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For
On-Line Data Interchange
(OLDI)
Edition 4.1**

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Abstract

This specification describes the requirements and message formats for the execution of notification, co-ordination and transfer of communication to flights between air traffic control units by the use of electronic data transfer between flight data processing systems.

Keywords

OLDI	Messages	Co-ordination	Bilateral Agreement
SYSCO	Communication	Letter of Agreement	Air Traffic Services
ADEXP	Transfer	Flight Data Processing Systems	Specification

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DOCUMENT APPROVAL

This document represents the approved version of the EUROCONTROL Specification for On-Line Data Interchange (OLDI) Edition 4.1.

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DOCUMENT CHANGE RECORD

The following table records the complete history of the successive editions of the present document.

Edition	Date	Reason for Change	Sections / Pages Affected
1.0	12/92	First released OLDI Standard	
2.2	9/98	Released issue incorporating all comments received during the approval and endorsement stages.	Whole Document
2.3	12/01	Incorporation of Amendment 1 to Edition 2.2 – inclusion of aircraft capability data	ABI, ACT, REV, Annex A
Working draft 2.56	3/1/2002	Incorporation of changes agreed at the Nov 2001 meeting of the OLDI Task Force	Multiple
Working draft 2.6	15/4/2002	Re-draft to formalise requirements and incorporation of some changes agreed at the Jan. 2002 meeting of the OLDI Task Force	Multiple
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Working draft 2.62	14/10/02	Discussions with Hungarocontrol	
Working draft 2.63	1/1/2003	Comments from IDTF/1 Meeting	Multiple
Working draft 2.64	15/4/2003	Comments from IDTF/2 Meeting + addition of RRQ and RLS messages	Multiple
Working draft 2.65	6/6/2003	Comments from IDTF/3 Meeting + addition of RTI message	Multiple
Working draft 2.66	31/7/2003	Comments from IDTF/4 Meeting + addition of TIP message	Multiple
Working draft 2.67	12/10/2003	Comments from IDTF/5 Meeting + addition of LOF and NAN message	Multiple
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Proposed Issue 3.01	01/04/2007	Update of the EUROCONTROL On-Line Data Interchange (OLDI) Specification	Multiple
Proposed Issue 3.02	25/05/2007	Update of the EUROCONTROL On-Line Data Interchange (OLDI) Specification for ORAS-TF and internal comments	Multiple
Released Issue 4.0	20/10/07	Update of the EUROCONTROL On-Line Data Interchange (OLDI) Specification for the formal consultation comments	Multiple
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FOREWORD

1 Responsible Body

The EUROCONTROL Specification for On-Line Data Interchange (OLDI), Edition 4.1, has been prepared by the Directorate ATM Programmes, EUROCONTROL, which is also responsible for the update of the document. All comments or enquiries should be addressed to Director General, EUROCONTROL, Rue de la Fusée, 96, B-1130 Bruxelles, for the attention of the DAP/ATS business division.

2 Relationship with Regulatory Framework

The implementation and operational use of the notification, co-ordination and transfer processes are prescribed in EUROCONTROL or Community regulatory material (i.e. European Commission implementing rules) depending on the area of applicability of these processes. A relevant example for OLDI is the European Commission Regulation 1032/2006 laying down requirements for automatic systems for the exchange of flight data for the purpose of notification, co-ordination and transfer of flights between Air Traffic Control Units.

This document does not mandate the implementation of OLDI messages but specifies the requirements that need to be met when implementing such facilities. If OLDI messages are implemented as the result of regulatory provisions, or based on bilateral agreement between Air Traffic Control Units, then the requirements outlined as mandatory in this specification for those messages become mandatory for implementation. This is required in order to meet the purpose of the messages and to ensure interoperability between systems.

3 Editorial Practices

The following editorial practice has been followed in the writing of the requirements:

- Requirements using the operative verb "shall" are mandatory to claim compliance with the Specification;
- For the Recommended Requirements the operative verb "should" is used;
- For the Optional Requirements the operative verb "may" is used.

Every requirement within this document follows a pre-defined format, namely:

OLDI-[procedure / message]-[nnn]-[M | R | O] [Text of requirement]

where:

- [procedure / message]: 3-5 characters, which identifies the procedure or message to which the requirements apply, e.g. ACT;
- [nnn]: numeric, which identifies the sequence number of the requirement;
- [M | R | O]: M (mandatory), R (recommended), O (optional), which identifies the type of requirements

Requirements may be followed by a free text note to give additional explanation.

4 Relationship to Edition 2.3 of the EUROCONTROL Standard Document for On Line Data Interchange

This Specification when approved will supersede Released Edition 2.3.

5 Significant Changes from Edition 2.3

The following are the most significant changes and additions from Edition 2.3

The status of this document has changed from an EUROCONTROL Standard to an EUROCONTROL Specification.

1. The following sections have been included:
 - Ground / Ground Situational Awareness, with the INF, BFD and CFD messages;
 - Civil / Military Co-ordination, with XIN, XRQ, XAP and XCM messages.
 - The following messages have been included:
 - Skip Communication message (SCO);
 - Skip Cancellation message (SKC);
 - Arrival Management message (AMA);
 - Clearance Request message (CRQ);
 - Clearance Response message (CRP);
 - Basic Flight Data message (BFD);
 - Change To Flight Data message (CFD);
 - Crossing Intention Notification message (XIN);
 - Crossing Clearance Request message (XRQ);
 - Crossing Alternate Proposal message (XAP);
 - Crossing Cancellation message (XCM);
 - Release Request message (RRQ);
 - Release message (RLS);
 - Tactical Instructions Proposal message (TIP);
 - Request Tactical Instructions message (RTI);
 - Logon-On Forwarding message (LOF);
 - Next Authority Notified message (NAN);
 - Request Oceanic Clearance message (ROC);
 - Oceanic Clearance message (OCM).
2. Existing messages have been updated:
 - ABI message.
3. The annexes have been updated accordingly, notably the ICAO and ADEXP fields and formats.
4. Each requirement has received a unique identifier, as described in the section 3 of the Foreword.
5. For the equipment capability and status, facilities have been added for RNAV and Mode S.
6. Document has been restructured in order to align it with the European Commission regulation 1032/2006 requirements for automatic systems for the exchange of flight data for the purpose of notification, co-ordination and transfer of flights between air traffic control units. A part of the EUROCONTROL On-Line Data Interchange is planned to become Means of Compliance for the above mentioned regulation while the other part will continue to have the status of an EUROCONTROL Specification only. In order to facilitate the reference of this document Part A covers the processes specified in the Regulation 1032/2006 while Part B covers the additional data exchanges.
7. An annex has been added for the test and validation procedures.
8. An annex has been added for the Traceability Matrix from the European Commission Regulation 1032/2006 to the EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1.

6 Relationship to Other Documents

This document makes reference to the use of two types of field format in the compilation of messages; these are ICAO and ADEXP.

- ICAO field formats are described [PANS-ATM]. In the event that [PANS-ATM] is superseded by another document, the definition of ICAO field types shall be as described in that document.
- ADEXP field formats are described in [ADEXP].

Referenced documents are listed at Section 2.

1. INTRODUCTION

1.1 Purpose

This document has been produced at the European Commission request, with a target to be recognised as Means of Compliance as Community Specification for the European Commission Implementing Rule- Regulation 1032/2006.

Flights which are being provided with an ATC service are transferred from one ATC unit to the next in a manner designed to ensure complete safety. In order to accomplish this objective, it is a standard procedure that the passage of each flight across the boundary of the areas of responsibility of the two units is co-ordinated between them beforehand and that the control of the flight is transferred when it is at, or adjacent to, the said boundary.

Where it is carried out by telephone, the passing of data on individual flights as part of the co-ordination process is a major support task at ATC units, particularly at Area Control Centres (ACCs). The operational use of connections between Flight Data Processing Systems (FDPSs) at ACCs for the purpose of replacing such verbal "estimates", referred to as On-Line Data Interchange (OLDI), began within Europe in the early nineteen eighties.

In order to facilitate implementation, common rules and message formats were elaborated and agreed by the agencies concerned and incorporated in Edition 1 of the EUROCONTROL Standard for On-Line Data Interchange; this document, Edition 4, has been produced to meet the requirements of EATM and to incorporate feedback received as a result of the implementation of earlier versions.

1.2 Scope

This document specifies the facilities and messages to be provided between FDPSs serving ATC units for the purpose of achieving:

- the notification of flights;
- the co-ordination required prior to the transfer of flights from one unit to the next;
- the civil / military co-ordination;
- situational awareness;
- the transfer of communication of such flights;
- support to air-ground data link;
- co-ordination between Area Control Centres and Oceanic Control Centres.

This document:

- defines the message formats and rules for the content;
- describes the facilities required at such units which are prerequisite to the use of data interchange for this purpose.

It is recommended that the data flows specified in this document be used for the internal system interfaces between units served by the same system to facilitate interoperability between internal and external units.

- OLDI-GEN-10-R** This Specification **should** be applied by ANSPs to OLDI facilities between units providing an ATC service.
- OLDI-GEN-20-O** The operational data within the messages defined within this Specification **may** be applied within systems which provide service to more than one ATSU.
- OLDI-GEN-30-O** The operational data within the messages defined within this Specification **may** be applied between systems used by other types of units than ATSUs (i.e. Military units, Airports, etc.).

2. REFERENCES

2.1 ICAO Document 4444- Procedures for Air Navigation Services Air Traffic Management (PANS-ATM)

The following document contains provisions which, through reference in this text, constitute provisions of this EUROCONTROL Specification:

[PANS-ATM] Procedures for Air Navigation Services - Air Traffic Management, ICAO Document 4444, Fourteenth Edition dated 1 November 2001, as amended.

At the time of publication of this EUROCONTROL Specification, the editions indicated for the referenced documents were valid.

OLDI-REF-10-M Any revision of the referenced ICAO documents **shall** be immediately taken into account to revise this EUROCONTROL Specification.

***Note:** The revision of this document will be done in accordance with the agreed update mechanism for the OLDI Specification.*

OLDI-REF-20-M Revisions of the other referenced documents **shall** form part of the provisions of this EUROCONTROL Specification after they are formally reviewed and incorporated into this EUROCONTROL Specification.

OLDI-REF-30-M In the case of conflict between the requirements of this EUROCONTROL Specification and the contents of other EUROCONTROL documents, this EUROCONTROL Specification **shall** take precedence.

2.2 EUROCONTROL Documents

The following EUROCONTROL document is referenced in this Specification:

[ADEXP] EUROCONTROL Specification for ATS Data Exchange Presentation, Edition 3.0, dated 2007.

3. DEFINITIONS AND ABBREVIATIONS

3.1 Message Field Definitions

The data fields which are given in each of the messages in this document are described in Annex A. This Annex makes reference either to [PANS-ATM] or [ADEXP] and describes the derivation of the content in general. Any unique requirements applicable to a particular message or circumstance are specified in the description of the message concerned.

OLDI-GEN-40-M The requirements for the format and content of data fields described under the 'Data Content' paragraph for each message **shall** be as described in Annex A unless described specifically for the message concerned.

3.2 Definitions - General

OLDI-GEN-50-M For the purposes of this EUROCONTROL Specification, the following definitions **shall** apply.

Accepting Unit:	The Air Traffic Control unit next to take control of an aircraft.
Acknowledgement:	Notification that a message has been received and found to be correctly processable.
Activation:	The process in a receiving ATC unit whereby the flight plan for the referent flight is upgraded to include the data provided by the transferring unit as part of the co-ordination process between the two units and which results in the provision of the data to controllers.
ADEXP Format:	One of the formats utilised for the ground - ground transmission of ATS messages and which uses the field types and separators described in [ADEXP].
Altitude:	The vertical distance of a level, a point or an object considered as a point, measured from mean sea level.
Application:	That part of an ATS sub-system that conforms to this specification and interfaces with such entities in other ATS systems.
Area of Responsibility:	An airspace of defined dimensions within which an ATC unit provides air traffic services.
Area of Interest	The airspace volume for which the ATC unit requires information such as entering flights, airspace status, etc. This area includes the area of responsibility and its vicinity.
Association:	A procedure in which a system connects a received OLDI message with a flight plan entry in the database.
ATC Unit:	ATC Unit means variously area control centre, approach control unit or aerodrome control tower.
Automated acceptance:	Acceptance of the co-ordination/transfer conditions as a result of an automated system processing.
Availability:	The degree to which the system or component is operational and accessible when required for use.
Boundary:	The planes (lateral and vertical) delineating the area of responsibility of an ATC unit.
Cleared Flight Level:	The flight level to or at which a flight has currently been cleared by ATC.
Community Specification:	Means of defining the technical and operational conditions necessary to meet the essential requirements and relevant implementing rules for interoperability; compliance with published Community Specifications, which remains voluntary, creates a presumption of conformity with the essential

	requirements and relevant implementing rules for interoperability
Co-ordination, ATC:	Co-ordination between Air Traffic Control Units of the planned passage of flights across the common boundary, in order to ensure flight safety.
Co-ordination Message:	A generic term referring to a message used for accomplishing ATC co-ordination. These include the CDN which is a specific message described in paragraph 8.8.
Co-ordination Phase:	In respect of a given flight, the phase during which the transferring and receiving ATC units agree the conditions under which a flight will pass from the control of one to the other.
Co-ordination Point:	A point on or adjacent to the boundary known by the ATC units in a co-ordination sequence and referred to in co-ordination messages.
Correlation:	The process of linking flight plan data and the radar track of the same flight.
Departure Flight Level:	The flight level to which the flight is initially to climb issued as part of a departure clearance.
EUROCONTROL Specification:	Detailed technical specification for physical characteristic, configuration, material, performance personnel or procedure, the compliance with which is recognised as meeting requirements of safe and efficient systems and services related to ATM in the EUROCONTROL Member States, as defined by EUROCONTROL regulatory material.
Executive Control:	Executive control is performed by the controller having executive responsibility of separation of flight.
Flight Plan:	Specified information provided to air traffic service units, relative to an intended flight or portion of flight of an aircraft.
Generate:	A process in an ATC system where relevant data are extracted from the data base(s) and a message is created for transmission to a receiving ATC unit.
ICAO Format:	One of the formats utilised for the ground - ground transmission of ATS messages and which uses the field types and separators described in [PANS-ATM].
Letter of Agreement:	Document that specifies the exchange of flight data and the associated procedures between ATC Units for the purpose of notification, co-ordination and transfer of flights or for information exchange for flights for which the responsibility of the control does not change.
Level:	A generic term relating to vertical position of an aircraft in flight; within this Specification the term level or flight level includes altitude in those cases where it is used.
Manual acceptance:	Acceptance of the co-ordination/transfer conditions as a result of a controller action.
Notification:	Transmission by the transferring unit of data to update the system at the receiving unit in preparation for the co-ordination phase.
Non standard conditions:	Conditions that are not in accordance with the ones specified in Letters of Agreement.
Operational data:	Data of interest to operational staff in connection to the provision of ATC service as distinct from message control data such as the message type and number.
Receiving Unit:	The ATC unit who receives data.

Reliability:	The probability that the ground installation operates within the specified tolerances.
Requested Flight Level:	A flight level requested by the flight in the flight plan.
Revision:	An amendment to data sent previously by the transferring ATC unit to the receiving ATC unit.
Sending Unit:	The ATS Unit who sends the data.
Standard conditions:	Conditions that are in accordance with the ones specified in Letters of Agreement.
Supplementary Crossing Level:	A level, at or above which, or at or below which a flight has been co-ordinated to cross the transfer of control point. The supplementary level, if present, is an element of the exit level.
System Flight Plan:	Information derived from the flight plan of a specific flight held within an FDPS.
Time-out;	A mechanism applied at the sending and at the receiving units in order to synchronise the reply to messages that are referred to the controller.
Transaction Time:	A time interval following the initiation of a message during which transmission, initial processing in the receiving system, generation and transmission of an acknowledgement message, and its identification in the transferring system are performed.
Transfer Flight Level:	The flight level agreed during the co-ordination if in level flight, or the cleared flight level to which the flight is proceeding if climbing or descending at the co-ordination point.
Transfer of Control Point:	<p>A point, on the flight path of an aircraft, at which the responsibility for providing ATS to the aircraft is transferred from one ATC unit to the next.</p> <p>Note: <i>The transfer of control point is not necessarily coincident with the co-ordination point.</i></p>
Transfer Phase:	A phase of flight following the co-ordination phase, during which the transfer of communication is executed.
Transferring Unit:	ATC unit in the process of the transferring the responsibility for providing an air traffic control service to an aircraft to the next ATC unit along the route of flight.
Transmit:	Communicate a message from one system to another.
Transmission error:	Any error associated to a message that prevents the processing of that message in the receiving unit.
Warning:	A message displayed at a working position when the automated co-ordination process has failed.

3.3 Abbreviations

OLDI-GEN-60-M The following abbreviations **shall** apply for the purposes of this document.

ABI	Advance Boundary Information message
ACC	En-Route Air Traffic Control Centre
ACM	ATC Communication Management
ACP	Accept message
ACT	Activate message
ADEXP	ATS Data Exchange Presentation
AFN	ATS Facilities Notification
AMA	Arrival Management message
APP	Approach Control Centre
ATC	Air Traffic Control
ATM	Air Traffic Management
ATS	Air Traffic Services
ATSU	Air Traffic Services Unit
BFD	Basic Flight Data message
CDA	Current Data Authority
CDN	Co-ordination message
CFD	Change To Flight Data message
CFL	Cleared Flight Level
CIV	Civil
CM	Context Management
CNL	Flight Plan Cancellation
COD	SSR Code Assignment message
COF	Change Of Frequency message
COM	Communication
COP	Co-ordination Point
CPDLC	Controller- Pilot Data Link Communications
CRP	Clearance Response message
CRQ	Clearance Request message
CWP	Controller Working Position
DAS	Directorate ATM Strategies
DPS	Data Processing Domain
EATMP	European Air Traffic Management Program
ECAC	European Civil Aviation Conference
ETA	Estimated Time of Arrival
ETO	Estimated Time Over
ETOT	Estimated Take-Off Time
EUROCONTROL	European Organisation for the Safety of Air Navigation
FDE	Flight Data Exchange
FDM	Flight Data Management

FDPS	Flight Data Processing System
FRF	Further Route of Flight
GAT	General Air Traffic
HMI	Human Machine Interface
HOP	Hand Over Proposal message
ICAO	International Civil Aviation Organisation
ICD	Interface Control Document
IDTF	Interoperability Development Task Force
IFR	Instrument Flight Rules
INF	Information message
LACK	Logical acknowledgement (air-ground data link)
LAM	Acknowledgement message
LOF	Log-On Forwarding message
MA	Message assurance
MAC	Message for Abrogation of Co-ordination
MAS	Manual Assumption of Communication message
MIL	Military
MRT	Monitoring Report
NAN	Next Authority Notified message
NDA	Next Data Authority
NM	Nautical Mile
OCM	Oceanic Clearance message
OLDI	On-Line Data Interchange
OPR	Operational Requirement
ORCAM	Originating Region Code Assignment Method
PAC	Preliminary Activation message
PANS	Procedures for Air Navigation Services
PNT	Point message
RAP	Referred Activate message
REV	Revision message
RJC	Reject message
RLS	Release message
ROC	Request Oceanic Clearance message
ROF	Request on Frequency message
RRQ	Release Request message
RRV	Referred Revision message
RTF	Radio Telephony Facilities
RTI	Request Tactical Instructions message
RVSM	Reduced Vertical Separation Minimum
SBY	Stand-By message
SCO	Skip Communication message

SDM	Supplementary Data message
SFL	Supplementary Flight Level
SG	Sub-Group
SID	Standard Instrumental Departure
SKC	Skip Cancellation message
SSR	Secondary Surveillance Radar
SYSCO	System Supported Co-ordination Concept
TI	Transfer Initiation
TIM	Transfer Initiation message
TIP	Tactical Instructions Proposal message
TWR	Tower Air Traffic Control
UTC	Universal Time Coordinated
VCI	Voice Contact Information
VFR	Visual Flight Rules
VOR	VHF Omni-directional Range
XAP	Crossing Alternate Proposal message
XCM	Crossing Cancellation message
XIN	Crossing Intention Notification message
XRQ	Crossing Clearance Request message

4. GENERAL REQUIREMENTS

4.1 Introduction

This section describes the general operational requirements necessary for the implementation of an OLDI facility between ATC units and the classification and performance requirements of the different types of message used.

4.2 Flight Data Processing System Requirements

4.2.1 Flight Data Base

OLDI-GEN-70-M Units which utilise a facility described in this document **shall** be provided with data from an FDPS which contains all the information required for the display, processing and compilation of the messages as specified.

***Note:** The primary source of data for each flight is the flight plan as filed by, or on behalf of, the pilot in command.*

Further items of data are obtained by the processing of flight plans with reference to the environment of the unit concerned.

4.2.2 Operation in Real Time

The OLDI procedure includes events in the transferring ATC unit to initiate functions necessary for the timely presentation of data to the transferring controller and the transmission of co-ordination data to the accepting unit.

OLDI-GEN-80-M The FDPS **shall** be able to initiate functions by the comparison of Co-ordinated Universal Time and applicable time parameters with times at specified positions on the route of flight as determined from the flight database.

4.2.3 Data Communications Capability

OLDI-GEN-90-M The FDPS **shall** be able to receive and transmit flight data in the format applicable to the message as specified in this document via a data communication medium which supports the OLDI function.

OLDI-GEN-100-R The FDPS **should** have the development potential to allow the addition of new messages that may be included in future editions of this specification.

OLDI-GEN-110-M Within the performance requirements specified in this document, the data communication medium **shall** provide a rapid and reliable application-to-application data exchange by:

- assuring the integrity of OLDI message transmission;
- monitoring either point-to-point connections or the status of the communications network, as applicable.

OLDI-GEN-120-M The FDPS **shall** warn the working positions when anomalies are detected by the data communications system.

4.2.4 Application Functions

OLDI-GEN-130-M The systems used for the provision of OLDI facilities **shall** be able to automatically receive, store, process, extract and deliver for display, and transmit OLDI related data in real-time.

OLDI-GEN-140-M The FDPS **shall** reflect current operational data relevant to the OLDI function as required by this Specification, updated either automatically, through manual input, or by a combination of both.

OLDI-GEN-150-M The FDPS **shall** be able to extract such elements from the flight plan database.

OLDI-GEN-160-M	The FDPS shall identify the next ATC unit on the aircraft trajectory derived from the route of flight and the expected transfer level.
OLDI-GEN-170-M	<p>The following shall be agreed bilaterally:</p> <ul style="list-style-type: none"> • Co-ordination Points (COPs); • Reference points used for bearing and distance notations in identifying the COP on direct, off-ATS route segments, where used. <p>Note: <i>The COPs may not always be identical to the transfer of control points. It is recognised that transfer of control points are subject to bilateral agreement. However, the OLDI messages include only the COPs, and as consequence the agreement of the transfer of control points has not been included in the above OLDI requirement.</i></p>
OLDI-GEN-180-M	The system shall be able to provide co-ordination and transfer message warnings to the operational positions responsible for the co-ordination of the flights concerned.

4.2.5 Human-Machine Interface (HMI)

OLDI-GEN-190-M	<p>The HMI shall be able to display the operational contents of OLDI messages and relevant warnings related to received messages for immediate attention.</p> <p>Note: <i>The operational content is not meant to be displayed in text form.</i></p>
OLDI-GEN-200-M	The HMI shall provide ATC staff with a means to modify the data from which the operational contents of the messages are derived as required in this document.
OLDI-GEN-210-M	The HMI shall indicate that the transmission of the message is in progress or has been successfully transmitted as appropriate.
OLDI-GEN-220-M	A warning or notification to the appropriate ATC or technical position(s) shall be generated automatically if no acknowledgement has been received within the parameter time following a transmission of a co-ordination or transfer message.
OLDI-GEN-230-M	Such a warning or notification shall be in a form that immediately attracts the attention of the appropriate working position.
OLDI-GEN-240-R	The HMI at ATC positions using OLDI should provide a warning if the OLDI facility is not available.

4.2.6 Initiation of Messages

OLDI-GEN-250-M	Each system shall contain a set of system parameters in order to ensure timely, automatic initiation of OLDI messages.
OLDI-GEN-260-R	The capability to manually initiate the transmission of a co-ordination message prior to the calculated transmission time should be provided.
OLDI-GEN-270-M	The automatic event shall always be assured, if manual initiation is not executed.
OLDI-GEN-280-M	<p>The system shall utilise time parameters to define the following:</p> <ul style="list-style-type: none"> • lead time, prior to transmission, when the operational contents of the messages within the transferring unit are displayed; • lead time, global or per COP, to transmit the message, where applicable; • time after transmission of a message within which an application level acknowledgement is to be received (time-out).
OLDI-GEN-290-M	The system shall permit the transmission of messages, triggered by events.
OLDI-GEN-300-O	The system may permit message initiation, triggered by advanced functions.
OLDI-GEN-310-M	A message shall be transmitted without delay when the required information becomes available at a time later than that at which it would otherwise have been transmitted.

Example: A flight commences a GAT IFR segment at a point close to the boundary which it is then to cross; the ETO at the point is communicated eight minutes before the COP at which time transmission of the ACT message is already late based on the applicable time parameter(s); the message is sent without delay.

4.2.7 Reception of Messages

- OLDI-GEN-320-M** The ATC system **shall** be able to receive OLDI messages;
- OLDI-GEN-330-M** The ATC system **shall** be able to process them automatically in accordance with this Specification;
- OLDI-GEN-340-M** The ATC system **shall** be able to output flight data in accordance with the message received, and display required warnings in case of inconsistency in the data received;
- OLDI-GEN-350-M** The ATC system **shall** be able to generate and transmit acknowledgement messages automatically at the application level.
- OLDI-GEN-360-M** An acknowledgement message (Logical Acknowledgement (LAM), Accept (ACP) or Stand-by (SBY) Message) **shall** be generated and transmitted when the corresponding message has been processed and the presentation of the results of the processing to the appropriate position(s), as necessary, is assured.
- Note: The detailed conditions for the generation of an acknowledgement are specified in this document individually for each message.*

4.3 Time Estimate Derivation

- OLDI-GEN-370-R** In order to ensure the accuracy of time estimate data, information derived from the most accurate data source **should** be used.

4.4 Recording of OLDI Data

4.4.1 Content

- OLDI-GEN-380-M** The contents of all OLDI messages and the time of reception **shall** be recorded.

4.4.2 Facilities

- OLDI-GEN-390-M** Facilities **shall** be available for the retrieval and display of the recorded data.

4.5 Availability, Reliability, Data Security and Data Integrity

4.5.1 Availability

- OLDI-GEN-400-M** The OLDI facility **shall** be available during the hours of normal and peak traffic flows between the two units concerned.
- OLDI-GEN-410-R** The OLDI facility **should** be available 24 hours every day.
- OLDI-GEN-420-M** Any scheduled down-time periods (and thus the planned availability time) **shall** be bilaterally agreed between the two units concerned.

4.5.2 Reliability

- OLDI-GEN-430-M** Reliability on every OLDI link **shall** be at least 99.86 % (equivalent to a down-time of not more than 12 hours per year based on 24-hour availability).
- OLDI-GEN-440-R** Where operationally justified, a reliability of at least 99.99% (equivalent to a down-time of not more than 52 minutes per year, based on 24 Hour availability) **should** be provided.

4.5.3 Data Security

OLDI-GEN-450-R Data security methods (e.g. access rights, source verification) and, where applicable, network management **should** be applied to OLDI facilities.

4.5.4 Data Integrity

OLDI-GEN-460-M The failure rate at application level **shall** be less than or equal to one transmission error per 2000 messages.

4.6 Operational Evaluation

4.6.1 Evaluation Period

OLDI-GEN-470-M Each new OLDI facility, including a new facility on an existing link, **shall** be subject to an evaluation period to verify the data integrity, accuracy, performance, compatibility with ATC procedures and overall safety prior to its operational implementation.

***Note:** The evaluation will be done in accordance with the Test and Validation Procedures outlined in Annex G of this document.*

4.6.2 Operational Introduction Date

OLDI-GEN-480-M The date of the operational introduction, implying completion of the evaluation period, **shall** be formally agreed between the two units.

5. MESSAGE CATEGORIES

5.1 General

5.1.1 Purpose

This section of the document:

- defines the categories of messages;
- states transaction time requirements for the categories;
- assigns message types to categories.

5.1.2 Message Categories

Messages are assigned to the following categories:

- Category 1: Transfer of Communication
- Category 2: Co-ordination
- Category 3: Notification

5.2 Transaction Times

The transaction times specified include transmission, initial processing at the receiving / accepting unit, creation of the acknowledgement message, its transmission and reception at the transferring unit. The automatic acknowledgement messages LAM and SBY have therefore, not been assigned to a message category.

OLDI-MSG-10-M The maximum transaction times for the different categories of messages **shall** be as specified in Table 5 - 1.

Table 5 - 1 Maximum Transaction Times

Message Category	90 %	99.8 %
1	4 sec	10 sec
2	10 sec	25 sec
3	15 sec	45 sec

OLDI-MSG-20-M A time-out value **shall** be defined per message category or type.

OLDI-MSG-30-M If no acknowledgement has been received within the specified time after transmission, a message **shall** be considered to have been unsuccessfully transmitted or processed and a warning output as specified in the pertinent section in this document.

OLDI-MSG-40-R The time-out values for the message category 1 **should** be less than or equal to 12 seconds.

OLDI-MSG-50-R The time-out values for the message category 2 **should** be less than or equal to 30 seconds.

OLDI-MSG-60-R The time-out values for the message category 3 **should** be less than or equal to 60 seconds.

5.3 Assignment of Messages to Categories

The assignment of messages for the basic procedure is specified in Table 5 - 2.

The assignment of the additional co-ordination messages for the dialogue procedure is specified in Table 5 - 3.

The assignment of situational awareness messages is specified in Table 5 - 4.

The assignment of civil/military co-ordination messages is specified in

Table 5 - 5.

The assignment of transfer of communication messages for the dialogue procedure is specified in Table 5 - 6.

The assignment of support to air-ground data-link messages is specified in Table 5 - 7.

The assignment of messages in support of co-ordination between Oceanic Control Centres and area Control Centres is specified in 5 - 8

Table 5 - 2 Basic Procedure Messages

MESSAGE TYPE	ABBR	CAT
Advance Boundary Information	ABI	3
Activate	ACT	2
Revision	REV	2
Preliminary Activation	PAC	2
Abrogation of Co-ordination	MAC	2
SSR Code Assignment	COD	2
Skip Co-ordination	SCO	2
Skip Cancellation	SKC	2
Point	PNT	2
Arrival Management	AMA	3
Logical Acknowledgement Message (Note 1)	LAM	
<p>Note: <i>Although the OLDI Specification does not in general mandate the message implementation, the LAM message is mandatory when implementing any other message in order to complete the co-ordination process.</i></p>		

**Table 5 - 3 Dialogue Procedure - Co-ordination Phase Messages
(Additional to Table 5 - 2)**

MESSAGE TYPE	ABBR	CAT
Referred Activate Proposal	RAP	2
Referred Revision	RRV	2
Co-ordination	CDN	2
Stand-by (Note 1 and 3)	SBY	
Accept (Note 3)	ACP	2
Reject Co-ordination (Note 2 and 3)	RJC	2
Clearance Request (Note 3)	CRQ	3
Clearance Response (Note 3)	CRP	3
Release Request (Note 3)	RRQ	1
Release (Note 3)	RLS	1
Request Tactical Instructions (Note 3)	RTI	2
Tactical Instructions Proposal (Note 3)	TIP	2
<p><i>For a dialogue procedure some of the messages might require the implementation of complementary OLDI messages (i.e. CRQ and CRP, RRQ and RLS, etc.).</i></p> <p>Note 1: See sub-paragraph 5.2 Transaction Time Conditions.</p> <p>Note 2: Not used in all airspace configurations.</p> <p>Note 3: Although the OLDI Specification does not in general mandate the message implementation, the SBY, ACP and RJC message are mandatory when implementing dialogue procedure messages in order to complete the co-ordination procedure.</p>		

Table 5 - 4 Situational Awareness Messages

MESSAGE TYPE	ABBR	CAT
Information	INF	3
Basic Flight Data	BFD	3
Change Flight Data	CFD	2
<p>Note: Although the OLDI Specification does not in general mandate the message implementation, the LAM message is mandatory when implementing any other message in order to complete the co-ordination process.</p>		

Table 5 - 5 Civil - Military Messages

MESSAGE TYPE	ABBR	CAT
Crossing Intention	XIN	2
Crossing Clearance Request (Note 1)	XRQ	2
Crossing Clearance Alternate Proposal (Note 1)	XAP	2
Crossing Cancellation	XCM	2
<p><i>For a dialogue procedure some of the messages might require the implementation of complementary OLDI messages (i.e. XRQ and XAP).</i></p> <p>Note: <i>Although the OLDI Specification does not in general mandate the message implementation, the SBY, ACP and RJC message are mandatory when implementing dialogue procedure messages in order to complete the co-ordination procedure.</i></p>		

Table 5 - 6 Dialogue Procedure - Transfer Phase Messages

MESSAGE TYPE	ABBR	CAT
Transfer Initiation (Note 2)	TIM	1
Supplementary Data (Note 2)	SDM	1
Handover Proposal (Note 2)	HOP	1
Change of Frequency (Note 1 and 2)	COF	1
Request on Frequency (Note 2)	ROF	1
Manual Assumption (Note 1 and 2)	MAS	1
<p><i>For a dialogue procedure some of the messages might require the implementation of complementary OLDI messages (i.e. ROF and COF, etc.).</i></p> <p>Note 1: <i>Bilaterally agreed procedures specify that when the transfer takes place in respect of a given direction of traffic flow, as a minimum, either the transferring unit send a COF message and / or the accepting unit shall send a MAS message.</i></p> <p>Note 2: <i>Although the OLDI Specification does not in general mandate the message implementation, the LAM message is mandatory when implementing any other message in order to complete the co-ordination process.</i></p>		

Table 5 - 7 Support to Air-Ground Data-Link Messages

MESSAGE TYPE	ABBR	CAT
Log-On Forwarding	LOF	3
Next Authority Notified	NAN	2
<p>Note: <i>Although the OLDI Specification does not in general mandate the message implementation, the LAM message is mandatory when implementing any other message in order to complete the co-ordination process.</i></p>		

Table 5 - 8 Co-ordination between Oceanic Control Centres and Area Control Centres

MESSAGE TYPE	ABBR	CAT
Request Oceanic Clearance	ROC	2
Oceanic Clearance	OCM	2
Note: <i>Although the OLDI Specification does not in general mandate the message implementation, the LAM message is mandatory when implementing any other message in order to complete the co-ordination process.</i>		

PART A – Basic Messages

6. BASIC PROCEDURE

6.1 General

6.1.1 Description of Requirement

This section describes the minimum requirement at the application level for the implementation of OLDI facilities.

6.1.2 Implementation

OLDI-BAS-10-M

When ABI, ACT, REV, PAC, MAC and LAM messages are implemented for the notification and co-ordination of flights, the ATS units involved **shall** implement them as described in section 6 - BASIC PROCEDURE, except where it has been bilaterally agreed to use the co-ordination dialogue procedure as described in section 13 of this document, in which case the conditions for the use of ACT and REV messages are as defined in that section.

6.2 Advance Boundary Information Message (ABI)

6.2.1 Purpose of the ABI Message

The ABI satisfies the following operational requirements:

- provide for acquisition of missing flight plan data;
- provide advance boundary information and revisions thereto for the next ATC unit;
- update the basic flight plan data;
- facilitate early correlation of radar tracks;
- facilitate accurate short-term sector load assessment;
- request the assignment of an SSR code from the unit to which the above notification is sent, if required.

The ABI is a notification message.

6.2.2 Message Contents

OLDI-ABI-10-M The ABI message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- SSR Mode and Code (if available);
- Departure Aerodrome;
- Estimate Data;
- Destination Aerodrome;
- Number and Type of Aircraft;
- Type of Flight;
- Equipment Capability and Status.

OLDI-ABI-20-M If bilaterally agreed, the ABI message **shall** contain any of the following items of data:

- Route;
- Other Flight Plan Data.

Note: *The item Route is supposed to contain the current operational data and as such is based on the most up to date information available.*

6.2.3 Rules of Application

6.2.3.1 General

OLDI-ABI-30-M Except as provided for in [OLDI-ABI-60-M](#) and [OLDI-ABI-70-R](#) below, one or more ABI messages **shall** be sent for each flight planned to cross the boundary of areas of responsibility subject to OLDI procedures.

OLDI-ABI-40-M The use of the code request facility **shall** be agreed bilaterally.

OLDI-ABI-50-M When sent, the ABI message **shall** precede the Activate (ACT) or Referred Activate Proposal (RAP) message.

OLDI-ABI-60-M ABI message generation **shall** be inhibited if a Preliminary Activation (PAC) message is to be sent.

OLDI-ABI-70-R ABI transmission **should** be inhibited if the ACT or RAP message is due for transmission immediately or within a bilaterally agreed time interval.

Note: *The purpose of this recommendation is to avoid the attempted simultaneous resolution of anomalies at different positions at the receiving unit in respect of ABI and ACT messages for the same flight.*

OLDI-ABI-80-M A revised ABI message **shall** be sent if the subsequent ACT message has not been generated and any of the following change or are modified:

- COP;
- expected SSR code at the transfer of control point;
- aerodrome of destination;
- type of aircraft;
- equipment capability and status.

Note: *The revised ABI messages in ICAO format with a different aerodrome of destination are difficult to process automatically. Consequently the ADEXP format is recommended.*

OLDI-ABI-90-R A revised ABI message **should** be sent if the subsequent ACT message has not been generated and one of the following items is subject to change:

- the expected boundary crossing level;
- the estimated time over (ETO) at the COP differs from that in the previous ABI message by more than the time specified in the Letter of Agreement (LoA);
- any other data as bilaterally agreed.

6.2.3.2 Processing in the Receiving Unit

OLDI-ABI-100-M The ATC system receiving an ABI message **shall** attempt association with the corresponding flight plan data.

OLDI-ABI-110-M If the flight plan association is unsuccessful, a flight plan **shall** be created automatically or manually in the receiving system.

OLDI-ABI-120-M If the flight plan association is successful but a discrepancy is identified between the data in the message and corresponding data in the receiving system that would result in the need for corrective action on receipt of the following ACT message, the discrepancy **shall** be referred to an appropriate position for resolution.

OLDI-ABI-130-M If the ABI message includes a request for the assignment of an SSR code and is correctly processed, a COD message **shall** be returned in addition to the LAM.

Note: *As the code assignment process requires detailed flight plan route information, no requirement is made in this document for the return of a COD message by the receiving unit where such data may not be available. This does not prevent a message being returned under such circumstances if a specific local capability exists and the procedure has been agreed bilaterally.*

6.2.3.3 Criteria for Message Transmission

OLDI-ABI-140-M The message **shall** be transmitted a parameter number of minutes before the estimated time at the COP.

OLDI-ABI-150-M The ABI generation parameter(s) **shall** be included in the LoA between the ATC units concerned.

OLDI-ABI-160-R The ABI generation parameter(s) **should** be variable, based on the provisions of the LoA.

OLDI-ABI-170-R The ABI generation parameter(s) **should** be defined separately for each of the COPs.

6.2.4 Acknowledgement of ABI

6.2.4.1 Acknowledgement

OLDI-ABI-180-M The ABI message **shall** be acknowledged by generating and transmitting a LAM message.

Note: *A LAM message is generated regardless of the results of the flight plan association attempt.*

6.2.4.2 No Acknowledgement

OLDI-ABI-190-R If no LAM message is received as an acknowledgement for an ABI message, a warning **should** be displayed at the appropriate position.

6.2.4.3 No COD Message

OLDI-ABI-200-M If a COD message is not received in response to a code request included in the ABI message, a warning **shall** be displayed at the appropriate position.

OLDI-ABI-210-M Where the code request function is to be used, the time-out value to be applied **shall** be agreed bilaterally.

6.2.5 Examples

"Air 2000" 253, a Boeing 757 from Malta to Birmingham estimating BNE VOR at 1221 UTC, flying at FL350 at a true airspeed of 480 knots, planned to route via UB4 BNE UB4 BPK UB3 HON, transponding on A7012 and requesting FL390. The following are equivalent examples of the ABI message sent from Reims to London ACC.

6.2.5.1 Normal Situation**6.2.5.1.1 ICAO**

Example 1

(ABIE/L001-AMM253/A7012-LMML-BNE/1221F350-EGBB-8/I-9/2B757/M-15/N0480F390 UB4 BNE UB4 BPK UB3 HON-80/N-81/W/EQ Y/NO-18/DEP/LUQA AIRPORT DEST/BIRMINGHAM OPR/AIR 2000 LTD ALTN/EGLL RALT/EGKK STS/ONE ENG INOP)

Example 2 (including Mode S capability and status)

(ABIE/L001-AMM253/A7012-LMML-BNE/1221F350-EGBB-9/B757/M-15/N0480F390 UB4 BNE UB4 BPK UB3 HON-80/N-81/W/EQ Y/NO **S/EQ**)

6.2.5.1.2 ADEXP

Example 1

-TITLE **ABI**
 -REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **001**
 -ARCID **AMM253**
 -SSRCODE **A7012**
 -ADEP **LMML**
 -COORDATA
 -PTID **BNE**
 -TO **1221**
 -TFL **F350**
 -ADES **EGBB**
 -ARCTYP **B757**
 -NBARC **2**
 -FLTTYP **N**
 -BEGIN EQCST
 -EQPT **W/EQ**
 -EQPT **Y/NO**
 -END EQCST
 -ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
 -FLTRUL **I**
 -DEPZ **LUQA AIRPORT**
 -DESTZ **BIRMINGHAM**
 -OPR **AIR 2000 LTD**
 -ALTRNT1 **EGLL**
 -ALTRNT2 **EGKK**
 -STS **ONE ENG INOP**

Example 2 (including Mode S capability and status)

-TITLE **ABI**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **001**
-ARCID **AMM253**
-SSRCODE **A7012**
-ADEP **LMML**
-COORDATA
 -PTID **BNE**
 -TO **1221**
 -TFL **F350**
-ADES **EGBB**
-ARCTYP **B757**
-FLTTYP **N**
-BEGIN EQCST
 -EQPT **W/EQ**
 -EQPT **Y/NO**
 -EQPT **S/EQ**
-END EQCST
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

6.2.5.2 SSR Code Request

6.2.5.2.1 ICAO

(ABIE/L001-AMM253/A9999-LMML-BNE/1221F350-EGBB-9/B757/M-15/N0480F390 UB4 BNE UB4 BPK UB3 HON-80/N-81/W/EQ Y/NO)

6.2.5.2.2 ADEXP

-TITLE **ABI**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **001**
-ARCID **AMM253**
-SSRCODE **REQ**
-ADEP **LMML**
-COORDATA
 -PTID **BNE**
 -TO **1221**
 -TFL **F350**
-ADES **EGBB**
-ARCTYP **B757**
-FLTTYP **N**
-BEGIN EQCST
 -EQPT **W/EQ**
 -EQPT **Y/NO**
-END EQCST
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

6.3 Activate Message (ACT)

6.3.1 Purpose of the ACT Message

The ACT message satisfies the following operational requirements:

- Replace the verbal boundary estimate by transmitting automatically details of a flight from one ATC unit to the next prior to the transfer of control;
- Update the basic flight plan data in the receiving ATC unit with the most recent information;
- Facilitate distribution and display of flight plan data within the receiving ATC unit to the working positions involved;
- Enable display of correlation in the receiving ATC unit;
- Provide transfer conditions to the receiving ATC unit.

6.3.2 Message Contents

OLDI-ACT-10-M The ACT message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- SSR Mode and Code
- Departure Aerodrome;
- Estimate Data;
- Destination Aerodrome;
- Number and Type of Aircraft;
- Type of Flight;
- Equipment Capability and Status.

OLDI-ACT-20-M If bilaterally agreed, the ACT message **shall** contain any of the following items of data:

- Route;
- Other flight plan data
- Actual Take-Off Time.

Note: *The Actual Take-Off Time is normally used in the cases where the ACT follows a PAC message that included the Estimated Take-Off Time.*

6.3.3 Rules of Application

6.3.3.1 General

OLDI-ACT-30-M One ACT message **shall** be sent for eligible flights crossing the boundary except as provided for in requirement [OLDI-ACT-130-M](#).

OLDI-ACT-40-M The ACT message **shall** be generated and transmitted automatically at the calculated time as specified in the LoA, unless manually initiated at an earlier time.

OLDI-ACT-50-R ATC staff **should** be provided with a means to trigger the transmission of ACT messages prior to the calculated time of transmission.

OLDI-ACT-60-M An ACT message **shall** be generated on the departure of a flight if a PAC or CRQ message, relating to the flight, containing boundary estimate data has previously been transmitted.

OLDI-ACT-70-M The operational contents of the ACT message due to be transmitted **shall** be made available at the working position responsible for the co-ordination of the flight prior to the actual transmission.

OLDI-ACT-80-R	In relation to requirement OLDI-ACT-70-M , the time at which it is calculated that the ACT is to be transmitted automatically should be displayed together with its contents.
OLDI-ACT-90-M	The ACT message shall contain the most recent information on the flight, reflecting the expected exit conditions.
OLDI-ACT-100-M	The relevant working position shall be notified of the transmission of the ACT message.
OLDI-ACT-110-M	Acceptance by the receiving unit of the transfer conditions implied in the ACT message shall be assumed, unless the receiving unit initiates co-ordination to amend them.
OLDI-ACT-120-M	The co-ordinated transfer conditions and the fact that the LAM has been received shall be presented to the ATC staff at the transferring unit. <i>Note: As soon as a LAM has been received, the ACT message data becomes operationally binding to both of the ATC units unless the receiving unit initiates co-ordination in accordance with OLDI-ACT-110-M.</i>
OLDI-ACT-130-M	A further ACT message shall only be sent to the same co-ordination partner if the previous one has been abrogated by the use of a MAC.

6.3.3.2 Processing in the Receiving Unit

OLDI-ACT-140-M	The ATC system receiving an ACT message shall attempt association with the corresponding flight plan.
OLDI-ACT-150-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the operational content shall be included with the flight plan.
OLDI-ACT-160-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data shall be output at operational ATC and other positions as appropriate.
OLDI-ACT-170-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement shall be returned.
OLDI-ACT-180-M	If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.
OLDI-ACT-190-M	If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.
OLDI-ACT-200-M	If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.
OLDI-ACT-210-M	If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.
OLDI-ACT-220-M	If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified, a system flight plan shall be created.
OLDI-ACT-230-M	In all other cases, the acknowledgement shall be inhibited.

6.3.3.3 Criteria for Message Transmission

OLDI-ACT-240-M	The message shall be transmitted at or as soon as possible after the earlier of the times determined from the following: <ul style="list-style-type: none"> • a parameter number of minutes before the estimated time at the COP; • the time at which the flight is at a bilaterally agreed distance from the COP.
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- **Note:** When a flight is transferred on a direct routing the requirement above will refer to the point determined in accordance with **OLDI-DCT-90**.

OLDI-ACT-250-M The ACT generation parameter(s) **shall** be included in the LoA between the ATC units concerned.

