office
Paris

Middle East
(MID) Office
Cairo

Eastern and
Southern African
(ESAF) Office
Nairobi

Asia and Pacific
(APAC) Sub-office
Beijing

Asia and Pacific
(APAC) Office
Bangkok



THANK YOU