



WORKING PAPER

AVSEC/FAL/RG/5 — WP/ 02
19/05/15

**FIFTH MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL
GROUP (AVSEC/FAL/RG/5)**

ICAO SAM Regional Office, Lima, Peru, 3 to 5 June 2015

Agenda Item 2: Previous Meeting Conclusions
2.1 AVSEC/FAL/RG/4 Meeting

**ICAO/LACAC NAM/CAR/SAM AVIATION SECURITY AND FACILITATION REGIONAL
GROUP (AVSEC/FAL/RG)**

(Presented by the Secretariat)

EXECUTIVE SUMMARY

This working paper presents the progress of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) projects, agreed in its Fourth Meeting.

| | |
|------------------------------|---|
| Action: | Action is presented in Section 3. |
| <i>Strategic Objectives:</i> | <ul style="list-style-type: none">• Security & Facilitation |
| <i>References:</i> | <ul style="list-style-type: none">• State Letters SAM SA0140 and NAM/CAR EMX0226, dated 5 March 2015, on the Fifth ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group Meeting (AVSEC/FAL/RG/5) and Regional Seminar on Aviation Security (AVSEC/SEM/), to be held in Lima, Peru, from 1 to 5 June 2015.• State Letters SAM SA132, dated 3 March 2015, and NAM/CAR EMX0218, dated 2 April 2015, on the Working Group Meeting on the Security Management System (SeMS) of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional (AVSEC/FAL/RG) Group, held in Buenos Aires, Argentina, from 12 to 15 May 2015.• Final Report of the Fourth ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group Meeting (AVSEC/FAL/RG/4), ICAO NACC Regional Office, Mexico City, Mexico, from 3 to 5 June 2014, sent to SAM States on 31 July 2014, and to NAM/CAR States on 28 July 2014, with the conclusions on the AVSEC/FAL/RG/4 Meeting (http://bit.ly/1zokH1o).• State Letters SAM SA123, dated 25 March 2014, and NAM/CAR EMX0225, dated 2 April 2014, on the AVSEC/FAL/RG/4 Meeting.• State Letters EMX1012, EMX0003 and EMX0070, dated 2, 6 and 8 January 2014, on the AVSEC/FAL/RG – Strategic Plan Working Group Meeting, held in Buenos Aires, Argentina, from 10 to 14 March 2014.• State Letter EMX0565, dated 7 July 2014, ICAO Regional Seminar on Facilitation, held in Lima, Peru, from 9 to 12 September 2014. |

1. Introduction

1.1 The AVSEC/FAL/RG/4 Meeting was held at the ICAO Regional Office for North America, Central America and Caribbean (NACC) in Mexico City, Mexico, from 3 to 5 June 2014. Among the 20 participant States, 44 delegates from the NAM/CAR and SAM Regions and one International Organization (ECCAA) attended this event. Once the first triennium of the Chairman and Vice-chairman of the Regional Group has passed, the AVSEC/FAL/RG/4 Meeting elected Mr. Eduardo Cerda, from Chile, as Chairman, and Mrs. Althea Bartley, from Jamaica, as Vice-chairman for the next three years.

1.2 The Secretariat recalled the ICAO strategic objectives of the 2014-2016 triennium, that, besides the aviation security, Facilitation is now also involved in the air transport of passengers, baggage and cargo, urging States to comply with the ICAO Annex 9 – *Facilitation* Standard and Recommended Practices (SARPS), and to take into consideration the implementation of this relevant objective within their own States.

1.3 The Meeting analysed the work programme and, based on the progress and results of the implementation of the projects, as well as in the deliberations and agreements of the Meeting, the Terms of Reference, Programme and Working Projects were updated. The Strategic Plan, developed by the respective working group led by Argentina was reviewed, which methodology will assist in achieving the efficiency and effectiveness of the agreed works. Nonetheless, it was reiterated to the Meeting the importance of their participation and their active commitment to achieve the planned objectives for their own benefit and of all AVSEC/FAL/RG member States.

1.4 The coordination with the Latin American Civil Aviation Commission (LACAC) in this event was emphasized, as well as the work derived from the previous conclusions. A State Letter sent by the ICAO/LACAC Secretariat, dated 28 July 2014, urged States to take note and review the final report of the AVSEC/FAL/RG/4, and to comment and approve the meeting conclusions by 20 August 2014. No adverse comments were received and the conclusions were considered as accepted and approved. The list of approved conclusions is provided in the **Appendix A** to this paper.

2. Progress Achieved since the AVSEC/FAL/RG/4 Meeting

2.1 With respect to Conclusion 4/1, ICAO organized and conducted a *Regional Facilitation Seminar*, from 9 to 12 September 2014, in the SAM Regional Office in Lima, Peru, with the attendance of 65 representatives from 14 States, seven airlines and four International Organizations. As a result of this event, it was identified the need for awareness of FAL matters among the authorities of their States and the compliance with the SARPS. The seminar Conclusions are presented in **Appendix B** to this note. In this regard, LACAC also convened the Air Transport Facilitation Seminar from 9 to 12 March 2015, in Cochabamba, Bolivia.