OLDI-ACT-260-M The ACT generation parameter(s) **shall** be variable based on the provisions of the LoA.

OLDI-ACT-270-R ACT generation parameters **should** be defined separately for each of the COPs.

OLDI-ACT-280-M The specified parameters **shall** allow sufficient time for:

- the transmitting unit to update the transfer flight level to reflect the expected conditions at the COP; and
- the receiving unit to process the ACT and generate and transmit a LAM but still allow for verbal co-ordination to be carried out by the transferring unit and resultant action initiated by the accepting unit if the exchange of data fails.

6.3.4 Acknowledgement of ACT

6.3.4.1 Acknowledgement

OLDI-ACT-290-M The ACT message **shall** be acknowledged by the generation and transmission of a LAM message.

6.3.4.2 No Acknowledgement Cases

OLDI-ACT-300-M If no LAM message is received as an acknowledgement for an ACT message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

6.3.4.3 Change of State to Co-ordinated

OLDI-ACT-310-M For the purposes of this document, following the transmission of an ACT message, a flight **shall** be considered to be co-ordinated either after the message has been acknowledged by a LAM or when the completion of co-ordination has been indicated manually at the transferring unit.

6.3.5 Examples

The following examples are an extension of those provided for the ABI message in paragraph 6.2 - Advance Boundary Information Message (ABI); all details are the same except the ETO at the COP, which is 1226 in the ACT message shown.

6.3.5.1 ICAO

(ACTE/L005-AMM253/A7012-LMML-BNE/1226F350-EGBB-8/1-9/2B757/M-15/N0480F390 UB4 BNE UB4 BPK UB3 HON-80/N-81/W/EQ Y/NO-18/DEP/LUQA AIRPORT DEST/BIRMINGHAM OPR/AIR 2000 LTD ALTN/EGLL RALT/EGKK STS/ONE ENG INOP)

6.3.5.2 ADEXP

-TITLE **ACT**
 -REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **005**
 -ARCID **AMM253**
 -SSRCODE **A7012**
 -ADEP **LMML**
 -COORDATA
 -PTID **BNE**
 -TO **1226**
 -TFL **F350**
 -ADES **EGBB**
 -ARCTYP **B757**

-NBARC **2**
-FLTTYP **N**
-BEGIN EQCST
 -EQPT **W/EQ**
 -EQPT **Y/NO**
-END EQCST
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
-FLTRUL **I**
-DEPZ **LUQA AIRPORT**
-DESTZ **BIRMINGHAM**
-OPR **AIR 2000 LTD**
-ALTRNT1 **EGLL**
-ALTRNT2 **EGKK**
-STS **ONE ENG INOP**
-ATOT **1309**

6.4 Revision Message (REV)

6.4.1 Purpose of the REV Message

The REV message is used to transmit revisions to co-ordination data previously sent in an ACT message provided that the accepting unit does not change as a result of the modification.

6.4.2 Message Contents

OLDI-REV-10-M The REV message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Estimate Data and/or Co-ordination point;
- Destination Aerodrome;

Note: *The Estimate Data contained in the REV has to include complete data in the Estimate Data field in order to eliminate any ambiguity regarding the transfer elements. If the ACT message included the supplementary flight level, the following REV message will include the supplementary flight level if still applicable.*

OLDI-REV-20-M The REV message **shall** contain the following items of data if they have changed:

- SSR Mode and Code;
- Equipment Capability and Status.

OLDI-REV-30-M If bilaterally agreed, the REV message **shall** contain any of the following items of data, if they have changed:

- Route.

OLDI-REV-40-M If bilaterally agreed, the REV message **shall** contain any of the following items of data:

- Message Reference.

6.4.3 Rules of Application

6.4.3.1 General

OLDI-REV-50-O One or more REV messages **may** be sent to the unit to which a flight has been currently co-ordinated by the use of an Activate message.

OLDI-REV-60-M The following elements **shall** be subject to revisions:

- ETO at the COP;
- Transfer level(s);
- SSR Mode and Code;
- Equipment capability and status.

OLDI-REV-70-M A REV message **shall** be sent when:

- the ETO at the COP differs from that in the previous message by more than a value bilaterally agreed, rounded to the nearest integer value;
- there is any change to the transfer level(s), SSR code or equipment capability and status.

OLDI-REV-80-M	<p>Where bilaterally agreed, a REV message shall be sent when there is any change in the following:</p> <ul style="list-style-type: none"> • COP; • route. <p>Note: <i>Operational rules may require that modifications effected after ACT be subject to prior co-ordination between the units concerned.</i></p>
OLDI-REV-90-M	<p>The message reference, when included, shall contain the message number of the preceding ACT message.</p>
OLDI-REV-100-M	<p>Acceptance by the receiving ATC unit of the transfer conditions implied by the REV message shall be assumed, unless the receiving ATC unit initiates co-ordination to amend them.</p>
OLDI-REV-110-M	<p>A message changing a capability that results in the need to include data concerning an additional capability as specified in Annex A, paragraph - A.32 Equipment Capability and Status shall include the additional capability.</p>
6.4.3.2 Formatting of Revision Messages	
6.4.3.2.1 ICAO Format	
OLDI-REV-120-M	<p>All revision messages shall include field types 3, 7, 13, 14 and 16, applying the following rules:</p> <ul style="list-style-type: none"> • a change to the ETO at the COP or transfer level(s) is to be incorporated by the inclusion of the revised data in field 14 (elements a, b and c and elements d and e if required); • a change to the SSR Code is to be included as elements b) and c) of field 7.
OLDI-REV-130-M	<p>All other modifications shall be included in field 22 format after the initial five fields, applying the following rules:</p> <ul style="list-style-type: none"> • Fields included in field 22 format can be in any order relative to each other. • Changes to the COP are to be incorporated as field 14 data in field 22 format (see Appendix B - Special Route Processing Requirements). • Route changes are to be incorporated as field 15 data in field 22 format. Rules for the co-ordination of such changes, including direct routings, are specified in Appendix B - Special Route Processing Requirements. • Equipment Capability and Status; only the capability or capabilities being changed is to be included.
6.4.3.2.2 ADEXP Format	
OLDI-REV-140-M	<p>All revision messages in ADEXP format shall include the following primary fields: TITLE REFDATA ARCID ADEP ADES, applying the following rules:</p> <ul style="list-style-type: none"> • a change to the ETO at the COP or transfer level(s) is to be incorporated by the inclusion of the revised data in primary field COORDATA; • The primary field COP is to be included unless a change to the ETA or transfer level(s) requires the use of primary field COORDATA. It will contain the COP through which the flight is currently co-ordinated or, if the COP is being amended, the COP through which the flight had previously been co-ordinated. • Changes to the COP are to be incorporated using primary field COORDATA (see Appendix - B Special Route Processing Requirements). Such messages shall also include primary field COP as stated above. • Changes to the route are to be incorporated using primary field ROUTE. Rules for the co-ordination of such changes, including direct routings, are specified in Appendix - B Special Route Processing Requirements. • a change to the SSR Code is to be indicated by the inclusion of primary field SSRCODE; • A change to Equipment Capability and Status is to utilise primary field EQCST; only the capability or capabilities being changed are to be included.

6.4.3.2.3 SSR Code

OLDI-REV-150-M SSR Mode and Code **shall** be included in a REV message only when it is required to co-ordinate a change of SSR code.

6.4.3.3 Processing in the Receiving Unit

OLDI-REV-160-M If an ACT has been received for the subject flight from the same ATC unit, the ATC system receiving a REV message **shall** attempt association with the corresponding flight plan.

OLDI-REV-170-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the operational content **shall** be included with the flight plan.

OLDI-REV-180-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data **shall** be output at operational ATC and other positions as appropriate.

6.4.3.4 Criteria for Message Transmission

OLDI-REV-190-M The REV message is event driven and **shall** be transmitted immediately following the relevant input or update.

OLDI-REV-200-M By the fact that no changes may be effected by the use of the REV message after the flight is a specified time/distance from the transfer point, the time and distance parameters **shall** be bilaterally agreed.

OLDI-REV-210-R The REV parameters **should** be defined separately for each of the COPs.

6.4.3.5 Change of Receiving ATC Unit

OLDI-REV-220-M The use of a REV message **shall** be inhibited if a revision of flight plan data leads to a change of the receiving ATC unit (see Message for the Abrogation of Co-ordination).

6.4.4 Acknowledgement of REV**6.4.4.1 Acknowledgement**

OLDI-REV-230-M If the REV message can be associated with a flight plan within the receiving system, a LAM message **shall** be transmitted in acknowledgement.

OLDI-REV-240-M If the REV message cannot be associated with a flight plan within the receiving system, the transmission of a LAM message **shall** be inhibited.

6.4.4.2 No Acknowledgement

OLDI-REV-250-M If no LAM message is received as an acknowledgement for a REV message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flights.

OLDI-REV-260-M In no-LAM cases, a verbal revision **shall** be initiated by the transferring ATC unit.

6.4.5 Examples**6.4.5.1 ICAO**

1. (REVE/L002-AMM253-LMML-BNE/1226F310-EGBB)
2. (REVE/L010E/L007-AMM253/A2317-LMML-BNE/1226F310-EGBB)
3. (REVE/L019-AMM253-LMML-BNE/1237F350-EGBB-81/W/NO)
4. (REVBC/P873-BAF4486-EBMB-NEBUL/2201F250-LERT-81/Y/NO U/EQ)

6.4.5.2 ADEXP

1.
-TITLE **REV**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **002**
-ARCID **AMM253**
-ADEP **LMML**
-COORDATA
 -PTID **BNE**
 -TO **1226**
 -TFL **F310**
-ADES **EGBB**

2.
-TITLE **REV**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **010**
-ARCID **AMM253**
-ADEP **LMML**
-COP **BNE**
-ADES **EGBB**
-SSRCODE **A2317**
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
-MSGREF
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **007**

3.
-TITLE **REV**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **019**
-ARCID **AMM253**
-ADEP **LMML**
-COP **BNE**
-ADES **EGBB**
-BEGIN EQCST
 -EQPT **W/NO**
-END EQCST

4.
-TITLE **REV**
-REFDATA
 -SENDER -FAC **BC**
 -RECVR -FAC **P**
 -SEQNUM **873**
-ARCID **BAF4486**
-ADEP **EBMB**
-COP **NEBUL**
-ADES **LERT**
-BEGIN EQCST
 -EQPT **Y/NO**
 -EQPT **U/EQ**
-END EQCST

6.5 Message for the Abrogation of Co-ordination (MAC)

6.5.1 Purpose of the MAC Message

A MAC message is used to indicate to the receiving unit that the co-ordination or notification previously effected for a flight is being abrogated.

The MAC is not a replacement for a Cancellation (CNL) message, as defined by ICAO, and therefore, shall not be used to erase the basic flight plan data.

6.5.2 Message Contents

OLDI-MAC-10-M The MAC message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Co-ordination Point;
- Destination Aerodrome.

OLDI-MAC-20-M If bilaterally agreed, the MAC message **shall** contain any of the following items of data:

- Message Reference;
- Co-ordination Status and Reason;

6.5.3 Rules of Application

6.5.3.1 General

OLDI-MAC-30-M A MAC message **shall** be sent to a unit to which co-ordination had previously been effected for a flight, by the use of an ACT or RAP message, when one of the following occurs:

- the expected level at the transfer point is different from the level contained in the previous message resulting in a change of the next unit in the co-ordination sequence;
- the route of flight has been altered which results in change of the next unit in the co-ordination sequence;
- the system flight plan is cancelled in the sending unit and the co-ordination is no longer relevant;
- a MAC is received from the previous unit in respect of the flight.

OLDI-MAC-40-M When the MAC message is sent due to a flight level or route change, notification and/or co-ordination, as appropriate, **shall** be effected with the new unit in the co-ordination sequence.

OLDI-MAC-50-M A MAC message **shall** be sent when the co-ordination for a departing flight, effected by the use of a PAC or CRQ message, is abrogated.

OLDI-MAC-60-R A MAC message **should** be sent when the notification (ABI message) previously effected for a flight is cancelled due to any of the reasons specified in requirement [OLDI-MAC-30-M](#) or the flight is delayed en-route and a revised estimate cannot be determined automatically.

OLDI-MAC-70-M A message reference **shall** be included if bilaterally agreed.

OLDI-MAC-80-M If included, the message reference **shall** contain the message number of the last ABI, PAC or ACT message transmitted for the flight and acknowledged.

OLDI-MAC-90-M The co-ordination point **shall** be the COP through which the flight had been previously notified or co-ordinated.

OLDI-MAC-100-R	The MAC message should identify the status to which the co-ordination or notification is to revert and the reason for the abrogation.
OLDI-MAC-110-M	<p>If included, the status and reason shall be one of the following combinations:</p> <ol style="list-style-type: none"> 1. when the receiving unit is no longer the next co-ordination partner: <ol style="list-style-type: none"> a. the status is INI (initial); b. the reason is one of the following: <ol style="list-style-type: none"> i. TFL if the reason is a change of transfer level; ii. RTE if the reason is a change of route (including diversion); iii. CAN if the reason is a cancellation; iv. OTH for any other reason or if the reason is unknown; v. when one of the following conditions applies: 2. the co-ordination effected by the use of the previous PAC, CRQ or ACT message (as modified by any subsequent REV message) is abrogated but the flight is expected to be the subject of a new co-ordination sequence with the same unit; <ol style="list-style-type: none"> a. the status is NTF (notification); b. the reason is one of the following: <ol style="list-style-type: none"> i. DLY if the reason is a delay prior to departure; ii. HLD if the reason is a hold; iii. OTH for any other reason, or if the reason is unknown. 3. or following the transmission of an ABI, RAP (if applicable) or ACT message, the flight is holding for an indefinite period and is expected to be subject to a revised ABI, RAP or ACT, as appropriate: <ol style="list-style-type: none"> a. the status is NTF (notification); b. the reason is one of the following: <ol style="list-style-type: none"> i. DLY if the reason is a delay prior to departure; ii. HLD if the reason is a hold; iii. OTH for any other reason, or if the reason is unknown.
OLDI-MAC-120-M	If the flight is to be re-notified or re-co-ordinated, a new notification and/or co-ordination message, as appropriate, shall be sent.
OLDI-MAC-130-M	If the flight is to be re-notified or re-co-ordinated, the system shall retain the capability to correctly process a new notification and/or co-ordination message from either the previous transferring unit or a different unit in a new co-ordination sequence.
6.5.3.2	Processing in the Receiving Unit
OLDI-MAC-140-M	<p>The working position(s) in the receiving ATC unit which are provided with flight details shall be notified of the abrogation.</p> <p><i>Note: the basic flight plan data stored in the receiving ATC unit remains unchanged upon reception of a MAC message.</i></p>
OLDI-MAC-150-R	On the reception of a MAC message, a previously received NAN message should be abrogated.
6.5.4	Acknowledgement of MAC
6.5.4.1	Acknowledgement
OLDI-MAC-160-M	If the MAC message can be associated with a flight plan within the receiving system and can be processed, a LAM message shall be transmitted in acknowledgement.
OLDI-MAC-170-M	If the MAC message cannot be associated with a flight plan within the receiving system, or cannot be processed, the transmission of a LAM message shall be inhibited.

6.5.4.2 No Acknowledgement

OLDI-MAC-180-M If ATC co-ordination is being abrogated and no LAM message is received, a warning **shall** be displayed at the ATC position responsible for the co-ordination.

OLDI-MAC-190-M In such cases a verbal abrogation of co-ordination **shall** be effected by the transferring ATC unit.

6.5.5 Examples

An ABI message was sent by Amsterdam ACC to Brussels ACC for flight HOZ3188, planned at FL190; the flight subsequently requests to climb to FL270 and is so cleared, thus entering Maastricht airspace instead of Brussels. Examples 6.5.5.1 – 1. and 6.5.5.2 – 1. show how the MAC sent to Brussels by Amsterdam would appear both in ICAO and ADEXP formats.

An ABI and, later, an ACT message are sent to Maastricht, but, before reaching the COP, the aircraft returns to Amsterdam Airport and the route and destination are amended in the sending unit's system; a MAC is sent to Maastricht as shown in examples 6.5.5.1 – 2. and 6.5.5.2 - 2.

6.5.5.1 ICAO

1. (MACAM/BC112 AM/BC105-HOZ3188-EHAM-NIK-LFPG-18/STA/INITFL)
2. (MACAM/MC096-HOZ3188-EHAM-NIK-LFPG-18/STA/INIRTE)

6.5.5.2 ADEXP

1.
 - TITLE **MAC**
 - REFDATA
 - SENDER -FAC **AM**
 - RECVR -FAC **BC**
 - SEQNUM **112**
 - ADEP **EHAM**
 - COP **NIK**
 - ADES **LFPG**
 - ARCID **HOZ3188**
 - CSTAT
 - STATID **INI**
 - STATREASON **TFL**
 - MSGREF
 - SENDER -FAC **AM**
 - RECVR -FAC **BC**
 - SEQNUM **105**
2. -
 - TITLE **MAC**
 - REFDATA
 - SENDER -FAC **AM**
 - RECVR -FAC **MC**
 - SEQNUM **096**
 - ADEP **EHAM**
 - COP **NIK**
 - ADES **LFPG**
 - ARCID **HOZ3188**
 - CSTAT
 - STATID **INI**
 - STATREASON **ROUTE**

6.6 Preliminary Activation Message (PAC)

6.6.1 Purpose of the PAC Message

The PAC message satisfies the following operational requirements:

- notification and pre-departure co-ordination of a flight where the time of flight from departure to the COP is less than that which would be required to comply with the agreed time parameters for ACT message transmission;
- notification and pre-departure co-ordination of a flight by a local (aerodrome /approach control) unit to the next unit that will take control of the flight;
- provide for acquisition of missing flight plan data in case of discrepancies in the initial distribution of flight plan data;
- request the assignment of an SSR code from the unit to which the above notification/co-ordination is sent, if required.

6.6.2 Message Contents

OLDI-PAC-10-M

The PAC message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- SSR Mode and Code;
- Departure Aerodrome;
- Estimated Take-Off Time or Estimate Data;
- Destination Aerodrome;
- Number and Type of Aircraft.

OLDI-PAC-20-M

A PAC message sent from a TMA control unit or an ACC **shall** contain the following items of data:

- Type of Flight;
- Equipment Capability and Status;

OLDI-PAC-30-M

If bilaterally agreed, the PAC message **shall** contain any of the following items of data:

- Route;
- Other flight plan data;
- Message Reference.

OLDI-PAC-40-M

If bilaterally agreed and the data is required to be included for the flight, the PAC message **shall** contain one or more of the following items of data:

- Departure Runway;
- SID Identifier;
- Cleared Flight level.

6.6.3 Rules of Application

6.6.3.1 General

OLDI-PAC-50-M

One or more PAC messages **shall** be sent for each flight planned to cross the boundary of areas of responsibility where the time from departure to the COP would not permit the ACT message to be sent at the required time.

OLDI-PAC-60-M

One or more PAC messages **shall** be sent by the Aerodrome/Approach unit to the next unit for each departing flight for which either notification or co-ordination is required.

OLDI-PAC-70-R	For the implementation of the PAC / LAM message exchange between units, the relevant TWR/APP systems should be provided with a means to input and forward "start-up", "push-back", "taxi" or similar information from which the ETOT may be derived in order to calculate the ETO at the COP and initiate the transmission of the PAC.
OLDI-PAC-80-M	As bilaterally agreed, the message shall contain either: <ul style="list-style-type: none">• Estimated Take-Off Time, or• Estimate Data.
OLDI-PAC-90-M	When the message reference is included by bilateral agreement, it shall contain the message number of the first PAC message sent for the flight.
OLDI-PAC-100-M	When the message reference is included, by bilateral agreement, it shall be included on second and subsequent PAC messages.
OLDI-PAC-110-M	The use of the code request facility, if required, shall be agreed bilaterally.
OLDI-PAC-120-M	A revised PAC message shall be sent if, before departure, there is a change to any item of data included in the previous PAC message.
OLDI-PAC-130-M	The route shall be included where bilaterally agreed between the sending and receiving units:
6.6.3.2	Processing in the Receiving Unit
OLDI-PAC-140-M	The ATC system receiving a PAC message shall attempt association with the corresponding flight plan.
OLDI-PAC-150-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the operational content shall be included with the flight plan;
OLDI-PAC-160-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data shall be output at operational ATC and other positions as appropriate;
OLDI-PAC-170-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement shall be returned.
OLDI-PAC-180-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.
OLDI-PAC-190-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.
OLDI-PAC-200-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, a flight plan shall be created.
OLDI-PAC-210-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight cannot be identified, the acknowledgement shall be inhibited.
OLDI-PAC-220-M	The data in a second or subsequent PAC message shall supersede the data in the previous message.

OLDI-PAC-230-M If the PAC message includes a request for the assignment of an SSR code and is correctly processable as described in requirements [OLDI-PAC-150-M](#), [OLDI-PAC-160-M](#) and [OLDI-PAC-170-M](#), a COD message **shall** be returned in addition to the LAM.

Note: *As the code assignment process requires detailed flight plan route information, no requirement is made in this document for the return of a COD message by the receiving unit where such data may not be available. This does not prevent a message being returned under such circumstances if a specific local capability exists and the procedure has been agreed bilaterally.*

6.6.3.3 Criteria for Message Transmission

OLDI-PAC-240-M PAC message transmission **shall** be initiated by a start-up, push-back, taxi or ETOT confirmed event.

6.6.4 Acknowledgement of PAC

6.6.4.1 Acknowledgement

The messages to be sent in response to a PAC message are described in paragraph 6.6.3.2.

6.6.4.2 No Acknowledgement

OLDI-PAC-250-M If no LAM message is received as an acknowledgement for a PAC message, a warning **shall** be displayed at the position in the ATC unit responsible for co-ordination with the next unit.

6.6.4.3 No-LAM cases

OLDI-PAC-260-M In no-LAM cases, verbal co-ordination **shall** be initiated.

6.6.4.4 No COD Message

OLDI-PAC-270-M If a COD message is not received in response to a code request included in the PAC message, a warning **shall** be displayed at an appropriate position.

OLDI-PAC-280-M Where the code request function is to be used, the time-out value to be applied **shall** be agreed bilaterally.

6.6.5 Action on Departure

6.6.5.1 En-route Point (COP) Format

Requirement OLDI-ACT-60-M identifies that an ACT message is sent following the departure of a flight previously co-ordinated by the use of a PAC message using an en-route point.

6.6.5.2 Estimated Take-off Time Format

See paragraph 11.1.7.

6.6.6 Examples

6.6.6.1 Estimated Take-Off Time and Code Request

6.6.6.1.1 ICAO

(PACBA/SZ002-CRX922/A9999-LFSB1638-LSZA-8/I-9/2B737/M-15/N0480F390 UB4 BNE UB4 BPK UB3 HON-80/N-81/W/EQ Y/NO-18/DEP/BALE MULHOUSE DEST/LUGANO OPR/CROSS AVIATION LTD ALTN/EGLL RALT/EGKK STS/ONE ENG INOP)

6.6.6.1.2 ADEXP

-TITLE **PAC**
-REFDATA
 -SENDER -FAC **BA**
 -RECVR -FAC **SZ**
 -SEQNUM **002**
-ARCID **CRX922**
-SSRCODE **REQ**

-ADEP **LFSB**
-ETOT **1638**
-ARCTYP **B737**
-NBARC **2**
-FLTTYP **N**
-ADES **LSZA**
-BEGIN **EQCST**
 -EQPT **W/EQ**
 -EQPT **Y/NO**
-END **EQCST**
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
-MSGREF
 -SENDER -FAC **BA**
 -RECVR -FAC **SZ**
 -SEQNUM **0012**
-FLTRUL **I**
-DEPZ **BALE-MULHOUSE**
-DESTZ **LUGANO**
-OPR **CROSS AVIATION LTD**
-ALTRNT1 **LSZH**
-ALTRNT2 **LSGG**
-STS **ONE ENG INOP**
-RWYDEP **16**
-SID **5**
-CFL **210**

6.6.6.2 Time at COP

6.6.6.2.1 ICAO

(PACD/L025-EIN636/A5102-EIDW-LIFFY/1638F290F110A-EBBR-9/B737/M)

6.6.6.2.2 ADEXP

-TITLE PAC
-REFDATA
 -SENDER -FAC **D**
 -RECVR -FAC **L**
 -SEQNUM **025**
-ARCID **EIN636**
-SSRCODE **A5102**
-ADEP **EIDW**
-COORDATA
 -PTID **LIFFY**
 -TO **1638**
 -TFL **F290**
 -SFL **F110A**
-ARCTYP **B737**
-ADES **EBBR**

6.7 Logical Acknowledgement Message (LAM)

6.7.1 Purpose of the LAM Message

The LAM is the means by which the receipt and safeguarding of a transmitted message is indicated to the sending unit by the receiving unit.

The LAM processing provides the ATC staff at the transferring unit with the following:

- a warning when no acknowledgement has been received;
- an indication that the message being acknowledged has been received, processed successfully, found free of errors, stored and, where relevant, is available for presentation to the appropriate working position(s).

6.7.2 Message Contents

OLDI-LAM-10-M The LAM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference.

6.7.3 Rules of Application

6.7.3.1 General

The rules for the return of a LAM are specified in the sections of this document defining the processing of each message.

OLDI-LAM-20-M The LAM message **shall** be generated and transmitted without human intervention.

OLDI-LAM-30-M The use of the LAM message to avoid the need for technical messages to ensure the integrity of data transmissions **shall** be forbidden.

OLDI-LAM-40-M The LAM message **shall** be generated and transmitted immediately so that the transaction time requirement of the message being acknowledged can be achieved.

OLDI-LAM-50-M With exception of ABI messages, the transmitting ATC system **shall** display a warning to the appropriate position if a LAM message has not been received within the parameter set for such warnings.

6.7.4 Acknowledgement of LAM

OLDI-LAM-60-M The acknowledgement of a LAM message **shall** be inhibited.

6.7.5 Examples

6.7.5.1 ICAO

(LAML/E012E/L001)

6.7.5.2 ADEXP

-TITLE LAM
-REFDATA
-SENDER -FAC L
-RECVR -FAC E
-SEQNUM 012
-MSGREF
-SENDER -FAC E
-RECVR -FAC L
-SEQNUM 001

7. GROUND – GROUND SITUATIONAL AWARENESS

7.1 General

7.1.1 Description of Requirement

This section describes the data transfer applicable for the notification and co-ordination of flights between ATSUs and between ATSUs and air defence units where the information is being provided to make the receiving unit aware of the flights concerned but not for an intended later transfer of control. The messages are defined, in particular, for the following purposes:

- Notification of flights to military agencies including military ATSUs and air defence units providing service within the same airspace as the ATSU providing the data;
- Notification of flights which are to operate adjacent to the boundary of the airspace under the jurisdiction of the ATSU to the ATSU having jurisdiction of the airspace on the other side of the boundary.

7.1.1.1 Flight Data Exchange

The flight data exchange consists of the exchange of a basic set of information when a flight is about to enter the area of interest of the concerned unit. The Basic Flight Data (BFD) message provides more detailed data, supplemented with updates and additions to that information (Change of Flight Data (CFD) message) as the flight progresses through the concerned airspace. The additions may include, when agreed nationally, the controller's intention. The availability of this information will reduce the need for controller-to-controller verbal co-ordination. Although in principle the data exchange should be automatic, in certain cases it may be desirable to initiate the exchange by a specific controller input.

7.1.1.2 Acknowledgement

All flight data exchange and airspace crossing functions are acknowledged automatically if they can be correctly received and processed in the addressed unit.

7.1.2 Implementation

OLDI-SITAW-10-M When implementing ground-ground situational awareness messages (BFD or BFD and CFD), the requirements described in this section **shall** be applied.

7.2 Basic Flight Data Message (BFD)

7.2.1 Purpose

The purpose of the BFD is

- to forward basic flight data from civil units to military units and, if bilaterally agreed, from military units to civil units;
- to forward basic flight data to an ATSU which requires information on the flight but whose airspace is not planned to be penetrated by the flight, e.g. where the route takes the flight close to the boundary and a LoA exists requiring such flights to be notified of co-ordinated;
- To identify the controller/console having the flight under control, particularly where it may not be apparent from the current position of the flight as is the case at a number of military units;
- to activate the system flight plan in the receiving unit, if necessary;
- to allow correlation of radar data with flight plan data.

7.2.2 Message Contents

OLDI-BFD-10-M The BFD message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- SSR Mode and Code.

OLDI-BFD-20-M If bilaterally agreed, the BFD message **shall** contain any of the following items of data:

- Departure Aerodrome;
- Destination Aerodrome;
- Number and Type of Aircraft;
- Route Points;
- Equipment Capability and Status
- Other flight data;
- Requested Flight Level; (see OLDI-BFD-60-O);
- Speed. (see OLDI-BFD-60-O);
- Route;
- Cleared Flight Level;
- Estimated Off-Block Time;
- Flight Type;

Note: *The Other Flight Data item may contain any Item of the ICAO FPL or any other bilaterally agreed data item.*

OLDI-BFD-30-O The BFD message **may** contain the following items of data:

- Sector Identifier;
- Previous SSR Mode and Code;
- Next SSR Mode and Code.

Note: *In some cases the Sector Identifier item will contain the identifier of the appropriate working position (e.g. in the case of military units that don't have defined sectors).*

7.2.3 Processing

7.2.3.1 General

- OLDI-BFD-40-M** If included, the Route Points element **shall** contain a list of route points with at least the point identification, flight level and estimated time over:
- the point at which the flight is entering the area of responsibility of the sending unit or the point before entering the area of responsibility of the receiving unit and
 - the point at which the flight is leaving the area of responsibility of the sending unit or the point beyond the area of responsibility of the receiving unit.
- OLDI-BFD-50-O** The remainder of the route **may** be described in the list of route points.
- OLDI-BFD-60-O** Requested flight level and speed **should** be added outside the list of route points if not provided in the list of route points.
- OLDI-BFD-70-M** When inserted the sector identifier **shall** contain the identifier of the position having control of the flight.

7.2.3.2 In the sending unit

- OLDI-BFD-80-M** The BFD **shall** be transmitted automatically for each flight meeting the bilaterally agreed conditions for notification of the interested units.

7.2.3.3 In the receiving unit

- OLDI-BFD-90-M** If no discrepancy that would inhibit correct processing is found, the receiving unit **shall** attempt association with a flight plan.
- OLDI-BFD-100-M** If a corresponding flight plan can be found, an acknowledgement **shall** be sent, the data shall be included in the flight plan and be available for correlation.
- OLDI-BFD-110-M** If no corresponding flight plan can be found, an acknowledgement **shall** be sent, a flight plan shall be created based on the received data and be made available for correlation.
- OLDI-BFD-120-M** If the message cannot be correctly processed, no acknowledgement **shall** be returned.

7.2.4 Acknowledgement

- OLDI-BFD-130-M** The BFD **shall** be acknowledged by a LAM message.
- OLDI-BFD-140-M** If no acknowledgement has been received within a specified time, a warning **shall** be displayed at the appropriate position.

7.2.5 Operational Reply

There is no operational reply required for the BFD.

7.2.6 Message Example

```
-TITLE BFD
-REFDATA
  -SENDER -FAC EBBUZXZQ
  -RECVR -FAC EBSZZXZQ
  -SEQNUM 006
-ARCID DLH151
-SSRCODE A2157
-ADEP EDDW
-ADES GMME
-BEGIN RTEPTS
-PT
```

-PTID **WOODY**
-TO **1235**
-FL **F210**
-PT
-PTID **CIV**
-TO **1239**
-FL **F330**
-PT
-PTID **NEBUL**
-TO **1240**
-FL **F330**
-END RTEPTS
-ARCTYP **B737**
-NBARC **2**
-WKTRC **M**
-BEGIN **EQCST**
-EQPT **W/EQ**
-EQPT **Y/NO**
-END **EQCST**
--ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
-FLTRUL **I**
-DEPZ **BREMEN**
-DESTZ **RABAT/SALE**
-OPR **DEUTSCHE LUFTHANSA, A.G.**
-ALTRNT1 **EDDF**
-ALTRNT2 **EDDH**
-STS **ONE ENG INOP**
-RFL **210**
-SPEED **K0650**
-CFL **230**
-EOBT **1205**
-FLTTYP **N**
-SECTOR **ZURICH**
-PREVSSRCODE **A2153**
-NEXTSSRCODE **A2257**

7.3 Change to Flight Data Message (CFD)

7.3.1 Purpose

The purpose of the CFD is for the unit controlling the flight to notify the interested unit of

- all significant changes to flight data previously sent to this unit with a BFD/CFD;
- all flight data required to be notified by bilateral agreement and not included in the BFD or previous CFDs.

Note: *The exact meaning of “significant” is to be bilaterally agreed for each data element.*

7.3.2 Message Contents

OLDI-CFD-10-M The CFD message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference;
- Aircraft Identification.

OLDI-CFD-20-M If bilaterally agreed, the CFD message **shall** contain any of the following items of data:

- SSR Mode and Code;
- Departure Aerodrome;
- Destination Aerodrome;
- Number and Type of Aircraft;
- Route Points;
- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent;
- Release Indication;
- Other flight data;
- Route;
- Cleared Flight Level.

Note: *The Other Flight Data item may contain any Item of the ICAO FPL or any other bilaterally agreed data item.*

OLDI-CFD-30-O The CFD message **may** contain the following items of data:

- Sector Identifier;
- Previous SSR Mode and Code;
- Next SSR Mode and Code.

Note: *In some cases the Sector Identifier item will contain the identifier of the appropriate working position (e.g. in the case of military units that don't have defined sectors).*

7.3.3 Processing

7.3.3.1 General

OLDI-CFD-40-M A CFD **shall** only be sent for a flight, which has previously been notified by a BFD.

OLDI-CFD-50-M The Message Reference in a CFD **shall** contain the Message Number of the BFD exchanged between the two units for the subject flight.

OLDI-CFD-60-M If included, the route element **shall** contain at least the route points ahead of the present position of the flight, with flight level and estimated times.

Note: *the supplementary flight level consists of a level calculated at the point or the received/transmitted coordinated level at the point.*

OLDI-CFD-70-O A supplementary flight level **may** be added if necessary.

OLDI-CFD-80-M When inserted the sector identifier **shall** contain the identifier of the position having control of the flight.

7.3.3.2 In the sending unit

OLDI-CFD-90-M A CFD **shall** be transmitted automatically whenever a significant change occurs to flight data which was sent previously or when data that requires notification by bilateral agreement, is added.

7.3.3.3 In the receiving unit

OLDI-CFD-100-M The system receiving the CFD **shall** attempt association with a corresponding flight plan.

OLDI-CFD-110-M If a corresponding flight plan is found and no discrepancy that would inhibit correct processing is found, an acknowledgement **shall** be returned.

OLDI-CFD-120-M If a corresponding flight plan is found and no discrepancy that would inhibit correct processing is found, the data **shall** be included in the flight plan and made available to the controller immediately.

OLDI-CFD-130-M If the message cannot be associated with a corresponding flight plan or it cannot be correctly processed, the acknowledgement **shall** be inhibited.

7.3.4 Acknowledgement

OLDI-CFD-140-M The CFD **shall** be acknowledged by a LAM message.

OLDI-CFD-150-M If no acknowledgement has been received within the specified time, a warning **shall** be displayed at the appropriate position.

7.3.5 Operational Reply

There is no operational reply required to a CFD.

7.3.6 Message Example

```
-TITLE CFD
-REFDATA
  -SENDER -FAC EBBUZXZQ
  -RECVR -FAC EBSZZXZQ
  -SEQNUM 007
-MSGREF
  -SENDER -FAC EBBUZXZQ
  -RECVR -FAC EBSZZXZQ
  -SEQNUM 006
-ARCID DLH151
-SECTOR BRUW
-CFL F290
-BEGIN RTEPTS
-PT
  -PTID WOODY
  -TO 1235
  -FL F210
  -SFL F190A
-PT
  -PTID CIV
  -TO 1239
  -FL F290
```

-SFL **F250A**
-PT
-PTID **NEBUL**
-TO **1240**
-FL **F330**
END RTEPTS
-ARCTYP **B737**
-NBARC **2**
-WKTRC **M**
-BEGIN **EQCST**
-EQPT **W/EQ**
-EQPT **Y/NO**
-END **EQCST**
--ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**
-FLTRUL **I**
-DEPZ **BREMEN**
-DESTZ **RABAT/SALE**
-OPR **DEUTSCHE LUFTHANSA, A.G.**
-ALTRNT1 **EDDF**
-ALTRNT2 **EDDH**
-STS **ONE ENG INOP**
-RFL **210**
-SPEED **K0650**
-CFL **230**
-EOBT **1205**
-FLTTYP **N**
-SECTOR **ZURICH**
-SSRCODE **A2234**
-PREVSSRCODE **A2153**
-NEXTSSRCODE **A2257**
-ADEP **EDDW**
-ADES **GMME**
-AHEAD **310**
-ASPEED **K0650**
-RATE **C20**
-RELEASE **F**

8. CIVIL - MILITARY CO-ORDINATION – AIRSPACE CROSSING

8.1 General

8.1.1 Description of Requirement

This section describes facilities applicable for the co-ordination of airspace crossing between civil and military agencies that are additional to those described in section 6 - BASIC PROCEDURE.

Flight data exchange between civil and military units other than for airspace crossing purposes utilises the Basic Flight Data (BFD) and Change to Flight Data (CFD) messages as described in sections 7.2 and 7.3 of this document.

8.1.1.1 Airspace Crossing

The airspace crossing function consists of a message exchange triggered by the controller who has the option either to notify the other unit that a flight under his control is intending to cross the airspace controlled by that unit, or to request the permission to cross that airspace. In the second case the controller in the second unit will be expected to make an input to trigger an operational reply to the crossing request.

This reply can either be an acceptance of the request, or a counter proposal, or a rejection. A counter proposal requires an approval or a rejection from the controller who was the originator of the original request.

A previously sent notification can be amended by the controller in charge of the flight.

Agreed crossing details can be amended by the controller in charge of the flight and by the controller in charge of the airspace to be crossed.

8.1.1.2 Acknowledgement

All flight data exchange and airspace crossing functions are acknowledged automatically if they can be correctly received and processed in the addressed unit.

8.1.2 Implementation

OLDI-MIL-10-M

If airspace crossing facilities are implemented based on bilateral agreement the rules described in this section **shall** be applied.

8.2 Crossing Intention Notification Message (XIN)

8.2.1 Purpose

The purpose of the XIN message is to forward the intention to cross an airspace controlled by a civil unit with a flight controlled by military unit or vice versa.

The XIN message may be used to update an XIN message sent earlier on the same flight.

8.2.2 Message Contents

OLDI-XIN-10-M The XIN message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference (if the message is updating an earlier XIN message)
- Aircraft Identification;
- SSR Mode and Code;
- Number and Type of Aircraft;
- Sector Identifier;
- Route.

Note: *The Sector Identifier item contains the identifier of the sector responsible for the flight.*

OLDI-XIN-20-M If bilaterally agreed, the XIN message **shall** contain any of the following items of data:

- Departure Aerodrome;
- Destination Aerodrome;
- Other Flight Plan Data.

8.2.3 Processing

8.2.3.1 General

OLDI-XIN-30-M The Route element **shall** contain a description of the crossing route including at least two points.

OLDI-XIN-40-M Times and flight levels **shall** be included for each point.

OLDI-XIN-50-O In the case of climb or descend, a supplementary flight level **may** be included in addition to flight level information.

OLDI-XIN-60-M In the case of formation flights, a flight level block **shall** be used instead of a single flight level.

OLDI-XIN-70-M In all other cases, only a flight level **shall** be provided.

OLDI-XIN-80-M When used to update an earlier XIN message, the Message Reference **shall** contain the Message Number of the first message.

OLDI-XIN-90-M The unit sending the XIN **shall** make sure the flight stays on the shortest route between the consecutive points.

8.2.3.2 In the sending unit

OLDI-XIN-100-M The message **shall** be initiated at the controller's discretion or automatically, as described in the LoA.

OLDI-XIN-110-O Multiple XIN messages **may** be sent for the same flight.

Note: *The points included in the route element of a XIN do not need to be outside of the airspace to be crossed.*

8.2.3.3 In the receiving unit

- OLDI-XIN-120-M** If the message can be correctly processed, the system receiving the XIN **shall** return an acknowledgement.
- OLDI-XIN-130-M** If the message can be correctly processed, the system receiving the XIN, the data **shall** be made available to the controller immediately.
- OLDI-XIN-140-M** If the message cannot be correctly processed, no acknowledgement **shall** be returned.

8.2.4 Acknowledgement

- OLDI-XIN-150-M** The message **shall** be acknowledged by a LAM message.
- OLDI-XIN-160-M** If no LAM has been received within a specified time, a warning **shall** be displayed at the appropriate position.

8.2.5 Operational Reply

There is no operational reply required to the XIN message.

8.2.6 Message Example

```

-TITLE XIN
-REFDATA
  -SENDER -FAC EBSZZXZQ
  -RECVR -FAC EBBUZXZQ
  -SEQNUM 009
-ARCID AL25
-SSRCODE A2151
-ARCTYP F16
-NBARC 3
-SECTOR WEST
-ADEP EBBE
-ADES EGUN
-BEGIN RTEPTS
-PT
  -PTID GEO01
  -TO 150500
  -FL F230
-PT
  -PTID GEO02
  -TO 150600
  -FL F230
END RTEPTS
-GEO
  -GEOID GEO01
  -LATTD 512500N
  -LONGTD 0030000E
-GEO
  -GEOID GEO02
  -LATTD 513400N
  -LONGTD 0030300E
-MSGREF
  -SENDER -FAC EBSZZXZQ
  -RECVR -FAC EBBUZXZQ
  -SEQNUM 007
-FLTRUL I
-DEPZ BEAUVECHAIN (MIL)
-DESTZ MILDENHALL

```

8.3 Crossing Clearance Request Message (XRQ)

8.3.1 Purpose

The purpose of the XRQ message is to forward a request to cross an airspace controlled by a civil unit with a flight controlled by military unit or vice versa.

The XRQ message may be used by the unit that has obtained an agreed crossing to modify that agreement.

8.3.2 Message content

OLDI-XRQ-10-M The XRQ message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference (if modifying an earlier agreed crossing);
- Aircraft Identification;
- SSR Mode and Code;
- Number and Type of Aircraft;
- Route;
- Sector Identifier.

Note: *The Sector Identifier item contains the identifier of the sector responsible for the flight.*

OLDI-XRQ-20-M If bilaterally agreed, the XRQ message **shall** contain the following items of data:

- Departure Aerodrome;
- Destination Aerodrome;
- Equipment Capability and Status;
- Other Flight Plan Data.

8.3.3 Processing

8.3.3.1 General

OLDI-XRQ-30-M The Route element **shall** contain a description of the crossing route including at least two points.

OLDI-XRQ-40-M Times and flight levels **shall** be included for each point.

OLDI-XRQ-50-O In the case of climb or descent, an SFL **may** be included in addition to FL information.

OLDI-XRQ-60-M In the case of formation flights, a flight level block **shall** be used instead of a single FL.

OLDI-XRQ-70-M If not a case of climb, or descent, or formation flights, only a FL **shall** be provided.

OLDI-XRQ-80-M A second XRQ for the same flight and for the same crossing **shall** not be sent until the initiated dialogue has been concluded.

OLDI-XRQ-90-M The use of an XRQ to modify an earlier agreed crossing **shall** be subject to bilateral agreement.

Note: *If the modification of an earlier agreed crossing is allowed, the modification dialogue can be started either by a XRQ message sent by the controller in charge of the flight or by an XAP message (see Section 8.4) sent by the controller in charge of the airspace to be crossed by this flight. Both controllers may try to initiate the modification dialogue simultaneously. In that case, only the dialogue initiated by the controller in charge of the flight shall be considered.*

OLDI-XRQ-100-M A bilateral agreement to include Equipment Capability and Status or Other Flight Plan Data **shall** also include those elements that are to be included.

Note: *In respect of the XRQ message, the above statement replaces that in section A.32 **Equipment Capability and Status** regarding the content of the field.*

OLDI-XRQ-110-M Such data fields **shall** include only those items bilaterally agreed.

Note: *In respect of the XRQ message, the above statement replaces that in section A.32 **Equipment Capability and Status** regarding the content of the field.*

8.3.3.2 In the sending unit

OLDI-XRQ-120-M The message **shall** be initiated at the controller's discretion, in accordance with the conditions specified in the LoA.

8.3.3.3 In the receiving unit

OLDI-XRQ-130-M If the message can be correctly processed, the system receiving the XRQ **shall** return an acknowledgement.

OLDI-XRQ-140-M If the message can be correctly processed, the data **shall** be made available to the controller immediately.

OLDI-XRQ-150-M If the message cannot be correctly processed, no acknowledgement **shall** be returned.

8.3.4 Acknowledgement

OLDI-XRQ-160-M XRQ messages **shall** be acknowledged by a SBY message.

OLDI-XRQ-170-M If no SBY message has been received within a specified time, a warning **shall** be displayed at the appropriate position.

8.3.5 Operational Reply

OLDI-XRQ-180-M An XRQ **shall** be replied to by

- an ACP accepting the proposed route/area crossing details;
- an XAP counter-proposing different route/area crossing details;
- an RJC rejecting the proposed route/area crossing details.

OLDI-XRQ-190-O When no operational reply is received, a verbal co-ordination **may** be initiated.

OLDI-XRQ-200-O When no operational reply is received and a verbal co-ordination is initiated, if necessary, an XCM **may** be sent by the unit in charge of the flight to indicate that the current system dialogue is terminated.

8.3.6 Message Example

```

-TITLE XRQ
-REFDATA
  -SENDER -FAC EBSZZXZQ
  -RECVR -FAC EBBUZXZQ
  -SEQNUM 012
-ARCID DEUCE22
-SSRCODE A1240
-ARCTYP F111
-NBARC 3
-SECTOR SOUTH
-BEGIN RTEPTS
-PT
  -PTID GEO01
  -TO 163000
  -FL F250

```

-PT
 -PTID **GEO02**
 -TO **163100**
 -FL **F250**
END RTEPTS
-GEO
 -GEOID **GEO01**
 -LATTD **500000N**
 -LONGTD **0051000E**
-GEO
 -GEOID **GEO02**
 -LATTD **500000N**
 -LONGTD **0051500E**
-ADEP **EBBE**
-ADES **EGUN**
-MSGREF
 -SENDER –FAC **EBSZZXZQ**
 -RECVR –FAC **EBBUZXZQ**
 -SEQNUM **007**
-FLTRUL I
-DEPZ **BEAUVECHAIN (MIL)**
-DESTZ **MILDENHALL**
-BEGIN **EQCST**
 -EQPT **W/EQ**
 -EQPT **Y/NO**
-END **EQCST**

8.4 Crossing Alternate Proposal Message (XAP)

8.4.1 Purpose

The purpose of the XAP message is to transmit a counter-proposal by a controller to the route/area crossing details proposed by another controller.

If bilaterally agreed, the XAP message may be used by the controller in charge of the airspace to be crossed to propose a modification to agreed route/area crossing details.

8.4.2 Message content

OLDI-XAP-10-M The XAP message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference;
- Aircraft Identification;
- Route.

