



**WORKING PAPER**

AVSEC/FAL/RG/5 — WP/18  
20/05/14

**FIFTH MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL  
GROUP (AVSEC/FAL/RG/5)**

ICAO SAM Regional Office, Lima, Peru, 3 to 5 June 2015

**Agenda Item 7: Training, Cooperation and Assistance  
7.1 Implementation Support and Development Section – Security (ISD-SEC)**

**ANSWER FROM STATES TO ICAO COMMUNICATIONS  
AND COORDINATION AMONG AVSEC/FAL/RG STATES TO DEVELOP A PROCEDURE  
FOR ANSWERING PROPOSALS FOR AMENDMENTS OF ICAO ANNEXES 17 AND 9**

(Presented by the Secretariat)

<b>EXECUTIVE SUMMARY</b>	
This Working Paper proposes the creation of a procedure for receiving NAM/CAR and SAM States answers to State Letters sent by ICAO Secretary General requesting comments to Amendments proposals to ICAO Annex 9 – <i>Facilitation</i> , and Annex 17 - <i>Security</i> , and other related to aviation security and facilitation.	
<b>Action:</b>	Indicated in paragraph 3.1 of this working paper
<i>Strategic Objectives:</i>	Aviation security and facilitation
<i>References:</i>	<ul style="list-style-type: none"><li>• AVSEC/FAL Regional Group (AVSEC/FAL/RG) Programme and Projects Plan</li><li>• Annex 9 – <i>Facilitation</i></li><li>• Annex 17 – <i>Security</i></li></ul>

**1. Introduction**

1.1 According to results of answers received from States of the SAM Region to proposal for Amendment 14 to Annex 17, only 38% of the SAM Region States and 24% of the NAM/CAR Region have answered; and regarding answers to proposal of Amendment 12 to Annex 9, up to 31 March 2015, only 46% of SAM States, and 19% of NAM/CAR States have answered. Though regional offices have sent reminders through official letters and email messages, as well as mentioning the issue during General Directors meetings, results above mentioned demonstrate that less than half of the SAM Region States, and much more less of the NAM/CAR Region have provided agreement comments, with objection, or disagreement to the changes of ICAO Annexes 9 and 17. In most of the cases, regional offices are not copied in answers sent by the States to Headquarters, this to provide follow up to messages received.

## **2. Review**

2.1 To comply with dispositions of Annexes 9 and 17 of Chicago Convention, and based on Articles 37 and 38 of Chicago Convention, States should communicate any disagreement with amendment proposals to the annexes.

2.2 In order to obtain a better answer from NAM/CAR and SAM States, it is being proposed to the AVSEC/FAL/RG that a mechanism for providing answers to the proposals for amendments of ICAO Annexes 9 and 17 be developed, oriented to the elaboration of a guide to respond to changes in these Annexes.

2.3 It is necessary to point out that this initiative will provide the States with a homogeneous tool that could support the opportune delivery of answers to proposals for amendments of ICAO Annexes 9 and 17, with the corresponding references to the regulatory framework of each of the States. Likewise, this initiative has the purpose to define the uniform application of criteria to give answer to the amendments of the referred Annexes, that will enable States of the Region to have more active participation in the annexes changes, and also generating a favourable environment for the implementation of joint activities addressed to a continuous improvement of processes, horizontal cooperation among States, and coordination mechanisms.

2.4 To design this project, the creation of a working group is being proposed, in order to define activities to be carried out, procedures being suggested and the appropriate schedule for each activity, and prepare a work plan to develop this Project with this information, based in the methodology of the Strategic Plan Group, to present results of the assigned task to the Sixth Meeting of the AVSEC/FAL Regional.

## **3. Suggested action**

3.1 The meeting is invited to:

- a) Assess this initiative and consider the need to carry out this Project, which will lead to a more active participation of States in answering proposal of amendments to ICAO Annexes 9 and 17, encouraging a more active participation of NAM/CAN and SAM Regions in changes to international civil aviation regulations ; and
- b) Analyse and approve deadlines for the presentation of this project.