2.2 Regarding Conclusion 4/2, the *First Symposium on Innovation in Aviation Security* was held from 21 to 23 October 2014 in ICAO Headquarters in Montreal, Canada, where 360 representatives from around 60 States participated. The Symposium analysed and endorsed strategies that allow improving efficiency and effectiveness of the aviation security processes taking advantage of technology. Likewise, it offered a platform to provide the opportunity of promoting and evaluating innovation measures that allow facing present and future difficulties on aviation security matters.

2.3 In relation with Conclusion 4/3, ICAO convened the *Tenth Symposium and Exhibition on ICAO Machine Readable Travel Documents, Biometrics and Border Security*, carried out in Montreal, Canada, from 7 to 9 October 2014, with the participation of over 505 delegates from 86 States and seven international organizations. Therein, the importance of the ICAO Traveller Identification Programme (ICAO TRIP) strategy, the appropriate use of the electronic verification toolkit and information integrity were reiterated; as well as the importance of the civil registry and population vital statistics, and the identity evidence in the States.

2.4 Concerning Conclusion 4/4 on the *Course on Instructor Familiarization in the Regions*, related with the *Detection of Suspicious Behaviour Individuals Course*, the Aviation Security Training Centre/Centro Internacional de Instrucción de Aeropuertos y Servicios Auxiliares “Ingeniero Roberto Kobeh González” (ASTC/CIASA) experts are in the process of reviewing the course material to complete the translation and delivered it during the next Regional Group Meeting.

2.5 As a result of the Conclusion 4/5, the AVSEC/FAL/RG shared the Report on the Homogenization of Standards and Procedures Related to Certification and Oversight of AVSEC Instructors/Certification of AVSEC Instructors. The Secretariat expects that this guidance material to be discretionarily implemented by the AVSEC/FAL/RG member States. LACAC in turn will inform in the next meeting the resolution of its Executive Committee on this matter.

2.6 Regarding Conclusion 4/6 and the implementation of Annex 9 -- *Facilitation* and MRTD Standards, the Meeting decided to extend the deadline to deliver the Secretariat questionnaire on these matters, project led by Dominican Republic. Although it was verified that all States of the NAM/CAR Regions issue machine readable passports, still some NAM/CAR States have not sent the corresponding questionnaire. Furthermore, it can be seen that not yet all States have established communication channels between Civil Aviation Authorities and the authorities in charge of the issuing passports within their States.

2.7 On Conclusion 4/7, LACLAC will provide in the next AVSEC/FAL/RG meeting the information received of States on Facilitation best practices, which will be published in the LACAC Website.

2.8 Regarding Conclusion 4/8, as a permanent task, the task Group led by Argentina will continue verifying the application of the strategic plan and its methodology in the AVSEC/FAL/RG projects, and will present the results in the next Meeting of the group.

2.9 In relation to Conclusion 4/9, considering that the issue presented on Air Traffic Management Systems Interference involves other civil aviation areas in addition to AVSEC, representatives were asked to bring the concern set out in AVSEC/FAL/RG/4 – WP/17 to their States to be studied, and present their conclusions to the AVSEC/FAL/RG Secretariat at the next meeting of the group.

2.10 With respect to Conclusion 4/10 on the Standardization of Passenger and Carry-On Bag Inspection Procedures Report, the Meeting concluded that the Secretariat raise the project to the LACAC Executive Committee, in order that a resolution be made and presented to the States as a guideline document to be used in the region. LACAC will present the results at the next meeting of the group.

2.11 Finally, the Meeting took note of the experiences of some States that highlighted problems related to the implementation of an Aviation Security Management System (SeMS); difficulties of risks analysis were also raised. The latest matter requires coordination with several government entities, which could lead to possible duplication of responsibilities and a difficulty to obtain information related to deficiencies of the operators. The Meeting decided to create a Task Group, led by Colombia, to conduct the assessment.

2.12 Through State Letters SAM SA132, dated 3 March 2015, and NAM/CAR EMX0218, dated 2 April 2015, was issued the invitation to the Working Group Meeting on the Security Management System (SeMS) of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional (AVSEC/FAL/RG) Group, supported by the Policía de Seguridad Aeroportuaria (PSA) and the Aviation Security Training Centre/Centro de Centro de Instrucción, Perfeccionamiento y Experimentación (ASTC/CIPE) in Argentina, from 12 to 15 May 2015, which results will be provided in the AVSEC/FAL/RG/5 meeting.

3. **Conclusion**

3.1 That in order to share information and benefit from the successful results obtained in the different projects and guidance material developed, which will result in the improvement of their own national aviation security and facilitation systems, as well as in the region, Civil Aviation Authorities are urged to support the participation of their AVSEC and FAL units of their States in the AVSEC/FAL/RG activities.