OLDI-XAP-20-M If bilaterally agreed, the XAP message **shall** contain the following item of data:

- Other Flight Plan Data.

8.4.3 Processing

8.4.3.1 General

OLDI-XAP-30-M The counter-proposal **shall** include a proposed new flight level for at least one point mentioned either in the XRQ message to which it is replying for, or in the agreed route/area crossing details it aims to modify.

OLDI-XAP-40-O Only flight levels (FL, SFL, Flight Level Block) **may** be changed.

OLDI-XAP-50-O If, as for XRQ, the initiation of a new dialogue to modify an earlier agreed crossing is bilaterally agreed, the controller in charge of the airspace to be crossed **may** initiate a new dialogue by sending an XAP.

Note: *The modification dialogue can also be initiated by a XRQ message sent by the controller in charge of the flight (see Section 8.3). Both controllers may try to initiate the modification dialogue simultaneously. In that case, only the dialogue initiated by the controller in charge of the flight shall be considered.*

8.4.3.2 In the sending unit

OLDI-XAP-60-M The XAP message **shall** be triggered by the manual input of a proposed new flight level by the controller.

8.4.3.3 In the receiving unit

OLDI-XAP-70-M The system receiving the XAP **shall** attempt association with the corresponding message.

OLDI-XAP-80-M If that message is found and there is no discrepancy that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-XAP-90-M If that message is found and there is no discrepancy that would inhibit correct processing, the content of the XAP **shall** be made available to the controller immediately.

OLDI-XAP-100-M If the message cannot be correctly processed or it cannot be associated with the corresponding message, no acknowledgement **shall** be returned.

8.4.4 Acknowledgement

OLDI-XAP-110-M The XAP **shall** be acknowledged by a SBY message.

OLDI-XAP-120-M If no acknowledgement has been received within a specified time, a warning **shall** be displayed at the appropriate position.

8.4.5 Operational Reply

OLDI-XAP-130-M An XAP message **shall** be replied to by

- an ACP message when the proposed route/area crossing details are accepted.
- a RJC message when the proposed route/area crossing details are rejected.

Note: *Both replies terminate the dialogue.*

OLDI-XAP-140-O When no operational reply is received, a verbal co-ordination **may** be initiated.

OLDI-XAP-150-O When no operational reply is received and if necessary, an XCM **may** be sent by the unit in charge of the airspace to indicate that the current system dialogue is terminated.

8.4.6 Message Example

```
-TITLE XAP
-REFDATA
  -SENDER -FAC EBBUZXZQ
  -RECVR -FAC EBSZZXZQ
  -SEQNUM 014
-MSGREF
  -SENDER -FAC EBSZZXZQ
  -RECVR -FAC EBBUZXZQ
  -SEQNUM 012
-ARCID DEUCE22
-BEGIN RTEPTS
-PT
  -PTID GEO01
  -TO 163000
  -FL F230
-PT
  -PTID GEO02
  -TO 163100
  -FL F230
END RTEPTS
-FLTRUL I
-DEPZ BEAUVECHAIN (MIL)
-DESTZ MILDENHALL
```

8.5 Crossing Cancellation Message (XCM)

8.5.1 Purpose

An XCM message is used to indicate to the addressed unit that the notification or co-ordination previously effected for a flight is being abrogated.

The XCM is not a replacement for a Cancellation (CNL) message defined by ICAO and therefore shall not be used to erase the basic flight plan data.

8.5.2 Message contents

OLDI-XCM-10-M The XCM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference;
- Aircraft Identification;

OLDI-XCM-20-M If bilaterally agreed, the XCM message **shall** contain any of the following items of data:

- Departure Aerodrome;
- Destination Aerodrome;

8.5.3 Processing

8.5.3.1 General

OLDI-XCM-30-M An XCM **shall** be sent by the unit responsible for the flight when one of the following occurs:

- the flight will not enter the addressed unit's airspace after a BFD/CFD;
- the crossing will not be executed on the route expressed in the XIN;
- the crossing will not be executed according to the conditions under negotiation or according to the conditions agreed after an airspace crossing dialogue.

OLDI-XCM-40-O An XCM **may** be sent by the unit responsible for the flight when no operational reply has been received to an acknowledged XRQ to indicate that the current system dialogue is terminated.

OLDI-XCM-50-O An XCM **may** be sent by the unit responsible for the airspace when no operational reply has been received to an acknowledged XAP to indicate that the current system dialogue is terminated.

OLDI-XCM-60-M The message reference **shall** contain the message number of the BFD, XIN, XRQ or XAP message transmitted and acknowledged for the flight, it intends to abrogate.

8.5.3.2 In the sending unit

OLDI-XCM-70-M The XCM **shall** be triggered automatically or manually by a controller input.

OLDI-XCM-80-M An XCM **shall** be triggered manually when it is sent to terminate the dialogue after the failure to receive an operational reply.

8.5.3.3 In the receiving unit

OLDI-XCM-90-M If the message can be associated with the corresponding message or flight plan and can be processed, an acknowledgement **shall** be returned.

OLDI-XCM-100-M If the message can be associated with the corresponding message or flight plan and can be processed, the content of the message **shall** be made available to the controller immediately.

-
- OLDI-XCM-110-M** If the message can be associated with the corresponding message or flight plan and can be processed, the relevant data **shall** be deleted.
- OLDI-XCM-120-M** If the message cannot be associated with a corresponding flight plan or message, or it cannot be correctly processed, the acknowledgement **shall** be inhibited.

8.5.4 Acknowledgement

- OLDI-XCM-130-M** The message **shall** be acknowledged by a LAM message.
- OLDI-XCM-140-M** If no LAM is received in response to an XCM within a specified time, a warning **shall** be displayed at the appropriate position.
- OLDI-XCM-150-M** In such cases a verbal abrogation of co-ordination **shall** be effected by the unit that has initiated the XCM message.

8.5.5 Operational Reply

There is no operational reply required for the XCM.

8.5.6 Message Example

-TITLE **XCM**
-REFDATA
 -SENDER -FAC **EBSZZXZQ**
 -RECVR -FAC **EBBUZXZQ**
 -SEQNUM **015**
-MSGREF
 -SENDER -FAC **EBSZZXZQ**
 -RECVR -FAC **EBBUZXZQ**
 -SEQNUM **012**
-ARCID **DEUCE22**
-ADEP **EBBE**
-ADES **EGUN**

8.6 Stand-by Message (SBY)

8.6.1 Purpose of the SBY Message

The SBY message acknowledges the receipt of a message proposing transfer conditions and indicates that the proposal is being referred to the controller for a decision.

8.6.2 Message Contents

OLDI-SBY-10-M The SBY message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference.

8.6.3 Rules of Application

8.6.3.1 General

OLDI-SBY-20-M The SBY message **shall** be generated and transmitted automatically immediately in response to:

- a RAP, RRV, RTI, TIP or CDN message;
- a XAP or XRQ message
- an ACT or REV message which fails the filter.

8.6.4 Acknowledgement of SBY

OLDI-SBY-30-M The acknowledgement of the SBY message **shall** be inhibited.

8.6.5 Examples

8.6.5.1 ICAO

(SBYL/E027E/L002)

8.6.5.2 ADEXP

-TITLE **SBY**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **E**
 -SEQNUM **027**
-MSGREF
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **002**

8.7 Acceptance Message (ACP)

8.7.1 Purpose of the ACP Message

The ACP message satisfies the following operational requirements:

- indicate the manual acceptance by a controller in one unit of the transfer conditions proposed by the controller in the other unit in one of the following messages:
 - RAP;
 - RRV;
 - CDN;
 - RTI;
 - TIP
 - ACT and REV, if either is found to be non-standard;
- indicate the issue of crossing clearance following the receipt of an XRQ message;
- indicate agreement to a counter proposal to a crossing request following the receipt of an XAP message;
- when bilaterally agreed, provide the automatic acceptance of an ACT or REV message that has passed the filter in the accepting unit (in place of the LAM);
- when bilaterally agreed, indicate the manual acceptance of a HOP message (in place of the ROF message).

8.7.2 Message Contents

- OLDI-ACP-10-M** The ACP message **shall** contain the following items of data:
- Message Type;
 - Message Number;
 - Message Reference.
- OLDI-ACP-20-M** If bilaterally agreed, the ACP message **shall** contain any of the following items of data:
- Frequency;
 - Sector identifier.
- OLDI-ACP-30-M** When the ICAO format is used, if bilaterally agreed, the ACP message **shall** contain any of the following items of data:
- Aircraft Identification;
 - Departure Aerodrome;
 - Destination Aerodrome.

8.7.3 Rules of Application

8.7.3.1 General

- OLDI-ACP-40-M** The Message Reference of the ACP **shall** include the Message Number of the message to which it is in response.
- OLDI-ACP-50-M** The Frequency field, when included, **shall** contain the frequency on which the flight is to contact the accepting unit when the hand-over takes place.
- OLDI-ACP-60-M** The ACP message **shall** be sent following manual acceptance by the controller of proposed transfer conditions, forwarded by an ACT, RAP, REV, RRV, RTI, TIP or CDN message.
- OLDI-ACP-70-M** The ACP message **shall** be sent following manual acceptance by the controller of proposed crossing conditions or a counter proposal to such a request forwarded by an XRQ or XAP message.

-
- OLDI-ACP-80-O** The ACP message **may** be sent as an alternative to a ROF message in response to a HOP message.
- OLDI-ACP-90-M** When bilaterally agreed, the ACP message **shall** be generated and transmitted automatically by the system as a reply to an ACT or REV message that has passed the filter.
- OLDI-ACP-100-O** The sector identifier **may** be included in ACP messages sent in response to an XRQ message if bilaterally agreed.

- OLDI-ACP-110-M** When an ACP message has been received, the agreed transfer conditions **shall** be binding for both units.

8.7.3.2 Processing in the Receiving Unit

- OLDI-ACP-120-M** The ATC system receiving an ACP message **shall** attempt association with the corresponding flight plan.
- OLDI-ACP-130-M** if the ACP can be associated with a flight plan the acceptance **shall** be indicated to the controller together with any conditional data as described in paragraph 8.7.2.
- OLDI-ACP-140-M** If the ACP message cannot be associated with a flight plan, a warning **shall** be output at the appropriate position.
- OLDI-ACP-150-M** If the ACP message cannot be associated with a flight plan, the acknowledgement **shall** be inhibited.

8.7.4 Acknowledgement of ACP

8.7.4.1 Acknowledgement

- OLDI-ACP-160-M** An ACP message sent as a result of a manual acceptance **shall** be acknowledged by generating and transmitting a LAM message.
- OLDI-ACP-170-M** The transmission of a LAM message **shall** be inhibited where the ACP is used as an automatic reply for an ACT or REV message that has passed the filter.
- Note: In such cases a SBY message would not have preceded the ACP message.*

8.7.4.2 No Acknowledgement Cases

- OLDI-ACP-180-M** If no LAM message is received as an acknowledgement for an ACP message sent as a result of a manual acceptance, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

8.7.5 Examples

8.7.5.1 ICAO

1. Single field message using common acknowledgement format (as LAM and SBY) (ACPM/O455O/M476)
2. Message fully compliant with Reference 1 (including ICAO fields 7, 13 and 16) (ACPM/O455O/M476-AFR5661-LFPG-LPPT)
3. Common acknowledgement format plus optional frequency field (ACPL/E027E/L002-18/FRQ/242150)

8.7.5.2 ADEXP

Examples 1 to 3 are equivalent to the respective ICAO format examples.

1. -TITLE **ACP**
-REFDATA
 -SENDER -FAC **M**
 -RECVR -FAC **O**
 -SEQNUM **455**
-MSGREF
 -SENDER -FAC **O**
 -RECVR -FAC **M**
 -SEQNUM **476**
2. -TITLE **ACP**
-REFDATA
 -SENDER -FAC **M**
 -RECVR -FAC **O**
 -SEQNUM **455**
-MSGREF
 -SENDER -FAC **O**
 -RECVR -FAC **M**
 -SEQNUM **476**
-ARCID **AFR5661**
-ADEP **LFPG**
-ADES **LPPT**
3. -TITLE **ACP**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **E**
 -SEQNUM **027**
-MSGREF
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **002**
-FREQ **242150**
4. Acceptance of a request made by an XRQ message notifying the requesting unit that the authority issuing the clearance is sector 34 (in ADEXP format only as the sector field is not available in ICAO format):

 -TITLE **ACP**
 -REFDATA
 -SENDER -FAC **MM**
 -RECVR -FAC **RT**
 -SEQNUM **256**
 -MSGREF
 -SENDER -FAC **RT**
 -RECVR -FAC **MM**
 -SEQNUM **476**
 -SECTOR **34**

8.8 Reject Co-ordination Message (RJC)

8.8.1 Purpose of the RJC Message

The RJC message satisfies the following operational requirements:

- indicate the rejection by the controller of crossing clearance or a counter proposal in response to such a request for crossing clearance following the receipt of one of the following messages:
 - XRQ;
 - XAP.
- indicate the rejection by a controller at one unit of the transfer conditions proposed by the controller at the other unit in one of the following messages:
 - RAP;
 - RRV;
 - CDN;
 - RTI;
 - TIP;
 - ACT and REV, if either is found to be non-standard.

The RJC message can only be used in response to one of the above messages.

8.8.2 Message Contents

OLDI-RJC-10-M The RJC message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference.

OLDI-RJC-20-M If bilaterally agreed, the RJC message **shall** contain the following item of data:

- Sector identifier.

8.8.3 Rules of Application

8.8.3.1 General

OLDI-RJC-30-M The RJC message **shall** be sent as required in response to:

- a RAP, RRV, RTI, TIP, CDN, XAP, XRQ message
- an ACT or REV message found to be non-standard at the accepting unit.

OLDI-RJC-40-M The RJC message **shall** terminate the system dialogue and any previously agreed co-ordination remains valid.

OLDI-RJC-50-O The sector identifier **may** be included in a RJC message sent in response to a crossing request made using an XRQ message.

OLDI-RJC-60-R Following the reception of an RJC message in response to a RAP, ACT, RRV, REV or CDN message, a new co-ordination sequence **should** be initiated, reflecting the telephone co-ordination where applicable.

8.8.3.2 Processing in the Receiving Unit

OLDI-RJC-70-M If a corresponding message to which the RJC message refers is found, the rejection **shall** be indicated at the ATC position responsible for the co-ordination of the relevant flight.

OLDI-RJC-80-M If a corresponding message to which the RJC message refers is found, an acknowledgement **shall** be returned.

OLDI-RJC-90-M If no such message is found to be awaiting reply, or a discrepancy is present in the message which prevents processing, no acknowledgement **shall** be returned.

8.8.4 Acknowledgement of RJC

8.8.4.1 Acknowledgement

OLDI-RJC-100-M The RJC message **shall** be acknowledged by the generation and transmission of a LAM message.

8.8.4.2 No Acknowledgement Cases

OLDI-RJC-110-M If no LAM message is received as an acknowledgement for an RJC message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flights.

8.8.5 Examples

8.8.5.1 ICAO

(RJCMC/E746E/MC324)

8.8.5.2 ADEXP

-TITLE RJC
-REFDATA
 -SENDER -FAC **MC**
 -RECVR -FAC **E**
 -SEQNUM **746**
-MSGREF
 -SENDER -FAC **E**
 -RECVR -FAC **MC**
 -SEQNUM **324**
-SECTOR **34**

9. DIALOGUE PROCEDURE - TRANSFER OF COMMUNICATION

9.1 General

9.1.1 Introduction

This section of the Specification describes the facilities and messages that support the radar hand-over aspect of the transfer of control procedure. They shall be implemented where bilaterally agreed.

OLDI-TOC-10-M Transfer of Communication facilities **shall** not be implemented unless the unit is utilising either the co-ordination facilities described for the basic procedure - mandatory messages and for the dialogue procedure - co-ordination.

9.1.2 Message Sequence

OLDI-TOC-20-M Transfer of Communication message exchange, other than the Supplementary Data Message (SDM), **shall** not take place unless co-ordination is complete, i.e. an ACT or RAP dialogue has been completed by a LAM or ACP.

Note: However, for the SDM message, the ACT or RAP dialogue has to be initiated.

OLDI-TOC-30-M An acknowledgement **shall** be inhibited whilst co-ordination is outstanding.

9.1.3 Transfer of Communications

OLDI-TOC-40-M The method of signifying the actual change of communication of flights **shall** be bilaterally agreed between the two units concerned.

OLDI-TOC-50-M The conditions **shall** be one of the following:

- the transferring unit sends a Change Of Frequency message (COF);
- the accepting unit sends a Manual Assumption of Communication message (MAS);
- both of the above.

OLDI-TOC-60-R Both COF and MAS messages **should** be used between two adjacent air/ground data-link equipped ATC units.

OLDI-TOC-70-M The method **shall** be agreed between the two units for each traffic flow.

Note: Alternative methods may be used for different flows, e.g. one unit may generate COF messages for flights leaving its airspace and MAS messages for flights entering its airspace. In such a case it would not be necessary for the other unit to enter any messages to signify transfer of communication.

9.2 Change of Frequency Message (COF)

9.2.1 Purpose of the COF Message

9.2.1.1 General

The COF is sent by the transferring unit to the accepting unit, to indicate that the flight has been instructed to contact the accepting controller.

The message may include the facility for the transferring controller to release the flight from the agreed transfer conditions when it has established radio communication with the accepting controller.

9.2.2 Message Contents

OLDI-COF-10-M The COF message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

OLDI-COF-20-M The COF message **shall** contain any of the following items of data, if available:

- Release Indication;
- Cleared Flight Level;
- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent.

OLDI-COF-30-M If bilaterally agreed, the COF message **shall** contain the following item of data:

- Current Track Position;
- Frequency.

9.2.3 Rules of Application

9.2.3.1 General

OLDI-COF-40-M The COF message **shall** be manually initiated by the transferring controller.

OLDI-COF-50-M The COF message **shall** be used if, by bilateral agreement, the MAS message is not used.

Note: *This requirement does not preclude the use of both COF and MAS messages upon bi-lateral agreement.*

OLDI-COF-60-M If a COF message is sent before Transfer Initiation, the Transfer phase **shall** be initiated.

Note: *A Transfer Initiation Message (TIM) is not required in addition to the COF.*

OLDI-COF-70-M For a data-link equipped aircraft the COF message **shall** be automatically initiated by the transferring system, immediately at the same time as the VCI instruction "Contact" or "Monitor Frequency".

OLDI-COF-80-M In case the VCI instruction is not initiated for data-link equipped aircraft, the COF **shall** be manually initiated by the transferring controller.

OLDI-COF-90-M In case of not data link equipped aircraft, the COF **shall** be manually initiated by the transferring controller.

9.2.3.2 Processing in the Receiving Unit

OLDI-COF-100-M The ATC system receiving a COF message **shall** attempt association with the corresponding flight plan.

OLDI-COF-110-M The reception of the COF **shall** be indicated to the accepting controller without delay.

9.2.4 Acknowledgement of COF

9.2.4.1 Acknowledgement

OLDI-COF-120-M If the COF message can be unambiguously associated with a flight plan, it **shall** be acknowledged by the generation and transmission of a LAM message.

OLDI-COF-130-M If the COF message cannot be unambiguously associated with a flight plan, the acknowledgement **shall** be inhibited.

9.2.4.2 No Acknowledgement Cases

OLDI-COF-140-M If no LAM message is received as an acknowledgement for a COF message, a warning **shall** be displayed at the appropriate ATC position.

9.2.5 Examples

-TITLE **COF**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **E**
 -SEQNUM **030**
-ARCID **AMM253**
-CFL **230**
-AHEAD **310**
-ASPEED **K0450**
-RATE **C20**
-RELEASE **F**
-POSITION **REF01**
-REF
 -REFID **REF01**
 -PTID **BNE**
 -BRNG **345**
 -DSTNC **15**
-FREQ **127000**

9.3 Manual Assumption of Communications Message (MAS)

9.3.1 Purpose of the MAS Message

The MAS is sent by the accepting unit to the transferring unit indicating that two-way radio contact has been established with the flight.

9.3.2 Message Contents

OLDI-MAS-10-M The MAS message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

9.3.3 Rules of Application

9.3.3.1 General

OLDI-MAS-20-M The MAS message **shall** be manually initiated by the accepting controller.

OLDI-MAS-30-O The MAS message **may** be automatically transmitted upon reception of an air / ground "Monitoring Frequency" message.

OLDI-MAS-40-M The MAS message **shall** be used if, by bilateral agreement, the COF message is not used.

***Note:** This requirement does not preclude the use of both COF and MAS messages upon bi-lateral agreement.*

9.3.3.2 Processing in the Receiving Unit

OLDI-MAS-50-M The ATC system receiving a MAS message **shall** attempt association with the corresponding flight plan.

OLDI-MAS-60-M The fact that the MAS has been received **shall** be presented immediately to the controller.

9.3.4 Acknowledgement of MAS

9.3.4.1 Acknowledgement

OLDI-MAS-70-M If the MAS message can be unambiguously associated with a flight plan, it **shall** be acknowledged by the generation and transmission of a LAM message.

OLDI-MAS-80-M If the MAS message cannot be unambiguously associated with a flight plan, the acknowledgement **shall** be inhibited.

9.3.4.2 No Acknowledgement Cases

OLDI-MAS-90-M If no LAM message is received as an acknowledgement for an MAS message, a warning **shall** be displayed at the appropriate ATC position, as required.

9.3.5 Example

```
-TITLE MAS
-REFDATA
  -SENDER -FAC L
  -RECVR -FAC E
  -SEQNUM 030
-ARCID DLH123
```

PART B – Complementary Messages

10. BASIC PROCEDURE - COMPLEMENTARY MESSAGES

10.1 General

10.1.1 Description of Requirement

This section describes facilities applicable to the basic procedure which are additional to those described in section 6 - BASIC PROCEDURE

10.1.2 Implementation

OLDI-COMP-20-M When the BASIC PROCEDURE-COMPLEMENTARY MESSAGES are used based on bilateral agreement, the rules described in this section **shall** be applied.

10.2 SSR Code Assignment Message (COD)

10.2.1 Purpose of the COD Message

The Originating Region Code Allocation Method (ORCAM) is provided to permit a flight to respond on the same code to successive units within a participating area. Unless code allocation is performed centrally, e.g. by an ACC, airports may need to be individually allocated a set of discrete SSR codes. Such allocations are very wasteful of codes.

The COD message satisfies the operational requirement for the issue of a Mode A SSR code by one Air Traffic Service Unit to another for a specified flight when requested

The COD message also satisfies the operational requirement to inform the transferring Air Traffic Service Unit of the next Mode A SSR code when the code assigned cannot be retained by the accepting Air Traffic Service Unit.

10.2.2 Message Contents

OLDI-COD-10-M The COD message shall contain the following items of data:

- Message type;
- Message number;
- Aircraft identification;
- SSR mode and code;
- Departure aerodrome;
- Destination aerodrome.

OLDI-COD-20-M If bilaterally agreed, the COD message **shall** contain any of the following items of data:

- Message reference;

10.2.3 Rules of Application

OLDI-COD-30-M A COD message **shall** be generated and transmitted automatically in response to a code assignment request received within a message.

OLDI-COD-40-M The COD message **shall** be transmitted a parameter time before the boundary when bilaterally agreed.

OLDI-COD-50-M The COD message **shall** be transmitted upon reception of an ABI or PAC message when bilaterally agreed.

OLDI-COD-60-M The SSR code **shall** be the code being assigned to the flight.

OLDI-COD-70-M The approved saturation code, as specified in the Air Navigation Plan for the European Region, **shall** be inserted if a discrete code is not available.

OLDI-COD-80-M If bilaterally agreed, the message reference containing the message number of the message to which the COD message is in response **shall** be included.

OLDI-COD-90-M Acceptance of the SSR code by the unit receiving the COD message **shall** be assumed.

10.2.4 Acknowledgement of COD

10.2.4.1 Acknowledgement

OLDI-COD-100-M Provided there is no discrepancy in the message that would inhibit correct processing, a LAM **shall** be returned.

OLDI-COD-110-M If the message cannot be associated with a flight plan or if a discrepancy is found that inhibits correct processing of the message, the transmission of the LAM **shall** be inhibited.

10.2.4.2 No Acknowledgement Cases

OLDI-COD-120-M If no LAM message is received as an acknowledgement for a COD message, a warning **shall** be displayed at an appropriate position.

10.2.5 Examples

10.2.5.1 ICAO

(CODP/PO011PO/P007-AAL905/A0767-LFPO-KEWR)

10.2.5.2 ADEXP

-TITLE **COD**
-REFDATA
 -SENDER -FAC **P**
 -RECVR -FAC **PO**
 -SEQNUM **011**
-ADEP **LFPO**
-ADES **KEWR**
-ARCID **AAL905**
-SSRCODE **A0767**
-MSGREF
 -SENDER -FAC **PO**
 -RECVR -FAC **P**
 -SEQNUM **007**

10.3 Skip Communication Message (SCO)

10.3.1 Purpose

The Skip Communication message is sent by the accepting unit to indicate that communication with the flight is to pass directly to a sector other than the accepting sector.

Note: *SCO messages are not to be used to request that a flight be transferred to the next unit after the accepting unit.*

10.3.2 Message Contents

OLDI-SCO-10-M The SCO message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;
- Frequency.

OLDI-SCO-20-O The SCO message **may** contain any of the following items of data:

- Sector identifier.

10.3.3 Rules of Application

10.3.3.1 General

OLDI-SCO-30-O A SCO message **may** be initiated and transmitted after co-ordination is completed by the unit that will accept control of the flight.

OLDI-SCO-40-M The frequency field **shall** contain the RTF on which the flight is to communicate with the accepting unit.

OLDI-SCO-50-M If included, the sector identifier **shall** contain the identifier of the sector with which the flight is to communicate.

OLDI-SCO-60-M The sector with which the flight is to communicate **shall** be notified when a Skip Communication message is sent.

Note: *This document does not specify when such notification should take place in relation to LAM reception. This may be decided locally.*

OLDI-SCO-70-M Where Transfer of Communication facilities are in use as described in section 13.9 of this document, SCO message transmission **shall** be inhibited on transfer initiation.

Note: *It is the responsibility of the accepting unit to ensure that the SCO message is sent in sufficient time for the transferring controller to assimilate the information and transfer the aircraft in accordance with the SCO message.*

10.3.3.2 Cancellation of a SCO message

10.3.3.3 Implicit Cancellation

OLDI-SCO-80-M The skip of the first sector in the accepting unit **shall** be cancelled, when:

- the COP, route of flight or co-ordinated level is amended;
- the co-ordination is abrogated.

Note: *An implicit SCO cancellation does not require the transmission of a Skip Cancellation message (SKC).*

OLDI-SCO-90-O The skip of the first sector in the accepting unit **may** be re-applied by the issue of a further SCO message.

Note: *In these circumstances the accepting unit may send the message automatically, depending on local criteria.*

10.3.3.3.1 Explicit Cancellation

OLDI-SCO-100-O A SCO message **may** be cancelled before the transfer of communications by the use of a SKC message.

10.3.3.4 Processing on Receipt of a SCO Message

OLDI-SCO-110-M The ATC unit receiving an SCO message **shall** attempt association with the corresponding system flight plan.

OLDI-SCO-120-M If the SCO message can be unambiguously associated with a system flight plan, it **shall** be acknowledged by the generation and the transmission of a LAM message.

OLDI-SCO-130-M If the SCO message can be unambiguously associated with a system flight plan, the operational content of the SCO message **shall** be indicated to the transferring controller without delay.

OLDI-SCO-140-M If the SCO message cannot be associated with a system flight plan, the transmission of a LAM message **shall** be inhibited.

OLDI-SCO-150-R If the SCO message cannot be associated with a system flight plan, a warning **should** be displayed at an appropriate position.

OLDI-SCO-160-M A SCO message received after the initiation or receipt of any transfer of communication message (except SDM) **shall** be ignored.

10.3.3.5 Processing After Transmission of a SCO Message

OLDI-SCO-170-M If a LAM message is received which corresponds with the SCO message, the transfer conditions **shall** be made available to the sector of the accepting unit to which communication is to be transferred in addition to the entry sector.

OLDI-SCO-180-M If no LAM message is received as an acknowledgement for an SCO message, a warning **shall** be displayed at the accepting sector.

10.3.4 Example

```
-TITLE SCO
-REFDATA
  -SENDER -FACL
  -RECVR -FAC E
  -SEQNUM 030
-ARCID AMM253
-ADES LIRF
-ADEP EGPF
-FREQ 127325
-SECTOR SA
```

10.4 Skip Cancellation Message (SKC)

10.4.1 Purpose

The message may be used when it is required to cancel an existing Skip Communication state for a flight after the skip of the first sector in the accepting unit has been established and before the transfer of communications.

10.4.2 Message Contents

OLDI-SKC-10-M The SKC message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome.

10.4.3 Rules of Application

10.4.3.1 General

OLDI-SKC-20-O A SKC message **may** be transmitted only after a Skip Communications has been established and before the transfer of communication.

OLDI-SKC-30-M The sector with which the flight was previously to communicate **shall** be notified when a Skip Cancellation message is sent.

Note: This document does not specify when such notification should take place in relation to LAM reception. This may be decided locally.

10.4.3.2 Processing on Receipt of a SKC Message

OLDI-SKC-40-M The ATC unit receiving an SKC message **shall** attempt association with the corresponding system flight plan.

OLDI-SKC-50-M If the SKC message can be unambiguously associated with a system flight plan, it **shall** be acknowledged by the generation and the transmission of a LAM message.

OLDI-SKC-60-M If the SKC message cannot be associated with a system flight plan, the transmission of a LAM message **shall** be inhibited.

OLDI-SKC-70-R If the SKC message cannot be associated with a system flight plan, a warning **should** be displayed at an appropriate position.

OLDI-SKC-80-M If the SKC message can be unambiguously associated with a system flight plan, the effect of the Skip Cancellation **shall** be indicated to the transferring controller without delay.

OLDI-SKC-90-M On receipt of a Skip Communication Cancellation message, the frequency and identifier of the sector to which the flight is to communicate **shall** revert to the first sector in the receiving unit.

10.4.3.3 Processing After Transmission of a SKC Message

OLDI-SKC-100-O If a LAM message is received which corresponds with the SKC message, the transfer conditions **may** be deleted from the sector of the accepting unit to which communication was to have been transferred prior to the cancellation of the SCO message.

OLDI-SKC-110-M If no LAM message is received as an acknowledgement for an SKC message, a warning **shall** be displayed at the accepting sector.

10.4.4 Example

-TITLE **SKC**

-REFDATA
 -SENDER -FACL
 -RECVR -FAC E
 -SEQNUM 031
-ARCID **AMM253**
-ADES **LIRF**
-ADEP **EGPF**

10.5 Point Message (PNT)

10.5.1 Purpose

The Point message is sent to an adjacent unit to point out a flight in order to facilitate verbal co-ordination, irrespective of whether co-ordination has taken place.

The Point message causes the data block and/or the flight data to be highlighted to the controller. Where the flight is uncoordinated and possibly unknown, flight plan data is provided to be available at the sector at which the flight is to be highlighted.

10.5.2 Message Contents

10.5.2.1 All messages

OLDI-PNT-10-M The PNT message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;
- Sector Identifier.

OLDI-PNT-20-M If bilaterally agreed, the PNT message **shall** contain any of the following items of data:

- Pointed Sector Identifier;
- Position of the Flight;
- SSR Mode and Code;
- Number and type of aircraft;
- Route.

10.5.3 Rules of Application

10.5.3.1 General

OLDI-PNT-30-M A PNT message for a flight which is not co-ordinated **shall** contain the sector designator.

OLDI-PNT-40-M The Point message **shall** be manually initiated by the transferring controller.

OLDI-PNT-50-M The position of the flight **shall** be included for a flight that has not been co-ordinated.

OLDI-PNT-60-O The position of the flight **may** be included for co-ordinated flights.

OLDI-PNT-70-M The position of the flight, where inserted, **shall** be specified by the use of latitude/longitude unless it has been agreed bilaterally to use range and bearing from a known point.

OLDI-PNT-80-M Time data **may** be included with position data.

OLDI-PNT-90-M The time data **shall** include seconds.

OLDI-PNT-100-M For an uncoordinated flight, the sector identifier **shall** contain the logical position identifier, e.g. sector identifier or approach control position, at which the data is to be presented/ highlighted.

Note: *For an uncoordinated flight, the sector identifier would normally be input via the HMI.*

OLDI-PNT-110-M Where route data is included in the PNT message, it shall contain the TAS and requested level for the current segment plus the route, optionally amended to remove expired elements.

For an uncoordinated flight the transmission of the Point message does not remove the need for an Activate message; this is sent subject to the result of the verbal co-ordination and the update of flight data in the transferring unit.

10.5.3.2 Processing in the accepting unit

OLDI-PNT-120-M An attempt **shall** be made to correlate the received flight data with the track for the flight.

OLDI-PNT-130-M Subject to the HMI requirements at the receiving unit and the area being displayed at the position concerned, the flight data **shall** be made available at the specified sector or the sector that will take control of the flight if one is not specified.

OLDI-PNT-140-M Subject to the HMI requirements at the receiving unit and the area being displayed at the position concerned, the flight data block for the flight **shall** be displayed and highlighted at the specified sector or the sector that will take control of the flight if one is not specified.

***Note:** The data is highlighted for a period of time determined by the receiving unit.*

OLDI-PNT-150-M If the message can be processed successfully, including the identification of the sector to which the data is to be routed, a LAM **shall** be returned.

10.5.3.3 Processing in the transferring unit

OLDI-PNT-160-O If no LAM message is received as acknowledgement, a warning **may** be displayed at the sector which originated the Point.

OLDI-PNT-170-M When the verbal co-ordination is completed, the standard co-ordination procedure **shall** be initiated by the transmission of an ACT or RAP message.

10.5.4 Example

```
-TITLE PNT
-REFDATA
  -SENDER -FAC E
  -RECVR -FAC L
  -SEQNUM 005
-ARCID AMM253
-SSRCODE A7012
-ADEP LMML
-ADES EGGB
-ARCTYP B757
-NBARC 2
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON
-POSITION REF01
-REF
  -REFID REF01
  -PTID BNE
  -BRNG 345
  -DSTNC 15
-SECTOR TANGO
-PNTSECTOR UZ
```

10.6 Arrival Management Message (AMA)

10.6.1 Purpose

Arrival management requires the capability for an accepting unit to pass to the transferring unit information on the time that a flight is required to delay (lose) or gain in order to optimise the approach sequence.

The AMA message satisfies the following operational requirements in order to alleviate ATC workload in co-ordinating arriving flights:

- provide the transferring ATC unit with the time that the flight is to delay/gain at the arrival management metering fix;
- where procedures have been bilaterally agreed between the units concerned, provide the transferring ATC unit with a target time for the flight to be at the COP;
- when bilaterally agreed, provide the transferring unit with a speed advisory. The speed advisory needs to be communicated to the flight, prior to transfer.

10.6.2 Message Contents

OLDI-AMA-10-M The AMA message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;

and based on bilateral agreement, contain one or more of the following items of data:

- Metering Fix and Time over Metering Fix;
- Total Time to Lose or Gain.
- Time at COP;
- Assigned speed;
- Route.

Note: *The item Route contains the requested routing.*

10.6.3 Rules of Application

10.6.3.1 General

OLDI-AMA-20-M The use of AMA messages **shall** be as specified in the Letters of Agreement between participating units governing the application of the arrival management procedure.

OLDI-AMA-30-M The Letters of Agreement **shall** specify the airports for which arrival management procedures are to apply and whether the unit performing the arrival management function is authorised to issue a time at which eligible flights are to cross the boundary or COP.

OLDI-AMA-40-M An AMA message **shall** be sent when it is required to notify the transferring unit that a flight eligible as specified in the LoA is required to absorb delay as the result of arrival management processing.

Note: *This document does not describe the basis for the calculation.*

OLDI-AMA-50-M The time associated with the metering fix **shall** contain the proposed time at which the flight should arrive at the point as calculated by the arrival management process.

OLDI-AMA-60-M The ATC unit providing the arrival management service for the destination aerodrome **shall** generate the initial AMA message for a flight.

OLDI-AMA-70-M	An AMA message shall be sent to the preceding unit on the route of flight by a unit receiving an AMA message if bilaterally agreed.
OLDI-AMA-80-M	An additional AMA message shall be sent when the time at which the flight is required at the metering fix has changed from that in the previous message by a value at or greater than the time specified in the LoA.
OLDI-AMA-90-M	The Total Time to Lose or Gain shall contain the adjustment required at the Metering Fix.

10.6.3.2 Issue of Time at COP

OLDI-AMA-100-M	The AMA message shall include the required time at the COP if bilaterally agreed.
OLDI-AMA-110-M	If included, the time shall contain the proposed time at which the flight should arrive at the point as calculated by the arrival management process.
OLDI-AMA-120-M	An additional message shall be sent when the time at which the flight is required at the point has changed from that in the previous AMA message by a time value at or greater than the value specified in the LoA.

10.6.3.3 Criteria for Message Transmission

OLDI-AMA-130-M	The initial message shall be sent when arrival management has calculated the position in an optimised sequence of a flight which is subject to a time adjustment strategy which involves at least one other ATC unit.
OLDI-AMA-140-M	The initial message shall be sent not later than a bilaterally agreed number of minutes before the flight reaches the COP.
OLDI-AMA-150-R	With the exception of shorter distance flights, the AMA message should be sent after the receipt of an ABI message.

10.6.3.4 Processing in the Receiving Unit

OLDI-AMA-160-M	If the message can be associated with a flight and the content can be processed correctly, a LAM shall be returned.
OLDI-AMA-170-M	If the message can be associated with a flight and the content can be processed correctly, the operational content of the message shall be made known to the relevant controllers either directly or through the arrival management advisory process.
OLDI-AMA-180-M	If the message cannot be associated with a flight or processed correctly, the message shall be referred to a specified working position.
OLDI-AMA-190-M	If the message cannot be associated with a flight or processed correctly, the transmission of a LAM message shall be inhibited.

10.6.3.5 Processing in the Sending Unit

OLDI-AMA-200-M	The arrival management process shall be advised if no LAM message is received in acknowledgement of the AMA message.
-----------------------	---

10.6.4 Examples

Flight AZA354 from Rome to Madrid routes via the airspace of Barcelona ACC to that of Madrid ACC, initially estimating to be at COP CLS at 0950. This results in a time at metering fix PRADO of 1010. The flight is eligible for arrival management processing which calculates that an optimum time for the flight to leave PRADO is 10.22 (12 minutes delay).

- The first examples assume that no delay apportionment agreement exists; only the time to lose is passed to Barcelona ACC for onward transmission to the aircraft.
- In the second example, in accordance with a hypothetical bilateral agreement, Madrid must absorb 6 minutes of the delay. For the remainder, the AMA message proposes a 6 minute delay within Barcelona ACC airspace with a resultant time at CLS of 0956.

It should be noted that where the time at the COP is included in ICAO format, field 14 is located

between fields 13 and 16.

10.6.4.1 ICAO

1. (AMAM/BN112-AZA354-LIRF-LEMD-18/MFX/PRADO TOM/1022 TTL/12)
2. (AMAM/BN112-AZA354-LIRF-CLS/0956-LEMD-18/MFX/PRADO TOM/1022 TTL/12)

10.6.4.2 ADEXP

1.
 - TITLE **AMA**
 - REFDATA
 - SENDER -FAC **M**
 - RECVR -FAC **BN**
 - SEQNUM **112**
 - ARCID **AZA354**
 - ADEP **LIRF**
 - ADES **LEMD**
 - MFX **PRADO**
 - TOM **1022**
 - TTL **12**
2.
 - TITLE **AMA**
 - REFDATA
 - SENDER -FAC **M**
 - RECVR -FAC **BN**
 - SEQNUM **112**
 - ARCID **AZA354**
 - ADEP **LIRF**
 - COP **CLS**
 - CTO **0956**
 - ADES **LEMD**
 - MFX **PRADO**
 - TOM **1022**
 - TTL **12**
 - ASPEED **K0650**
 - ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

11. PRE-DEPARTURE CO-ORDINATION

11.1 General

11.1.1 Types of Pre-Departure Co-ordination

The data exchange described in this document supports the following types of co-ordination before take off:

- Between a TWR unit and TMA (departure control); in many cases this is situated within the ACC;
- Between the first and second ACC's for flights where the flying time to the boundary is less than the time parameter used for ACT transmission.

In addition clearance data may or may not be required from the accepting unit.

11.1.2 Message Types

The Preliminary Activation (PAC) message is used where clearance data is not required. The Clearance Request (CRQ) and Clearance Response (CRP) messages are used to request and send clearance data. The LAM and SBY messages as described in this document are also used.

11.1.3 Inclusion of Time Data

Both the PAC and the CRQ allow the inclusion of the following types of time data:

- An estimated take off time (ETOT)
- A time for and en-route point based an estimated take off time

Typically co-ordination between TWR and TMA units would use the ETOT and between ACC's would use the same COP as is used in ACT messages. Co-ordination between a TMA unit and an ACC could use either.

11.1.4 SSR Code Assignment

Both the PAC and the CRQ permit the inclusion of either:

- The assigned SSR code
- A request for the receiving unit to assign a code.

11.1.5 No Operational Response Required

A PAC message with an ETOT includes the SSR code/request and aircraft capability data. A LAM is returned if the data can be routed to the accepting sector/position. A COD message is separately generated where a SSR code has been requested.

A PAC message used between ACC's contains estimate data, the SSR code and aircraft capability data.

11.1.6 Operational Response Required

A CRQ message may be sent either from

- the TWR unit to the TMA unit to request a departure clearance;
- the TWR or TMA unit to the ACC to request a departure clearance;
- one ACC to the next to request an acceptance level where a standard 'climb out' level is not specified by LoA.

The CRQ message has the same time and SSR options as a PAC but the response is a SBY. The request is normally referred to the appropriate controller for issue of a departure clearance. A CRP message is used for the return of the clearance. The notification of the SSR code, if requested, is performed separately by the use of a COD message unless it has been agreed bilaterally to include it in the CRP.

11.1.7 Action After Departure

An ACT message is sent when the flight has taken off when the PAC or CRQ included estimate data.

This document does not specify the action to be taken where the ETOT was included. In some states the departure is sensed automatically by surveillance, thus avoiding the need for the take-off time to be notified. In other cases, some form of departure notification must be agreed locally. Options include:

- An ICAO DEP message or locally defined alternative
- An ACT including special indicators defined locally to identify that the time is a take-off time

OLDI-PDC-10-M Where flights are to be co-ordinated before departure using the estimated take-off time, the action to be taken on departure **shall** be determined bilaterally.

11.2 Clearance Request Message (CRQ)

11.2.1 Purpose of the CRQ Message

The CRQ message satisfies the following operational requirements:

- request departure clearance from an aerodrome control to the next ATSU on the route of flight;
- request an acceptance level by the first ACC on the route of flight from the next unit;
- request the assignment of an SSR code from the unit to which the clearance request is sent, if required.

Note: If only the SSR code is requested then this may be achieved using the PAC/COD messages.

11.2.2 Message Contents

OLDI-CRQ-10-M The CRQ message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;
- Either Estimate Data or Estimated Take-Off Time;
- SSR Mode and Code.

OLDI-CRQ-20-M If bilaterally agreed, the CRQ message **shall** contain any of the following items of data:

- Message Reference;
- Estimated Off-Block Time;
- Departure Runway;
- Requested Flight Level or Proposed Flight Level;
- Number and Type of Aircraft;
- SID or COP (if the estimate data are not in the message);
- Route.

11.2.3 Rules of Application

OLDI-CRQ-30-M A CRQ message **shall** be sent for each flight that requires a clearance from another unit.

OLDI-CRQ-40-M A CRQ message **shall** be sent by the Aerodrome/Approach unit to the next unit for each departing flight for which a departure clearance is required.

OLDI-CRQ-50-M As bilaterally agreed, the message **shall** contain either:

- Estimated Take-Off Time; or
- Estimate Data.

OLDI-CRQ-60-M When the message reference is included by bilateral agreement, it **shall** be included on second and subsequent CRQ messages only.

OLDI-CRQ-70-M A revised CRQ message **shall** be sent if the departure runway, the SID, COP or route was included in the previous CRQ and the departure runway to be used by the flight has been changed or the SID, COP or route has been changed.

OLDI-CRQ-80-M A CRQ message **shall** be sent by the first ACC on the route of flight to next unit for each departing flight for which an acceptance level is required.

OLDI-CRQ-90-M	A message sent in accordance with requirement OLDI-CRQ-80-M shall contain the COP, the estimated time at the COP based on the ETOT and optionally a level in the format of estimate data.
OLDI-CRQ-100-M	The message shall contain either the assigned SSR code (the normal case) or a request for a code (exceptional case).
OLDI-CRQ-110-M	A revised CRQ message shall be sent if, before departure, the Estimated Take-Off Time has changed by more than a parameter time.
OLDI-CRQI-120-M	CRQ message generation shall be inhibited if a Preliminary Activation (PAC) message is to be sent.

11.2.4 Processing in the Receiving Unit

OLDI-CRQ-130-M	The ATC system receiving a CRQ message shall attempt association with the corresponding flight plan.
OLDI-CRQ-140-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the clearance request shall be made available at the appropriate position.
OLDI-CRQ-150-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, a Standby (SBY) message shall be returned.
OLDI-CRQ-160-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, and if the CRQ message includes a request for the assignment of an SSR code and a SBY has been issued in response to the CRQ, an SSR code shall be assigned.
OLDI-CRQ-170-M	The assigned code shall be notified to the requesting unit by the use of a COD message unless it has been agreed bilaterally to include it in the CRP message.
OLDI-CRQ-180-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message, the transmission of a SBY message shall be inhibited.
OLDI-CRQ-190-M	The data in a second or subsequent CRQ message shall supersede the data in the previous message.
OLDI-CRQ-200-M	A Clearance Response (CRP) message shall be generated in response to a received CRQ which has not been superseded by a subsequent CRQ message. <i>Note: No CRP is required in response to a CRQ message which is superseded as it is considered to be replaced by the second CRQ.</i>
OLDI-CRQ-210-O	A CRQ may be issued to a downstream unit if further clearance is required before a CRP can be returned.

11.2.5 Acknowledgement of CRQ

11.2.5.1 Acknowledgement

The messages to be sent in response to a CRQ message are described earlier in this section.

11.2.5.2 No Acknowledgement

OLDI-CRQ-220-M	If no SBY message is received as an acknowledgement for a CRQ message, a warning shall be displayed at the position in the ATC unit responsible for co-ordination with the next unit and verbal co-ordination shall be initiated.
-----------------------	--

11.2.5.3 No CRP Message

OLDI-CRQ-230-M If a CRP message is not received in response to a clearance request within a bilaterally agreed time interval, a warning **shall** be displayed at an appropriate position.

11.2.6 Action on Departure**11.2.6.1 En-route Point (COP) Format**

Requirement OLDI-ACT-60-M identifies that an ACT message is sent following the departure of a flight previously co-ordinated by the use of a CRQ message using an en-route point. Where a co-ordination dialogue procedure is in use, no further dialogue other than the CRP/CRQ takes place, i.e. such flights are ineligible for referral for acceptance by the receiving unit.

