



**INTERNATIONAL CIVIL AVIATION ORGANIZATION**

*A United Nations Specialized Agency*

**ICAO Regional FAL Seminar  
Lima, Peru  
9-12 September 2014**

**ICAO Traveller Identification  
Programme (TRIP)**

10 September 2014

# ICAO TRIP: OVERVIEW



1. BACKGROUND
2. TRIP STRATEGY
3. DEVELOPMENTS

# 1. BACKGROUND



- Doc 9303: Machine Readable Travel Documents (TAG/MRTD)
- Doc 9303 standard formats:
  - clearance of persons
  - document security
  - strengthen aviation security
  - combat illegal migration & terrorism, etc.
- ICAO-compliant TDs secure: latest generation difficult to forge
- **BUT:** vulnerability in identification management . . . .

# 1. BACKGROUND



..... vulnerability in identification management

- Insecure documents used for passport application/issuance

## IDENTITY FRAUD

- False identities → Genuine documents
- TAG/MRTD work: expanded to include identification management
- Expansion of the work of the MRTD Programme
- Approval of a new ICAO TRIP Strategy by A38

## 2. ICAO TRIP



- Vision:

*all Member States can uniquely **identify individuals***

- ICAO's mission:

*contribute to the **capacity** of Member States to uniquely identify individuals by providing authorities with relevant **supporting mechanisms** to **establish and confirm the identity of travellers***

## 2. ICAO TRIP



### 5 Elements of Traveller Identification Management

#### 1. Evidence of identity:

→ involves tracing, linkage & verification of identity against **breeder documents**

→ to ensure **genuineness of identity**

#### 2. MRTDs:

→ design and manufacture of standardized MRTDs that comply with ICAO specifications

#### 3. Document issuance and control:

→ **processes** for document issuance by appropriate authorities to authorized holders; **controls** to prevent theft, tampering, loss

## 2. ICAO TRIP



### 5 Elements of traveller identification management

#### 4. Inspection systems and tools:

→ inspection systems for efficient and secure **reading and verification** of MRTDs

→ use of the **ICAO Public Key Directory**

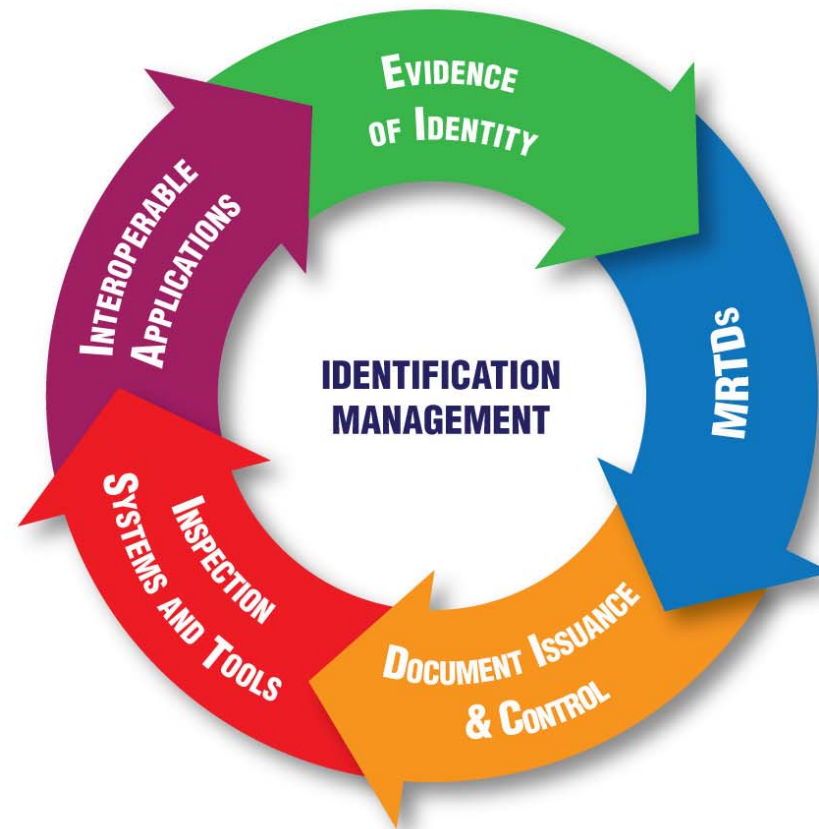
#### 5. Interoperable applications:

→ globally interoperable applications **linking** MRTDs and holders to relevant **data** in the course of inspection operations

## 2. ICAO TRIP



# Five elements/dimensions



## 2. ICAO TRIP



| OUTCOME   | TRAVELLER IDENTIFICATION ELEMENTS                         | 2013   | SHORT-TERM MILESTONES 2014-2016   | MID-TERM MILESTONES 2017-2019                   | LONG-TERM MILESTONES 2020 AND BEYOND              |
|---|---|--|---|---|---|
| Holistic identification management  | All   | ICAO TRIP Strategy is approved   | Identification management is a best practice  | Identification management is widely implemented | Member States optimally manage traveller identity |
| Completion of Machine Readable Passports (MRPs) implementation                      | Document issuance and control                             | <b>Member States have begun issuing MRPs (Standard 3.10)</b>                             | Only MRPs are issued  | Resolution of outstanding issues                | <b>Completed</b>                                  |
| Compliance with travel document Standards and specifications (Annex 9 and Doc 9303) | All   | ICAO audits security-related Annex 9 Standards; ad hoc assessment of Doc 9303 compliance | Doc 9303 compliance mechanism is developed  | Doc 9303 compliance mechanism is implemented    | Doc 9303 compliance is assessed and reported      |
| Implementation of ePassports  | Document issuance and control, interoperable applications | Approximately 100 ePassport issuers, <a href="#">ePassport Recommended Practice</a>      | ICAO model roadmaps established for State implementation of ePassports; and an ePassport Standard | Standard on ePassports is approved              | All passports are ePassports                      |
| Travel document inspection using <a href="#">Automated Border Controls (ABCs)</a>   | Inspection systems and tools, interoperable applications  | ABCs in use  | Use of ABCs expands   | Use of ABCs is widespread                       | Use of ABCs in all Member States                  |
| Maximize level of ICAO PKD participation  | Inspection systems and tools, interoperable applications  | <b>Recommended Practice 3.9.1 on joining the PKD</b> (35 participants)                   | Number of participants increases  | Number of participants increases                | Participation in the ICAO PKD is comprehensive    |

## 2. ICAO TRIP



### ICAO TRIP Relevance to UN CT Strategy Security Council Resolution 1373 (2001)

*“The Security Council . . .*

*2. Decides also that all States shall:*

**(g) Prevent the movement of terrorists or terrorist groups by effective border controls and controls on issuance of identity papers and travel documents, and through measures for preventing counterfeiting, forgery or fraudulent use of identity papers and travel documents.”**

## 2. ICAO TRIP



# ICAO TRIP Relevance to UN CT Strategy Security Council Resolution 1624 (2005)

*“The Security Council,*

*2. Calls upon all States to cooperate, inter alia, to strengthen the security of their international borders, including by combating fraudulent travel documents and, to the extent attainable, by enhancing terrorist screening and passenger security procedures with a view to preventing those guilty of committing terrorist acts from entering their territory”*

# 3. Developments



## Assistance to States: needs

Enormous **challenges and gaps** in developing and fragile countries:

- Weak **capacity** to uniquely identify individuals for the purposes of border controls, terrorism prevention and law enforcement
- Lack of **robust identification management** systems
- **Identity and travel document fraud** used for engaging in terrorism and organised trans-border crime

# 3. Developments



- Staff lacking **competences, skills** and tools
- Lack of trust and technical means required for effective **inter-agency and cross-border cooperation**
- Inadequate traveller identification management and border controls
- Considerable **mobility of cross-border** populations

## 3. Developments



### ICAO TRIP Implementation

The south american activities carried out during the three-year project (December 2011 — March 2014) between ICAO and **the Organization of the American States, Inter-American Committee Against Terrorism (OAS/CICTE)** on Capacity Building in Travel Document Security and Identification Management in the Americas, funded by the Government of Canada, included:

# 3. Developments



- **Six Sub-regional workshops:** Colombia (2013) and Chile (2013);
- **Eight Gap Assessment Missions:** Paraguay (2013), Peru (2013), and Uruguay (2014)
- **Final Hemispheric Workshop** Miami (2014): 79 Delegates participated from 32 States and 3 International Organizations: INTERPOL, Inter-American Committee against Terrorism of the Organization of American States (OAS/CICTE) and SICA.

# 3. Developments



## FUTURE

- TRIP-related project proposals for the Americas region has been sent to donors. Indications of ways to move forward will be received in due course.

# ICAO TRIP



QUESTIONS?