4. **Suggested action**

4.1 The appropriate Aviation Security (AVSEC) and Facilitation (FAL) from the NAM/CAR and SAM regions are invited to support their AVSEC and FAL units so they can participate in an active and committed way to the AVSEC/FAL/RG activities for the benefit of their own States, and of the region.

APPENDIX A

AVSEC/FAL/RG/4 MEETING CONCLUSIONS

Conclusion 4/1 Regional Facilitation Seminar scheduled from 9 to 12 September 2014

That the States send their facilitation experts to the Regional Facilitation Seminar to be held at the ICAO Regional Office in Lima, Peru, from 9 to 12 September 2014, and extend the invitation to aircraft and airport operators, border control authorities, and other interested authorities so that they also participate in the seminar.

Conclusion 4/2 Symposium on Innovation in Aviation Security

That States encourage the corresponding authorities to participate in the Symposium on Innovation in Aviation Security to be held in Montreal, Canada, from 21 to 23 October 2014.

Conclusion 4/3 Regional Facilitation Seminar, and Symposium and Exhibition on MRTDs, Biometrics and Border Security

That States encourage their appropriate Facilitation authorities, aircraft and airport operators, border control authorities, and other interested authorities at airports to participate in the Regional Facilitation Seminar planned in September 2014 in Lima, Peru, and in the Symposium and Exhibition on MRTDs, Biometrics and Border Security to be held in Montreal, Canada, from 7 to 9 October 2014.

Conclusion 4/4 Course on Instructor Familiarization in the Regions

That, with the support of ASTC/CIASA, a course to familiarize instructors in the regions be conducted, and that the course material be presented to the AVSECP after translation into English by ASTC/CIASA by the first week of November 2014.

Conclusion 4/5 Report on the Homogenization of Standards and Procedures Related to Certification and Oversight of AVSEC Instructors

That:

- a) the model presented in the Appendix to WP/06 could be used, with the adaptation of States, for bilateral agreements between States in the Region; and
- b) LACAC will process and publish the document through a resolution or recommendation by its Executive Committee to the States.

Conclusion 4/6 Facilitation and Machine Readable Travel Document (MRTD) Questionnaire

That, all States complete the updated Facilitation and MRTD Questionnaire in coordination with the relevant subject competent authorities within their States, and provide the AVSEC/FAL/RG Secretariat with the information by **15 August 2014**.

Conclusion 4/7 Facilitation Best Practices Database

That, Facilitation best practices received from States through the AVSEC/FAL/RG Secretariat will be published on the LACAC website.

Conclusion 4/8 ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) Strategic Plan

That the Task Force continue monitoring Strategic Plan implementation and its methodology of AVSEC/FAL/RG projects.

Conclusion 4/9 Air Traffic Management Systems Interference

That:

- a) the Secretariat of the ICAO/LACAC AVSEC/FAL/RG study the issue with their ATM and CNS experts; and
- b) that the representatives bring the concern set out in WP/17 to their States to be studied and present their conclusions to the AVSEC/FAL/RG Secretariat at the next meeting.

Conclusion 4/10 Standardization of Passenger and Carry-On Bag Inspection Procedures Report

That:

- a) the Secretariat elevate the project to the LACAC Executive Committee in order to create a resolution for submission to States as a guidance document for regional use;
- b) a 60 working-day deadline be established so States can present their contributions to the document; and
- c) continue phase two of the project.

APPENDIX B

REGIONAL NAM/CAR AND SAM FACILITATION SEMINAR CONCLUSIONS

1. Attention by the State to the establishment, approval and implementation of the Air Transport Facilitation National Programmes.
2. Attention by the State to the establishment and implementation of the Air Transport Facilitation National/Airport Committees.
3. Incorporation of the different airport entities in Facilitation awareness and activities.
4. That States civil aviation and competent authorities ensure the timely distribution of Facilitation documentation and circulars issued by ICAO to all entities involved in this issue within their States.
5. That States ensure, with the ICAO Regional Office to which the State is accredited, the update of Facilitation Points of Contact in the different entities involved.
6. The next (Fourth) Facilitation Regional Seminar, in case that Colombia cannot confirm sponsorship as offered during the Fourth Meeting of the ICAO/CLAC AVSEC/FAL Regional Group, will take place in the ICAO NACC Regional Office, tentatively on 01 to 04 September 2015.
7. States seeking to implement a passenger data exchange program, either API or PNR, are encouraged to comply with existing standards adopted by ICAO, the World Customs Organization and IATA, and to coordinate with other interested stakeholders as early in the process as practicable. Further information can be obtained from IATA: davidsonr@iata.org
8. States are encouraged to participate in Facilitation Panel meetings; the 8th meeting will take place from 24-28 November 2014, in Montreal, Canada.
9. States should implement TRIP strategy and are encouraged to join the PKD.

— END —