OLDI-CRQ-240-M An ACT message **shall** be sent following the departure of a flight previously co-ordinated by the use of a CRQ message using an en-route point irrespective of whether the co-ordination conditions are standard or otherwise.

OLDI-CRQ-250-M An ACT message for a flight previously co-ordinated by the use of a CRP message **shall** be ineligible for referral for acceptance by the accepting unit irrespective of whether the co-ordination conditions are standard or otherwise.

11.2.6.2 Estimated Take-off Time Format

See paragraph 11.1.7.

11.2.7 Examples**11.2.7.1 ADEXP****11.2.7.1.1 Estimated Take-Off Time**

```
-TITLE CRQ
-REFDATA
  -SENDER -FAC D
  -RECVR -FAC L
  -SEQNUM 002
-ARCID CRX922
-SSRCODE REQ
-ADEP EIDW
-ETOT 1638
-RWYDEP 03
-ADES LSZA
-SID EIDWY2F
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON
-MSGREF
  -SENDER -FAC D
  -RECVR -FAC L
  -SEQNUM 001
-RFL 290
-ARCTYP B757
-NBARC 2
-SID 5
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON
-EOBT 1634
```

11.2.7.1.2 Time at COP

```
-TITLE CRQ
-REFDATA
  -SENDER -FAC D
  -RECVR -FAC L
  -SEQNUM 025
-ARCID EIN636
-SSRCODE 1376
```

-ADEP **EIDW**
-COP **LIFY**
-TO **1645**
-ADES **LSZA**
-PROPFL **180**

11.3 Clearance Response Message (CRP)

11.3.1 Purpose of the CRP Message

The CRP message satisfies the following operational requirements where manual acceptance is not required:

- issue of departure clearance data from an ACC to an aerodrome/approach control unit;
- issue of an acceptance level to the first ACC on the route of flight from the next unit;
- assignment of an SSR code where requested with the request for departure clearance in place of the COD message.

It should be noted that the CRP is sent only in response to a clearance request. Unsolicited revisions to departure clearances and the cancellation of such clearances should be performed manually.

11.3.2 Message Contents

OLDI-CRP-10-M The CRP message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;
- Transfer Flight Level.

OLDI-CRP-20-O If bilaterally agreed, the CRP message **may** contain any of the following items of data:

- SSR Mode and Code;
- SID or COP;
- Approved Take Off Time;
- Estimated Off-Block Time;
- Route;
- Frequency.

11.3.3 Rules of Application

OLDI-CRP-30-M One CRP message **shall** be sent as a response for each CRQ message received.

OLDI-CRP-40-M The message reference **shall** contain the message number of the clearance request to which the message is in response.

OLDI-CRP-50-M The assigned SSR mode and code **shall** be included if requested in the CRQ message and it has been agreed bilaterally to include it in the CRP message.

***Note:** Unless bilaterally agreed, the SSR code is notified by the use of a COD message.*

11.3.4 Insertion of Cleared Level

OLDI-CRP-60-M The level to which the flight is to climb **shall** be inserted using the cfl primary field (flight level format only).

11.3.5 Processing in the Receiving Unit

OLDI-CRP-70-M The ATC system receiving a CRP message **shall** attempt association with the corresponding flight plan.

- OLDI-CRP-80-M** If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, a LAM **shall** be returned.
- OLDI-CRP-90-M** If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, data supplied in the CRP message **shall** be made available to approach control and/or aerodrome control in accordance with the applicable operational procedures.
- OLDI-CRP-100-M** If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message, no LAM **shall** be returned.
- OLDI-CRP-110-M** The data in a second or subsequent CRP message **shall** supersede the data in the previous message.
- OLDI-CRP-120-O** Data supplied in the CRP **may** be sent in a subsequent CRP to an upstream unit.

11.3.5.1 CRP Received After Second CRQ Sent

- OLDI-CRP-130-M** A CRP message received after a second or subsequent CRQ message is sent **may** be displayed or ignored in accordance with the procedures at the unit concerned.
- Note: This requirement is included to cover the case of a second or subsequent CRQ crossing the CRP sent in response to the previous CRQ message; the response to the second CRQ remains outstanding.*

11.3.6 Acknowledgement of CRP

11.3.6.1 Acknowledgement

The messages to be sent in response to a CRP message are described earlier in this section.

11.3.6.2 No Acknowledgement

- OLDI-CRP-140-M** If no LAM is received as an acknowledgement for a CRP message, a warning **shall** be displayed at the position in the ATC unit responsible for co-ordination with the upstream unit and verbal co-ordination shall be initiated.

11.3.7 Example

11.3.7.1 ADEXP

```

-TITLE CRP
-REFDATA
  -SENDER -FAC D
  -RECVR -FAC L
  -SEQNUM 002
-MSGREF
  -SENDER -FAC L
  -RECVR -FAC D
  -SEQNUM 014
-ARCID CRX922
-ADEP EIDW
-PROPTFL F290
-ADES LSZA
-SSRCODE A3546
-APPTOT 1021
-EOBT 1004
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON
-FREQ 12725
-SID LIFFY2F
    
```

12. INFORMATION TO THIRD PARTIES

12.1 General

12.1.1 Description of Requirement

This section describes the data transfer applicable for the notification and co-ordination of flights between ATSUs where the information is being provided to make the receiving unit aware of the flights concerned but not for an intended later transfer of control. The messages are defined, in particular, for the notification of flights which are to operate adjacent to the boundary of the airspace under the jurisdiction of the ATSU to the ATSU having jurisdiction of the airspace on the other side of the boundary.

12.1.1.1 Flight Data Exchange

The flight data exchange consists of the exchange of a basic set of information when a flight is about to enter the area of interest of the concerned unit. The INF message allows the copying of co-ordination messages described in this document. Although in principle the data exchange should be automatic, in certain cases it may be desirable to initiate the exchange by a specific controller input.

12.1.1.2 Acknowledgement

All flight data exchange and airspace crossing functions are acknowledged automatically if they can be correctly received and processed in the addressed unit.

12.1.2 Implementation

OLDI-INFO-10-M When based on bilateral agreement the information to third parties message (INF) is implemented, the requirements described in this section **shall** be applied.

12.2 Information Message (INF)

12.2.1 Purpose

The INF message is used to provide information on specific flights to agencies not directly involved in the co-ordination process between two successive ATC units on the route of flight.

The INF message may be used to provide copies of messages and to communicate agreed co-ordination conditions to such agencies following a dialogue between controllers. For this purpose INF messages may be generated by the systems at the transferring or accepting unit.

The message may also be used to provide information in relation to any point on the route of flight to an agency.

The format allows the communication of initial data, revisions and cancellations.

12.2.2 Message Contents

OLDI-INF-10-M The INF message **shall** contain the following items of data:

- Message type;
- Message number;
- All items of operational data as contained in the original message or resultant co-ordination being copied;
- Reference Message Type.

12.2.3 Rules of Application

12.2.3.1 Message Types

The type(s) of message(s) to be duplicated by an INF message will be based upon users' requirements and sending unit capabilities.

OLDI-INF-20-M Type(s) of message(s) and rules of application **shall** be agreed bilaterally.

12.2.3.2 Message Addressees

OLDI-INF-30-O One or more INF message(s) **may** be transmitted for the same flight to one or more addressee(s).

12.2.3.3 Operational Content

OLDI-INF-40-M The operational content of the INF message **shall** be in the format of one of the existing messages.

OLDI-INF-60-R Sending units **should** be capable of forwarding the final agreed co-ordination conditions.

Note: *Conditions forwarded in an initial dialogue message (e.g. ACT, RAP, REV, RRV message) can be changed or rejected before the dialogue is completed.*

OLDI-INF-70-R The INF message **should** be sent immediately, or at a time related to the time at a point, which is agreed bilaterally with the receiving agency.

12.2.4 Acknowledgement of INF

OLDI-INF-80-O The INF message **may** be acknowledged dependent on the co-ordination partner by generating and transmitting a LAM message.

OLDI-INF-90-R Subject to bilateral agreement between the units concerned, if no LAM message is received as an acknowledgement for an INF message, a warning **should** be displayed at an appropriate position.

12.2.5 Examples**12.2.5.1 Example 1**

A flight with callsign BAW011, B747 from EGLL to OMDB at FL290, requesting FL410, is estimating Koksy (KOK) VOR at 1905, responding on A5437, proceeding via UG1 and UB6.

An ACT message is sent by London to Maastricht for the flight. A copy is sent from London to a unit identified as IT.

The following give examples of the INF message.

12.2.5.1.1 ICAO

(INFL/IT112-BAW011/A5437-EGLL-KOK/1905F290-OMDB-9/B747/H-15/N0490F410 DVR KOK UG1 NTM UB6 KRH-18/MSG/ACT)

12.2.5.1.2 ADEXP

```
-TITLE INF
-REFDATA
  -SENDER -FAC L
  -RECVR -FAC IT
  -SEQNUM 112
-ARCID BAW011
-SSRCODE A5437
-ADEP EGLL
-COORDATA
  -PTID KOK
  -TO 1905
  -TFL F290
-ADES OMDB
-ARCTYP B747
-ROUTE N0490F410 DVR UG1 KOK NTM UB6 KRH
-MSGTYP ACT
```

12.2.5.2 Example 2

A previously co-ordinated flight with call-sign CPT1275 from CYMX to LKPR is no longer planned to enter the airspace of the unit due to a change of route. The cancellation is notified by sending a copy of the MAC message in the format of an INF message.

The following give examples of the INF message.

12.2.5.2.1 ICAO

(INFL/IT117-CPT1275-CYMX-KOK-LKPR-18/STA/INIRTE MSG/MAC)

12.2.5.2.2 ADEXP

```
-TITLE INF
-REFDATA
  -SENDER -FAC L
  -RECVR -FAC IT
  -SEQNUM 117
-ADEP CYMX
-COP KOK
-ADES LKPR
-ARCID CPT1275
-CSTAT
  -STATID INI
  -STATREASON RTE
-MSGTYP MAC
```

13. DIALOGUE PROCEDURE - CO-ORDINATION

13.1 General

13.1.1 Introduction

The dialogue procedure provides facilities for communication and negotiation between controllers in the co-ordination phase and for communication in the transfer phase.

This section describes messages used in the dialogue procedure in the co-ordination phase where the conditions of transfer are planned. Those for the transfer phase where the handover of the flight is accomplished are described in section 13.10.

Procedures for the two phases are not dependent on each other; they can be implemented individually or together.

A number of additional messages are introduced and the capability for either partner to initiate a dialogue is supported.

The co-ordination dialogue procedure allows the identification of:

- transfers that are in accordance with LoAs and can be accepted automatically; and
- those which require to be referred to the controller at the receiving unit for a decision regarding acceptance.

This procedure also allows the interpretation of the LoAs within the two systems to be monitored and for any discrepancy between them to be identified.

13.1.2 The Filter

13.1.2.1 General

The co-ordination dialogue procedure requires that systems identify whether or not transfers are in accordance with LoAs.

The process which checks such compliance is referred to in this document as "the filter". The database used for the filter will include the following, if required:

- agreed co-ordination points;
- eligible (or ineligible) flight levels which may also be associated with the co-ordination points;
- aerodromes of departure;
- destinations;
- agreed direct routes ;
- time and/or distance limits prior to the COP, after which any co-ordination message is considered non-standard;
- any other conditions, as bilaterally agreed.

All items in this list may be combined to define more complex conditions.

OLDI-DIA-10-M In the dialogue procedure for co-ordination, the term "standard conditions" **shall** be interpreted as "in accordance with the LoA".

OLDI-DIA-20-M In the dialogue procedure for co-ordination, the term "non-standard conditions" **shall** be interpreted as "not in accordance with the LoA".

OLDI-DIA-30-M Unless bilaterally agreed, messages sent by transferring units for co-ordinations which are known to be standard **shall** utilise different message types from those for which the conditions are non-standard.

13.1.2.2 Rules for the Transferring Unit

OLDI-DIA-40-M The filter in the transferring unit **shall** review the transfer conditions that are about to be sent to the accepting unit.

OLDI-DIA-50-R If the transfer conditions are found to be non-standard, the fact **should** be drawn to the attention of the transferring controller, for confirmation or modification.

13.1.2.3 Rules for the Accepting Unit

OLDI-DIA-60-M All ACT and REV messages **shall** be checked against the filter.

OLDI-DIA-70-M If the check indicates that the received transfer conditions are non-standard, they **shall** be referred to the controller for a decision, otherwise they will be accepted automatically.

13.1.2.4 Synchronisation of the Filters

The use of different messages for standard and non-standard transfer conditions allows the identification of any discrepancy between standard conditions as held in the systems at the transferring and accepting units.

The identification in the accepting unit of non-standard transfer conditions in a message used to co-ordinate only standard transfers will signify a discrepancy between the two filters. Such discrepancies should be resolved for the effective operation of the dialogue procedure.

13.1.3 Message Sequence

13.1.3.1 General

Certain rules require to be followed to ensure that co-ordination is complete before any revisions or transfer of control message exchange takes place and also to ensure that controllers at both units do not simultaneously make proposals on the same flight.

OLDI-DIA-80-M An ATC unit **shall** only transmit or acknowledge receipt of a Revision (REV or RRV) message for a flight when it is in the co-ordinated state, i.e. an ACT or RAP dialogue has been completed by a LAM or ACP.

OLDI-DIA-90-M CDN messages **shall** only be eligible for transmission by the accepting unit.

OLDI-DIA-100-M CDN messages **shall** only be transmitted and acknowledged as part of a dialogue initiated by the receipt of an Activate (ACT, RAP) or Revision (REV or RRV) message or when the flight is in the co-ordinated state.

13.1.4 Simultaneous Message Handling

13.1.4.1 General

OLDI-DIA-110-M A unit involved in a co-ordination or transfer message exchange for a flight **shall** not initiate a further co-ordination or transfer message exchange for the same flight with the same unit until either a LAM, ACP or RJC has been received, or a time-out has been reached.

It is possible for a CDN message to cross with a REV, RRV or MAC message for the same flight sent from the transferring unit. This situation may be identified in the transferring unit by the CDN arriving before the acknowledgement for the transmitted co-ordination message and in the accepting unit by the message from the transferring unit arriving before the acknowledgement of the CDN.

OLDI-DIA-120-M When a CDN message crosses with a REV, RRV or MAC message for the same flight sent from the transferring unit, the acknowledgement of the CDN message **shall** be inhibited and the REV, RRV or MAC message processed.

13.1.5 Reject Handling

The RJC message terminates a system dialogue. A new system co-ordination must be initiated which reflects the telephone co-ordination where applicable.

13.1.6 Operational Reply Time-out

13.1.6.1 General

- OLDI-DIA-130-M** A time-out mechanism **shall** be applied at the sending and at the receiving units for the reply to messages that are referred to the controller.
- OLDI-DIA-140-M** The duration of these time-outs **shall** be bilaterally agreed.
- OLDI-DIA-150-M** The expiry of the time-out at the initiating centre **shall** result in a warning being output to the initiating controller, to indicate the need to initiate a telephone co-ordination.
- OLDI-DIA-160-R** A warning **should** be displayed to the ATC position at the accepting unit responsible for the flight before the time-out for the operational reply has expired.
- OLDI-DIA-170-R** The warning **should** allow for the transmission time of the reply.
- OLDI-DIA-180-M** Systems **shall** be able to process replies which are received after the expiry of the time-out.

13.1.7 Implementation

13.1.7.1 General

The dialogue procedures address two phases, namely the co-ordination phase and the transfer phase. The dialogue in the two phases uses different messages and the required transaction times are different. The co-ordination messages are specified in ICAO and ADEXP formats, the transfer of control messages only in ADEXP.

The minimum HMI requirements for the co-ordination dialogue are different from those for the transfer dialogue:

- the transfer dialogue addresses primarily the executive control function and requires a fast and user-friendly HMI;
- the co-ordination dialogue is not as time-critical and therefore its HMI requirements are of a lower order.

- OLDI-DIA-190-M** The dialogue procedure **shall** be implemented using one of the following alternative scenarios:
- co-ordination phase dialogue procedure plus any complementary messages as bilaterally agreed;
 - basic co-ordination procedure and transfer phase dialogue procedure;
 - co-ordination and transfer phase dialogue procedure plus any complementary co-ordination messages as bilaterally agreed.
- OLDI-DIA-200-M** The scenario used for the implementation **shall** be bilaterally agreed.

13.2 Activate Message (ACT)

13.2.1 Purpose of the ACT Message

The purpose of the ACT Message is described in section 6.3.1. In a dialogue procedure, the ACT message is used to meet these requirements provided that the transfer conditions for the flight are standard and the transferring controller does not require to refer the flight to the accepting controller for acceptance.

13.2.2 Message Contents

OLDI-ACT-510-M The contents of the ACT message used in the dialogue procedure **shall** be the same as described for the ACT message in the basic procedure.

13.2.3 Rules of Application

13.2.3.1 General

The rules of application are as described for the ACT message in section 6.3 with the exception of the special rules described in this paragraph.

OLDI-ACT-520-M An ACT message **shall** be sent for a flight with standard transfer conditions which the transferring controller does not require to be referred to the accepting controller.

Note: *If these requirements do not apply, a RAP is sent (see section 13.3 - Referred Activate Proposal Message (RAP)).*

OLDI-ACT-530-R A new co-ordination procedure **should** be initiated if a Reject Co-ordination (RJC) message is returned in response to an ACT message.

13.2.3.2 Processing in the Receiving Unit

The message is checked against the filter to confirm that the proposed conditions are standard.

OLDI-ACT-540-M The message **shall** be processed as a RAP message if the transfer conditions are found to be non-standard.

OLDI-ACT-550-M ACT messages containing transfer conditions found to be standard **shall** be processed in accordance with the rules of application in the receiving unit for the ACT message in the basic procedure.

OLDI-ACT-560-M If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, the operational content of the message **shall** be displayed at the appropriate working position.

OLDI-ACT-570-M If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, an acknowledgement **shall** be returned.

OLDI-ACT-580-M If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified and either the transfer conditions are found to be non-standard or there is insufficient information available to identify whether or not the transfer conditions are standard, the operational content of the message **shall** be displayed at the sector responsible for accepting control of the flight.

OLDI-ACT-590-M If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified and either the transfer conditions are found to be non-standard or there is insufficient information available to identify whether or not the transfer conditions are standard, a SBY message shall be returned.

-
- OLDI-ACT-600-M** If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified and either the transfer conditions are found to be non-standard or there is insufficient information available to identify whether or not the transfer conditions are standard, a system flight plan shall be created.
- OLDI-ACT-610-M** If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified and either the transfer conditions are found to be non-standard or there is insufficient information available to identify whether or not the transfer conditions are standard, the dialogue **shall** continue in accordance with that specified for a RAP message.
- OLDI-ACT-620-M** In all other cases an acknowledgement **shall** be inhibited.
- OLDI-ACT-630-R** If the transfer conditions in an ACT message are found to be non-standard (there is a discrepancy between the filters in the two systems), the fact that the ACT is non-standard **should** be drawn to the attention of supervisory staff in order that the discrepancy be resolved.

13.2.4 Acknowledgement of ACT

13.2.4.1 Acknowledgement

- OLDI-ACT-640-M** In a dialogue procedure an ACT message **shall** be acknowledged by:
- a LAM if the transfer conditions are found to be standard;
 - a SBY message in all other cases.
- OLDI-ACT-650-M** When a LAM has been received, the operational contents of the ACT message **shall** become operationally binding to both of the ATC units.
- OLDI-ACT-660-O** Where bilaterally agreed, an ACP **may** be used in place of a LAM to indicate the acceptance of an ACT containing standard transfer conditions by the accepting unit.

13.2.4.2 No Acknowledgement Cases

- OLDI-ACT-670-M** If no acknowledgement is received for an ACT message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

13.3 Referred Activate Proposal Message (RAP)

13.3.1 Purpose of the RAP Message

The RAP message satisfies the following operational requirements, in addition to those specified for the ACT message in section 6.3:

- the proposal by the transferring controller and referral to the accepting controller of flights with non-standard transfer conditions;
- allow the transferring controller, if he/she requires to do so, to force the referral to the accepting controller of standard transfer conditions for a specific flight.

13.3.2 Message Contents

OLDI-RAP-10-M The contents of the RAP message **shall** be the same as described for the ACT message in the basic procedure.

OLDI-RAP-20-M If bilaterally agreed, the RAP message **shall** contain the following data:

- Manual Referral Reason.

13.3.3 Rules of Application

13.3.3.1 General

OLDI-RAP-30-M A RAP message **shall** be sent in place of the ACT message for flights crossing the boundary meeting one of the following conditions:

- the transferring system has determined the transfer conditions are non-standard;
- the transferring controller has indicated that the proposed transfer conditions are to be referred to the accepting controller.

OLDI-RAP-40-M The operational contents of the RAP message due to be transmitted **shall** be displayed at the working position responsible for the co-ordination of the flight prior to the actual transmission.

OLDI-RAP-50-R The time when the RAP message is transmitted automatically **should** be displayed together with its contents.

OLDI-RAP-60-M The relevant working position **shall** be notified of the transmission of the RAP message.

13.3.3.2 Processing in the Receiving Unit

OLDI-RAP-70-M The ATC system receiving a RAP message **shall** attempt association with the corresponding flight plan.

OLDI-RAP-80-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the operational contents **shall** be referred to the accepting controller.

OLDI-RAP-90-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an SBY message **shall** be returned.

OLDI-RAP-100-R An indication of the reason for the referral (non-standard conditions or manual referral) **should** be included.

OLDI-RAP-110-M If the message cannot be associated with a flight plan, or a discrepancy is found that inhibits correct processing of the message, then the operational content of the message **shall** be displayed at the appropriate working position.

OLDI-RAP-120-M If the message cannot be associated with a flight plan, or a discrepancy is found that inhibits correct processing of the message, then an acknowledgement **shall** be returned.

OLDI-RAP-130-M If the message cannot be associated with a flight plan, or a discrepancy is found that inhibits correct processing of the message, then a flight plan **shall** be created.

OLDI-RAP-140-M In all other cases the acknowledgement of the RAP message **shall** be inhibited.

13.3.3.3 Criteria for Message Transmission

OLDI-RAP-150-M When it is used to force the referral of a proposed co-ordination with standard transfer conditions to the accepting controller, the RAP **shall** be initiated manually by the transferring controller and transmitted immediately.

OLDI-RAP-160-R Manual triggering of a RAP message before the calculated time of transmission **should** be allowed at the position responsible for co-ordination of the flight.

OLDI-RAP-170-M The time/distance before the boundary at which RAP messages are automatically transmitted **shall** be the same as for the ACT messages.

13.3.4 Acknowledgement of RAP

13.3.4.1 Acknowledgement

OLDI-RAP-180-M The RAP message **shall** be acknowledged by the generation and transmission of an SBY message.

13.3.4.2 No Acknowledgement Case

OLDI-RAP-190-M If no SBY message is received as an acknowledgement for a RAP message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

13.3.5 Operational Reply to RAP

OLDI-RAP-200-O The accepting controller **may** either accept, counter-propose or reject transfer conditions.

13.3.5.1 Acceptance

OLDI-RAP-210-M When the accepting controller elects to accept the proposed transfer conditions, an ACP message **shall** be returned.

***Note:** As soon as the ACP message has been received, the RAP message data becomes operationally binding to both of the ATC units.*

OLDI-RAP-220-M The co-ordinated transfer conditions and the fact that the ACP has been received **shall** be presented to the transferring controller.

13.3.5.2 Counter-Proposal

OLDI-RAP-230-M When the accepting controller elects to counter-propose transfer conditions, a CDN message shall be returned.

OLDI-RAP-240-R When the accepting controller elects to reject the proposed transfer conditions, an RJC message **should** be returned.

***Note:** A new co-ordination process should then be initiated.
In most cases the new co-ordination will be with a different unit.*

13.3.6 Examples

13.3.6.1 ICAO

(RAPE/L022-AMM253/A7012-LMML-BNE/1226F350-EGBB-9/B757/M)

13.3.6.2

ADEXP

- TITLE **RAP**
- REFDATA
 - SENDER -FAC **E**
 - RECVR -FAC **L**
 - SEQNUM **022**
- ARCID **AMM253**
- SSRCODE **A7012**
- ADEP **LMML**
- COORDATA
 - PTID **BNE**
 - TO **1226**
 - TFL **F350**
- ADES **EGBB**
- ARCTYP **B757**
- REASON **MANUAL**

13.4 Revision Message (REV)

13.4.1 Purpose of the REV Message

The purpose of the REV message is described in sub-paragraph 6.4.1. In a dialogue procedure, the REV message is used to meet these requirements provided that the transfer conditions for the flight are standard and the transferring controller does not require to refer the flight to the accepting controller for acceptance.

13.4.2 Message Contents

OLDI-REV-510-M The contents of the REV message used in the dialogue procedure **shall** be the same as described for the REV message in the basic procedure.

13.4.3 Rules of Application

13.4.3.1 General

OLDI-REV-520-O One or more REV messages **may** be sent to the unit to which a flight has been currently co-ordinated by the use of an Activate or RAP message.

OLDI-REV-530-M REV messages **shall** be sent under the conditions specified for the REV message in the basic procedure for flights with standard transfer conditions.

13.4.3.2 Initiation of Transmission

OLDI-REV-540-M The REV message **shall** be transmitted immediately following a detection of a change in the co-ordination data required to be co-ordinated specified for the REV message in the basic procedure.

13.4.3.3 Processing in the Receiving Unit

OLDI-REV-550-M If a corresponding flight plan is found in the co-ordinated state and no discrepancy is found that would inhibit correct processing of the message, the REV message **shall** be acknowledged.

OLDI-REV-560-M If a corresponding flight plan is not found in the co-ordinated state or discrepancy is found that would inhibit correct processing of the message, then the acknowledgement **shall** be inhibited.

OLDI-REV-570-M The transfer conditions **shall** be examined to ensure that they are standard.

OLDI-REV-580-M If the transfer conditions are found to be not standard they **shall** be presented to the accepting controller.

OLDI-REV-590-M If the proposed transfer conditions are found to be standard, they **shall** be included with the flight plan.

OLDI-REV-600-M If the proposed transfer conditions are found to be standard, the required data **shall** be output at operational ATC and other positions as appropriate.

OLDI-REV-610-R If the transfer conditions in an REV message are found to be non-standard (there is a discrepancy between the filters in the two systems), the fact that the REV is non-standard **should** be drawn to the attention of supervisory staff in order that the discrepancy be resolved.

13.4.4 Acknowledgement of REV

13.4.4.1 Acknowledgement

OLDI-REV-620-M If the REV message is to be acknowledged, it **shall** be acknowledged by:

- a LAM message if the transfer conditions are found to be standard;
- a SBY message if the transfer conditions are found to be non-standard.

OLDI-REV-630-M When a LAM has been received, the operational contents of the REV message **shall** become operationally binding to both of the ATC units.

OLDI-REV-640-O Where bilaterally agreed, an ACP **may** be used in place of a LAM to indicate the acceptance by the accepting unit of a REV containing standard transfer conditions.

13.4.4.2 No Acknowledgement Cases

OLDI-REV-650-M If no acknowledgement is received for a REV message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flights.

13.4.5 Operational Reply to REV

As the REV message is used to send standard transfer conditions, it will normally be accepted by the system in the accepting unit.

OLDI-REV-660-M If the transfer conditions are found to be non-standard by the filter in the accepting unit, the message **shall** be processed as an RRV message.

13.5 Referred Revision Proposal Message (RRV)

13.5.1 Purpose of the RRV Message

The RRV message shall provide for revision of previously sent and agreed transfer conditions in the following cases:

- when the proposed transfer conditions in the revision are non-standard;
- when the proposed revision is standard, but the transferring controller wants to refer the revision to the accepting controller.

13.5.2 Message Contents

OLDI-RRV-10-M The contents of the RRV message **shall** be the same as described for the REV message in the basic procedure.

OLDI-RRV-20-M If bilaterally agreed, the RRV message **shall** contain the following data:

- Manual Referral Reason.

13.5.3 Rules of Application

13.5.3.1 General

OLDI-RRV-30-M One or more RRV messages **shall** be sent, in place of REV messages, for each revision, if either:

- the transferring system has determined the transfer conditions are non-standard; or
- the transferring controller has indicated that the proposed transfer conditions are to be referred to the accepting controller. This use of the RRV is optional.

13.5.3.2 Initiation of Transmission

OLDI-RRV-40-M The RRV message **shall** be transmitted immediately following the detection of a change in the co-ordination data or when manually initiated.

13.5.3.3 Processing in the Receiving Unit

OLDI-RRV-50-M If a corresponding flight plan is found in the co-ordinated state and no discrepancy is found that would inhibit correct processing of the message, then the RRV message **shall** be acknowledged.

OLDI-RRV-60-M If no corresponding flight plan is found in the co-ordinated state or a discrepancy is found that would inhibit correct processing of the message, the acknowledgement of the message **shall** be inhibited.

OLDI-RRV-70-M The proposed transfer conditions **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

OLDI-RRV-80-R An indication of the reason for the referral (non-standard conditions or manual referral) **should** be included.

13.5.4 Acknowledgement of RRV

13.5.4.1 Acknowledgement

OLDI-RRV-90-M The message **shall** be acknowledged by the generation and transmission of an SBY message.

13.5.4.2 No Acknowledgement Cases

OLDI-RRV-100-M If no SBY message is received as an acknowledgement for an RRV message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

13.5.5 Operational Reply to RRV

The accepting controller can either accept, counter-propose or reject an RRV message.

13.5.5.1 Acceptance

OLDI-RRV-110-M When the accepting controller elects to accept the proposed amendment to the agreed transfer conditions, an ACP message **shall** be returned.

13.5.5.2 Counter-proposal

OLDI-RRV-120-M When the accepting controller elects to counter-propose transfer conditions, a CDN message **shall** be returned.

13.5.5.3 Rejection

OLDI-RRV-130-M When the accepting controller elects to reject the proposed amendment to the agreed transfer conditions, an RJC message **shall** be returned.

OLDI-RRV-140-M When the accepting controller elects to reject the proposed amendment to the agreed transfer conditions, a new co-ordination process **shall** be initiated.

13.5.6 Examples

13.5.6.1 ICAO

(RRVE/L059-AMM253-LMML-BNE/1226F310-EGBB)

13.5.6.2 ADEXP

-TITLE **RRV**
-REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **059**
-ARCID **AMM253**
-ADEP **LMML**
-COORDATA
 -PTID **BNE**
 -TO **1226**
 -TFL **F310**
-ADES **EGBB**
-REASON **MANUAL**

13.6 Co-ordination Message (CDN)

13.6.1 Purpose of the CDN Message

The CDN message satisfies the following operational requirements:

- to forward a counter proposal from the accepting controller to the transferring controller as a reply to an ACT, a RAP, a REV or an RRV message;
- to initiate a proposed modification to agreed transfer conditions by the accepting controller to the transferring controller.

13.6.2 Message Contents

OLDI-CDN-10-M The CDN message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Message Reference (only if in response to another message);
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;

OLDI-CDN-20-M If bilaterally agreed, the CDN message **shall** contain the following item of data:

- Estimate Data (if an ICAO message) or Proposed Transfer Flight Level (if an ADEXP message);
- Route; or
- Direct Routing Request.

Note: *The item Route contains the requested routing.*

13.6.3 Rules of Application

13.6.3.1 General

OLDI-CDN-30-M The CDN message **shall** be initiated by the accepting controller only.

OLDI-CDN-40-M The CDN message **shall** be used to transmit a counter proposal from the accepting controller to the transferring controller.

Note: *This can be in a dialogue as a reply to a proposal forwarded by an ACT, RAP, REV, or RRV, or as the start of a dialogue to amend previously agreed transfer conditions.*

OLDI-CDN-50-M The message reference **shall** only be inserted when the CDN message is in reply to another message.

OLDI-CDN-60-M When inserted, the message reference **shall** contain the message number of the message to which the CDN is in reply.

OLDI-CDN-70-M The Direct Routing Request facility **shall** only be used if bilaterally agreed.

OLDI-CDN-80-M If bilaterally agreed, the Direct Routing Request facility **shall** define any operational limits to its use.

Note: *The operational limits refer to the acceptable limits for potential direct routing clearances (i.e. only to a defined set of points, only through an agreed set of sectors, etc.).*

OLDI-CDN-90-M The transmission of the CDN message **shall** be inhibited after a time/distance before the boundary specified in the LoA between the units concerned.

OLDI-CDN-100-M In the event that a CDN message is transmitted effectively simultaneously with a message for the same flight from the transferring unit, e.g. a revision or an abrogation of co-ordination, the acknowledgement and the operational reply **shall** be inhibited.

Note: *The effect of this is that when two messages cross, the one from the transferring unit takes priority and the CDN is dropped by both. Both units can sense the situation by the receipt of the message from the other before receiving the acknowledgement.*

As soon as an acceptance has been received the CDN message data becomes operationally binding to both of the ATC units.

OLDI-CDN-110-M The co-ordinated transfer conditions and the fact that the ACP message has been received **shall** be presented to the ATC staff concerned.

13.6.3.2 Processing in the Receiving Unit

OLDI-CDN-120-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the operational content **shall** be presented at the ATC position responsible for the co-ordination of the flight.

OLDI-CDN-130-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-CDN-140-M If the CDN cannot be associated, or a discrepancy is found that inhibits correct processing of the message, the acknowledgement **shall** be inhibited.

13.6.4 Acknowledgement of CDN

13.6.4.1 Acknowledgement

OLDI-CDN-150-M Under the conditions specified above, the CDN message **shall** be acknowledged by the generation and transmission of an SBY message.

13.6.4.2 No Acknowledgement Cases

OLDI-CDN-160-M If no SBY message is received as an acknowledgement for a CDN message, a warning **shall** be displayed at the ATC position responsible for the co-ordination of the flight.

13.6.5 Operational Reply to CDN

OLDI-CDN-170-O The controller **may** either accept or reject the transfer conditions proposed in a CDN message.

13.6.5.1 Acceptance

OLDI-CDN-180-M When the transferring controller elects to accept the proposed transfer conditions, an ACP message **shall** be returned.

OLDI-CDN-190-R When the transferring controller elects to reject the proposed transfer conditions, an RJC message **should** be sent (explicit rejection).

OLDI-CDN-200-M The proposed co-ordination **shall** be implicitly rejected if no acceptance has been received by the time that the CDN message times-out.

13.6.6 Examples

13.6.6.1 ICAO

(CDNL/D041D/L025 -EIN636 -EIDW -LIFFY/1638F270F110A -EBBR)

13.6.6.2

ADEXP

-TITLE **CDN**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **D**
 -SEQNUM **041**
-MSGREF
 -SENDER -FAC **D**
 -RECVR -FAC **L**
 -SEQNUM **025**
-ARCID **EIN636**
-ADEP **EIDW**
-ADES **EBBR**
-PROPFL
 -TFL **F270**
 -SFL **F110A**
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

13.7 Release Request Message (RRQ)

13.7.1 Purpose

The Release Request (RRQ) message is sent by the accepting unit to the transferring unit to request the release of a flight from the agreed transfer conditions after initial co-ordination has taken place or immediately if Transfer of Communication has already taken place.

13.7.2 Message Contents

OLDI-RRQ-10-M The RRQ message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Release Indication.

13.7.3 Rules of Application

13.7.3.1 Accepting Unit

OLDI-RRQ-20-M A RRQ message **shall** be sent to the transferring unit on manual initiation by the accepting controller at any time after co-ordination of the flight.

13.7.3.2 Transferring Unit

OLDI-RRQ-30-M If a corresponding flight plan can be found, an acknowledgement **shall** be sent.

OLDI-RRQ-40-M The operational content **shall** be made available to the transferring sector position.

13.7.4 Acknowledgement of RRQ

OLDI-RRQ-50-M The RRQ message **shall** be acknowledged by a SBY message.

OLDI-RRQ-60-M If no acknowledgement has been received with a specified time, a warning **shall** be displayed to the sector who initiated the RRQ message.

13.7.5 Operational Reply to RRQ

OLDI-RRQ-70-M The transferring controller **shall** either release the flight or reject the release request.

OLDI-RRQ-80-M When the transferring controller elects to release the flight, a RLS message **shall** be returned.

OLDI-RRQ-90-M When the transferring controller elects to reject the release request, a RJC message **shall** be returned.

13.7.6 Example (ADEXP only)

```
-TITLE RRQ
-REFDATA
  -SENDER -FAC MC
  -RECVR -FAC E
  -SEQNUM 762
-ARCID KLM4273
-RELEASE D
```

13.8 Release Message (RLS)

13.8.1 Purpose

The Release (RLS) message is sent by the transferring unit to the accepting unit to release a flight from the agreed transfer conditions after initial co-ordination has taken place.

The RLS message can be sent at any time after the flight has been co-ordinated either unsolicited or in response to a Release Request (RRQ) message.

13.8.2 Message Contents

OLDI-RLS-10-M The RLS message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Release Indication.

OLDI-RLS-20-M If in response to an RRQ message, the RLS message **shall** contain the following items of data:

- Message Reference.

13.8.3 Rules of Application

13.8.3.1 Transferring Unit

OLDI-RLS-30-M A RLS message **shall** be sent to the accepting unit on manual initiation by the transferring controller at any time after initial co-ordination has taken place.

OLDI-RLS-40-M When the transferring controller elects to release the flight by manual initiation, a RLS message **shall** be sent to the accepting unit in response to a RRQ message from the accepting unit.

13.8.3.2 Accepting Unit

OLDI-RLS-50-M If a corresponding flight plan can be found, an acknowledgement **shall** be sent.

OLDI-RLS-60-M The operational content **shall** be made available to the accepting sector position.

13.8.4 Acknowledgement of RLS

OLDI-RLS-70-M The RLS message **shall** be acknowledged by a LAM message.

OLDI-RLS-80-M If no acknowledgement has been received with a specified time, a warning **shall** be displayed to the sector who initiated the RLS message.

13.8.5 Example (ADEXP only)

```
-TITLE RLS
-REFDATA
  -SENDER -FAC E
  -RECVR -FAC MC
  -SEQNUM 740
-ARCID KLM4273
-RELEASE F
-REFDATA
  -SENDER -FAC MC
  -RECVR -FAC E
  -SEQNUM 540
```

13.9 Request Tactical Instructions Message (RTI)

13.9.1 Purpose

The Request Tactical Instructions (RTI) message is sent by the accepting unit to the transferring unit to request the transfer of a flight on an assigned heading, speed, rate of climb or descent or on a direct routing.

13.9.2 Message Contents

OLDI-RTI-10-M The RTI message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome.

OLDI-RTI-20-M The RTI message **shall** contain any of the following items of data:

- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent;
- Cleared / Supplementary Flight Level.

OLDI-RTI-30-M If bilaterally agreed, the RTI message **shall** contain the following item of data:

- Planned transfer position;
- Route.

Note: *The item Route contains the requested routing.*

13.9.3 Rules of Application

13.9.3.1 Accepting Unit

OLDI-RTI-40-M A RTI message **shall** be sent to the transferring unit on manual initiation by the accepting controller.

13.9.3.2 Transferring Unit

OLDI-RTI-50-M If a corresponding flight plan can be found, an acknowledgement **shall** be sent.

OLDI-RTI-60-M The operational content **shall** be displayed immediately to the transferring sector position.

13.9.4 Acknowledgement of RTI

OLDI-RTI-70-M The RTI message **shall** be acknowledged by a SBY message.

OLDI-RTI-80-M If no acknowledgement has been received within a specified time, a warning **shall** be displayed to the sector who initiated the RTI message.

13.9.5 Operational Reply to RTI

OLDI-RTI-90-M The transferring controller **shall** either accept or reject the downstream tactical instructions request.

OLDI-RTI-100-M When the transferring controller elects to accept the downstream tactical instructions request an ACP message **shall** be returned.

OLDI-RTI-110-M When the transferring controller elects to reject the downstream tactical instructions request, a RJC message **shall** be returned.

-
- OLDI-RTI-120-M** As soon as an ACP has been received by the receiving unit the message data **shall** become operationally binding to both ATC units.
- OLDI-RTI-130-M** If no operational reply is received by the accepting unit within a parameter time, a warning **shall** be displayed at the appropriate ATC position for verbal co-ordination.

13.9.6 Example (ADEXP only)

-TITLE RTI
-REFDATA
 -SENDER -FAC MC
 -RECVR -FAC E
 -SEQNUM 762
-ARCID KLM4273
-ADEP LMML
-ADES EGGB
-AHEAD 290 or -DCT BEN STN
-ASPEED N0420
-RATE D25
-CFL F190
 -SFL F210
-PLANNEDPOSITION REF01
-REF
 -REFID REF01
 -PTID BNE
 -BRNG 345
 -DSTNC 15
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON

13.10 Tactical Instructions Proposal Message (TIP)

13.10.1 Purpose

The Tactical Instructions Proposal (TIP) message is sent by the transferring unit to the accepting unit to co-ordinate the transfer of a flight on an assigned heading, speed, rate of climb or descent or on a direct routing. The content of the TIP message will supplement the co-ordination data contained in the ACT and REV messages.

13.10.2 Message Contents

OLDI-TIP-10-M The TIP message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome.

OLDI-TIP-20-M The TIP message **shall** contain any of the following items of data:

- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent;
- Cleared / Supplementary Flight Level.

OLDI-TIP-30-M If bilaterally agreed, the TIP message **shall** contain the following item of data:

- Planned transfer position;
- Route.

Note: *The item Route contains the assigned routing.*

13.10.3 Rules of Application

13.10.3.1 General

OLDI-TIP-40-M The revision of co-ordination data **shall** imply that the previously agreed tactical instructions are no longer applicable.

OLDI-TIP-50-M Data included in a subsequent TIP message as defined in **OLDI-TIP-20-M** for the same flight, **shall**:

- supersede the equivalent item(s) of data transmitted in the previous TIP message(s), or
- add item(s) of data not included in previous TIP message(s).

13.10.3.2 Transferring Unit

OLDI-TIP-60-M The TIP message **shall** be sent to the accepting unit on manual initiation by the transferring controller.

13.10.3.3 Accepting Unit

OLDI-TIP-70-M If an unambiguously corresponding flight plan can be found, an acknowledgement **shall** be sent.

OLDI-TIP-80-M The operational content **shall** be displayed immediately to the accepting sector position.

13.10.4 Acknowledgement of TIP

OLDI-TIP-90-M The TIP message **shall** be acknowledged by a SBY message.

OLDI-TIP-100-M If no acknowledgement has been received within a specified time, a warning **shall** be displayed to the sector who initiated the TIP message.

13.10.5 Operational Reply to TIP

OLDI-TIP-110-M The receiving controller **shall** either accept or reject the tactical instructions proposed.

OLDI-TIP-120-M When the accepting controller elects to accept the tactical instructions proposal an ACP message **shall** be returned.

OLDI-TIP-130-M When the accepting controller elects to reject the downstream tactical instructions request, a RJC message **shall** be returned.

OLDI-TIP-140-M As soon as an ACP has been received by the transferring unit the message data **shall** become operationally binding to both ATC units.

OLDI-TIP-150-M If no operational reply is received by the accepting unit within a parameter time, a warning **shall** be displayed at the appropriate ATC position for verbal co-ordination.

13.10.6 Example (ADEXP only)

-TITLE **TIP**
-REFDATA
 -SENDER -FAC **MC**
 -RECVR -FAC **E**
 -SEQNUM **762**
-ARCID **KLM4273**
-ADEP **LMML**
-ADES **EGBB**
-AHEAD **290** or -DCT **BEN STN**
-ASPEED **N0420**
-RATE **D25**
-CFL **F190**
 -SFL **F210**
-PLANNEDPOSITION **REF01**
-REF
 -REFID **REF01**
 -PTID **BNE**
 -BRNG **345**
 -DSTNC **15**
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

14. DIALOGUE PROCEDURE - TRANSFER OF COMMUNICATION

14.1 General

14.1.1 Introduction

This section of the specification describes the facilities and messages that support the radar hand-over aspect of the transfer of control procedure in addition to section 9-DIALOGUE PROCEDURE - TRANSFER OF COMMUNICATION.

14.2 Transfer Phase Initiation Message (TIM)

14.2.1 Purpose of the TIM Message

The purpose of the TIM is to:

- signify the Transfer Initiation (TI) event (the end of the co-ordination phase and the start of the transfer phase);
- simultaneously forward executive control data from the transferring to the accepting unit.

14.2.2 Message Contents

OLDI-TIM-10-M

The TIM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

OLDI-TIM-20-M

If the data are available, the TIM message **shall** contain any of the following items of data:

- Cleared Flight Level;
- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent.

OLDI-TIM-30-M

If bilaterally agreed, the TIM message **shall** contain the following item of data:

- Position of the Flight
- Route.

Note: *The item Route contains the assigned routing.*

14.2.3 Rules of Application

14.2.3.1 General

OLDI-TIM-40-M

The TIM message **shall** be generated and transmitted by the transferring unit to the accepting unit without human intervention at a bilaterally agreed time/distance of the flight from the boundary, unless it has already been sent as a result of controller acceptance of a ROF message.

OLDI-TIM-50-M

The transmission of a TIM message **shall** be inhibited until the flight has been co-ordinated.

OLDI-TIM-60-M

The TIM message **shall** contain the most recent data available in the system.

14.2.3.2 Criteria for Message Transmission

OLDI-TIM-70-M

The TIM generation parameter **shall** be a Variable System Parameter which may be changed, based on the provisions of the LoAs.

OLDI-TIM-80-R

The TIM generation system parameter **should** be defined separately for each of the COPs.

OLDI-TIM-90-M

The co-ordination partners **shall** include the TIM generation parameters in their LoA.

Note: *The system parameter triggering the TIM message may be related to the calculated ground speed of the aircraft.*

OLDI-TIM-100-M

The specified system parameter for TIM transmission **shall** allow sufficient time for verbal co-ordination before the hand-over.

14.2.3.3 Processing in the Receiving Unit

OLDI-TIM-110-M The data received in a TIM **shall** be made available to the accepting controller.

14.2.4 Acknowledgement of TIM

14.2.4.1 Acknowledgement

OLDI-TIM-120-M If the TIM message can be unambiguously associated with a flight plan, it **shall** be acknowledged by the generation and transmission of a LAM message;

OLDI-TIM-130-M If the TIM message cannot be unambiguously associated with a flight plan, the acknowledgement of the TIM message **shall** be inhibited.

14.2.4.2 No Acknowledgement Cases

OLDI-TIM-140-M If no LAM message is received as an acknowledgement for a TIM message, a warning **shall** be displayed at the appropriate position.

14.2.5 Example

```
-TITLE TIM
-REFDATA
  -SENDER -FAC L
  -RECVR -FAC E
  -SEQNUM 029
-ARCID AMM253
-AHEAD 290 or -DCT BEN STN
-ASPEED N0420
-RATE D25
-CFL F190
-POSITION REF01
-REF
  -REFID REF01
  -PTID BNE
  -BRNG 345
  -DSTNC 15
-ROUTE N0480F390 UB4 BNE UB4 BPK UB3 HON
```

14.3 Supplementary Data Message (SDM)

14.3.1 Purpose of the SDM Message

14.3.1.1 General

The primary purpose of the SDM is to transmit control data and changes thereto from the transferring unit to the accepting unit.

The SDM message may also be used by the accepting unit to notify the transferring unit of the radio telephony frequency to which the flight is to be transferred.

14.3.2 Message Contents

14.3.2.1 Messages from the Transferring Unit

OLDI-SDM-10-M The SDM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

OLDI-SDM-20-M The SDM message **shall** contain any of the following items of data:

- Assigned Heading/Track or Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent;
- Cleared Flight Level.

OLDI-SDM-30-M If bilaterally agreed the SDM **shall** contain the following items of data:

- Route.

Note: *The item Route contains the assigned routing.*

14.3.2.2 Messages from the Accepting Unit

OLDI-SDM-40-M The SDM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Frequency.

OLDI-SDM-50-M If bilaterally agreed the SDM **shall** contain the following items of data:

- Route.

Note: *The item Route contains the requested routing.*

-

14.3.3 Rules of Application

14.3.3.1 Messages from the Transferring Unit

OLDI-SDM-60-M SDM messages **shall** be transmitted after the initiation of the transfer phase, provided that it has been bilaterally agreed that the changes do not need to be acknowledged by the accepting controller, and following any change to the following items:

- cleared flight level;
 - assigned speed;
 - assigned rate of climb/descent;
 - Assigned Heading/Track;
-

- issue or change of a direct clearance
- Route.

Note: *The HOP message is used when approval by the accepting controller is required prior to the transfer of communication.*

OLDI-SDM-70-M The message **shall** contain only the fields which have changed.

OLDI-SDM-80-M SDM messages containing the data described in requirement [OLDI-SDM-40-M](#) **shall** be transmitted before Transfer Initiation if bilaterally agreed.

OLDI-SDM-90-M SDM messages transmitted before Transfer Initiation **shall** commence at a bilaterally agreed time relative to Transfer Initiation, provided that there is data for which there is a value available in the system.

OLDI-SDM-100-M The co-ordination data **shall** remain unmodified irrespective of the content of a SDM message.

14.3.3.2 Messages from the Accepting Unit

OLDI-SDM-110-O SDM messages **may** be transmitted to indicate the frequency on which the flight is to contact the accepting unit.

Note: *Units may agree bilaterally to send other information. Such transfer is not defined in, and therefore, not part of, this Specification.*

OLDI-SDM-120-M SDM messages from the accepting unit **shall** be transmitted during the co-ordination phase if bilaterally agreed.

14.3.3.3 Processing in the Receiving Unit

OLDI-SDM-130-M The ATC system receiving an SDM message **shall** attempt association with the corresponding flight plan.

OLDI-SDM-140-M If a corresponding flight plan in the co-ordinated state is found, an acknowledgement **shall** be returned.

OLDI-SDM-150-M If a corresponding flight plan in the co-ordinated state is found, the operational contents of the SDM message **shall** be made available to the appropriate controller.

OLDI-SDM-160-M If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message, the acknowledgement **shall** be inhibited.

OLDI-SDM-170-M If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message, a warning **shall** be output at an appropriate position.

14.3.4 Acknowledgement of SDM

14.3.4.1 Acknowledgement

OLDI-SDM-180-M The SDM message **shall** be acknowledged by the generation and transmission of a LAM message.

14.3.4.2 No Acknowledgement Cases

OLDI-SDM-190-M If no LAM message is received as an acknowledgement for an SDM message, a warning **shall** be displayed at an appropriate position.

14.3.5 Example

```
-TITLE SDM
-REFDATA
  -SENDER -FAC L
  -RECVR -FAC E
  -SEQNUM 028
```

-ARCID **AMM253**
-AHEAD **290** or -DCT **BEN STN**
-ASPEED **N0420**
-RATE **D25**
-CFL **F190**
-ROUTE **N0480F390 UB4 BNE UB4 BPK UB3 HON**

14.4 Hand-Over Proposal Message (HOP)

14.4.1 Purpose of the HOP Message

The purpose of the HOP Message is:

- for the transferring controller to propose the flight for hand-over to the accepting controller;
- to forward modifications to the executive control data which require the approval of the accepting controller, as bilaterally agreed (the SDM is used to forward such modifications which do not require the approval of the accepting controller).

It is not necessary to utilise the HOP for all flights; it is used at the discretion of the transferring controller.

14.4.2 Message Contents

OLDI-HOP-10-M The HOP message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

OLDI-HOP-20-M The HOP message **shall** contain any of the following items of data, if available:

- Cleared Flight Level;
- Assigned Heading/Track/Direct Clearance;
- Assigned Speed;
- Assigned Rate of Climb/Descent.

Note: *This requirement applies irrespective of whether or not any such executive data has been passed previously using a SDM message.*

OLDI-HOP-30-M If bilaterally agreed, the HOP message **shall** contain the following item of data:

- Position of the Flight.

14.4.3 Rules of Application

14.4.3.1 General

OLDI-HOP-40-M The HOP message, when used, **shall** be manually initiated by the transferring controller.

OLDI-HOP-50-M The Transfer Phase **shall** be initiated if a HOP message is sent before the Transfer Phase has been initiated.

Note: *A Transfer Initiation Message (TIM) is not required in addition to the HOP.*

OLDI-HOP-60-M The earliest time or distance before the COP or boundary at which a HOP may be sent **shall** be bilaterally agreed.

OLDI-HOP-70-R The time/distance at which a HOP may be sent **should** be specified separately for each COP.

OLDI-HOP-80-M The co-ordination data **shall** remain unmodified irrespective of the content of a HOP message.

14.4.3.2 Processing in the Receiving Unit

OLDI-HOP-90-M The ATC system receiving a HOP message **shall** attempt association with the corresponding flight plan.

OLDI-HOP-100-M The flight data received in the message **shall** be displayed immediately to the accepting controller.

-
- OLDI-HOP-110-R** If the accepting controller accepts the flight under the conditions proposed in the HOP message, a ROF message **should** be sent in response to the transferring unit.
- OLDI-HOP-120-O** When bilaterally agreed an ACP **may** be sent as a reply to a HOP.
- OLDI-HOP-130-M** If the accepting controller is unable to accept the flight, the transfer **shall** be agreed verbally.
- Note:** Due to the urgency of the hand-over procedure, system support in monitoring the return of the ROF (or ACP) is not required by this Specification; it is assumed that the transferring controller will be well aware of the absence of a response from the accepting controller and will take action as necessary. However, this Specification does not prevent a warning being provided to the transferring controller if it is considered operationally necessary.*
- OLDI-HOP-140-M** As soon as a ROF message (or ACP message) has been received, the HOP message data **shall** become operationally binding to both of the ATC units.

14.4.4 Acknowledgement of HOP

14.4.4.1 Acknowledgement

- OLDI-HOP-150-M** If it can be associated with a flight plan, the HOP message **shall** be acknowledged automatically by a LAM.

14.4.4.2 No Acknowledgement Cases

- OLDI-HOP-160-M** If no LAM message is received as an acknowledgement for a HOP message, a warning **shall** be displayed at the appropriate position.

14.4.5 Example

-TITLE **HOP**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **E**
 -SEQNUM **030**
-ARCID **AMM253**
-CFL **F190**
-ASPEED **N0420**
-RATE **D25**
-AHEAD 290 or -DCT **BEN STN**
-POSITION **REF01**
-REF
 -REFID **REF01**
 -PTID **BNE**
 -BRNG **345**
 -DSTNC **15**

14.5 Request on Frequency Message (ROF)

14.5.1 Purpose of the ROF Message

The ROF is sent by the accepting unit to the transferring unit, when required, requesting the transferring controller to instruct the aircraft to change to the frequency of the accepting controller. The message may be used:

- in reply to a HOP to signify the acceptance of the flight under the proposed conditions;
- to request the early transfer of the flight.

14.5.2 Message Contents

OLDI-ROF-10-M The ROF message **shall** consist of the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification.

OLDI-ROF-20-M If bilaterally agreed, the ROF message **may** consist of the following item of data:

- Frequency.

14.5.3 Rules of Application

14.5.3.1 General

OLDI-ROF-30-M The ROF message **shall** be manually initiated by the accepting controller.

OLDI-ROF-40-O The accepting controller **may** trigger a ROF message, either:

- when the accepting controller requires to have the aircraft early on frequency;
- as a reply to a HOP message.

14.5.3.2 Processing in the Receiving Unit

OLDI-ROF-50-M The ATC system receiving an ROF message **shall** attempt association with the corresponding flight plan.

OLDI-ROF-60-M The reception of the ROF message **shall** be indicated to the transferring controller without delay.

OLDI-ROF-70-M When the ROF message is received by the transferring unit before a TIM has been sent, a TIM message **shall** be sent, as a result of controller acceptance of the request on frequency.

14.5.4 Acknowledgement of ROF

14.5.4.1 Acknowledgement

OLDI-ROF-80-M If the ROF message can be unambiguously associated with a flight plan, it **shall** be acknowledged by the generation and transmission of a LAM message.

OLDI-ROF-90-M If the ROF message cannot be unambiguously associated with a flight plan, the acknowledgement **shall** be inhibited.

14.5.4.2 No Acknowledgement Cases

OLDI-ROF-100-M If no LAM message is received as an acknowledgement for an ROF message, a warning **shall** be displayed at the appropriate ATC position.

14.5.5

Example

-TITLE **ROF**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **E**
 -SEQNUM **030**
-ARCID **AMM253**
-FREQ **127000**

15. SUPPORT TO AIR / GROUND DATA-LINK

The ICAO standard (Document 9705) describing the AGDL applications, defines a service (CM forward) to provide the application addresses. This section defines an alternative to the CM-forward service for units which are implementing ATN data link application services, until the ATN ground-ground service support is available.

OLDI-AGDL-10-M If bilaterally agreed, the LOF and the NAN messages **shall** be used.

15.1 Logon Forward Message (LOF)

15.1.1 Purpose

The LOF message is transmitted to provide the ATN or FANS/1A logon parameters to the receiving data-link equipped unit, to allow the unit to use the data link applications (CM, CPDLC, ADS, FIS).

15.1.2 Message Contents

OLDI-LOF-10-M The LOF message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome;
- Logon Type;
- ATN Logon Parameters or FANS/1A Logon Parameters.

15.1.3 Rules of Application

15.1.3.1 Transferring Unit

OLDI-LOF-20-M One LOF message **shall** be sent for each data-link logged-on flight planned to cross the boundary.

15.1.3.2 Receiving Unit

OLDI-LOF-30-M The ATC system receiving a LOF message **shall** attempt association with the corresponding flight plan.

OLDI-LOF-40-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the content **shall** be included with the flight plan.

***Note:** A check of the 24 bit address obtained from an independent source is normally required for safety purposes. This source is anticipated to be in most cases the FPL. As a consequence, proper care should be taken for implementations that use the above check in order not to replace the 24 bit address received in the FPL with the one received in the LOF.*

OLDI-LOF-50-R If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing of the message and the sector responsible for accepting control of the flight can be identified, the logged-on status of the flight **should** be displayed at the appropriate working position.

OLDI-LOF-60-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-LOF-70-M If a corresponding flight plan is not found or a discrepancy is present in the message that would inhibit correct processing, the acknowledgement **shall** be inhibited.

15.1.3.3 Criteria for Message Transmission

OLDI-LOF-80-M The LOF message **shall** be transmitted at or as soon as possible after the earlier of the times determined from the following:

- a parameter number of minutes before the estimated time at the COP;
- the time at which the flight is at a bilaterally agreed distance from the COP.

OLDI-LOF-90-R The LOF message **should** be transmitted after the flight has been notified to the receiving unit.

OLDI-LOF-100-M The LOF generation parameter(s) **shall** be included in the LoA between the ATC units concerned.

OLDI-LOF-110-M The LOF generation parameter(s) **shall** be variable based on the provisions of the LoA.

OLDI-LOF-120-R The LOF generation parameters **should** be defined separately for each of the COPs.

15.1.3.4 Acknowledgement of LOF

OLDI-LOF-130-M The LOF message **shall** be acknowledged by a LAM message.

OLDI-LOF-140-M If no acknowledgement has been received within a bilaterally agreed parameter time, an air / ground data link contact request to the aircraft **shall** be initiated.

15.1.4 Examples

American Airlines, a Boeing 767-300ER operating as flight AAL9599 and registered as N678DL, from Washington to Athens, flying at FL330 at a true airspeed of 454 knots has logged on to Maastricht UAC. At a certain time before entering Reims airspace at entry point MEDIL, Maastricht UAC sends the "LOF" message to Reims UAC. The following are equivalent examples of the "LOF" message sent from MUAC to Reims UAC.

15.1.4.1 ADEXP

15.1.4.1.1 ATN flight

```
-TITLE LOF
-REFDATA
  -SENDER -FAC MC
  -RECVR -FAC E
  -SEQNUM 002
-ARCID AAL9599
-ADEP KIAD
-ADES LGAT
-AF ATN
-ARCAD A49CD7
-ATNLOGON
  -CMLTSP 8183657500A49CD7000100004747313301434D
  -ADSQVLTSP
    -AGAPPQUALIFIER 0
    -AGAPPVERSION 01
    -ADSADDRESS 8183657500A49CD70001000047473133014144
  -CPCQVLTSP
    -AGAPPQUALIFIER 2
    -AGAPPVERSION 01
    -CPDLCADDRESS 8183657500A49CD70001000047473133014350
    or
    -AGAPPQUALIFIER 22
    -AGAPPVERSION 01
    -CPDLCADDRESS 8183657500A49CD70001000047473133014351
  -ATIQV
    -AGAPPQUALIFIER 3
    -AGAPPVERSION 00
```

Note 1: In case that an aircraft did not indicate that it wants to use one of the applications, the associated AGAPPVERSION is set to '00' and the associated application address field is omitted in the LOF. In this example, the FIS application information is not indicated.

Note 2: The transmitting unit transmits the LOF message, containing the CPCQVLTSP with either AGAPPQUALIFIER '2' or '22' and its corresponding CPDLCADDRESS, depending on whether CPDLC (AEQualifier 2) or CPDLC (AEQualifier 22) has been used with the respective aircraft. The receiving data-link equipped unit will use whatever has been indicated in the LOF message.

15.1.4.1.2 FANS 1/A flight

```
-TITLE LOF
-REFDATA
```

-SENDER -FAC **MC**
-RECVR -FAC **E**
-SEQNUM **002**
-ARCID **AAL9599**
-ADEP **KIAD**
-ADES **LGAT**
-AF **FANS1A**
-REG **N678DL**
-FANSLOGON
-APPNAME **ADS**
-APPVERSION **01**
-APPNAME **ATC**
-APPVERSION **01**

15.2 Next Authority Notified Message (NAN)

15.2.1 Purpose

The NAN message satisfies the following operational requirements:

- Notify the receiving air/ground data link equipped ATC unit that it can initiate a Controller Pilot Data Link Communication (CPDLC) Start Request with the aircraft because the aircraft is authorised to accept a CPDLC connection request from the receiving air/ground data link equipped ATC unit.

15.2.2 Message Contents

OLDI-NAN-10-M The NAN message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Departure Aerodrome;
- Destination Aerodrome.

15.2.3 Rules of Application

15.2.3.1 Transferring Unit

OLDI-NAN-20-M One NAN message **shall** be sent for each eligible flight crossing the boundary.

15.2.3.2 Receiving Unit

OLDI-NAN-30-M The ATC system receiving a NAN message **shall** attempt association with the corresponding flight plan.

OLDI-NAN-40-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, a Controller Pilot Data Link Communication (CPDLC) Start Request **shall** be initiated with the aircraft.

Note: *Whether a CPDLC (AEQualifier 2) or a CPDLC (AEQualifier 22) Start Request is used depends on the data provided for a given aircraft and the local data link capabilities.*

OLDI-NAN-50-M If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-NAN-60-M If a corresponding flight plan is not found or a discrepancy is present in the message that would inhibit correct processing, the acknowledgement **shall** be inhibited

OLDI-NAN-70-M If no NAN message has been received within a bilaterally agreed parameter time, local air/ground data link procedures **shall** apply to initiate the Start Request.

Note 1: *Whether a CPDLC (AEQualifier 2) or a CPDLC (AEQualifier 22) Start Request is used depends on the data provided for a given aircraft and the local data link capabilities.*

Note 2: *These local air/ground data link procedures define conditions and parameters under which it is operationally wanted to apply CPDLC with an equipped aircraft (e.g.: time parameter/distance before first sector or aircraft calling the first sector).*

15.2.3.3 Criteria for Message Transmission

OLDI-NAN-80-M The NAN message shall be generated and transmitted after the Next Data Authority Request with the aircraft has been acknowledged by the airborne system.

15.2.3.4 Acknowledgement of NAN

OLDI-NAN-90-M The NAN message **shall** be acknowledged by a LAM message.

OLDI-NAN-100-M If no acknowledgement has been received within a specified time, local air/ground data link procedures **shall** apply.

15.2.4 Examples

15.2.4.1 ADEXP

15.2.4.1.1 ATN Flight

-TITLE **NAN**
-REFDATA
 -SENDER -FAC **MC**
 -RECVR -FAC **E**
 -SEQNUM **003**
-ARCID **AAL9599**
-ADEP **KIAD**
-ADES **LGAT**

15.2.4.1.2 FANS/1A Flight

-TITLE **NAN**
-REFDATA
 -SENDER -FAC **MC**
 -RECVR -FAC **E**
 -SEQNUM **003**
-ARCID **AAL9599**
-ADEP **KIAD**
-ADES **LGAT**

16. CO-ORDINATION BETWEEN OCEANIC CONTROL CENTRES AND AREA CONTROL CENTRES

This section is specifying messages for co-ordination between Area Control Centres and Oceanic Control Centres in support of the request and provision of an oceanic clearance. These messages should be seen as supplementary to the ones intended for co-ordination between Oceanic Control Centres which are defined in the NAT-ICD document.

OLDI-OCC-10-M When implementing based on bilateral agreement the messages for co-ordination between Oceanic Control Centres and Area Control Centres these messages **shall** meet the requirements defined in this section.

16.1 Request Oceanic Clearance Message (ROC)

16.1.1 Purpose

The Request Oceanic Clearance Message (ROC) is sent by the Area Control Centre to the Oceanic Area Control Centre that will be responsible for the flight when entering ICAO NAT Area to obtain the Oceanic Clearance elements in one of the following cases:

- The Oceanic Clearance Message (OCM) was not received prior to a bilaterally agreed parameter before the ACT initiation;
- The OCM was received but for any reason needs to be received again.

16.1.2 Message Contents

OLDI-ROC-10-M The ROC message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Number and Type of Aircraft;
- Departure Aerodrome;
- Estimate data;
- Destination Aerodrome.
- **Note:** The estimate data shall refer to the ICAO NAT entry point.

16.1.3 Rules of Application

16.1.3.1 Area Control Centre

OLDI-ROC-20-M A ROC message **shall** be sent to the Oceanic Area Control Centre automatically for all flights planned to cross the common border and for which an OCM was not received.

OLDI-ROC-30-M A ROC message **shall** be sent at a bilaterally agreed time parameter before the transmission of an ACT message for that flight.

OLDI-ROC-40-O One or more ROC messages **may** be sent for the same flight.

OLDI-ROC-50-O If bilaterally agreed a ROC message **may** be sent independently of the reception of an OCM for the same flight.

16.1.3.2 Oceanic Area Control Centre

OLDI-ROC-60-M The ATC system receiving an ROC **shall** attempt association with the corresponding flight plan.

OLDI-ROC-70-M If a corresponding flight plan can be found, and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-ROC-80-M If a corresponding flight plan can be found, and no discrepancy is present in the message that would inhibit correct processing, an OCM for that flight **shall** be initiated.

OLDI-ROC-90-M The OCM specified in **OLDI-ROC-80-M** **shall** contain the elements of the latest Oceanic Clearance for the flight for which the ROC was received.

OLDI-ROC-100-M The OCM specified in **OLDI-ROC-80-M** **shall** be addressed to the Area Control Centre that initiated the ROC.

OLDI-ROC-110-M If at the moment of the reception of ROC the Oceanic Clearance is not issued an OCM **shall** be initiated as soon as the clearance becomes available.

16.1.3.3 Acknowledgement

OLDI-ROC-120-M The ROC message **shall** be acknowledged by generating and transmitting a LAM message.

16.1.3.4 No Acknowledgement Cases

OLDI-ROC-130-M If no LAM message is received as an acknowledgement for an ROC message, a warning **shall** be displayed at the appropriate working position.

16.1.4 Examples

16.1.4.1 ICAO

(ROCL/ S100-ACA101-9/B747/H-EGLL DOGAL/1000F330-KLAX)

16.1.4.2 ADEXP

-TITLE **ROC**
-REFDATA
 -SENDER -FAC **L**
 -RECVR -FAC **S**
 -SEQNUM **100**
-ARCID **ACA101**
-ARCTYP **B747**
-ADEP **EGLL**
-ADES **KLAX**
-COORDATA
 -PTID **DOGAL**
 -TO **1000**
 -TFL **F330**

16.2 Oceanic Clearance Message (OCM)

16.2.1 Purpose

The Oceanic Clearance Message (OCM) is sent by the Oceanic Area Control Centre to the Area Control Centre responsible for the flight before entering ICAO NAT Area to satisfy the following operational requirements:

- Provide the last Area Control Centre transferring unit with the acceptance conditions as communicated to the flight crew;
- For traffic departing close to the oceanic boundary, provide in advance the co-ordination acceptance conditions for planning purposes.

16.2.2 Message Contents

OLDI-OCM-10-M The OCM message **shall** contain the following items of data:

- Message Type;
- Message Number;
- Aircraft Identification;
- Number and Type of Aircraft;
- Departure Aerodrome;
- Assigned Speed;
- Estimate data;
- Route;
- Destination Aerodrome.

OLDI-OCM-20-M If bilaterally agreed the OCM message **shall** contain:

- Message Reference;
- Operational Data.

OLDI-OCM-30-M If the OCM contains the Operational Data item in ICAO format the use of ICAO Field 18 or ICAO Field 22 **shall** be subject to bilateral agreement.

16.2.3 Rules of Application

16.2.3.1 Oceanic Area Control Centre

OLDI-OCM-40-M After the clearance has been issued to the flight crew, an OCM message **shall** be sent to the transferring unit for every flight planned to cross the boundary.

OLDI-OCM-50-M A revised OCM message **shall** be sent when there is any change in:

- Assigned Speed;
- COP;
- Transfer level;
- Route;

OLDI-OCM-60-M A revised OCM message **shall** be sent when the ETO at the COP differs from that in the previous OCM by more than a value bilaterally agreed, rounded to the nearest integer value.

OLDI-OCM-70-M A revised OCM **shall** be sent also to the transferring unit that previously received an OCM for the flight, when an OCM is sent to a new transferring unit following a change in:

- COP;
- Transfer level;
- Route

Note: *It is the responsibility of the Area Control Centres involved to co-ordinate the new routing in order to comply with the revised OCM.*

OLDI-OCM-80-R The ATS system **should** send OCM messages at a bilaterally agreed number of minutes before the estimated time at the COP.

OLDI-OCM-90-R If a revised OCM is sent at less than a bilaterally agreed time or distance parameter prior to COP, a warning **should** be displayed at the appropriate working position.

Note: Based on bilateral agreements the receiving controller might be required to complement the OCM by verbal co-ordination.

16.2.3.2 Area Control Centre

OLDI-OCM-100-M The ATC system receiving an OCM **shall** attempt association with the corresponding flight plan.

OLDI-OCM-110-M If a corresponding flight plan can be found, and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement **shall** be returned.

OLDI-OCM-120-M If a corresponding flight plan cannot be found, but the OCM can be correctly processed, an acknowledgement **shall** be returned.

OLDI-OCM-130-M If a corresponding flight plan can be found, and no discrepancy is present in the message that would inhibit correct processing, the content of the OCM **shall** be included with the flight plan.

OLDI-OCM-140-M If the message is correctly processed, the content of the OCM **shall** be displayed to the relevant working position, according to local procedures.

OLDI-OCM-150-M if the OCM cannot be correctly processed, the acknowledgement **shall** be inhibited

OLDI-OCM-160-R If no OCM is received before a bilaterally agreed time or distance parameter prior to COP, a warning **should** be displayed at the appropriate working position

16.2.3.3 Acknowledgement of OCM

16.2.3.3.1 Acknowledgement

OLDI-OCM-170-M The OCM message **shall** be acknowledged by generating and transmitting a LAM message.

16.2.3.3.2 No Acknowledgement Cases

OLDI-OCM-160-M If no LAM message is received as an acknowledgement for an OCM message, a warning **shall** be displayed at the appropriate working position.

16.2.3.4 Examples

16.2.3.4.1 ICAO Format

Example 1

(OCMO/S100-ACA101-EGLL-DOGAL/1000F330-KLAX-9/B747/H-15/M084F330 DOGAL NATD)

Example 2 (ICAO NAT ICD-Field 18 format)

(OCMO/S100-BAW500-EGLL-DINIM/1100F310-KBOS-9/B767/H-15/M083F310 DINIM/1100 53N020W 53N030W 52N040W 51N050W SG-18/ATC/NBT 1100 DINIM INT ACA101+10)

Example 3 (NATS SHANWICK NAT ICD-Field 22 format)

(OCMO/S246-ULE849-EGCC-DINIM/1219F330-KMCO-9/B762/H-15/0275F330 DINIM/1219
51N020W 49N030W 46N040W 42N050W 37N060W-22/ATC/ NBT 1215 DINIM
RECLEARANCE 1)

16.2.3.4.2 ADEXP Format

Example 1

-TITLE **OCM**
-REFDATA
 -SENDER -FAC **O**
 -RECVR -FAC **S**
 -SEQNUM **100**
-ARCID **ACA101**
-ARCTYP **B747**
-ADEP **EGLL**
-ADES **KLAX**
-COORDATA
 -PTID **DOGAL**
 -TO **1000**
 -TFL **F330**
-ASPEED **M084**
-ROUTE **M084F330 DOGAL NATD**

Example 2 (ICAO NAT ICD)

-TITLE **OCM**
-REFDATA
 -SENDER -FAC **O**
 -RECVR -FAC **S**
 -SEQNUM **100**
-ARCID **BAW500**
-ARCTYP **B767**
-ADEP **EGLL**
-ADES **KBOS**
-COORDATA
 -PTID **DINIM**
 -TO **1100**
 -TFL **F310**
-ASPEED **M083**
-ROUTE **0275F330 DINIM/1219 51N020W 49N030W 46N040W 42N050W 37N060W**
-COMMENT **ATC/NBT 1100 DINIM INT ACA101+10**

Example 3 (NATS SHANWICK NAT ICD)

-TITLE **OCM**
-REFDATA
 -SENDER -FAC **O**
 -RECVR -FAC **S**
 -SEQNUM **246**
-ARCID **ULE849**
-ARCTYP **B762**
-ADEP **EGCC**
-ADES **KMCO**
-COORDATA
 -PTID **DINIM**
 -TO **1219**
 -TFL **F330**
-ASPEED **M083**
-ROUTE **53N020W 53N030W 52N040W 51N050W SG**
-COMMENT **ATC/ NBT 1215 DINIM RECLEARANCE 1**

Annexes

ANNEX A (NORMATIVE) - DATA INSERTION RULES

A.1 Purpose

This Annex describes the general rules for the insertion of data in the messages described in this Specification. These rules apply to all messages except where other alternatives or exceptions to these rules are specifically stated in the Rules of Application for a specific message.

A.2 Generic Message Formats

OLDI-FC-GEN-10-M If bilaterally agreed, all messages described for the following procedures **shall** be transmitted using the ICAO format:

- Basic procedure;
- Basic procedure - complementary messages;
- Dialogue procedure – Co-ordination.

ICAO message field formats are specified in the Procedures for Air Navigation Services - Rules of the Air and Air Traffic Control (Document 4444).

OLDI-FC-GEN-20-M In messages where they occur, the following ICAO Field types **shall** be transmitted before any other Field types in the following order: 3, 7, 13, 14, and 16.

The order of the items that are expressed in Field type 22 format is not important, other than that they do not precede the Field types listed above.

In some instances a pseudo ICAO field type number is used where a suitable ICAO field does not exist. Such numbers contain two digit values equal to or greater than 80.

OLDI-FC-GEN-30-M If bilaterally agreed, all messages described in this document **shall** be transmitted using the EUROCONTROL ADEXP format.

The contents, structure, and usage of ADEXP data fields shall be in accordance with Reference 2.

Note: *Only the Primary ADEXP data fields are listed in this Appendix, except where associated Sub-fields require specific comment. The ADEXP Specification lists all optional and mandatory Sub-fields required within each Primary field.*

Some of the messages defined in this specification are described only in ADEXP format.

A.3 Message Type

OLDI-FC-TYPE-10-M The message type **shall** be the abbreviation for the message as described in the following list:

- **ABI:** Advance Boundary Information Message;
- **ACP:** Acceptance Message;
- **ACT:** Activate Message;
- **AMA:** Arrival Management Message;
- **BFD:** Basic Flight Data Message;
- **CDN:** Co-ordination Message;
- **CFD:** Change Flight Data Message;
- **COD:** SSR Code Assignment Message ;
- **COF:** Change of Frequency Message;
- **CRQ:** Clearance Request Message;
- **CRP:** Clearance Response Message;
- **HOP:** Hand-Over Proposal Message;

- **INF:** Information Message;
- **LAM:** Logical Acknowledgement Message;
- **LOF:** Log-On Forwarding Message;
- **MAC:** Message for Abrogation of Co-ordination Message;
- **MAS:** Manual Assumption of Communications Message;
- **NAN:** Next Authority Notified Message;
- **OCM:** Oceanic Clearance Message;
- **PAC:** Preliminary Activation Message;
- **PNT:** Point Message;
- **RAP:** Referred Activate Proposal Message;
- **REV:** Revision Message;
- **RJC:** Reject Co-ordination Message;
- **RLS:** Release Message;
- **ROC:** Request Oceanic Clearance Message;
- **ROF:** Request on Frequency Message;
- **RRQ:** Release Request Message;
- **RRV:** Referred Revision Proposal Message;
- **RTI:** Request Tactical Instructions Message;
- **SBY:** Stand-by Message;
- **SCO:** Skip Co-ordination Message;
- **SDM:** Supplementary Data Message;
- **SKC:** Skip Cancellation Message;
- **TIM:** Transfer Initiation Message;
- **TIP:** Tactical Instructions Proposal Message;
- **XAP:** Crossing Alternate Proposal Message;
- **XCM:** Crossing Cancellation Message;
- **XIN:** Crossing Intention Notification Message;
- **XRQ:** Crossing Clearance Request Message.

A.3.1 ICAO

Field type 3, element (a).

A.3.2 ADEXP

Primary field "*title*".

A.4 Message Number

OLDI-FC-NR-10-M The message number data **shall** include the identifiers assigned to the transmitting and receiving units, sectors or workstations and the message sequence number.

OLDI-FC-NR-20-M The message sequence number **shall** progress sequentially from 001 to 000 (representing 1000), thence repeats from 001, for all messages sent to the same addressee, regardless of the type of message.

A.4.1 ICAO

Field type 3, element (b).

A.4.2 ADEXP

Primary field "*refdata*".

OLDI-FC-NR-30-M Sub-field "*fac*", within sub-fields "*sender*" and "*rcvr*", **shall** contain the identifiers assigned to the ATC units or logical sectors.

OLDI-FC-NR-40-M These identifiers **shall** be not greater than eight characters in length.

OLDI-FC-NR-50-M Sub-field "*seqnum*" **shall** contain the sequence number.

A.5 Message Reference

A.5.1 ICAO

Field type 3, element (c) (called 'reference data' in ICAO document 4444).

OLDI-FC-REF-10-M The content of element (c) **shall** be that of Field type 3, element (b), of the OLDI message referred to.

A.5.2 ADEXP

Primary field "*msgref*".

OLDI-FC-REF-20-M The values of Sub-fields "*sender*", "*recvr*", and "*seqnum*", within Primary field "*msgref*", **shall** be those of the same Sub-fields within Primary field "*refdata*" of the OLDI message referred to.

A.6 Aircraft Identification

A.6.1 ICAO

Field type 7, element (a).

A.6.2 ADEXP

Primary field "*arcid*".

A.7 SSR Mode and Code

Either:

- if known, the SSR mode/code on which the receiving unit can expect the aircraft to respond at the transfer of control point; or
- an indicator that the SSR code is being requested from the receiving unit.

OLDI-FC-SSR-10-M When the SSR code is a designated conspicuity code, it **shall** indicate that the flight is using Mode S and that aircraft identification was used as the primary correlation method.

A.7.1 ICAO

Field type 7, elements (b) and (c).

OLDI-FC-SSR-20-M If no SSR code is assigned or the mode/code is not known, elements (b) and (c) **shall** be omitted.

OLDI-FC-SSR-30-M When requesting an SSR code/mode, elements b) and c) **shall** contain the value "A9999".

A.7.2 ADEXP

Primary field "*ssrcode*".

OLDI-FC-SSR-40-M If no valid SSR code is assigned or the mode/code is not known, the field **shall** be omitted.

OLDI-FC-SSR-50-M When requesting an SSR code/mode via the PAC or ABI message, primary field "*ssrcode*" **shall** contain the indicator "*REQ*".

A.8 Departure Aerodrome

A.8.1 ICAO

Field type 13, element (a).

A.8.2 ADEXP

Primary field "adep".

A.9 Estimate Data

A.9.1 General

OLDI-FC-ESTD-10-M Estimate data **shall** include the COP, time at the COP and transfer level.

OLDI-FC-ESTD-20-M The co-ordination point **shall** be defined as either a known reference point, a range and bearing from a known reference point, or a latitude and longitude.

A.9.2 Level Data

OLDI-FC-ESTD-30-M The level **shall** correspond to the proposed transfer conditions, if available. For notification messages, it shall contain the level at which it is currently planned that the flight will be cleared on transfer.

OLDI-FC-ESTD-40-R For climbing or descending flights, the estimate data **should** also contain supplementary crossing data and crossing condition.

OLDI-FC-ESTD-50-M Supplementary crossing data and crossing condition **shall** be inserted only if the flight is co-ordinated to be climbing or descending.

OLDI-FC-ESTD-60-M If used, the supplementary crossing data **shall** contain the supplementary crossing level at the transfer of control point; the crossing condition shall be:

- Letter 'A'; if the flight will be at or above the level in the supplementary crossing data; or
- Letter 'B'; if the flight will be at or below the level in the supplementary crossing data.

A.9.3 ICAO

Field type 14.

A.9.4 ADEXP

Primary field "coordata".

OLDI-FC-ESTD-70-M Subfield "ptid" within Primary field "coordata" **shall** contain either:

- a known reference point; or
- a bearing and distance from a known reference point, as defined in the same message by Primary field "REF" or "GEO".

Note: Primary field "coordata" is to be formatted to contain subfield "to" (format hmmm) in place of "eto" (format yymmddhhmmss) as described in Edition 1 of the ADEXP specification. An alternative format using sub-field 'sto' (hhmmss) is to be included in future versions of the ADEXP Specification to allow for anticipated development (see Appendix C).

A.10 Co-ordination Point

A.10.1 General

The co-ordination point referred to by the transferring and receiving ATC units for the purposes of the transfer concerned.

OLDI-FC-COP-10-M The co-ordination point **shall** be defined as either a known reference point, a range and bearing from a known reference point, or a latitude and longitude.

A.10.2 ICAO

Field 14, element (a).

A.10.3 ADEXP

Primary field "cop" containing:

- a known reference point; or
- a bearing and distance from a known reference point, as defined in the same message by Primary field "REF" or "GEO".

A.11 Destination Aerodrome

A.11.1 ICAO

Field 16, element (a).

A.11.2 ADEXP

Primary field "ades".

A.12 Number and Type of Aircraft

OLDI-FC-AC-10-M Number and type of aircraft **shall** contain the type of aircraft.

OLDI-FC-AC-20-M The number of aircraft **shall** be included in the case of formation flights.

A.12.1 ICAO

OLDI-FC-AC-30-M Field type 9 in field type 22 format. Element c of field type 9 **shall** contain the wake turbulence category appropriate to the type of aircraft when available.

Note: *The wake turbulence category is included in ICAO format messages to make the field compliant with [PANS-ATM].*

OLDI-FC-AC-40-O If bilaterally agreed, the element c of field type 9 **may** contain the letter 'Z' for flights for which the wake turbulence category is unknown.

A.12.2 ADEXP

Primary field "arctyp". In addition, if there is more than one aircraft in the flight, Primary field "nbarc". If the wake turbulence category is required, the Primary field 'wktrc' is used.

A.13 Route

Both formats support the route description as defined for ICAO messages which also includes speed and requested flight level or altitude information.

OLDI-FC-ROUTE-10-M After the speed level group, the route data **shall** include as a minimum the route data specified in section A.13.1.

OLDI-FC-ROUTE-20-O Further route data **may** be inserted after the route data specified in the third bullet of section A.13.1.1 or A.13.1.2, if available.

Note: *See also Annex B 'Special Route Processing Requirements' for insertion rules for route data.*

A.13.1 Content**A.13.1.1 Flights Proceeding via a Defined COP**

- the route element before the COP (ATS route, SID identifier, DCT or significant point);
- the COP;
- the route element after the COP (ATS route, STAR identifier, DCT or significant point) or either VFR or OAT if a change in flight rules or category occurs at the COP.

Note: *The COP and the route element after the COP may be omitted if the SID or ATS route element after the COP is the same as that before the COP.*

A.13.1.2 Flights Proceeding Off ATS Route

- the point from which the flight is proceeding on the direct route segment;
- the element 'DCT';
- the point to which the flight is proceeding on the direct route segment.

Note: *See also Annex B 'Special Route Processing Requirements' for insertion rules for route data.*

A.13.2 Format**A.13.2.1 ICAO**

Field type 15, in field type 22 format.

A.13.2.2 ADEXP

Primary field "route".

A.14 Other Flight Plan Data

This field allows the option of including in specified messages flight plan data not normally included as part of the co-ordination procedure and not described elsewhere in this appendix. The inclusion of the following items as described in Reference 1, Appendix 2, Field Types 8 and 18 are permitted:

- Flight Rules
- Registration markings
- Name of the operator
- Reason for special handling by ATS
- Number and type(s) of aircraft
- Performance
- Name of departure, destination, alternate aerodromes
- Plain language remarks

A.14.1 ICAO

Field type 8, element (a) Flight Rules in field type 22 format.

One or more of the following field type 18 elements in field type 22 format:

REG, OPR, STS, TYP, PER, DEP, DEST, ALTN, RALT, RMK.

A.14.2 ADEXP

Primary fields: "fltrul", "depz", "destz", "opr", "per", "reg", "rmk", "altrnt1", "altrnt2", "sts", "typz".

A.15 Other Flight Data

This field allows the option of including in specified messages any Item in the ICAO FPL or any other bilaterally agreed data item.

A.15.3 ADEXP

OLDI-FC-OFD-10-M	For the exchange of data Items in the ICAO FPL the corresponding ADEXP field shall be used.
OLDI-FC-OFD-20-M	For the exchange of data items not defined in the ICAO FPL and for which ADEXP fields have been defined, the corresponding ADEXP field shall be used.
OLDI-FC-OFD-30-M	For the exchange of data items for which no ADEXP fields have been defined, the name and syntax of the fields in ADEXP format shall be bilaterally agreed.

A.16 Co-ordination Status and Reason

OLDI-FC-CSR-10-M	Co-ordination status and Reason shall include the following elements: <ul style="list-style-type: none"> • a three letter indicator confirming the new status of the system flight plan, to be one of the following: • <i>INI</i>, when the system flight plan is to be in an initial state, i.e. no notification message received; • <i>NTF</i>, when the system flight plan is to be in a notified status; • a three letter indicator specifying the reason for the status to be one of the following: <ul style="list-style-type: none"> • TFL, if the reason is a change of transfer level; • RTE, if the reason is a change of route; • HLD, to indicate that the flight is holding for an indefinite period and will be subject to a further message; • DLY, to indicate that the departure is delayed; • CAN, if the reason is a cancellation; • OTH, for any other reason or if the reason is unknown.
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A.16.1 ICAO

OLDI-FC-CSR-20-M	The co-ordination status and reason shall be in the Field type 18 format.
OLDI-FC-CSR-30-M	The co-ordination status and reason shall include the following elements as a ten character group: <ul style="list-style-type: none"> • STA followed by an oblique stroke; • the indicator to confirm the new status of the notification/co-ordination; • the indicator specifying the reason.

A.16.2 ADEXP

Primary field "*cstat*".

OLDI-FC-CSR-40-M	Auxiliary items " <i>coordstatusident</i> " and " <i>coordstatusreason</i> " shall contain the new status and reason as specified above respectively.
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A.17 Assigned Heading/Track (ADEXP only)

The Assigned Heading/Track field contains either the assigned heading or the assigned track (but not both) or the letters "ZZZ" to indicate that a previously assigned heading or track no longer applies. The receipt of heading or track data supersedes any previous heading or track data.

OLDI-FC-AHD-10-M	The assigned heading shall be included by the use of Primary field " <i>ahead</i> ".
OLDI-FC-AHD-12-M	The assigned heading field shall contain either: <ul style="list-style-type: none"> • the heading assigned to a flight, expressed in degrees; or • if no heading or track is assigned, the indicator "<i>ZZZ</i>".
OLDI-FC-AHD-14-M	The assigned track shall be included by the use of Primary field " <i>track</i> ".

- OLDI-FC-AHD-16-M** Primary field "*track*" **shall** contain either:
- the track assigned to a flight, expressed in degrees; or
 - if no heading or track is assigned, the indicator "ZZZ".

A.18 Assigned Speed (ADEXP only)

- OLDI-FC-ASP-10-M** Primary field "*aspeed*" **shall** contain either:
- the speed assigned to a flight, expressed in knots, mach number, or kilometres/hour; or
 - if no speed is assigned, the indicator "ZZZ", e.g. when an SDM message is used to indicate that a previously assigned speed no longer applies.

A.19 Assigned Rate of Climb/Descent (ADEXP only)

- OLDI-FC-ARCD-10-M** Primary field "*rate*" **shall** contain:
- the climb or descent rate assigned to a flight, expressed in hundreds of feet per minute; or
 - if no rate of climb/descent is assigned, the indicator "ZZZ" in the digit portion of the field, e.g. when an SDM message is used to indicate that a previously assigned rate of climb/descent no longer applies.

A.20 Direct Clearance (ADEXP only)

A direct route, not defined as an ATS route, between two points. The points can be defined as either a known reference point or a range and bearing from a reference point.

- OLDI-FC-DCLR-10-M** All endpoint designators used **shall** be bilaterally agreed, i.e. known to both systems.

Primary field "*dct*" containing:

- the point at which the deviation has or will commence, defined as one of:
 - a known reference point;
 - a range and bearing from a known reference point, as defined in the same message by Primary field "*ref*";
 - the value "ZZZ" if the sending unit does not require to designate the deviation point.
- the point situated on the original flight plan route to which the aircraft has been or will be cleared, defined as:
 - a known reference point;
 - a range and bearing from a known reference point, as defined in the same message by Primary field "*ref*".

A.21 Direct Routing Request

Request for a direct route, not defined as an ATS route, between two points. The points can be defined as either a known reference point or a range and bearing from a reference point.

- OLDI-FC-DRTR-10-M** All endpoint designators used **shall** be bilaterally agreed, i.e. known to both systems.

A.21.1 ICAO

Field type 15, excluding the initial speed/level group, in field 22 format.

- OLDI-FC-DRTR-020-M** It **shall** contain:
- the point at which the deviation is requested to commence, defined as one of:
 - a known reference point;
 - a range and bearing from a known reference point;

- the value "ZZZ" if a direct routing is being requested by the receiving ATC unit.
- the abbreviation 'DCT',
- the point situated on the original flight plan route to which the aircraft is requested to be cleared, defined as:
 - a known reference point; or
 - a range and bearing from a known reference point.

A.21.2 ADEXP

Primary field "dct" containing:

- the point at which the deviation is requested to commence, defined as one of:
 - a known reference point; or
 - a range and bearing from a known reference point, as defined in the same message by Primary field "ref"; or
 - the value "ZZZ" if a direct routing is being requested by the receiving ATC unit but the precise point at which it would commence is not known.
- the point situated on the original flight plan route to which the aircraft is requested to be cleared, defined as:
 - a known reference point; or
 - a range and bearing from a known reference point, as defined in the same message by Primary field "ref".

A.22 Position of the Flight (ADEXP only)

A.22.1 General

The current position of the flight is expressed in either geographic co-ordinates or by bearing and distance from a designated point.

- | | |
|-------------------------|---|
| OLDI-FC-POS-05-M | The current position of the flight shall be included by the use of the "position" primary field. |
| OLDI-FC-POS-10-M | Primary field "ref" or "geo" shall define the current horizontal location of the aircraft. |
| OLDI-FC-POS-20-M | Points used for range and bearing purposes in primary field "ref" shall be bilaterally agreed, i.e. known to both systems. |
| OLDI-FC-POS-30-M | Primary field "position" shall contain the subfield "ptid" which refers to the defined reference or geographic point. |
| OLDI-FC-POS-40-M | If time information is to be included, either sub-field 'to' (hhmm) or 'sto' (hhmmss) shall be used, as bilaterally agreed. |

A.23 Planned Transfer Position (ADEXP only)

A.23.1 General

The planned transfer position of the flight is expressed in either geographic co-ordinates or by bearing and distance from a designated point.

- | | |
|-------------------------|--|
| OLDI-FC-POS-50-M | The current position of the flight shall be included by the use of the "plannedposition" primary field. |
| OLDI-FC-POS-60-M | Primary field "ref" or "geo" shall define the current horizontal location of the aircraft. |
| OLDI-FC-POS-70-M | Points used for range and bearing purposes in primary field "ref" shall be bilaterally agreed, i.e. known to both systems. |
| OLDI-FC-POS-80-M | Primary field "position" shall contain the subfield "ptid" which refers to the defined reference or geographic point. |

OLDI-FC-POS-90-M If time information is to be included, either sub-field 'to' (hhmm) or 'sto' (hhmmss) shall be used, as bilaterally agreed.

A.24 Release Indication (ADEXP only)

OLDI-FC-RELI-10-M Primary field "release" shall contain one of the following:

- C, if the flight is released for climb;
- D, if the flight is released for descent;
- T, if the flight is released for turns;
- F, if the flight is fully released for all actions.

A.25 Frequency

A.25.1 ICAO

OLDI-FC-FREQ-10-M Field type 18 **shall** include the following elements in field 22 format:

- FRQ, followed by an oblique stroke;
- 6 digits indicating the frequency, expressed in MHz to three decimal places.

A.25.2 ADEXP

Primary field "freq".

A.26 Reason (ADEXP only)

Primary field "reason", containing the value "MANUAL" for manually referred messages.

A.27 Cleared Flight Level (ADEXP only)

Primary field "cfl".

A.28 Proposed Transfer Flight Level (ADEXP only)

Primary field "propfl".

A.29 Estimated Take-Off Time

A.29.1 ICAO

Field type 13 element (b).

A.29.2 ADEXP

Primary field "etot".

A.30 Reference Message Type

The field contains the message type as specified in paragraph A.3 of this Appendix.

A.30.1 ICAO

Field type 18 in field type 22 format.

OLDI-FC-RMT-10-M The reference message type field in ICAO format messages **shall** use the element indicator 'MSG'

OLDI-FC-RMT-20-M The reference message type field in ICAO format messages **shall** be inserted as the last element in the message.

A.30.2 ADEXP

Primary field "msgtyp".

A.31 Type of Flight

This item is as filed in the flight plan or equivalent data originating from an alternative source. The letter 'X' is inserted if the Type of Flight was omitted in the flight plan or it is not known for any other reason.

A.31.1 ICAO

OLDI-FC-FLT-10-M The type of flight **shall** be inserted as a single letter in field type 22 format utilising the pseudo field type number 80.

A.31.2 ADEXP

Primary field "*flttyp*".

A.32 Equipment Capability and Status

This item indicates the capability and status of equipment that is either a pre-requisite for flight in certain airspaces or on specified routes or has a significant effect on the provision of ATC service. The presence of a capability is identified in the flight plan but may be found to be incorrect or to have changed during the flight. The Equipment Capability and Status specifies the current status.

OLDI-FC-EQCS-10-M The status of the following items **shall** be included:

- RVSM capability;
- 8.33kHz RTF equipage.

OLDI-FC-EQCS-20-M The status of the following **shall** be included for State flights as specified in the Type of Flight in the flight plan for which 8.33 kHz RTF equipment is not known to be available for use:

- UHF equipage.

OLDI-FC-EQCS-30-M If bilaterally agreed the status of the following items **shall** be included:

- B-RNAV & P-RNAV;
- Mode S capability and status.

Note: *The Mode S capability and status will be expressed using the ICAO designator (the letter S) for Mode S transponders including both pressure altitude and aircraft identification transmission. This is justified by the fact that in Europe most aircraft will be equipped with the transmission of the pressure altitude capability, although this is not significant for transmission within the OLDI context. Flights for which the FPL was filed with the letter I in field 10 may also be considered Aircraft Identification capable.*

A.32.1 ICAO

OLDI-FC-EQCS-40-M The data **shall** be inserted in field type 22 format utilising the pseudo field number 81. Two elements are inserted for each capability:

- The equipment capability expressed as a single letter as specified in field type 10 "Equipment" in the ICAO flight plan (see Appendix 3 of Reference 1), followed immediately by
- Element separator - oblique stroke (/), followed immediately by
- Status expressed as two letters

OLDI-FC-EQCS-50-M The status **shall** be expressed by the use of following indicators as applicable to the flight:

- EQ meaning the flight is equipped and the equipment is available for use by the flight;
- NO meaning the flight is not equipped or for any reason the equipment cannot be used by the flight;

- UN meaning compliance with the capability is unknown.
 - **Note:** For Mode S the indicator EQ has the meaning that the flight is equipped, the equipment is available for use by the flight and the aircraft identification has been correctly selected on board and verified by ATCO.
- Note:** *The first capability group is inserted directly after the oblique stroke following the field number. Subsequent groups are separated by a space character. The order of equipment capabilities is not significant.*

A.32.2 ADEXP

Primary field "eqcst".

A.33 Sector Identifier (ADEXP Format only)

OLDI-FC-SECID-10-M The sector identifier **shall** be included by using primary field "sector".

A.34 Pointed Sector Identifier (ADEXP Format only)

OLDI-FC-SECID-20-M The pointed sector identifier **shall** be included by using primary field "pntsector".

A.35 AMAN Time

This item contains the time that the flight should be at the COP as calculated by the arrival manager.

A.35.1 ICAO

Field 14 elements a and b.

A.35.2 ADEXP

Primary field 'amantime'.

A.36 Metering Fix

This contains a known reference point or a bearing and distance from a known reference point.

A.36.1 ICAO

The metering fix is inserted as element MFX of field 18 in field type 22 format. The element comprises:

- The three letter element name MFX;
- An oblique stroke (/);
- The metering fix identity.

Where the element 'metering fix identity' is a bearing and distance from a named point, the format is as described in paragraph 1.6.3 e) of PANS-ATM Appendix 3 except that the fix can identify a five letter point or a navigational aid.

A.36.2 ADEXP

Primary field 'mfx'.

A.37 Time Over Metering Fix

This contains the computed time that the flight is to leave the metering fix, based on the sequence provided by the arrival manager.

A.37.1 ICAO

The Time Over Metering Fix is inserted as element TOM of field 18 in field type 22 format. The element comprises:

- The three letter element name TOM;
- An oblique stroke (/);
- The calculated time (hhmm).

A.37.2 ADEXP

Primary field '*tom*'.

A.38 Total Time to Lose or Gain

This field contains the number of minutes (mm) that a flight is required to lose or gain at the metering fix. TTL is used when time is to be lost, i.e. a delay is to be absorbed by the flight, and TTG when time is to be gained.

If bilaterally agreed, the time indication includes seconds (mmss). This option is available in ADEXP format only.

Note: A minus symbol is not permissible as it is used as a field separator in both formats.

A.38.1 ICAO

The total time to lose or gain is inserted as element TTL or TTG of field 18 in field type 22 format. The element comprises:

- The three letter element name TTL if time is to be absorbed or TTG if time is to be gained;
- An oblique stroke (/);
- Two digits specifying the number of minutes calculated to be lost or gained (as identified by the element name).

A.38.2 ADEXP

Primary field '*tfl*' or '*ttg*'.

A.39 Route Points (ADEXP Format only)

This item contains a list of one or more points derived from the expanded route of flight. The time and level information at each point is included.

A.39.1 ADEXP

Primary field '*rtepts*'. Subfield 'point' shall include time using either subfield '*to*' or '*sto*'

OLDI-FC-RTEPTS-10-M The route points data **shall** utilise primary field '*rtepts*' and include the time at each point using either the '*to*' or '*sto*' subfield.

OLDI-FC-RTEPTS-20-M The route points data shall include level data at each point using the '*fl*' subfield.

A.40 Previous SSR Mode and Code (ADEXP only)

The previous SSR Mode and Code contain the SSR mode and code the flight is transponding before it transponds the mode and code specified in the "SSR Mode and Code".

A.40.1 ADEXP

Primary field "*prevssrcode*".

OLDI-FC-PSSR-10-M If no valid SSR code is assigned or the mode/code is not known, the field **shall** be omitted.

A.41 Next SSR Mode and Code (ADEXP only)

The next SSR Mode and Code contain the SSR mode and code the flight is transponding after it transponds the mode and code specified in the "SSR Mode and Code".

A.41.1 ADEXP

Primary field "*nextssrcode*".

OLDI-FC-NSSR-10-M If no valid SSR code is assigned or the mode/code is not known, the field **shall** be omitted.

A.42 Requested Flight Level (ADEXP only)

The requested flight level is the planned cruising level as filed in field 15 of the flight plan.

A.42.1 ADEXP

Primary field "*rf*".

A.43 Speed (ADEXP only)

The speed is the true airspeed as filed in field 15 of the flight plan.

A.43.1 ADEXP

Primary field "*speed*".

A.44 Approved Take-Off Time (ADEXP only)

The approved take off time is the time at which the flight should take off at the aerodrome as approved by the next ATC unit.

A.44.1 ADEXP

Primary field "*apptot*".

A.45 Estimated Off-Block Time (ADEXP only)

The estimated off-block time is the estimated time at which the aircraft will commence movement associated with departure.

A.45.1 ADEXP

Primary field "*eobt*".

A.46 Actual Take-Off Time (ADEXP only)

The actual time at which the flight has taken off.

A.46.1 ADEXP

Primary field "*atot*".

A.47 Departure Runway (ADEXP only)

This item contains the departure runway to be used by the flight in the context of the message.

A.47.1 ADEXP

Primary field "rwydep".

A.48 SID Identifier (ADEXP only)

The SID Identifier is the identifier of a Standard Instrument Departure procedure.

A.48.1 ADEXP

Primary field "sid".

A.49 Aircraft Address (ATN) (ADEXP only)

OLDI-ACAD-10-M The Aircraft Address **shall** contain the ICAO 24-bit aircraft address (ICAO, Annex 10, Vol. 3, Ch. 9) of the aircraft initiating the CM-logon service.

OLDI-ACAD-20-M The Aircraft Address **shall** be expressed in the form of an alphanumerical code of six hexadecimal characters.

A.49.1 ADEXP

Primary field "arcad".

A.50 Logon Type (ADEXP only)

The logon type shall contain the type of logon parameters ATN or FANS/1A.

A.50.1 ADEXP

Primary field 'af'.

A.51 ATN Logon Parameters (ADEXP only)

The communication parameters below shall conform to ICAO document 9705, Sub-Vol. V, Chapter 5.4.

A.51.1 CMLTSP

Transport layer address, which defines the CM application of the aircraft.

It shall be expressed in the form of an alphanumerical code of 38 hexadecimal characters.

CMLTSP=RDP+ARS+LOC+SYS+NSEL+TSEL (19 bytes in total)

- RDP=Routing Domain Part (5 bytes); Address is exclusively assigned to ICAO and IATA.
- ARS=Administrative Region Selector (3 bytes); It contains the 24-bit aircraft Address.
- LOC+SYS+NSEL=9 bytes address, defining the address of an End- or Intermediate system in a particular routing domain.
- TSEL=Transport Selector (2 bytes), assigned locally.

OLDI-ALOG-10-M CMLTSP **shall** conform to the ICAO Document 9705, Sub-volume II, Chapter 2.1, section 2.1.4.

A.51.2 atiqv

This parameter contains ATN ATI application type and ATN ATI application version.

A.51.3 cpcqvtsp

This parameter contains the ATN CPDLC application type, version and corresponding address.

A.51.4 adsqvtsp

This parameter contains the ATN ADS application type, version and address.

A.51.5 Agappqualifier

This parameter contains the ATN air - ground application type.

- ADS=0;
- CPDLC=2 or 22;
- ATI = 3

Note: The transmitting unit may transmit the LOF message, containing the CPCQVLTSP with either AGAPPQUALIFIER 2 or 22 and its corresponding CPDLCADDRESS, depending on whether CPDLC (AEQualifier 2) or CPDLC (AEQualifier 22) has been used with the respective aircraft. The receiving data-link equipped unit provides the appropriate CPDLC service as indicated in the LOF message.

A.51.6 AgappVersion

If the aircraft does not use a certain application, this parameter contains the version number of each air - ground application

- '00': if the application is not available.
- ADS application='01' or '02';
- CPDLC application = '01' (representing value 1);
- ATI application = '01' or '02'.

Note: In case that an aircraft did not indicate that it wants to use one of the applications, the associated agappversion is set to '00' in the LOF and the associated application address field is omitted.

A.51.7 cpdlcaddress

This parameter contains the ATN address of the CPDLC application.

It shall be expressed in the form of an alphanumerical code of 38 hexadecimal characters.

cpdlcaddress=RDP+ARS+LOC+SYS+NSEL+TSEL (19 bytes in total)

- RDP=Routing Domain Part (5 bytes); Address is exclusively assigned to ICAO and IATA.
- ARS=Administrative Region Selector (3 bytes); It contains the 24-bit aircraft Address.
- LOC+SYS+NSEL=9 bytes address, defining the address of an End- or Intermediate system in a particular routing domain.
- TSEL=Transport Selector (2 bytes), assigned locally.

Note: The appropriate ATN address is determined by the AGAPPQUALIFIER for CPDLC (2 or 22).

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OLDI-ALOG-30-M cpdlcaddress **shall** conform to the ICAO Document 9705, Sub-volume V, Chapter 5.4.

A.51.8 adsaddress

This parameter contains the ATN address of the ADS applications.

It shall be expressed in the form of an alphanumerical code of 38 hexadecimal characters.

adsaddress=RDP+ARS+LOC+SYS+NSEL+TSEL (19 bytes in total)

- RDP=Routing Domain Part (5 bytes); Address is exclusively assigned to ICAO and IATA.
- ARS=Administrative Region Selector (3 bytes); It contains the 24-bit aircraft Address.
- LOC+SYS+NSEL=9 bytes address, defining the address of an End- or Intermediate system in a particular routing domain.
- TSEL=Transport Selector (2 bytes), assigned locally.

OLDI-ALOG-40-M adsaddress **shall** conform to the ICAO Document 9705, Sub-volume V, Chapter 5.4.

A.51.9 ADEXP

Primary field '*atnlogon*'.

Subfields '*cmltsp*', '*cpcqvtsp*', '*adsqvtsp*', '*atiqv*', '*agappqualifier*', '*agappversion*', '*cpdlcaddress*', '*adsaddress*'.

A.52 FANS/1A Logon Parameters (ADEXP only)

A.52.1 Aircraft Registration

OLDI-ACREG-10-M The Aircraft Registration **shall** contain the ICAO 7 character address (ICAO, Annex 7) of the aircraft initiating the AFN Contact service.

OLDI-ACREG-20-M If less than 7 characters are used, then the Aircraft Registration **shall** be preceded by one or more periods.

OLDI-ACREG-30-M Any hyphen **shall** be deleted prior to comparison with the registration number in the FPL as it is not allowed.

A.52.1.1 ADEXP

Primary field "*reg*"

A.52.2 ApplicationName

This parameter contains the 3 characters application name:

- ADS for the ADS application;
- ATC for the CPDLC application.

A.52.3 VersionNumber

This parameter contains the version number of each air - ground application.

- '00': if the application is not available;
- ADS application='01';
- CPDLC application = '01'.

A.52.4 ADEXP

Primary field '*fanslogon*'.

Subfields '*appname*', '*appversion*'.

ANNEX B (NORMATIVE) - SPECIAL ROUTE PROCESSING REQUIREMENTS

B.1 Introduction

B.1.1 General

This Annex describes the rules and data insertion requirements in the following cases, when permitted:

- a flight routes on a direct track, off route, across the boundary as the result of a direct route segment filed in the flight plan;
- after transmission of the ABI or ACT message, a flight is re-routed via either:
 - a different ATS route;
 - a direct track to rejoin the original route at a later point.

With respect to the re-routing of flights, the data exchange described in this Appendix supports the modification of the route of flight as held in both systems by the use of notification and co-ordination messages.

B.2 Application of Messages

B.2.1 Basic Rules for Direct Routings

OLDI-DCT-10-M Conditions for the use of OLDI for the co-ordination of flights on direct routings **shall** be agreed bilaterally.

The data required for the notification and co-ordination of flights on direct routings is contained in the co-ordination point (estimate data (ICAO format) and co-ordination data (ADEXP format)) and route in the applicable messages.

B.2.2 Direct Route Filed

When the route indicates that the flight will cross the boundary on a direct track, the direct route segment and the resultant COP will be included in the ABI message(s). This COP is included in the subsequent ACT or RAP message.

OLDI-DCT-20-M The COP and route data **shall** be formatted as described in sub-paragraph B.3.2.

B.2.3 Re-routings after ABI and before ACT Transmission

OLDI-DCT-30-M A new ABI message **shall** be sent with data corresponding to the new route.

B.2.4 Re-routing after ACT transmission

OLDI-DCT-40-M REV message **shall** be used to indicate re-routings after the ACT message has been sent until a bilaterally agreed time before the ETO at the COP previously co-ordinated.

Note: *A REV message is only used where the accepting unit does not change as the result of the modification. If it does change, a MAC message must be sent to the original accepting unit or the co-ordination verbally cancelled.*

OLDI-DCT-50-M The message **shall** contain the following data elements:

- Co-ordination point (previous COP, for reference purposes);
- Estimate data;
- Route.

OLDI-DCT-60-M ICAO format messages **shall** contain the following fields:

- 3 Message type and number; message reference if bilaterally agreed;
- 7 Aircraft identification. Elements b and c shall not be included unless a revision of the SSR code is being co-ordinated simultaneously;
- 13 Departure aerodrome;
- 14 Element a only, containing the previous COP for reference purposes;
- 16 Destination;

- 22 Field 14 containing the estimate data for the new boundary crossing conditions in field 22 format;
- 22 Field 15 containing the new route in field 22 format.

OLDI-DCT-70-M ADEXP format messages **shall** include, in addition to the message type and number, aircraft identification, departure aerodrome, destination and, if bilaterally agreed, the message reference number:

- the previous COP in the COP field;
- the new co-ordination conditions in the COORDATA field;
- the new route in the ROUTE field.

OLDI-DCT-80-M Route revisions sent as part of the dialogue procedure **shall** be sent as RRV messages unless bilaterally agreed to be considered "standard".

B.3 Field Contents

B.3.1 ATS Routes

For flights which re-route via an alternative ATS Route, the estimate and route fields are formatted as for ABI and ACT messages.

B.3.2 Direct Routes

OLDI-DCT-90-M The co-ordination point in the estimate data **shall** be the point of boundary crossing expressed as a bearing and distance from a reporting point.

OLDI-DCT-100-M Such points **shall** be bilaterally agreed.

OLDI-DCT-110-M Where the distance is zero or a flight will pass within a bilaterally agreed distance from such a point, only the identifier of the point **shall** be included.

OLDI-DCT-120-M When bilaterally agreed, the co-ordination point for a flight on a direct route **shall** be expressed by reference to latitude/longitude.

OLDI-DCT-130-M The route **shall** contain:

- the point situated on the original route from which the aircraft is to route direct; where a flight is routed direct from "present position", the point may be expressed as a bearing and distance from a reporting point. When bilaterally agreed, the point may be expressed by reference to latitude/longitude;
- the abbreviation "DCT";
- the point to which the aircraft is to proceed directly;
- the remainder of the further route of flight (FRF), if known to the sending system.

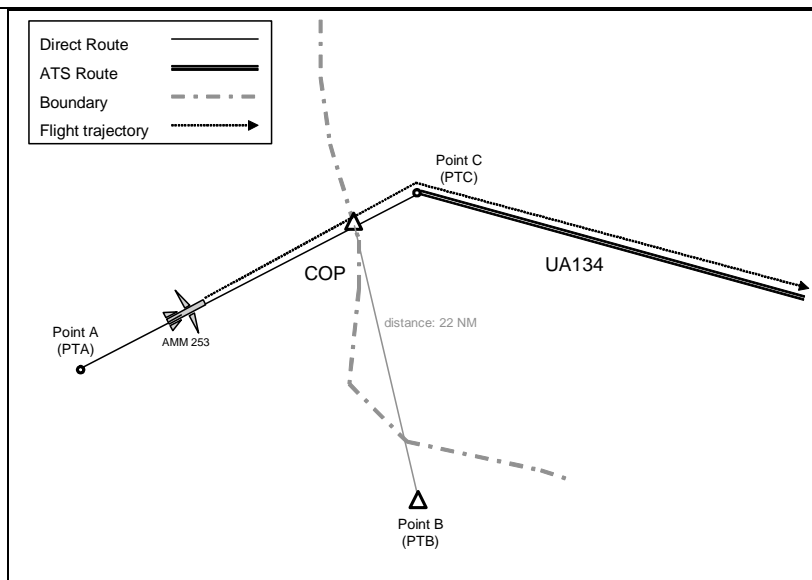
B.4 Examples

B.4.1 Direct Routes

B.4.1.1 ABI and ACT Messages

The flight (identification Jetset 253) is to cross the boundary on a direct track from Point A (PTA), to Point C (PTC) after which it will follow ATS route UA134.

The system determines a COP of bearing 350, distance 22 NM from Point B (PTB).



The following ABI message is sent:

ICAO

(ABIE/L003-AMM253/A0701-LMML-PTB350022/1440F350-EGBB-9/B757/M-15/N0490F390
PTA DCT PTC UA134)

ADEXP

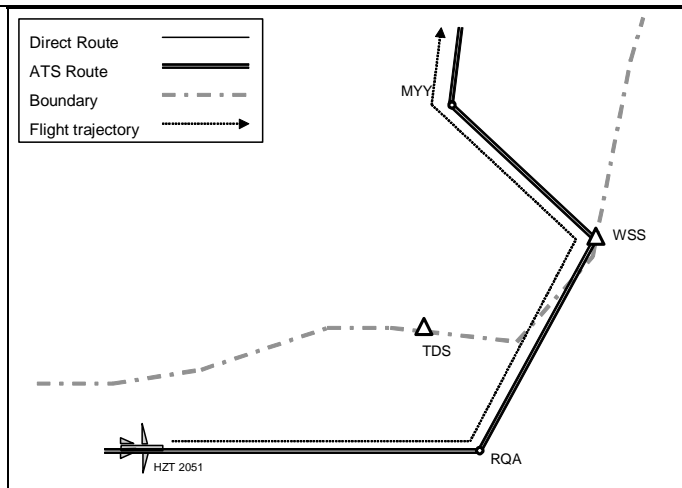
-TITLE **ABI**
 -REFDATA
 -SENDER -FAC **E**
 -RECVR -FAC **L**
 -SEQNUM **003**
 -ARCID **AMM253**
 -SSRCODE **A0701**
 -ADEP **LMML**
 -COORDATA
 -PTID **REF01**
 -TO **1440**
 -TFL **F350**
 -ADES **EGBB**
 -ARCTYP **B757**
 -REF
 -REFID **REF01**
 -PTID **PTB**
 -BRNG **350**
 -DSTNC **022**
 -ROUTE **N0490F390 PTA DCT PTC UA134**

The ACT message has the same format as the ABI message except that the route of flight is optional.

B.4.1.2 REV Message

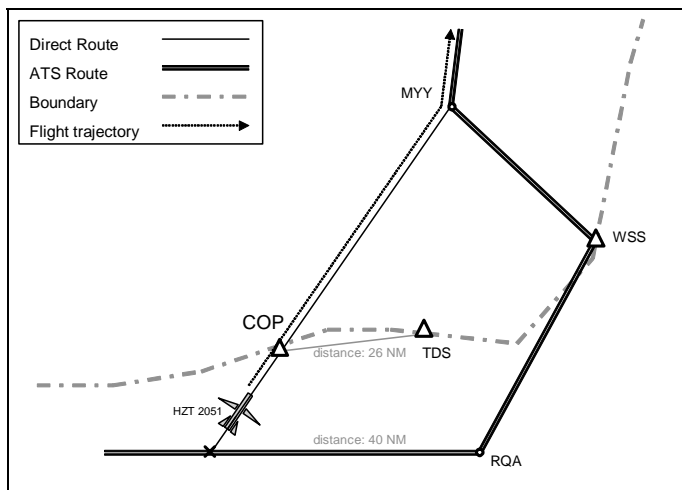
Flight HZT2051 was previously the subject of the following ACT message (or ADEXP equivalent):

(ACTQW/FG455-HZT2051/A3347-HECA-WSS/1838F310-EHBK-9/B737/M)



The flight is then routed from 40 NM west of point RQA direct to MYY. The closest point to the boundary crossing is TDS from which the distance to the actual crossing point is 26 NM at bearing 240 degrees. The following revision message is sent:

(REVQW/FG464-HZT2051-HECA-WSS-EHBK-14/TDS240026/1842F310-15/N0458F310 RQA270040 DCT MYY)



The ADEXP equivalent of the message is:

-TITLE **REV**
 -REFDATA
 -SENDER -FAC **QW**
 -RECVR -FAC **FG**
 -SEQNUM **464**
 -ARCID **HZT2051**
 -ADEP **HECA**
 -COP **WSS**
 -ADES **EHBK**
 -COORDATA
 -PTID **REF01**
 -TO **1842**
 -TFL **F310**
 -REF
 -REFID **REF01**
 -PTID **TDS**
 -BRNG **240**
 -DSTNC **026**
 -ROUTE **N0458F310 RQA270040 DCT MYY**

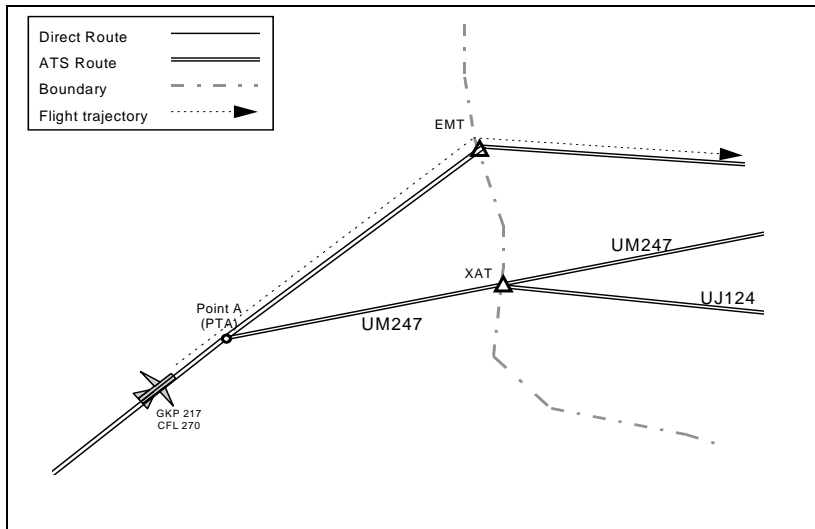
A subsequent revision message would indicate TDS240026 as the COP.

B.4.2 Re-route via ATS Routes after ACT Transmission

B.4.2.1 ACT Message

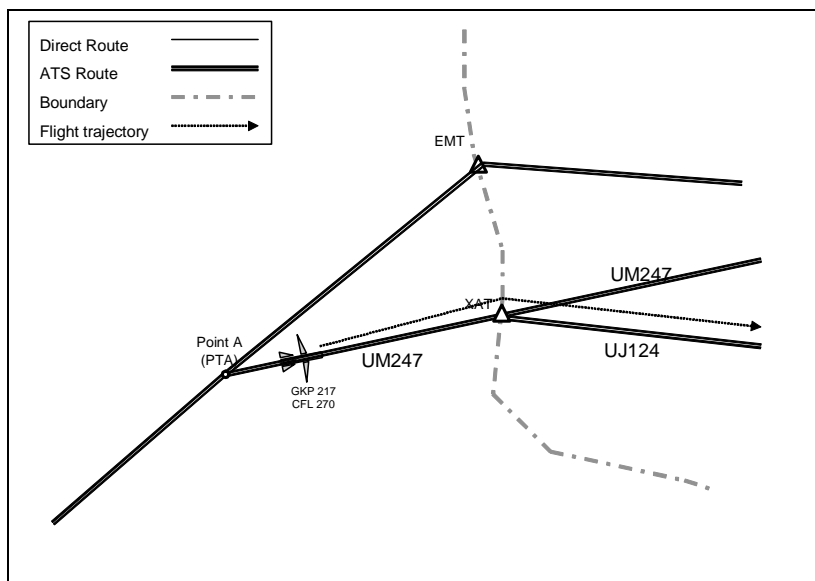
Flight GKP217 is planned to route via co-ordination point EMT. The following ACT is transmitted:

(ACTK/G206-GKP217/A2332-EGNX-EMT/1211F270-DTTA-9/FK28/M)

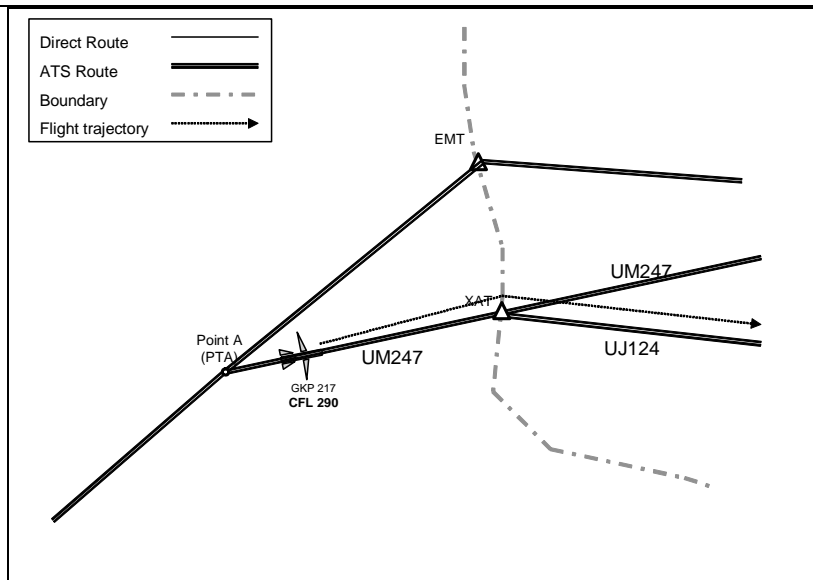


The flight subsequently re-routes via ATS route UM247 within the sending centre's airspace to new co-ordination point XAT following which it is to follow ATS route UJ124. The accepting centre remains the same. The following revision message is sent:

(REVK/G214-GKP217-EGNX-EMT-DTTA-14/XAT/1225F270-15/N0430F290 UM247 XAT UJ124)



The flight is then cleared to FL290 resulting in the following message (containing the new COP):
(REVK/G233-GKP217-EGNX-XAT/1225F290-DTTA)



B.4.2.2 ADEXP Equivalents

The ADEXP equivalents of the two revision messages are as follows:

- TITLE **REV**
- REFDATA
 - SENDER -FAC **K**
 - RECVR -FAC **G**
 - SEQNUM **214**
- ARCID **GKP217**
- ADEP **EGNX**
- COP **EMT**
- ADES **DTTA**
- COORDATA
 - PTID **AT**
 - TO **1225**
 - TFL **F270**
- ROUTE **N0430F290 UM247 XAT UJ124**
- TITLE **REV**
- REFDATA
 - SENDER -FAC **K**
 - RECVR -FAC **G**
 - SEQNUM **233**
- ARCID **GKP217**
- ADEP **EGNX**
- COORDATA
 - PTID **XAT**
 - TO **1225**
 - TFL **F290**
- ADES **DTTA**

ANNEX C - DIALOGUE PROCEDURE (SYSCO LEVEL 1) PHASES - MESSAGE SEQUENCE

C.1 Message Sequence

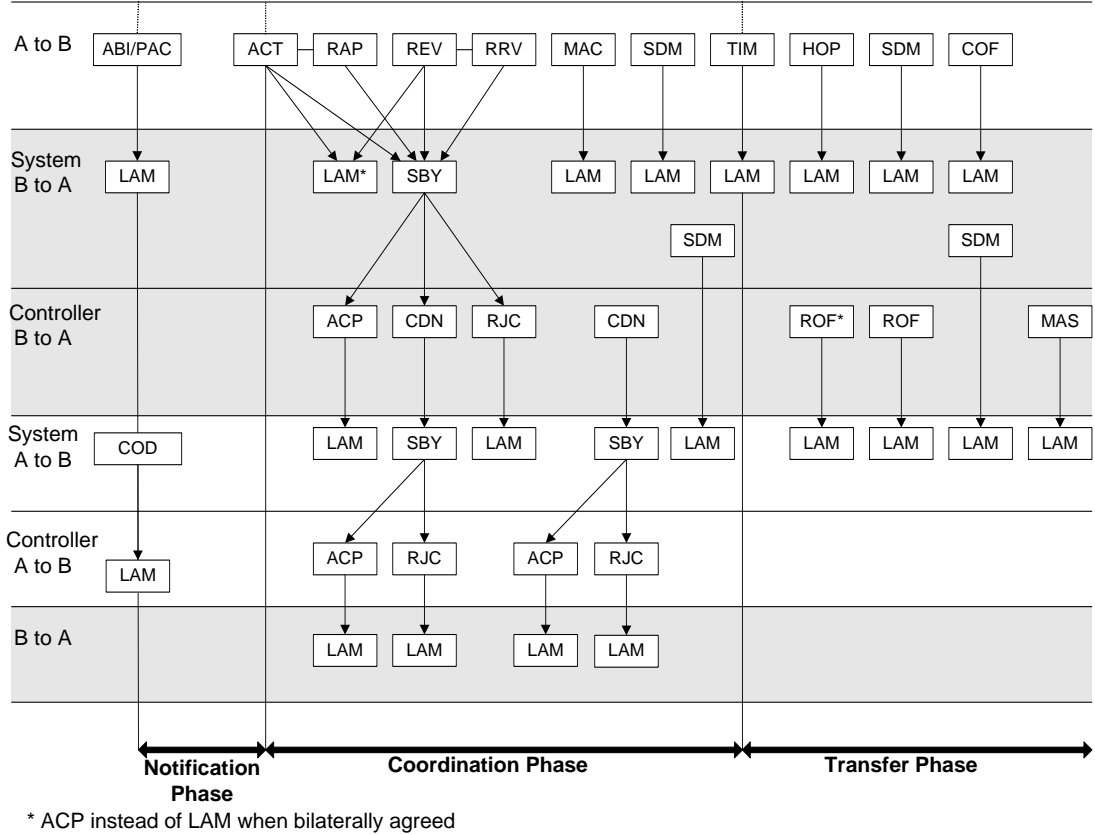


Figure C-1 – Message Sequence - Part 1

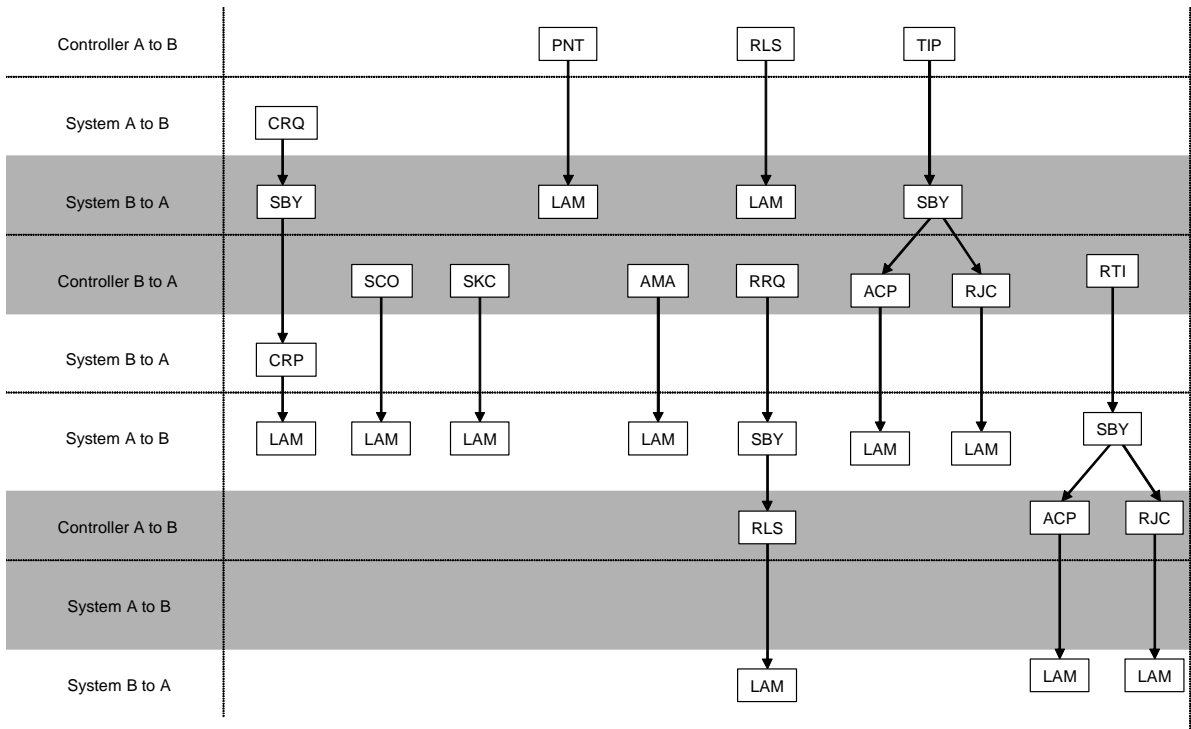


Figure C-2 – Message Sequence – Part 2

ANNEX D - CIVIL/MILITARY - MESSAGE SEQUENCE

D.1 Civil - Military Message Sequence

Civil-military flight data exchange and airspace crossing are dynamic system components as they both require a dialogue between civil and military units. These dialogues are described briefly in the data flow diagram in Figure D-1 . The diagram shows the exchange of messages expected between the System A and the System B. The system sends a message either automatically (BFD, CFD, XIN, LAM, XCM) or when triggered by a controller input (XIN, XRQ, XAP, ACP, RJC, XCM). For those messages having both possibilities (XIN, XCM) only the normal case is shown in the diagram.

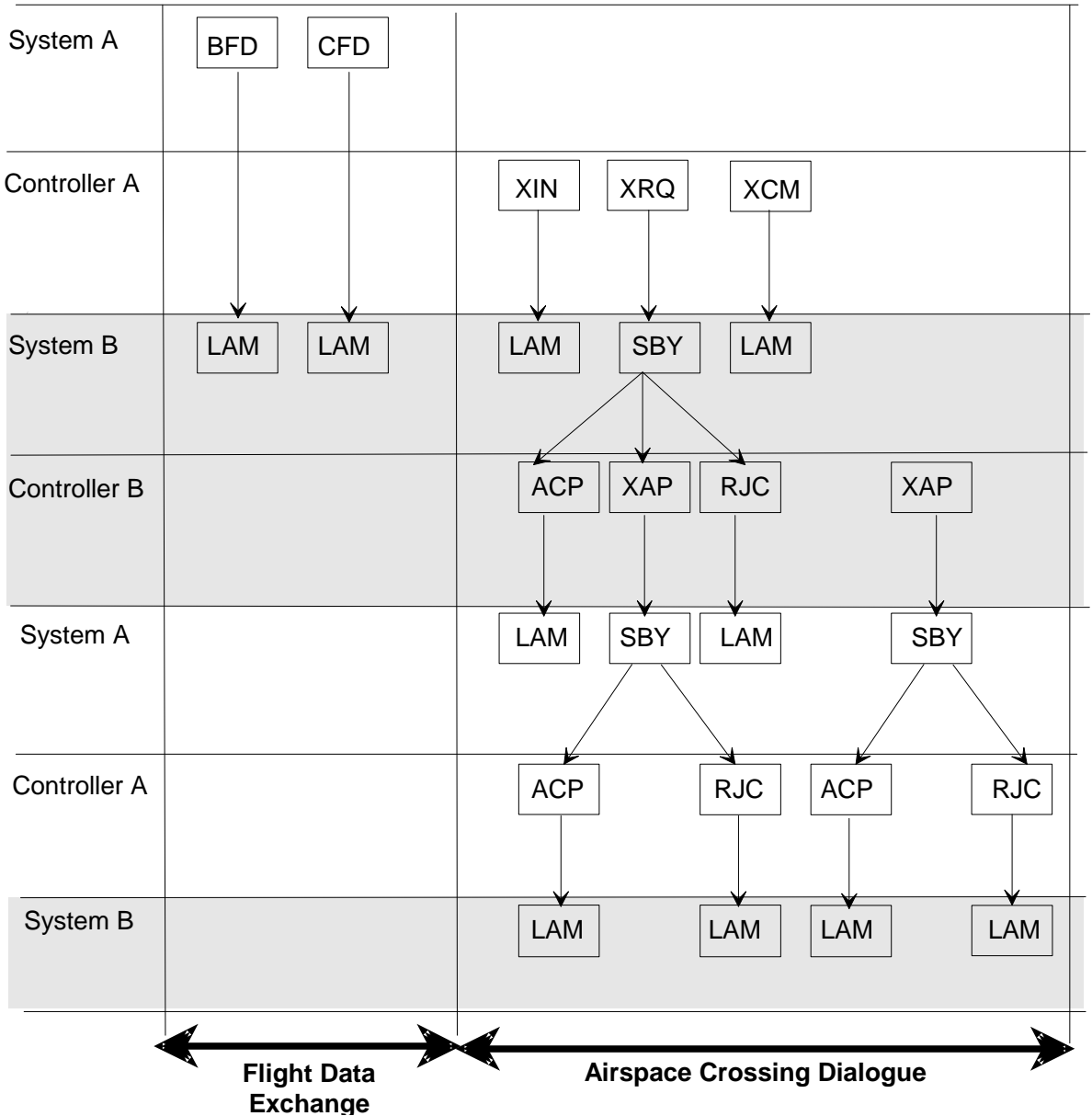
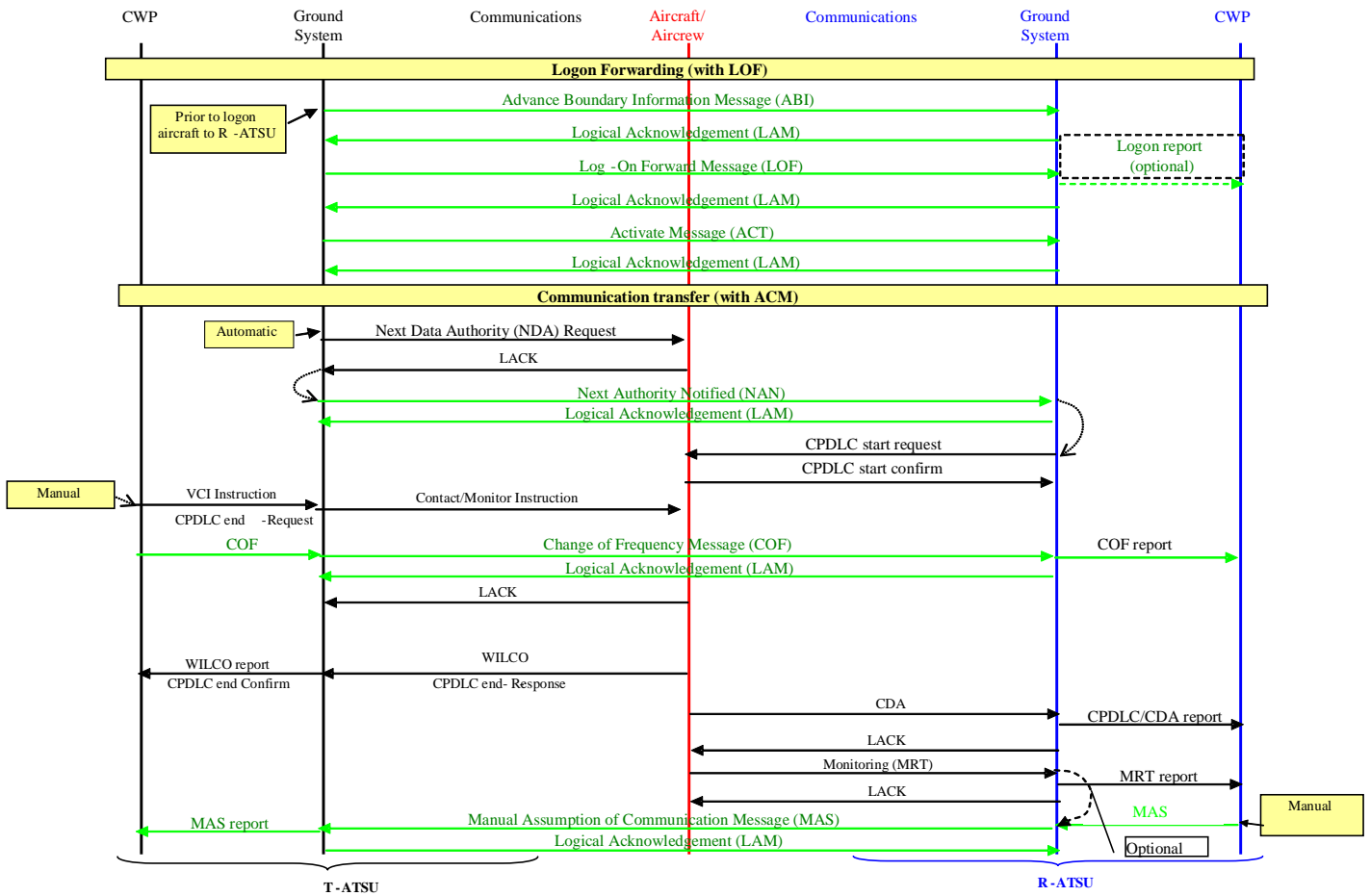


Figure D-1 - Civil/Military Co-ordination

ANNEX E – AIR / GROUND DATA LINK – SEQUENCE DIAGRAMS



Note: The Ground-ground co-ordination is not coupled with the air-ground message exchange.

Figure E-1 - Logon Forwarding, using LOF, and communication transfer for ATN

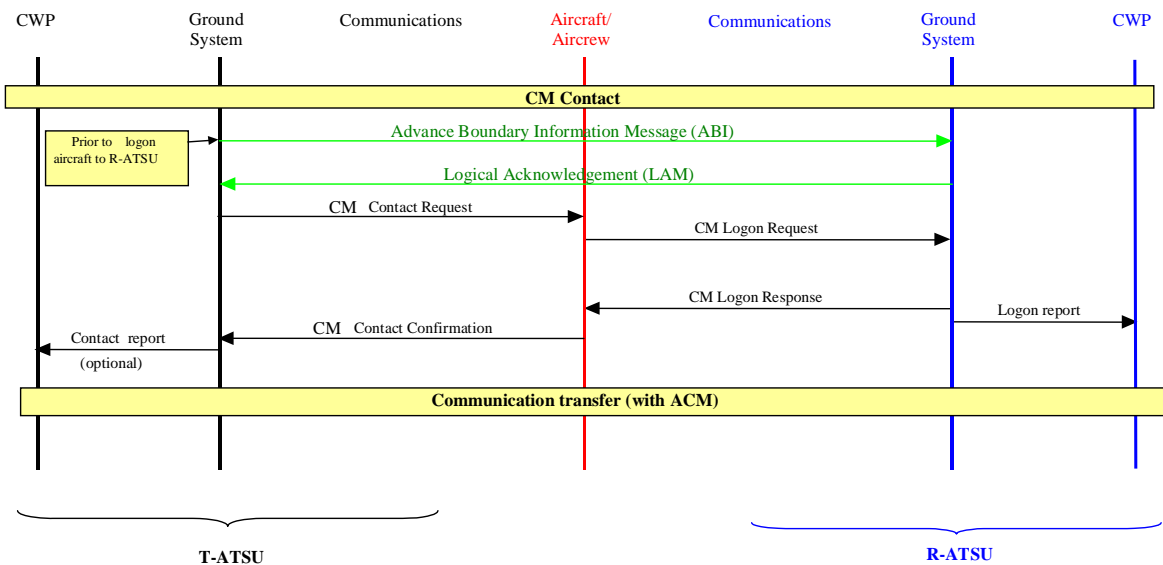


Figure E-2 - Logon Forwarding, using CM-contact, and communication transfer

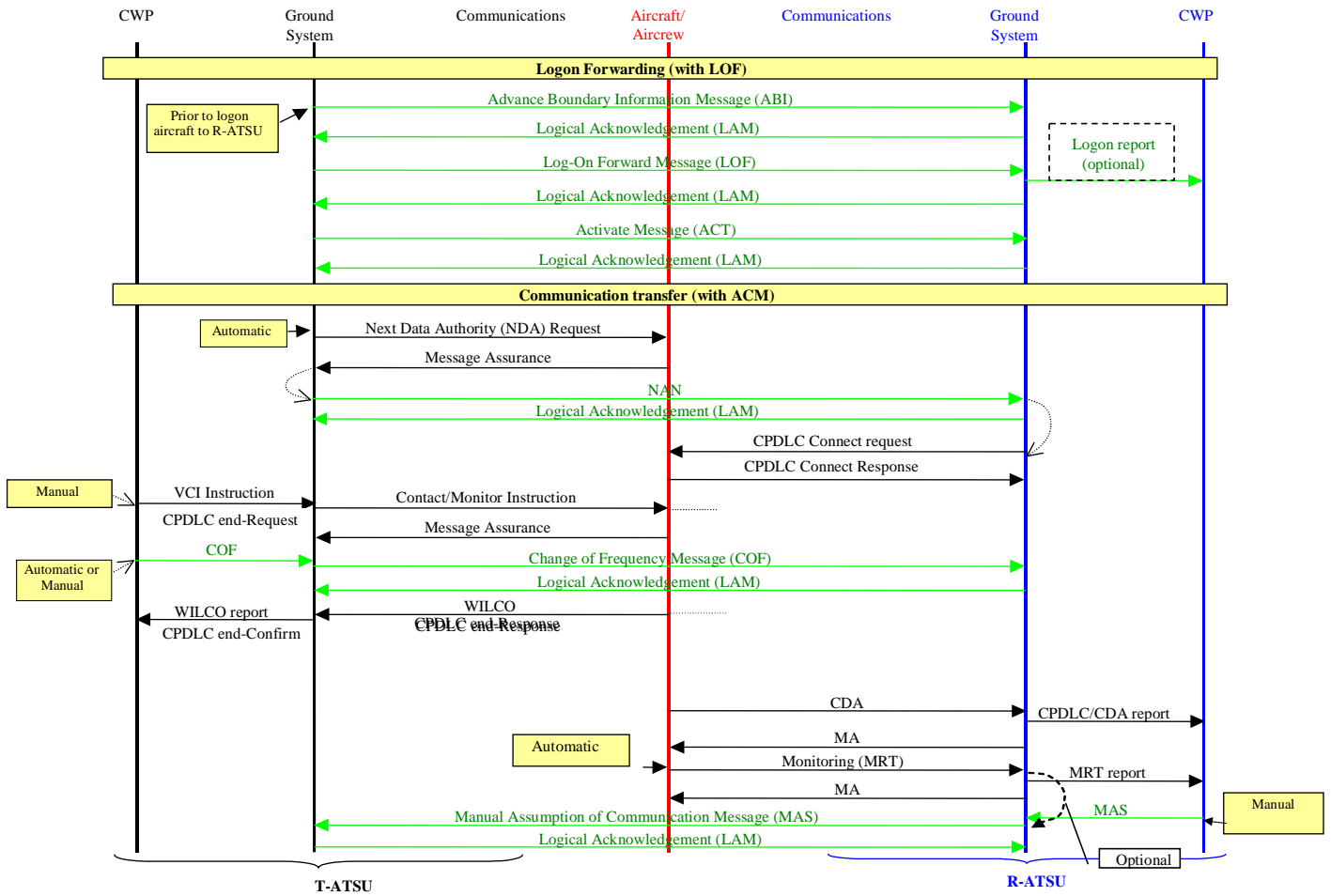


Figure E-3 - Logon Forwarding, using LOF, and communication transfer for FANS1/A

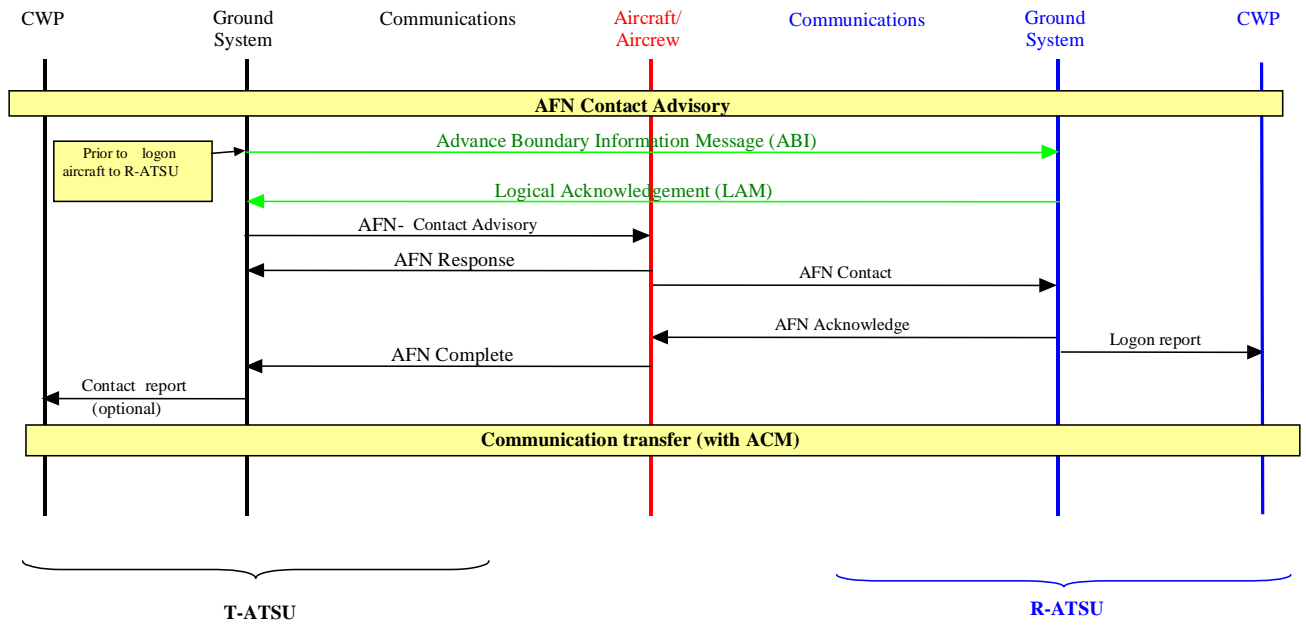


Figure E-4: Logon Forwarding, using AFN-Contact Advisory, and communication transfer

ANNEX F – STATE TRANSITION DIAGRAMS

The state transition diagrams are intended to provide additional information to the requirements outlined in this specification. They cover the mandatory processes defined in the European Commission Regulation No. 1032/2006 laying down requirements for automatic systems for the exchange of flight data for the purpose of notification, co-ordination and transfer of flights between air traffic control units.

Not all messages and combinations of messages are covered in order to avoid too much complexity.

F.1 State Transition Diagrams

Figure F-1 - Transferring Unit - Coordination States

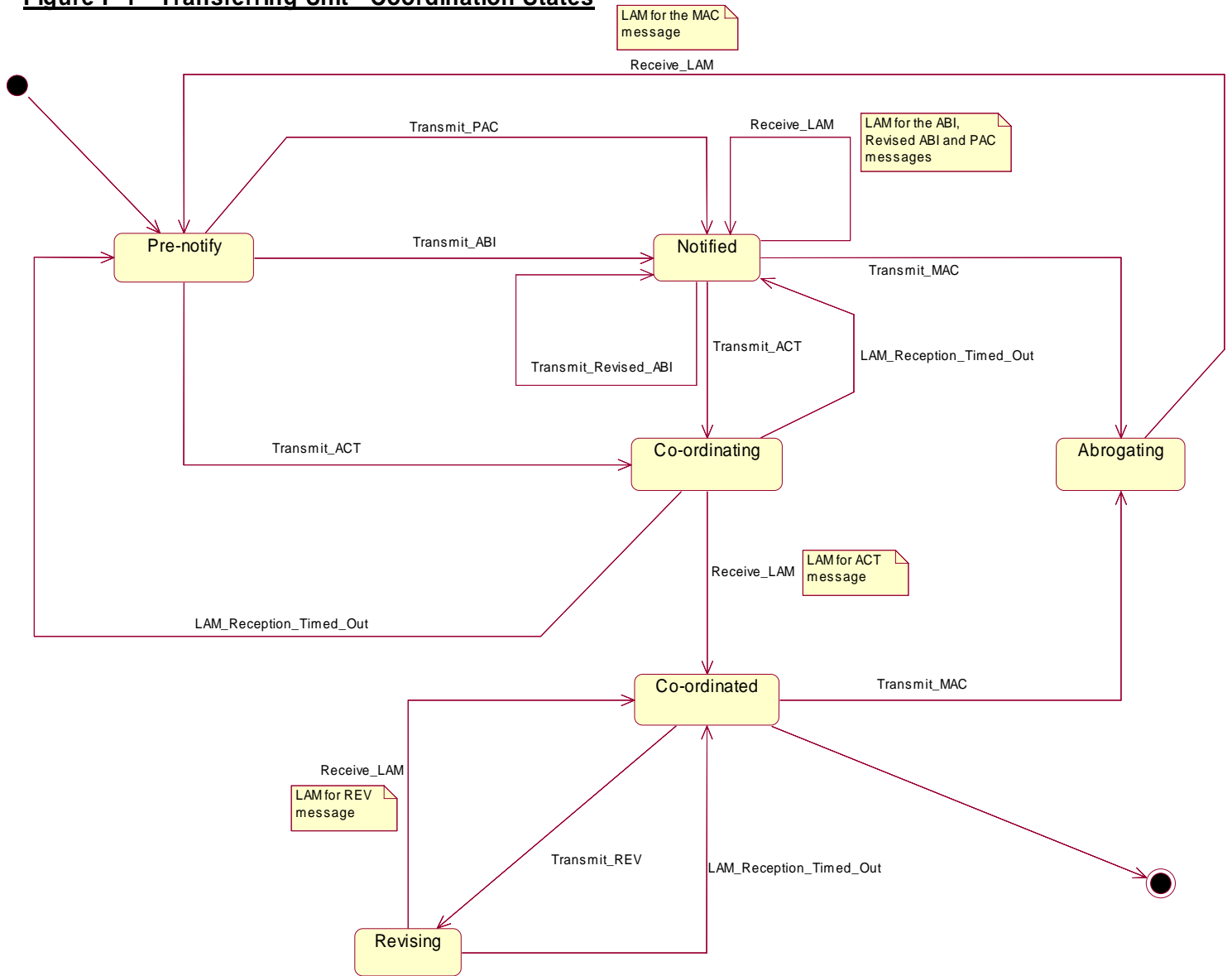
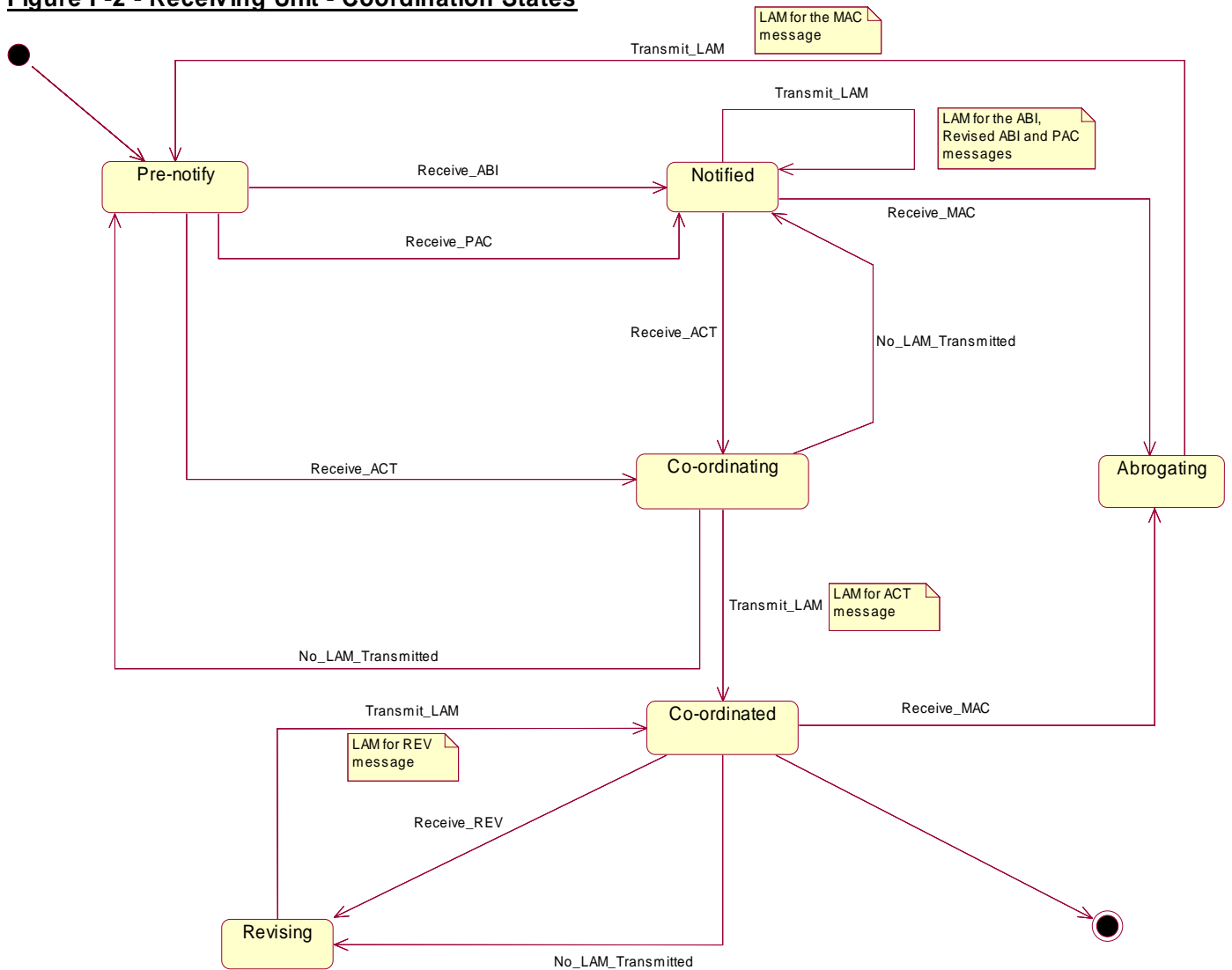


Figure F-2 - Receiving Unit - Coordination States



F.2 Sequence Diagrams

Figure F-3 – Sequence Diagram – ABI Message

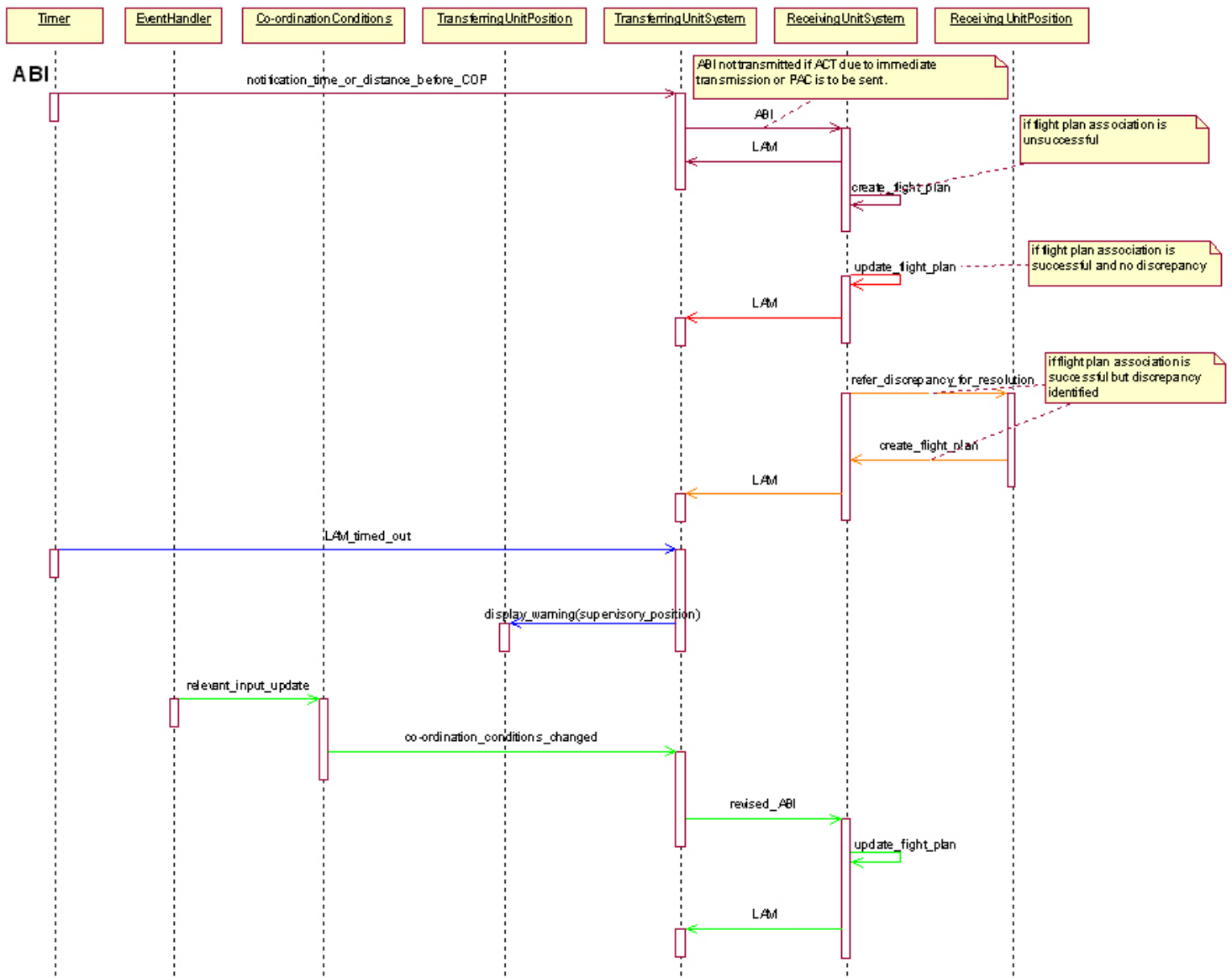


Figure F-4 – Sequence Diagram – ACT Message

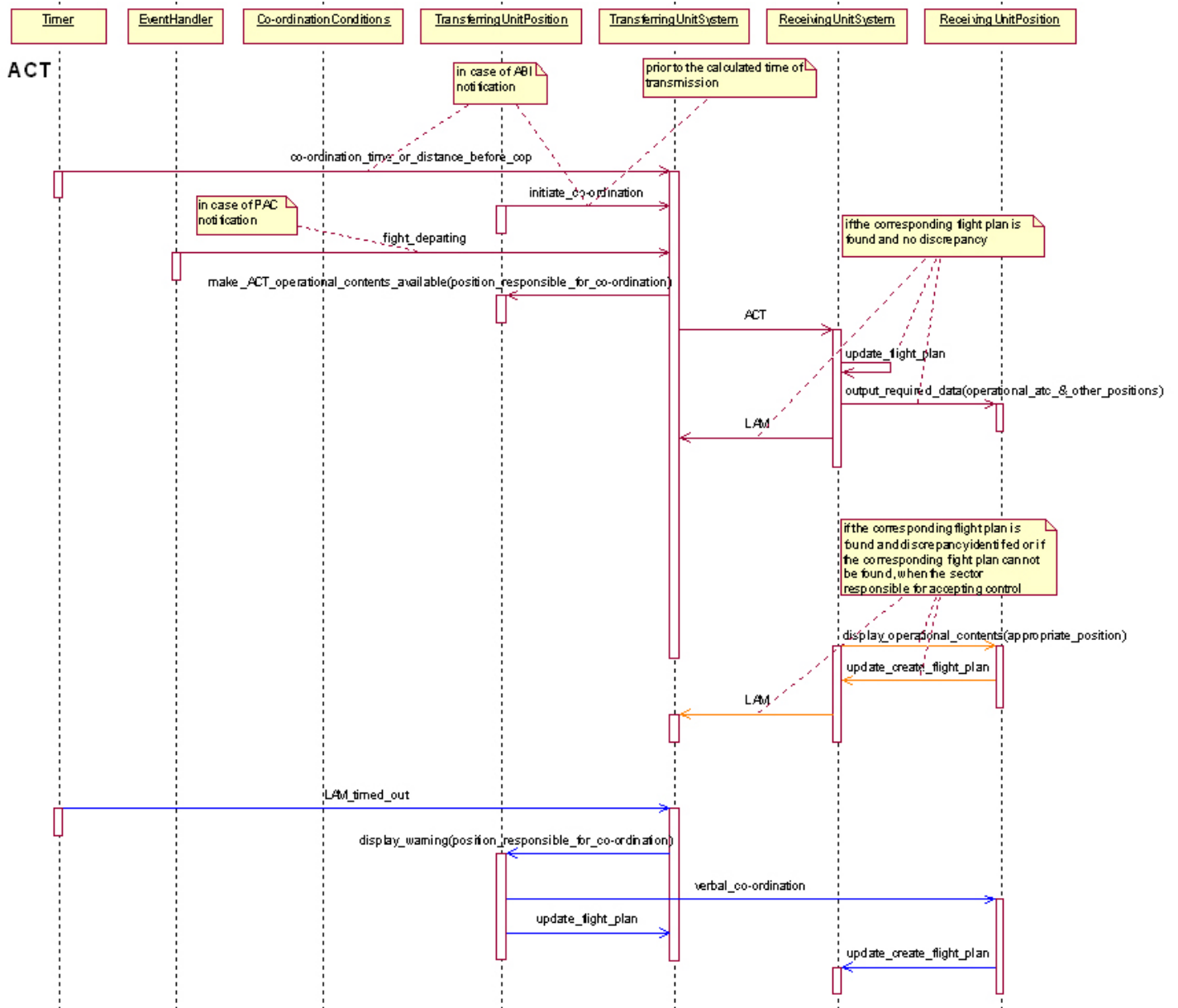


Figure F-5 – Sequence Diagram – PAC Message

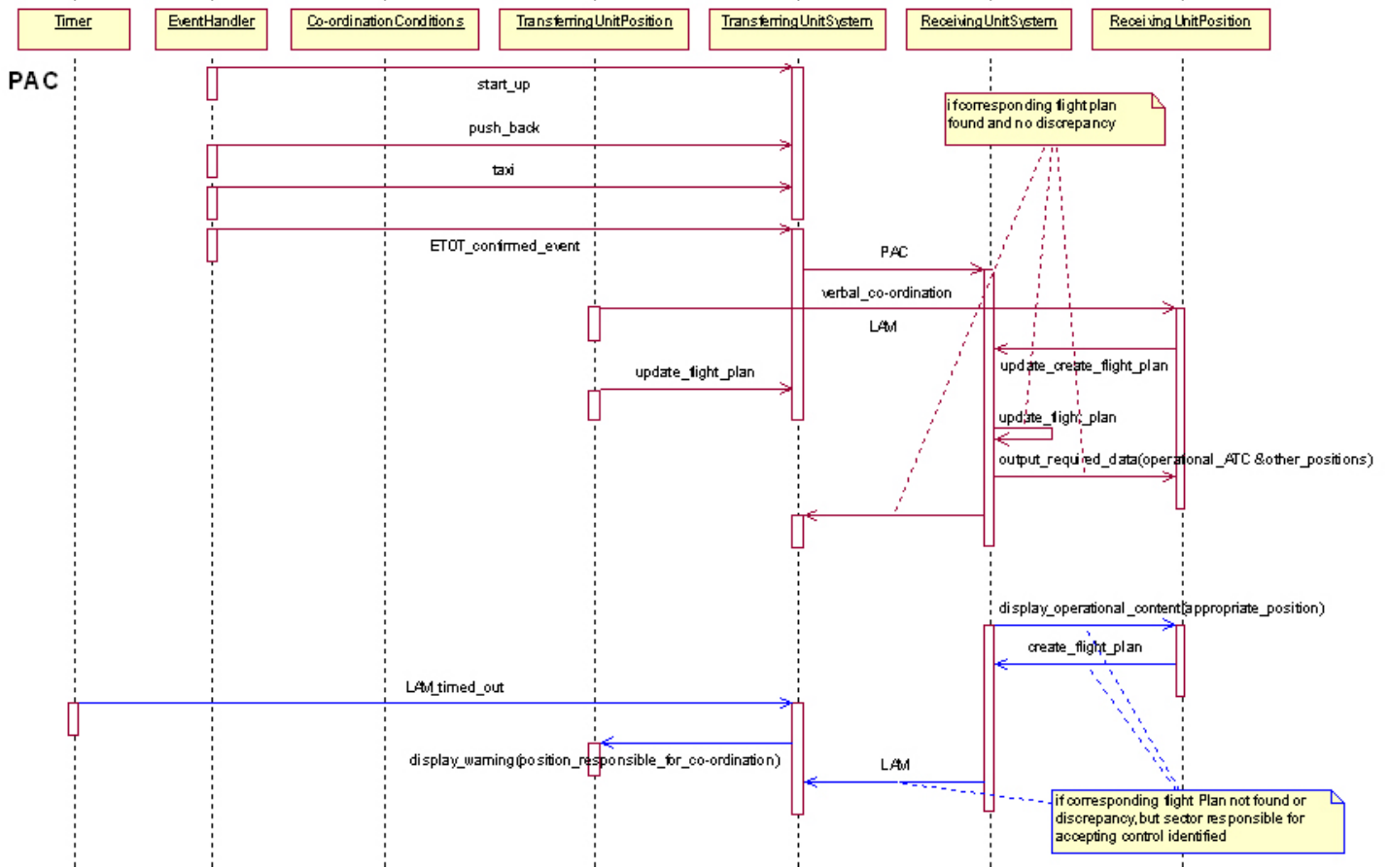


Figure F-6 – Sequence Diagram – REV Message

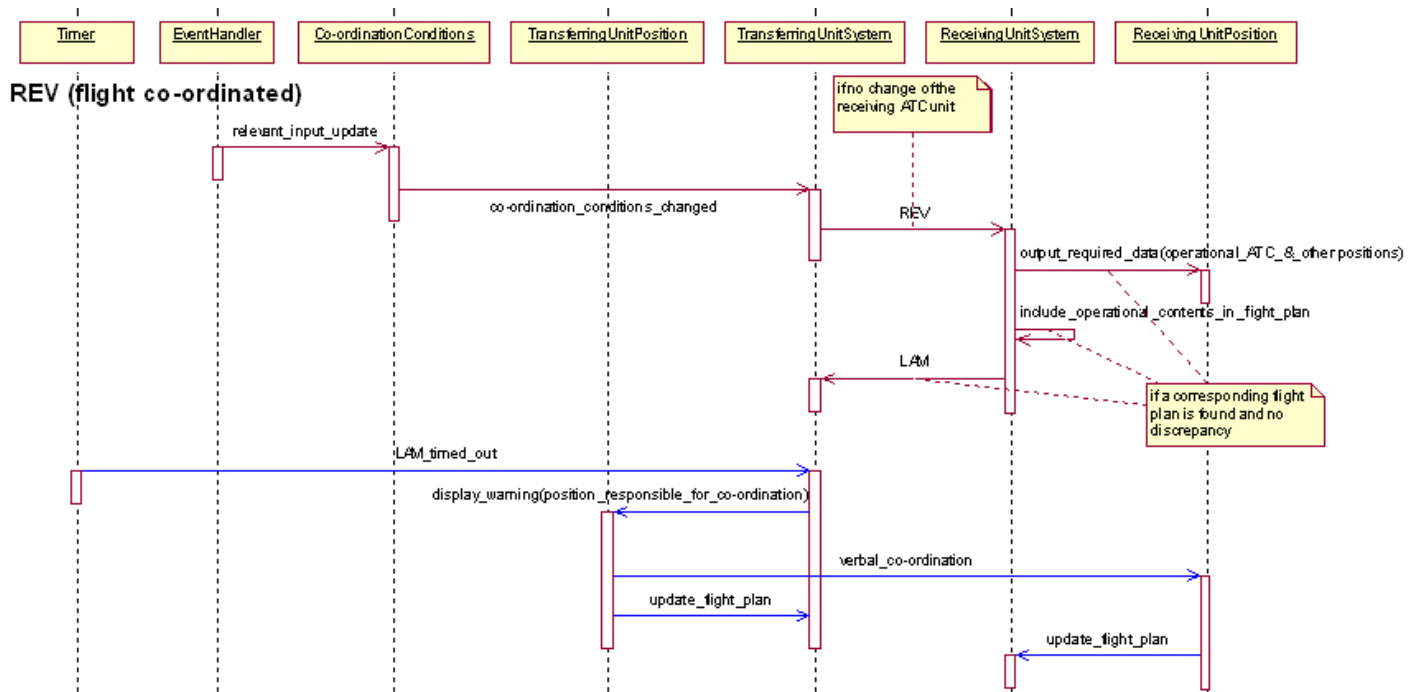
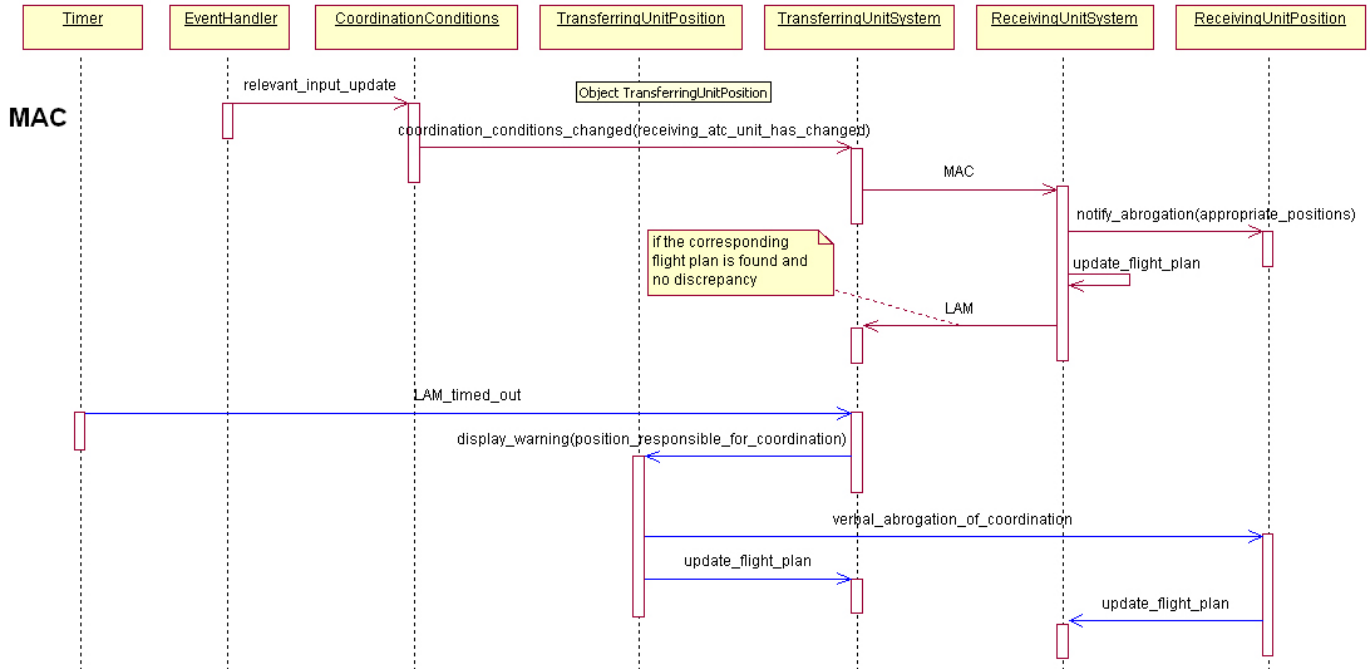


Figure F-7 – Sequence Diagram – MAC Message



ANNEX G - TEST AND VALIDATION PROCEDURES

G.1 Introduction

The test and validation procedures for OLDI do not cover the functional and technical tests to be performed during the development of OLDI facilities from an industry point of view. These tests are normally the joint responsibility of the ANSP and system provider and are covered by the requirements for Conformity Assessment. The test and validation procedures assume that the OLDI functionality has been correctly implemented in the ATS Systems and that the manufacturer provides a declaration of conformity/suitability for use for the end product where applicable. The relevant rules for the EC declaration of conformity/suitability for use are established in the Regulation No 552/2004 of the European Parliament and of the Council (the Interoperability Regulation) and the Commission Regulation No. 1032/2006 laying down requirements for automatic systems for the exchange of flight data for the purpose of notification, co-ordination and transfer of flights between air traffic control units. However complete functional tests can not be performed in isolation. For the purpose of end to end testing (both from a technical and operational point of view) it is considered that additional test and validation procedures are required. The test and validation procedures outline the general process for the OLDI facilities testing and are aimed at complementing the requirements laid out in Annex IV of the Commission Regulation No. 1032/2006 regarding the assessment of conformity or suitability for use of constituents and systems.

Because of the potential number of combinations of OLDI messages it would be impractical for these procedures to propose an exhaustive list of detailed technical and operational tests to be conducted in different environments. The procedures detailed in this document list minimum requirements for OLDI testing and do not preclude that States complement them where deemed necessary, based on the complexity of local environment and systems.

The wide implementation of OLDI and the definition of OLDI message exchanges allow for a wide range of combinations of messages or options in messages. As a consequence a structured approach for the OLDI testing including the different steps and actions to be performed is useful to ensure uniformity of results in different environments.

The following test and validation phases for OLDI have been identified:

1. Identification of the need for testing;
2. Definition and co-ordination of tests to be performed;
3. Preparation of tests;
4. Testing phase;
5. Analysis of tests results;
6. Reporting of results;
7. Validation and approval of operational use of OLDI implementation;
8. Putting into operations of the OLDI connection;
9. Post implementation validation of OLDI.

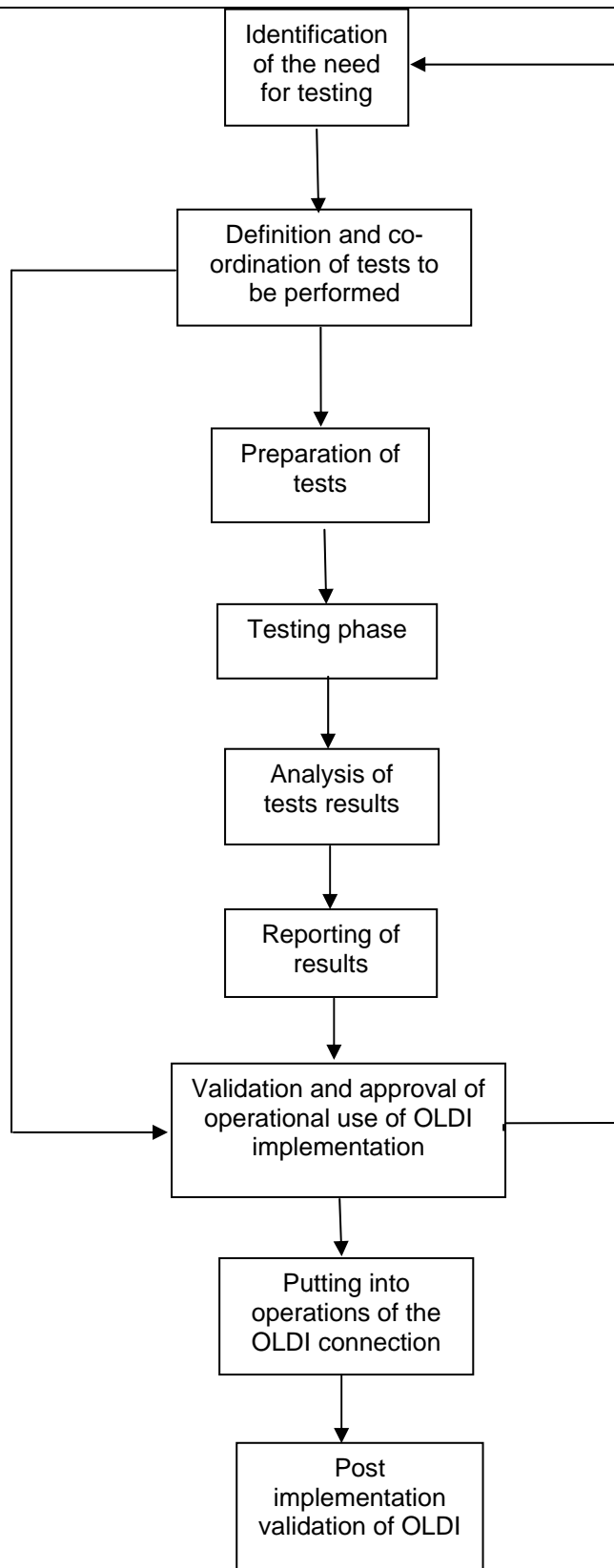


Figure G-1 - schematic view of the test and validation phases

G.2 Identification of testing needs

OLDI defines interface requirements. As a consequence early notification of the intention to add OLDI functionality is required. The need for testing can be identified by either of the OLDI partners. Normally ATS Units that intend to implement or change the existing OLDI facilities will

have the initiative and will start the dialogue with the adjacent units. To ensure that the network effect is appropriate testing is required for any change affecting the cross border functionality. These changes include:

- addition of new messages;
- changes of message content;
- changes of ATS System functionality that influences the system behaviour and the corresponding OLDI exchanges (i.e. FPL processing that can impact on OLDI, system changes that can degrade the OLDI performance. etc.);
- changes of environment parameters (airspace structure definition, time parameters, etc.).

It is recommended that ATS Units establish agreements with all OLDI partners. These agreements will need to specify at least:

- the points of contact for discussions related to OLDI facilities including the responsible persons for each aspect (operational, technical, communications, etc.);
- the minimum period of time required for notification of intended OLDI changes.

For this to be effective the points of contact should be updated as they change. All ATS Units operating OLDI are required to maintain an up to date points of contact list with neighbouring states.

It is recommended that in addition, each ATS Unit establishes points of contact and appropriate internal working arrangements to support and manage the OLDI related changes. This should result in a list of persons responsible for each aspect of OLDI testing and the appointment of an OLDI lead.

It is to be noted that because of the complexity, ATS Systems require a rather long time for adaptations. Sometimes the changes required can be categorised as minor (i.e. lead time for generation of a message). It is paramount that adjacent ATS Units are aware of the minimum required time for OLDI changes for each type of change. This would enable them to have a harmonised development.

In this phase the unit initiating the changes has the responsibility to notify the adjacent units about their intention. This notification will include:

- the OLDI facilities that will be affected (additional or changes to existing facilities);
- the reason for changes;
- the planned timescale for performing these changes;
- the level of testing to be performed.

In return the units notified this way will need to either confirm the proposal or to propose changes. In the case when an ATS Unit is not able to meet the requests of an adjacent unit they will need to propose an alternate course of action and justify their proposal. In this phase the units involved will need to agree on the objectives of the testing and the expected functionality, systems behaviour and roles and responsibilities.

G.3 Definition and co-ordination of tests to be performed

It should be noted that the tests will always be conducted by the two co-ordination partners. In this phase the ATS Units involved will define and agree the actual tests to be performed. Special care should be given to level of detail for the tests. This agreement will outline at least:

- The functionality to be tested;
- The test plans including the dates and number of tests to be performed;
- The scenarios to be tested;
- The criteria for acceptance of the results (i.e. minimum number of tests, etc.);

- The acceptable results for the tests to be considered passed.

It is recommended that checklists for the OLDI messages are established in this phase. These checklists will facilitate later the evaluation and could serve as evidence in the relationship with regulatory bodies. These lists should contain as a minimum checks for the following items:

- message generation;
- message transmission/reception;
- message content;
- message format;
- correct processing of received data;
- correct distribution of messages;
- correct display of operational data;
- message/data corruption;
- performance including end to end performance/response time.

It is to be noted that in the OLDI context the end to end performance time will need to take into account the response time up to the Controller Working Position.

To facilitate the testing it is recommended that these checklists are co-ordinated and agreed in this phase.

In addition the items included in the checklist should form the basis for the criteria for the acceptability of the OLDI message exchanges.

In this phase it is expected that the ATS Units involved will pass enough information to each other to enable an efficient and objective conduct of testing. It is clear that both partners need to fully understand each other's functionality and limitations. As a consequence transparency and efficient exchange of information are required on both sides.

The relevant environment information needs to be exchanged. As OLDI is heavily influenced by co-ordination points, sectors definition, lead times, etc., the environment data needs to be agreed in this phase in order to create simulation scenarios that are as close as possible to the target operational environment. It is important to co-ordinate the traffic and environment scenarios with the points of contact, such as call signs etc. This reduces the need for unwanted reject messages being generated during live tests. Involvement of the operational staff is required to ensure that the scenarios are the most realistic and relevant ones for the target environment.

It is important that starting with this phase a team that includes both operational and technical people is established and involved in all the other phases of testing and validation.

Based on the extent of changes the two ATS Units might agree that not the complete procedure for test and validation is applicable.

Changes to time/distance parameters and Co-ordination Points for OLDI messages that are already in operations will not require the full test and validation procedure. In this case, in this phase the ATS Units might agree to move directly to the validation and approval of operational use of OLDI implementation.

For all other changes including new systems, processing rules or new messages it is recommended that the complete test and validation procedure is applied.

G.4 Preparation of tests

This phase covers the actual development of the test platform, detailed test scenarios, establishment of appropriate communication lines and other technical and operational arrangements in order to ensure a successful conduct of the tests. In this phase it is anticipated

that the following activities will need to be performed:

- Network management staff advised;
- Offline test systems booked;
- FDP system test build developed, as required;
- Software updates developed and integration tested as required;
- System configuration file developed for external systems interface device;
- Test simulations developed as required¹;
- Test simulations are agreed (OLDI lead).

Test facilities and their availability are very important. For some centres Test and Development (TAD) units are the prime facilities for live testing. Units usually arrange a testing date with a contingency date in case of a test failure or equipment failures.

For ATS Units that do not have a Test and Development platform, the live operational system might be involved in the OLDI testing. In these cases proper attention needs to be given to the periods and extent of testing. It is recommended that in these cases the tests are conducted for limited periods of time during the low traffic periods in order to minimise the risk and impact on the controller workload.

Once a system has been released with the relevant adaptation and the associated configuration file has been supplied, initial tests can begin. Local functionality (manual triggering of messages, HMI behaviour, etc.) should be tested independently before the tests involving external partners. Communication lines and protocols need to be in place and tested before starting testing with partners. Whenever harmonised tools are available for independent testing (i.e. the EUROCONTROL ETIC tool) these should be used to the extent possible before starting the testing with partners. In some cases initial tests can be carried out using a PC based FDP test system using simulations designed and based on new or changed requirements. Systems Analysis Recording data from these tests is then analysed and results and/or comments sent to parties concerned.

When the simulations are proven to comply with the requirements and the Flight Data Processing System (FDPS) is performing as expected live testing can be initiated.

G.5 Testing phase

During this phase the actual live tests with the co-ordination partners are conducted. This is done in accordance with the times and the scenarios agreed in the previous phases. It is essential that engineering support is available when carrying out live testing. Experience has shown that technical problems can be overcome using their expertise, which otherwise may have meant an abandonment of testing. The system configuration can be checked by engineers at this time, especially important if the OLDI client is a new one. Some stakeholders' systems include Test and Development (TAD) platforms that can be used for OLDI testing. Where such platforms are available, live tests should be conducted between TADs. Current best practices show that these tests run typically for 1-2 hours depending on the detail in engineering scripts and the simulated traffic.

It is essential that during this period the system behaviour is documented. This can be done by the use of recordings, control questionnaires, etc. or a mix of these methods. This information will be required for the tests analysis.

G.6 Analysis of tests results

Following the live testing the data collected will be analysed in this phase. The analysis will look at the technical and operational acceptability of the OLDI connection. Special care shall be

¹ Testing may only require the manual input and activation of system flight plans

given to any abnormal events including errors in processing, warnings, etc.

It is recommended that during the analysis phase the ATS Units involved maintain a close cooperation. Exchange of information at this stage allows partners to identify reasons for abnormal behaviour for which the data collected locally might not be enough. It also allows the cross check of the results ensuring that the same events are treated consistently and reduces the risk of having discrepant results in the final assessments.

G.7 Reporting of results

In this phase it is expected that each unit formalise the results of the analysis conducted in the previous step. The test reports shall contain at least:

- the data or the interpretation of the data obtained during the tests;
- detailed data for the abnormal events or failures;
- a summary of results;
- an overall conclusion (Passed or Failed);
- the justification for this conclusions in accordance with the criteria bilaterally agreed previously;
- a recommendation including the changes required, a proposal for re-conducting the tests or the putting into operations of the OLDI link/changes.

The units involved are expected to share this information in order to enable a decision on the next steps. Whenever the conclusions and recommendations differ it is recommended that a bilateral discussion is held in order to agree on the way forward. It is recommended that the agreement between the two ATS Units is recorded and formalised.

For cases in which the partners agree that the tests have failed an iterative process for the development and test and validation will apply as illustrated in Figure 1.

As OLDI is interoperability function it is essential for the partners to communicate, share information and agree on a way forward. Although each State and ATS Unit is entitled to its own decision based on their own priorities it is highly important that decisions regarding cross border functionality are taken in cooperation based on common understanding of each other's constraints in order to maximise the network benefit.

G.8 Validation and approval of operational use of OLDI implementations

This phase starts when both units involved in an OLDI connection report that the tests were passed and the recommendation is the start of OLDI operations. In this phase the two units will refine the parameters for the OLDI connection. In this context some example parameters for OLDI connection can be the following:

- the list of co-ordination points;
- time/distance parameters for message generation;
- time outs;
- flight level constraints;
- list of corresponding sectors for each sector configuration.

It is important that especially in this phase the operational staff of the two ATS Units is involved in the decisions as this would be the last occasion to refine the parameters that will have an impact on the operational use and acceptability of the OLDI facilities.

During this phase the units will agree on the date for putting into operations and co-ordinate the calendar for this. Proper consideration needs to be given when deciding on the calendar to controller training issues, safety aspects, operational approval, etc. Formal letters of agreement (or where deemed necessary amendments to these) need to be put in place. Some of these

actions might be completed in parallel with some of the previous phases. However in this phase the ATS Units will ensure that all conditions for live operations are met.

G.9 Putting into operations of the OLDI connection

In this phase the actual switch to operational use of the OLDI facilities is completed. This will be done in accordance with the previously agreed calendar. Before moving to live operations a last check of the communication infrastructure supporting OLDI should be conducted. The start of live operations needs to be agreed by both partners. For initial operations the ATS Units should ensure that they retain the capability to revert to the previous mode of operations in case of a failure.

G.10 Post implementation validation of OLDI

During the first period of OLDI operations the OLDI links need to be monitored both from a technical and operational point of view. For this the units will agree on a period for monitoring. They will establish a means for problem reporting on both technical and operational aspects. In addition each ATS Unit shall establish procedures for the collection and processing of the data obtained in the post implementation monitoring.

At the end of this phase the results will be analysed. Based on this analysis the ATS Units will decide if the capability to revert to the previous mode of operations is still required.

ANNEX H - TRACEABILITY MATRIX FROM THE EUROPEAN COMMISSION REGULATION 1032/2006 TO THE EUROCONTROL SPECIFICATION FOR ON-LINE DATA INTERCHANGE (OLDI) EDITION 4.1

H.1 Introduction

The European Commission Regulation 1032/2006 laying down requirements for automatic systems for the exchange of flight data for the purpose of notification, coordination and transfer of flights between air traffic control units (OJ L 186, 7.7.2006, p.27) was developed having in mind the long term needs for interoperability. This resulted in high level requirements that allow future technical developments and don't preclude the use of a future more advanced technology for the co-ordination and transfer process (i.e. FOIPS). As a consequence when developing means of compliance for the above mentioned regulation the document submitted to EC requires information on how the regulation is satisfied by this specification requirements.

The following table shows how the requirements specified in the regulation 1032/2006 are met by the EUROCONTROL Specification for On-Line Data Interchange Edition 4.1.

H.2 Implementing Rules – Community Specifications Matrix

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
Article 4	Quality of service requirements			
1.	Air navigation service providers shall ensure that the systems referred to in Article 1(2)(a) comply with the requirements concerning quality of service, specified in Annex II.	ANNEX II (hereunder)		
2.	Member States shall ensure that the systems referred to in Article 1(2)(b) comply with the requirements concerning quality of service, specified in Annex II.			
ANNEX II	Requirements concerning quality of service (referred to in Article 4)			
1.	Availability, reliability, data security and data integrity			
1.1.	The system information exchange facilities shall be available during the operational	OLDI-GEN-400-M	The OLDI facility shall be available during the hours of normal and peak traffic flows between the two units	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	hours of the unit.		concerned.	
1.2.	Any scheduled down-time periods shall be bilaterally agreed between the two units concerned.	OLDI-GEN-420-M	Any scheduled down-time periods (and thus the planned availability time) shall be bilaterally agreed between the two units concerned.	Compliant
1.3.	The reliability for a system information exchange link shall be at least 99,86 %.	OLDI-GEN-430-M	Reliability on every OLDI link shall be at least 99.86 % (equivalent to a down-time of not more than 12 hours per year based on 24-hour availability).	Compliant
1.4.	The integrity and security of information exchanged using system information exchange facilities shall be assured at the appropriate level in accordance with recognised practices.	OLDI-GEN-450-R	Data security methods (e.g. access rights, source verification) and, where applicable, network management should be applied to OLDI facilities.	Compliant
		OLDI-GEN-460-M	The failure rate at application level shall be less than or equal to one transmission error per 2000 messages.	Compliant
2.	Process times			
2.1.	The process times shall represent the interval between initiation of the process and the time when the required confirmation is available in the initiating unit.	Section 5.2 – Transaction Time	The transaction times specified include transmission, initial processing at the receiving / accepting unit, creation of the acknowledgement message, its transmission and reception at the transferring unit. The automatic acknowledgement messages LAM and SBY have therefore, not been assigned to a message category.	Compliant
		OLDI-MSG-10-M	The maximum transaction times for the different categories of messages shall be as specified in Table 5 – 1.	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1														
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status												
			<p>Table 5 - 1 Maximum Transaction Times</p> <table border="1"> <thead> <tr> <th>Message Category</th> <th>90 %</th> <th>99.8 %</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>4 sec</td> <td>10 sec</td> </tr> <tr> <td>2</td> <td>10 sec</td> <td>25 sec</td> </tr> <tr> <td>3</td> <td>15 sec</td> <td>45 sec</td> </tr> </tbody> </table>	Message Category	90 %	99.8 %	1	4 sec	10 sec	2	10 sec	25 sec	3	15 sec	45 sec	
Message Category	90 %	99.8 %														
1	4 sec	10 sec														
2	10 sec	25 sec														
3	15 sec	45 sec														
2.2.	The process times shall not include the operational replies where these are required.	Section 5.2 – Transaction Time	The transaction times specified include transmission, initial processing at the receiving / accepting unit, creation of the acknowledgement message, its transmission and reception at the transferring unit. The automatic acknowledgement messages LAM and SBY have therefore, not been assigned to a message category.	Compliant												
		OLDI-MSG-10-M	<p>The maximum transaction times for the different categories of messages shall be as specified in Table 5 – 1.</p> <p>Table 5 - 1 Maximum Transaction Times</p> <table border="1"> <thead> <tr> <th>Message Category</th> <th>90 %</th> <th>99.8 %</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Message Category	90 %	99.8 %				Compliant						
Message Category	90 %	99.8 %														

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1					
Heading Nr.	Description	Requirement Nr.	Description				Compliance Status
			1	4 sec	10 sec		
			2	10 sec	25 sec		
			3	15 sec	45 sec		
2.3	The maximum process time before a warning is generated shall be bilaterally agreed.	OLDI-MSG-20-M	A time-out value shall be defined per message category or type.				Compliant
		OLDI-MSG-30-M	If no acknowledgement has been received within the specified time after transmission, a message shall be considered to have been unsuccessfully transmitted or processed and a warning output as specified in the pertinent section in this document.				Compliant
		OLDI-MSG-40-R	The time-out values for the message category 1 should be less than or equal to 12 seconds.				Compliant
		OLDI-MSG-50-R	The time-out values for the message category 2 should be less than or equal to 30 seconds.				Compliant
		OLDI-MSG-60-R	The time-out values for the message category 3 should be less than or equal to 60 seconds.				Compliant
Article 5	Associated procedures						

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
1.	For a flight subject to initial coordination, the agreed transfer conditions of a flight shall be operationally binding for both ATC units unless the coordination is abrogated or revised.	OLDI-ACT-110-M	Acceptance by the receiving unit of the transfer conditions implied in the ACT message shall be assumed, unless the receiving unit initiates co-ordination to amend them.	Compliant
		OLDI-ACT-120-M	The co-ordinated transfer conditions and the fact that the LAM has been received shall be presented to the ATC staff at the transferring unit. <i>As soon as a LAM has been received, the ACT message data becomes operationally binding to both of the ATC units unless the receiving unit initiates co-ordination in accordance with OLDI-ACT-110-M.</i>	Compliant
2.	For a flight subject to revision of coordination, the agreed transfer conditions of a flight shall be operationally binding for both ATC units unless the coordination is abrogated or the conditions are further revised.	OLDI-REV-100-M	Acceptance by the receiving ATC unit of the transfer conditions implied by the REV message shall be assumed, unless the receiving ATC unit initiates co-ordination to amend them.	Compliant
3.	Where completion of the revision or abrogation of coordination process is not confirmed in accordance with the applicable quality of service requirements, the transferring unit shall initiate verbal coordination.	OLDI-MAC-190-M	In such cases a verbal abrogation of co-ordination shall be effected by the transferring ATC unit.	Compliant
PART A:	GENERAL REQUIREMENTS			

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
1.	SYSTEM REQUIREMENTS			
1.1.	The system shall provide all the information required for the display, processing and compilation of the system information exchanged in the processes specified.	OLDI-GEN-70-M	Units which utilise a facility described in this document shall be provided with data from an FDPS which contains all the information required for the display, processing and compilation of the messages as specified. <i>The primary source of data for each flight is the flight plan as filed by, or on behalf of, the pilot in command. Further items of data are obtained by the processing of flight plans with reference to the environment of the unit concerned.</i>	Compliant
1.2.	The system shall be able to automatically receive, store, process, extract and deliver for display, and transmit flight information relevant to notification, coordination and transfer and civil-military coordination processes.	OLDI-GEN-130-M	The systems used for the provision of OLDI facilities shall be able to automatically receive, store, process, extract and deliver for display, and transmit OLDI related data in real-time.	Compliant
1.3.	The system shall issue a warning when information exchange facility failures or anomalies are detected.	OLDI-GEN-120-M	The FDPS shall warn the working positions when anomalies are detected by the data communications system.	Compliant
1.4.	The system shall be able to provide	OLDI-GEN-180-M	The system shall be able to provide co-ordination and transfer message warnings to the operational positions	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	warnings related to system information exchange to the relevant working positions.		responsible for the co-ordination of the flights concerned.	
1.5.	The system shall provide the ATC staff the means to modify the flight information relevant to the processes.	OLDI-GEN-200-M	The HMI shall provide ATC staff with a means to modify the data from which the operational contents of the messages are derived as required in this document.	Compliant
1.6.	The system shall be capable of providing the ATC staff information about the status of relevant system information exchange processes.	OLDI-GEN-210-M	The HMI shall indicate that the transmission of the message is in progress or has been successfully transmitted as appropriate.	Compliant
2.	RECORDING OF SYSTEM INFORMATION EXCHANGE DATA			
2.1.	System information exchange data shall be recorded by the air navigation service provider in a manner which permits the retrieval and display of the recorded data.	OLDI-GEN-380-M	The contents of all OLDI messages and the time of reception shall be recorded.	Compliant
		OLDI-GEN-390-M	Facilities shall be available for the retrieval and display of the recorded data.	Compliant
PART B:	REQUIREMENTS FOR MANDATORY PROCESSES SUPPORTED BY SYSTEM INFORMATION EXCHANGES			

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
1.	NOTIFICATION			
1.1.	Flight information concerned			
1.1.1.	The information subject to the Notification process shall include as a minimum: — aircraft identification, — SSR mode and code (if available), — departure aerodrome, — estimate data, — destination aerodrome, — number and type of aircraft, — type of flight, — equipment capability and status.	OLDI-ABI-10-M	The ABI message shall contain the following items of data: Message Type; Message Number; Aircraft Identification; SSR Mode and Code (if available); Departure Aerodrome; Estimate Data; Destination Aerodrome; Number and Type of Aircraft; Type of Flight; Equipment Capability and Status.	Compliant
1.1.2.	The content of the 'equipment capability and status' information shall include reduced vertical separation minima (hereinafter 'RVSM') and 8.33 KHz capability as a minimum.	OLDI-FC-EQCS-10-M OLDI-FC-EQCS-20-M	The status of the following items shall be included: RVSM capability; 8.33kHz RTF equipage. The status of the following shall be included for State flights as specified in the Type of Flight in the flight plan for which 8.33 kHz RTF equipment is not known to be available for use:	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
			UHF equipage.	
1.1.3.	The 'equipment capability and status' information may contain other items in accordance with the letters of agreement.	OLDI-FC-EQCS-30-M	<p>If bilaterally agreed the status of the following items shall be included:</p> <p>B-RNAV & P-RNAV; Mode S capability and status.</p> <p><i>The Mode S capability and status will be expressed using the ICAO designator (the letter S) for Mode S transponders including both pressure altitude and aircraft identification transmission. This is justified by the fact that in Europe most aircraft will be equipped with the transmission of the pressure altitude capability, although this is not significant for transmission within the OLDI context. Flights for which the FPL was filed with the letter I in field 10 may also be considered Aircraft Identification capable.</i></p>	Compliant
1.2.	Rules of application			
1.2.1.	The notification process shall be performed at least once for each eligible flight planned to cross the boundary unless the flight is	OLDI-ABI-30-M	Except as provided for in OLDI-ABI-60-M and OLDI-ABI-70-R below, one or more ABI messages shall be sent for each flight planned to cross the boundary of areas of	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	the subject of pre-departure notification and coordination process.		responsibility subject to OLDI procedures.	
1.2.2.	The eligibility criteria for cross boundary notification of flights shall be in accordance with the letters of agreement.	OLDI-ABI-150-M	The ABI generation parameter(s) shall be included in the LoA between the ATC units concerned.	Compliant
1.2.3.	When the notification process cannot be performed by a bilateral agreed time prior to the initial coordination process it shall be subsumed by the initial coordination process.	OLDI-ABI-60-M	ABI message generation shall be inhibited if a Preliminary Activation (PAC) message is to be sent.	Compliant
		OLDI-ABI-70-R	ABI transmission should be inhibited if the ACT or RAP message is due for transmission immediately or within a bilaterally agreed time interval. <i>The purpose of this recommendation is to avoid the attempted simultaneous resolution of anomalies at different positions at the receiving unit in respect of ABI and ACT messages for the same flight.</i>	Compliant
1.2.4.	When performed, the notification process shall precede the initial coordination process.	OLDI-ABI-50-M	When sent, the ABI message shall precede the Activate (ACT) or Referred Activate Proposal (RAP) message.	Compliant
1.2.5.	The notification process shall take place again each time there is a change to any of the following data prior to the initial coordination process: — COP, — expected SSR code at the transfer of control point,	OLDI-ABI-80-M	A revised ABI message shall be sent if the subsequent ACT message has not been generated and any of the following change or are modified: COP; expected SSR code at the transfer of control point; aerodrome of destination;	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	— aerodrome of destination, — type of aircraft, — equipment capability and status.		type of aircraft; equipment capability and status. <i>The revised ABI messages in ICAO format with a different aerodrome of destination are difficult to process automatically. Consequently the ADEXP format is recommended.</i>	
1.2.6.	If a discrepancy is identified between the transmitted data and corresponding data in the receiving system, or no such information is available, that would result in the need for corrective action on receipt of the following initial coordination data, the discrepancy shall be referred to an appropriate position for resolution.	OLDI-ABI-120-M	If the flight plan association is successful but a discrepancy is identified between the data in the message and corresponding data in the receiving system that would result in the need for corrective action on receipt of the following ACT message, the discrepancy shall be referred to an appropriate position for resolution.	Compliant
1.3.	Time criteria for the initiation of the notification process			
1.3.1.	The notification process shall be initiated a parameter number of minutes before the estimated time at the COP.	OLDI-ABI-140-M	The message shall be transmitted a parameter number of minutes before the estimated time at the COP.	Compliant
1.3.2.	The notification parameter(s) shall be included in the letters of agreement between the ATC units concerned.	OLDI-ABI-150-M	The ABI generation parameter(s) shall be included in the LoA between the ATC units concerned.	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
1.3.3.	The notification parameter(s) may be defined separately for each of the coordination points.	OLDI-ABI-170-R	The ABI generation parameter(s) should be defined separately for each of the COPs.	Compliant
2.	INITIAL COORDINATION			
2.1.	Flight information concerned			
2.1.1.	The information subject to the initial coordination process shall include as a minimum: — aircraft identification, — SSR mode and code, — departure aerodrome, — estimate data, — destination aerodrome, — number and type of aircraft, — type of flight, — equipment capability and status.	OLDI-ACT-10-M	The ACT message shall contain the following items of data: Message Type; Message Number; Aircraft Identification; SSR Mode and Code Departure Aerodrome; Estimate Data; Destination Aerodrome; Number and Type of Aircraft; Type of Flight; Equipment Capability and Status.	Compliant
2.1.2.	The content of the 'equipment capability and status' information shall include RVSM and 8.33 KHz capability as a minimum.	OLDI-FC-EQCS-10-M	The status of the following items shall be included: RVSM capability; 8.33kHz RTF equipage. The status of the following shall be included for State	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
		OLDI-FC-EQCS-20-M	flights as specified in the Type of Flight in the flight plan for which 8.33 kHz RTF equipment is not known to be available for use: UHF equipage.	
2.1.3.	The 'equipment capability and status' information may contain other items as bilaterally agreed by the letters of agreement.	OLDI-FC-EQCS-30-M	If bilaterally agreed the status of the following items shall be included: B-RNAV & P-RNAV; Mode S capability and status. <i>The Mode S capability and status will be expressed using the ICAO designator (the letter S) for Mode S transponders including both pressure altitude and aircraft identification transmission. This is justified by the fact that in Europe most aircraft will be equipped with the transmission of the pressure altitude capability, although this is not significant for transmission within the OLDI context. Flights for which the FPL was filed with the letter I in field 10 may also be considered Aircraft Identification capable.</i>	Compliant
2.2.	Rules of application			

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
2.2.1.	The initial coordination process shall be performed for all eligible flights planned to cross the boundaries.	OLDI-ACT-30-M	One ACT message shall be sent for eligible flights crossing the boundary except as provided for in requirement OLDI-ACT-130-M .	Compliant
2.2.2.	Eligibility criteria for cross boundary initial coordination of flights shall be in accordance with the letters of agreement.	OLDI-ACT-40-M	The ACT message shall be generated and transmitted automatically at the calculated time as specified in the LoA, unless manually initiated at an earlier time.	Compliant
		OLDI-ACT-250-M	The ACT generation parameter(s) shall be included in the LoA between the ATC units concerned.	Compliant
2.2.3.	Unless already manually initiated, the initial coordination process shall be automatically initiated at: — a bilaterally agreed parameter time period before the estimated time at the coordination point, or — the time at which the flight is at a bilaterally agreed distance from the coordination point, in accordance with the letters of agreement.	OLDI-ACT-40-M	The ACT message shall be generated and transmitted automatically at the calculated time as specified in the LoA, unless manually initiated at an earlier time.	Compliant
		OLDI-ACT-240-M	The message shall be transmitted at or as soon as possible after the earlier of the times determined from the following: a parameter number of minutes before the estimated time at the COP; the time at which the flight is at a bilaterally agreed distance from the COP. <i>When a flight is transferred on a direct routing the requirement above will refer to the point determined in accordance with OLDI-DCT-90.</i>	Compliant
2.2.4.	The initial coordination process for a flight shall only be performed once unless the	OLDI-ACT-130-M	A further ACT message shall only be sent to the same co-ordination partner if the previous one has been abrogated	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	abrogation of coordination process is initiated.		by the use of a MAC.	
2.2.5.	Following an abrogation of coordination process, the initial coordination process may be initiated again with the same unit.	OLDI-ACT-130-M	A further ACT message shall only be sent to the same co-ordination partner if the previous one has been abrogated by the use of a MAC.	Compliant
2.2.6.	Completion of the initial coordination process including confirmation from the receiving unit shall be provided to the transferring unit — the flight is then considered 'coordinated'.	OLDI-ACT-170-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement shall be returned.	Compliant
		OLDI-ACT-190-M	If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.	Compliant
		OLDI-ACT-210-M	If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.	Compliant
2.2.7.	Failure of the initial coordination process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the working position responsible for the coordination of the flight within the transferring unit.	OLDI-ACT-300-M	If no LAM message is received as an acknowledgement for an ACT message, a warning shall be displayed at the ATC position responsible for the co-ordination of the flight.	Compliant
2.2.8.	The initial coordination information shall be made available at the appropriate working	OLDI-ACT-160-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data shall be output at	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	position in the receiving unit.		operational ATC and other positions as appropriate.	
		OLDI-ACT-180-M	If the corresponding flight plan is found and a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.	Compliant
		OLDI-ACT-200-M	If a corresponding flight plan cannot be found and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.	Compliant
3.	REVISION OF COORDINATION			
3.1.	Flight information concerned			
3.1.1.	The revision of coordination process shall ensure association with the flight previously coordinated.	OLDI-REV-40-M	If bilaterally agreed, the REV message shall contain any of the following items of data: Message Reference.	Compliant
		OLDI-REV-90-M	The message reference, when included, shall contain the message number of the preceding ACT message.	Compliant
3.1.2.	Revision of coordination shall provide the following flight information if they have changed: — SSR mode and code, — estimated time and flight level,	OLDI-REV-10-M	The REV message shall contain the following items of data: Message Type; Message Number; Aircraft Identification;	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	— equipment capability and status.		Departure Aerodrome; Estimate Data and/or Co-ordination point; Destination Aerodrome; <i>The Estimate Data contained in the REV has to include complete data in the Estimate Data field in order to eliminate any ambiguity regarding the transfer elements. If the ACT message included the supplementary flight level, the following REV message will include the supplementary flight level if still applicable.</i>	
		OLDI-REV-20-M	The REV message shall contain the following items of data if they have changed: SSR Mode and Code; Equipment Capability and Status.	Compliant
3.1.3.	If bilaterally agreed, the revision of coordination data shall provide the following if they have changed: — coordination point, — route.	OLDI-REV-10-M	The REV message shall contain the following items of data: Message Type; Message Number; Aircraft Identification; Departure Aerodrome; Estimate Data and/or Co-ordination point; Destination Aerodrome; <i>The Estimate Data contained in the REV has to include complete data in the Estimate Data field in order to eliminate any ambiguity regarding the transfer elements. If the ACT message included the supplementary flight level,</i>	Compliant

COMMISSION REGULATION (EC) No 1032/2006 of 6 July 2006		EUROCONTROL Specification For On-Line Data Interchange (OLDI) Edition 4.1		
Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
			<i>the following REV message will include the supplementary flight level if still applicable.</i>	
		OLDI-REV-30-M	If bilaterally agreed, the REV message shall contain any of the following items of data, if they have changed: Route.	Compliant
3.2.	Rules of application			
3.2.1.	The revision of coordination process may take place one or more times with the unit with which a flight is currently coordinated.	OLDI-REV-50-O	One or more REV messages may be sent to the unit to which a flight has been currently co-ordinated by the use of an Activate message.	Compliant
3.2.2.	Revision of coordination process shall take place when: — the estimated time over at the coordination point differs from that previously provided by more than a value bilaterally agreed, — the transfer level(s), SSR code or equipment capability and status is different from that previously provided.	OLDI-REV-60-M	The following elements shall be subject to revisions: ETO at the COP; Transfer level(s); SSR Mode and Code; Equipment capability and status.	Compliant
		OLDI-REV-70-M	A REV message shall be sent when: the ETO at the COP differs from that in the previous message by more than a value bilaterally agreed, rounded to the nearest integer value; there is any change to the transfer level(s), SSR code or equipment capability and status.	Compliant
3.2.3.	Where bilaterally agreed, revision of	OLDI-REV-80-M	Where bilaterally agreed, a REV message shall be sent	Compliant

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	coordination process shall take place when there is any change in the following: — coordination point, — route.		when there is any change in the following: COP; route. <i>Operational rules may require that modifications effected after ACT be subject to prior co-ordination between the units concerned.</i>	
3.2.4.	Completion of the revision of coordination process including confirmation from the receiving unit shall be provided at the transferring unit.	OLDI-REV-230-M	If the REV message can be associated with a flight plan within the receiving system, a LAM message shall be transmitted in acknowledgement.	Compliant
3.2.5.	Failure of the revision of coordination process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the working position responsible for the coordination of the flight within the transferring unit.	OLDI-REV-250-M	If no LAM message is received as an acknowledgement for a REV message, a warning shall be displayed at the ATC position responsible for the co-ordination of the flights.	Compliant
3.2.6.	The revision of coordination process shall take place immediately following the relevant input or update.	OLDI-REV-190-M	The REV message is event driven and shall be transmitted immediately following the relevant input or update.	Compliant
3.2.7.	The revision of coordination process shall be inhibited after the flight is at a bilaterally agreed time/distance from the transfer of control point in accordance with the letters of agreement.	OLDI-REV-200-M	By the fact that no changes may be effected by the use of the REV message after the flight is a specified time/distance from the transfer point, the time and distance parameters shall be bilaterally agreed.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
3.2.8.	The Revision of coordination information shall be made available at the appropriate working position in the receiving unit.	OLDI-REV-180-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data shall be output at operational ATC and other positions as appropriate.	Compliant
4.	THE ABROGATION OF COORDINATION			
4.1.	Flight information concerned			
4.1.1.	The abrogation of coordination process shall ensure association with the previous notification or coordination process that is being cancelled.	OLDI-MAC-70-M	A message reference shall be included if bilaterally agreed.	Compliant
		OLDI-MAC-80-M	If included, the message reference shall contain the message number of the last ABI, PAC or ACT message transmitted for the flight and acknowledged.	Compliant
4.2.	Rules of application			
4.2.1.	The abrogation of coordination process shall take place with a unit for a coordinated flight when: — the unit is no longer the next unit in the coordination sequence, — the flight plan is cancelled in the sending unit and the coordination is no longer	OLDI-MAC-30-M	A MAC message shall be sent to a unit to which co-ordination had previously been effected for a flight, by the use of an ACT or RAP message, when one of the following occurs: the expected level at the transfer point is different from the level contained in the previous message resulting in a change of the next unit in the co-ordination sequence;	Compliant

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	relevant, — abrogation of coordination information is received from the previous unit in respect of the flight.		<p>the route of flight has been altered which results in change of the next unit in the co-ordination sequence;</p> <p>the system flight plan is cancelled in the sending unit and the co-ordination is no longer relevant;</p> <p>a MAC is received from the previous unit in respect of the flight.</p>	
4.2.2.	The abrogation of coordination process may take place with a unit for a notified flight when: — the unit is no longer the next unit in the coordination sequence, — the flight plan is cancelled in the sending unit and the coordination is no longer relevant, — an abrogation of coordination is received from the previous unit in respect of the flight, — the flight is delayed en-route and a revised estimate cannot be determined automatically.	OLDI-MAC-60-R	A MAC message should be sent when the notification (ABI message) previously effected for a flight is cancelled due to any of the reasons specified in requirement OLDI-MAC-30-M or the flight is delayed en-route and a revised estimate cannot be determined automatically.	Compliant
4.2.3.	Completion of the abrogation of coordination process including confirmation from the receiving unit shall be provided at the transferring unit.	OLDI-MAC-160-M	If the MAC message can be associated with a flight plan within the receiving system and can be processed, a LAM message shall be transmitted in acknowledgement.	Compliant
4.2.4.	Failure of the abrogation of coordination process to confirm completion, within the applicable quality of service requirements,	OLDI-MAC-180-M	If ATC co-ordination is being abrogated and no LAM message is received, a warning shall be displayed at the	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	shall result in a warning at the working position responsible for the coordination of the flight within the transferring unit.		ATC position responsible for the co-ordination.	
4.2.5.	The abrogation of coordination information shall be made available at the appropriate working position in the notified unit or in the unit with which the coordination is cancelled.	OLDI-MAC-140-M	The working position(s) in the receiving ATC unit which are provided with flight details shall be notified of the abrogation. <i>the basic flight plan data stored in the receiving ATC unit remains unchanged upon reception of a MAC message.</i>	Compliant
5.	BASIC FLIGHT DATA			
5.1.	Flight information concerned			
5.1.1.	The information subject to the basic flight data process shall provide as a minimum: — aircraft identification, — SSR mode and code.	OLDI-BFD-10-M	The BFD message shall contain the following items of data: Message Type; Message Number; Aircraft Identification; SSR Mode and Code.	Compliant
5.1.2.	Any additional information provided by the basic flight data process shall be subject to bilateral agreement.	OLDI-BFD-20-M	If bilaterally agreed, the BFD message shall contain any of the following items of data: Departure Aerodrome; Destination Aerodrome; Number and Type of Aircraft; Route Points;	Compliant

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			<p>Equipment Capability and Status other flight data; Requested Flight Level; (see OLDI-BFD-60-O); Speed. (see OLDI-BFD-60-O); Route; Cleared Flight Level; Estimated Off-Block Time; Flight Type.</p> <p><i>The Other Flight Data item may contain any Item of the ICAO FPL or any other bilaterally agreed data item.</i></p>	
5.2.	Rules of application			
5.2.1.	The basic flight data process shall be performed automatically for each eligible flight.	OLDI-BFD-80-M	The BFD shall be transmitted automatically for each flight meeting the bilaterally agreed conditions for notification of the interested units.	Compliant
5.2.2.	The eligibility criteria for basic flight data shall be in accordance with the letters of agreement.	OLDI-BFD-80-M	The BFD shall be transmitted automatically for each flight meeting the bilaterally agreed conditions for notification of the interested units.	Compliant
5.2.3.	Completion of the basic flight data process including confirmation from the receiving unit shall be provided to the supplying unit.	OLDI-BFD-130-M	The BFD shall be acknowledged by a LAM message.	Compliant
5.2.4.	Failure of the basic flight data process to confirm completion, within the applicable	OLDI-BFD-140-M	If no acknowledgement has been received within a specified time, a warning shall be displayed at the	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	quality of service requirements, shall result in a warning at the appropriate working position within the supplying unit.		appropriate position.	
6.	CHANGE TO BASIC FLIGHT DATA			
6.1.	Flight information concerned			
6.1.1.	The Change to basic flight data process shall ensure association with the flight previously subject to a basic flight data process.	OLDI-CFD-40-M	A CFD shall only be sent for a flight, which has previously been notified by a BFD.	Compliant
		OLDI-CFD-50-M	The Message Reference in a CFD shall contain the Message Number of the BFD exchanged between the two units for the subject flight.	Compliant
6.1.2.	Any other information subject to the change to basic flight data process and the associated criteria for its provision shall be subject to bilateral agreement.	OLDI-CFD-20-M	If bilaterally agreed, the CFD message shall contain any of the following items of data: SSR Mode and Code; Departure Aerodrome; Destination Aerodrome; Number and Type of Aircraft; Route Points; Assigned Heading/Track or Direct Clearance; Assigned Speed; Assigned Rate of Climb/Descent; Release Indication;	Compliant

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			Other flight data; Route; Cleared Flight Level. <i>The Other Flight Data item may contain any Item of the ICAO FPL or any other bilaterally agreed data item.</i>	
6.2.	Rules of application			
6.2.1.	A change to basic flight data process shall only take place for a flight which has previously been notified by a basic flight data process.	OLDI-CFD-40-M	A CFD shall only be sent for a flight, which has previously been notified by a BFD.	Compliant
6.2.2.	A change to basic flight data process shall be initiated automatically in accordance with the bilaterally agreed criteria.	OLDI-CFD-90-M	A CFD shall be transmitted automatically whenever a significant change occurs to flight data which was sent previously or when data that requires notification by bilateral agreement, is added.	Compliant
6.2.3.	Completion of the change to basic flight data process including confirmation from the receiving unit shall be provided to the supplying unit.	OLDI-CFD-110-M	If a corresponding flight plan is found and no discrepancy that would inhibit correct processing is found, an acknowledgement shall be returned.	Compliant
6.2.4.	Failure of the change to basic flight data process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the supplying unit.	OLDI-CFD-150-M	If no acknowledgement has been received within the specified time, a warning shall be displayed at the appropriate position.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
6.2.5.	The change to basic flight data information shall be made available at the appropriate working position in the receiving unit.	OLDI-CFD-120-M	If a corresponding flight plan is found and no discrepancy that would inhibit correct processing is found, the data shall be included in the flight plan and made available to the controller immediately.	Compliant
PART C:	REQUIREMENTS FOR OPTIONAL PROCESSES SUPPORTED BY SYSTEM INFORMATION EXCHANGES			
1.	PRE-DEPARTURE NOTIFICATION AND COORDINATION			
1.1.	Flight information concerned			
1.1.1.	The information subject to the pre-departure notification and coordination process shall include as a minimum: — aircraft identification, — SSR mode and code (if available), — departure aerodrome, — estimated take-off time or estimate data, as bilaterally agreed, — destination aerodrome, — number and type of aircraft.	OLDI-PAC-10-M	The PAC message shall contain the following items of data: Message Type; Message Number; Aircraft Identification; SSR Mode and Code; Departure Aerodrome; Estimated Take-Off Time or Estimate Data; Destination Aerodrome; Number and Type of Aircraft.	Compliant
1.1.2.	The information subject to the pre-departure notification and coordination	OLDI-PAC-20-M	A PAC message sent from a TMA control unit or an ACC shall contain the following items of data:	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	process from a terminal manoeuvring area (TMA) control unit or an ACC shall contain the following: — type of flight, — equipment capability and status.		Type of Flight; Equipment Capability and Status.	
1.1.3.	The content of the 'equipment capability and status' information shall include RVSM and 8.33 KHz capability as a minimum.	OLDI-FC-EQCS-10-M OLDI-FC-EQCS-20-M	The status of the following items shall be included: RVSM capability; 8.33kHz RTF equipage. The status of the following shall be included for State flights as specified in the Type of Flight in the flight plan for which 8.33 kHz RTF equipment is not known to be available for use: UHF equipage.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
1.1.4.	The 'equipment capability and status' information may contain other items as bilaterally agreed by the letters of agreement.	OLDI-FC-EQCS-30-M	<p>If bilaterally agreed the status of the following items shall be included:</p> <p style="padding-left: 40px;">B-RNAV & P-RNAV; Mode S capability and status.</p> <p><i>The Mode S capability and status will be expressed using the ICAO designator (the letter S) for Mode S transponders including both pressure altitude and aircraft identification transmission. This is justified by the fact that in Europe most aircraft will be equipped with the transmission of the pressure altitude capability, although this is not significant for transmission within the OLDI context. Flights for which the FPL was filed with the letter I in field 10 may also be considered Aircraft Identification capable.</i></p>	Compliant
1.2.	Rules of Application			
1.2.1.	Pre-departure notification and coordination process shall take place one or more times for each eligible flight planned to cross the boundaries where the flight time from departure to the coordination point would not allow sufficient time for the initial	OLDI-PAC-50-M	One or more PAC messages shall be sent for each flight planned to cross the boundary of areas of responsibility where the time from departure to the COP would not permit the ACT message to be sent at the required time.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	coordination or notification processes to be executed.			
1.2.2.	Eligibility criteria for cross boundary pre-departure notification and coordination of flights shall be in accordance with the letters of agreement.	OLDI-PAC-240-M	PAC message transmission shall be initiated by a start-up, push-back, taxi or ETOT confirmed event.	Compliant
1.2.3.	The pre-departure notification and coordination process shall take place again each time there is a change to any item of the data subject to the previous pre-departure notification and coordination process before departure.	OLDI-PAC-120-M	A revised PAC message shall be sent if, before departure, there is a change to any item of data included in the previous PAC message.	Compliant
1.2.4.	Completion of the pre-departure notification and coordination process including confirmation from the receiving unit shall be provided at the transferring unit.	OLDI-PAC-170-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, an acknowledgement shall be returned.	Compliant
		OLDI-PAC-190-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, an acknowledgement shall be returned.	Compliant
1.2.5.	Failure of the pre-departure notification and coordination process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the working position responsible for the	OLDI-PAC-250-M	If no LAM message is received as an acknowledgement for a PAC message, a warning shall be displayed at the position in the ATC unit responsible for co-ordination with the next unit.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	notification/coordination of the flight within the transferring unit.			
1.2.6.	The pre-departure notification and coordination information shall be made available at the appropriate working position in the notified unit.	OLDI-PAC-160-M	If a corresponding flight plan is found and no discrepancy is present in the message that would inhibit correct processing, the required data shall be output at operational ATC and other positions as appropriate;	Compliant
		OLDI-PAC-180-M	If a corresponding flight plan cannot be found, or a discrepancy is found that inhibits correct processing of the message and the sector responsible for accepting control of the flight can be identified, the operational content of the message shall be displayed at the appropriate working position.	Compliant
2.	CHANGE OF FREQUENCY			
2.1.	Flight information concerned			
2.1.1.	The information subject to the change of frequency process shall include as a minimum: — aircraft identification.	OLDI-COF-10-M	The COF message shall contain the following items of data: Message Type; Message Number; Aircraft Identification.	Compliant
2.1.2.	The information subject to the change of frequency process shall include any of the following, if available: — release indication,	OLDI-COF-20-M	The COF message shall contain any of the following items of data, if available: Release Indication;	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	<ul style="list-style-type: none"> — cleared flight level, — assigned heading/track or direct clearance, — assigned speed, — assigned rate of climb/descent. 		Cleared Flight Level; Assigned Heading/Track or Direct Clearance; Assigned Speed; Assigned Rate of Climb/Descent.	
2.1.3.	If bilaterally agreed, change of frequency data shall contain the following: <ul style="list-style-type: none"> — current track position, — instructed frequency. 	OLDI-COF-30-M	If bilaterally agreed, the COF message shall contain the following item of data: <ul style="list-style-type: none"> Current Track Position; Frequency. 	Compliant
2.2.	Rules of application			
2.2.1.	The change of frequency process shall be manually initiated by the transferring controller.	OLDI-COF-40-M	The COF message shall be manually initiated by the transferring controller.	Compliant
2.2.2.	Completion of the change of frequency process including confirmation from the receiving unit shall be provided at the transferring ATC unit.	OLDI-COF-120-M	If the COF message can be unambiguously associated with a flight plan, it shall be acknowledged by the generation and transmission of a LAM message.	Compliant
2.2.3.	Failure of the change of frequency process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the transferring ATC unit.	OLDI-COF-140-M	If no LAM message is received as an acknowledgement for a COF message, a warning shall be displayed at the appropriate ATC position.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
2.2.4.	The change of frequency information shall be made available to the accepting controller without delay.	OLDI-COF-110-M	The reception of the COF shall be indicated to the accepting controller without delay.	Compliant
3.	MANUAL ASSUMPTION OF COMMUNICATIONS			
3.1.	Flight information concerned			
3.1.1.	The information subject to the manual assumption of communications process shall include as a minimum the aircraft identification.	OLDI-MAS-10-M	The MAS message shall contain the following items of data: Message Type; Message Number; Aircraft Identification.	Compliant
3.2.	Rules of application			
3.2.1.	The manual assumption of communications process shall be initiated by the accepting unit when communication is established.	OLDI-MAS-20-M	The MAS message shall be manually initiated by the accepting controller.	Compliant
		OLDI-MAS-30- O	The MAS message may be automatically transmitted upon reception of an air / ground "Monitoring Frequency" message.	Compliant
3.2.2.	Completion of the manual assumption of communications process including confirmation from the transferring unit shall	OLDI-MAS-70-M	If the MAS message can be unambiguously associated with a flight plan, it shall be acknowledged by the generation and transmission of a LAM message.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	be provided at the accepting ATC unit.			
3.2.3.	Failure of the manual assumption of communication process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the accepting ATC unit.	OLDI-MAS-90-M	If no LAM message is received as an acknowledgement for an MAS message, a warning shall be displayed at the appropriate ATC position, as required.	Compliant
3.2.4.	The manual assumption of communications information shall be presented immediately to the controller in the transferring unit.	OLDI-MAS-60-M	The fact that the MAS has been received shall be presented immediately to the controller.	Compliant
4.	CROSSING INTENTION NOTIFICATION			
4.1.	Flight information concerned			
4.1.1.	The information subject to the crossing intention notification process shall include as a minimum: — aircraft identification, — SSR mode and code, — number and type of aircraft, — sector in charge identifier, — crossing route including estimated times and flight levels for each point on the route.	OLDI-XIN-10-M	The XIN message shall contain the following items of data: Message Type; Message Number; Message Reference (if the message is updating an earlier XIN message) Aircraft Identification; SSR Mode and Code; Number and Type of Aircraft; Sector Identifier;	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
			Route. <i>The Sector Identifier item contains the identifier of the sector responsible for the flight.</i>	
4.2.	Rules of application			
4.2.1.	The crossing intention notification process shall be initiated manually by the controller, or automatically as described in the letters of agreement.	OLDI-XIN-100-M	The message shall be initiated at the controller's discretion or automatically, as described in the LoA.	Compliant
4.2.2.	Completion of the crossing intention notification process including confirmation from the notified unit shall be provided to the notifying unit.	OLDI-XIN-120-M	If the message can be correctly processed, the system receiving the XIN shall return an acknowledgement.	Compliant
4.2.3.	Failure of the crossing intention notification process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the notifying unit.	OLDI-XIN-160-M	If no LAM has been received within a specified time, a warning shall be displayed at the appropriate position.	Compliant
4.2.4.	The crossing intention notification information shall be made available at the appropriate working position in the notified unit.	OLDI-XIN-130-M	If the message can be correctly processed, the system receiving the XIN, the data shall be made available to the controller immediately.	Compliant
5.	CROSSING CLEARANCE REQUEST			

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
5.1.	Flight information concerned			
5.1.1.	The information subject to the crossing clearance request process shall include as a minimum: — aircraft identification, — SSR mode and code, — number and type of aircraft, — sector in charge identifier, — crossing route including estimated times and flight levels for each point on the route.	OLDI-XRQ-10-M	The XRQ message shall contain the following items of data: Message Type; Message Number; Message Reference (if modifying an earlier agreed crossing); Aircraft Identification; SSR Mode and Code; Number and Type of Aircraft; Route; Sector Identifier. <i>The Sector Identifier item contains the identifier of the sector responsible for the flight.</i>	Compliant
5.1.2.	If bilaterally agreed, a crossing clearance request shall contain the equipment capability and status.	OLDI-XRQ-20-M	If bilaterally agreed, the XRQ message shall contain the following items of data: Departure Aerodrome; Destination Aerodrome; Equipment Capability and Status; Other Flight Plan Data.	Compliant
5.1.3.	The content of the 'equipment capability and status' information shall include RVSM	OLDI-FC-EQCS-10-M	The status of the following items shall be included: RVSM capability;	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	capability as a minimum.			
5.1.4.	The 'equipment capability and status' information may contain other items as bilaterally agreed.	OLDI-FC-EQCS-30-M	<p>If bilaterally agreed the status of the following items shall be included:</p> <p style="padding-left: 40px;">B-RNAV & P-RNAV; Mode S capability and status.</p> <p><i>The Mode S capability and status will be expressed using the ICAO designator (the letter S) for Mode S transponders including both pressure altitude and aircraft identification transmission. This is justified by the fact that in Europe most aircraft will be equipped with the transmission of the pressure altitude capability, although this is not significant for transmission within the OLDI context. Flights for which the FPL was filed with the letter I in field 10 may also be considered Aircraft Identification capable.</i></p>	Compliant
5.2.	Rules of application			
5.2.1.	The crossing clearance request shall be initiated at the controller's discretion, in accordance with the conditions specified in	OLDI-XRQ-120-M	The message shall be initiated at the controller's discretion, in accordance with the conditions specified in the LoA.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
	the letters of agreement.			
5.2.2.	Completion of the crossing clearance request process including confirmation from the unit receiving the request shall be provided to the requesting unit.	OLDI-XRQ-130-M	If the message can be correctly processed, the system receiving the XRQ shall return an acknowledgement.	Compliant
5.2.3.	Failure of the crossing clearance request process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the requesting unit.	OLDI-XRQ-170-M	If no SBY message has been received within a specified time, a warning shall be displayed at the appropriate position.	Compliant
5.2.4.	The crossing clearance request information shall be made available at the appropriate working position in the unit receiving the request.	OLDI-XRQ-140-M	If the message can be correctly processed, the data shall be made available to the controller immediately.	Compliant
5.3.	Operational reply			
5.3.1.	A crossing clearance request process shall be replied to by: — an acceptance of the proposed route/airspace crossing details, or, — a counter-proposal including different route/airspace crossing details as specified in section 6 below, or — a rejection of the proposed route/airspace crossing details.	OLDI-XRQ-180-M	An XRQ shall be replied to by an ACP accepting the proposed route/area crossing details; an XAP counter-proposing different route/area crossing details; an RJC rejecting the proposed route/area crossing details.	Compliant

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Heading Nr.	Description	Requirement Nr.	Description	Compliance Status
5.3.2.	If an operational reply is not received within a bilaterally agreed time interval a warning shall be issued at the appropriate working position within the requesting unit.	OLDI-XRQ-190-O	When no operational reply is received, a verbal co-ordination may be initiated.	Compliant
		OLDI-XRQ-200-O	When no operational reply is received and a verbal co-ordination is initiated, if necessary, an XCM may be sent by the unit in charge of the flight to indicate that the current system dialogue is terminated.	Compliant
6.	CROSSING COUNTER-PROPOSAL			
6.1.	Flight information concerned			
6.1.1.	The crossing counter-proposal process shall ensure association with the flight previously subject to coordination.	OLDI-XAP-10-M	The XAP message shall contain the following items of data: Message Type; Message Number; Message Reference; Aircraft Identification; Route.	Compliant
		OLDI-XAP-70-M	The system receiving the XAP shall attempt association with the corresponding message.	Compliant
6.1.2.	The information subject to the crossing counter-proposal process shall include as a minimum: — aircraft identification, — crossing route including estimated times	OLDI-XAP-10-M	The XAP message shall contain the following items of data: Message Type; Message Number;	Compliant

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	and flight levels for each point on the route.		Message Reference; Aircraft Identification; Route.	
6.2.	Rules of application			
6.2.1.	The counter-proposal shall include a proposed new flight level and/or route.	OLDI-XAP-30-M	The counter-proposal shall include a proposed new flight level for at least one point mentioned either in the XRQ message to which it is replying for, or in the agreed route/area crossing details it aims to modify.	Compliant
		OLDI-XAP-40-O	Only flight levels (FL, SFL, Flight Level Block) may be changed.	Compliant
6.2.2.	Completion of the crossing counter-proposal process including confirmation from the original requesting unit shall be provided to the counter proposing unit.	OLDI-XAP-80-M	If that message is found and there is no discrepancy that would inhibit correct processing, an acknowledgement shall be returned.	Compliant
6.2.3.	Failure of the crossing counter-proposal process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the counter proposing unit.	OLDI-XAP-120-M	If no acknowledgement has been received within a specified time, a warning shall be displayed at the appropriate position.	Compliant
6.2.4.	The crossing counter-proposal information shall be made available at the appropriate working position in the original requesting	OLDI-XAP-90-M	If that message is found and there is no discrepancy that would inhibit correct processing, the content of the XAP shall be made available to the controller immediately.	Compliant

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	unit.			
6.3.	Operational reply			
6.3.1.	The confirmation of the successful processing of the crossing counter-proposal information in the original requesting unit shall be followed by an operational reply from the original requesting unit.	OLDI-XAP-130-M	An XAP message shall be replied to by an ACP message when the proposed route/area crossing details are accepted. a RJC message when the proposed route/area crossing details are rejected. <i>Both replies terminate the dialogue.</i>	Compliant
6.3.2.	The operational reply to a crossing counter-proposal shall be an acceptance or rejection as appropriate.	OLDI-XAP-130-M	An XAP message shall be replied to by an ACP message when the proposed route/area crossing details are accepted. a RJC message when the proposed route/area crossing details are rejected. <i>Both replies terminate the dialogue.</i>	Compliant
6.3.3.	If an operational reply is not received within a bilaterally agreed time interval a warning shall be issued at the appropriate working position within the counter proposing unit.	OLDI-XAP-140-O	When no operational reply is received, a verbal co-ordination may be initiated.	Compliant
		OLDI-XAP-150-O	When no operational reply is received and if necessary, an XCM may be sent by the unit in charge of the airspace to indicate that the current system dialogue is terminated.	Compliant
7.	CROSSING CANCELLATION			

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7.1.	Flight information concerned			
7.1.1.	The crossing cancellation process shall ensure association with the previous notification or coordination process that is cancelled.	OLDI-XCM-60-M	The message reference shall contain the message number of the BFD, XIN, XRQ or XAP message transmitted and acknowledged for the flight, it intends to abrogate.	Compliant
		OLDI-XCM-10-M	The XCM message shall contain the following items of data: Message Type; Message Number; Message Reference; Aircraft Identification;	Compliant
7.2.	Rules of application			
7.2.1.	A crossing cancellation process shall be initiated by the unit responsible for the flight when one of the following occurs: — the flight previously notified by a basic flight data process will now not enter the airspace of the notified unit or is no longer of interest to the notified unit, — the crossing will not be executed on the route expressed in the crossing notification information, — the crossing will not be executed according to the conditions under	OLDI-XCM-30-M	An XCM shall be sent by the unit responsible for the flight when one of the following occurs: the flight will not enter the addressed unit's airspace after a BFD/CFD; the crossing will not be executed on the route expressed in the XIN; the crossing will not be executed according to the conditions under negotiation or according to the conditions agreed after an airspace crossing dialogue.	Compliant

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	negotiation or according to the conditions agreed after an airspace crossing dialogue.			
7.2.2.	A crossing cancellation process shall be triggered automatically or manually by a controller input in accordance with the letters of agreement.	OLDI-XCM-70-M	The XCM shall be triggered automatically or manually by a controller input.	Compliant
		OLDI-XCM-80-M	An XCM shall be triggered manually when it is sent to terminate the dialogue after the failure to receive an operational reply.	Compliant
7.2.3.	Completion of the crossing cancellation process including confirmation from the notified/requested unit shall be provided at the cancelling unit.	OLDI-XCM-90-M	If the message can be associated with the corresponding message or flight plan and can be processed, an acknowledgement shall be returned.	Compliant
7.2.4.	Failure of the crossing cancellation process to confirm completion, within the applicable quality of service requirements, shall result in a warning at the appropriate working position within the cancelling unit.	OLDI-XCM-140-M	If no LAM is received in response to an XCM within a specified time, a warning shall be displayed at the appropriate position.	Compliant
7.2.5.	The crossing cancellation information shall be made available at the appropriate working position in the notified/requested unit.	OLDI-XCM-100-M	If the message can be associated with the corresponding message or flight plan and can be processed, the content of the message shall be made available to the controller immediately.	Compliant

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