

# Regional ATFM



**Dr. Hsin Chen Chung**

**ATFM Workshop Asia Pacific 2014**

**ICAO APAC RSO**

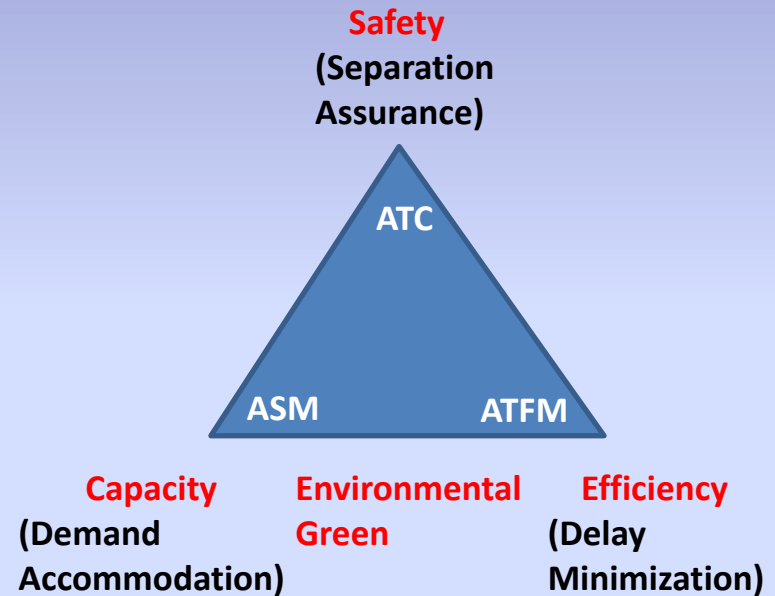
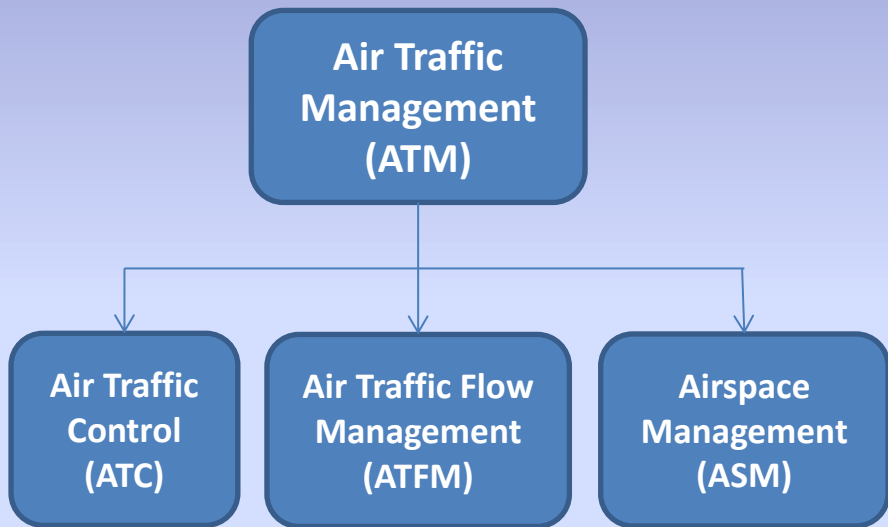
**30 October 2014**

**Air Traffic Management Research Institute**

# Roles of ATFM

- ICAO Elements of ATM

- Objectives of ATM

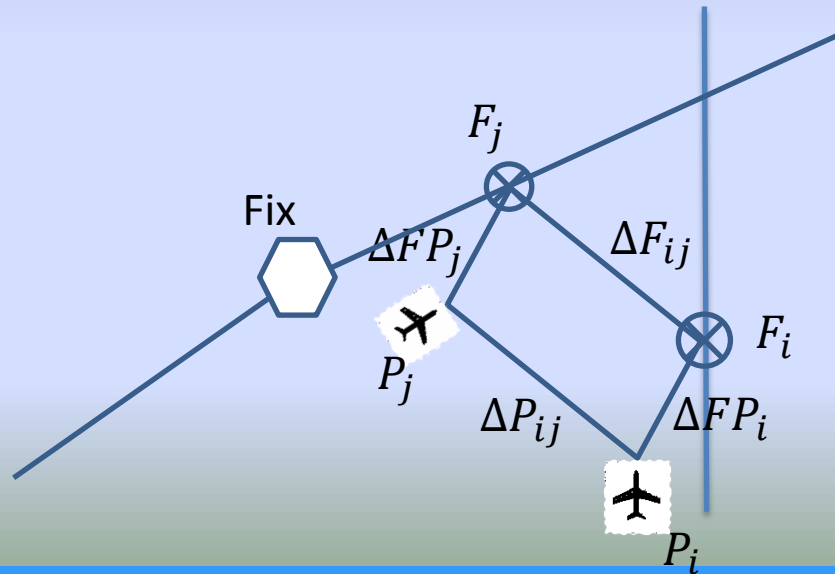


# Realities of ATFM

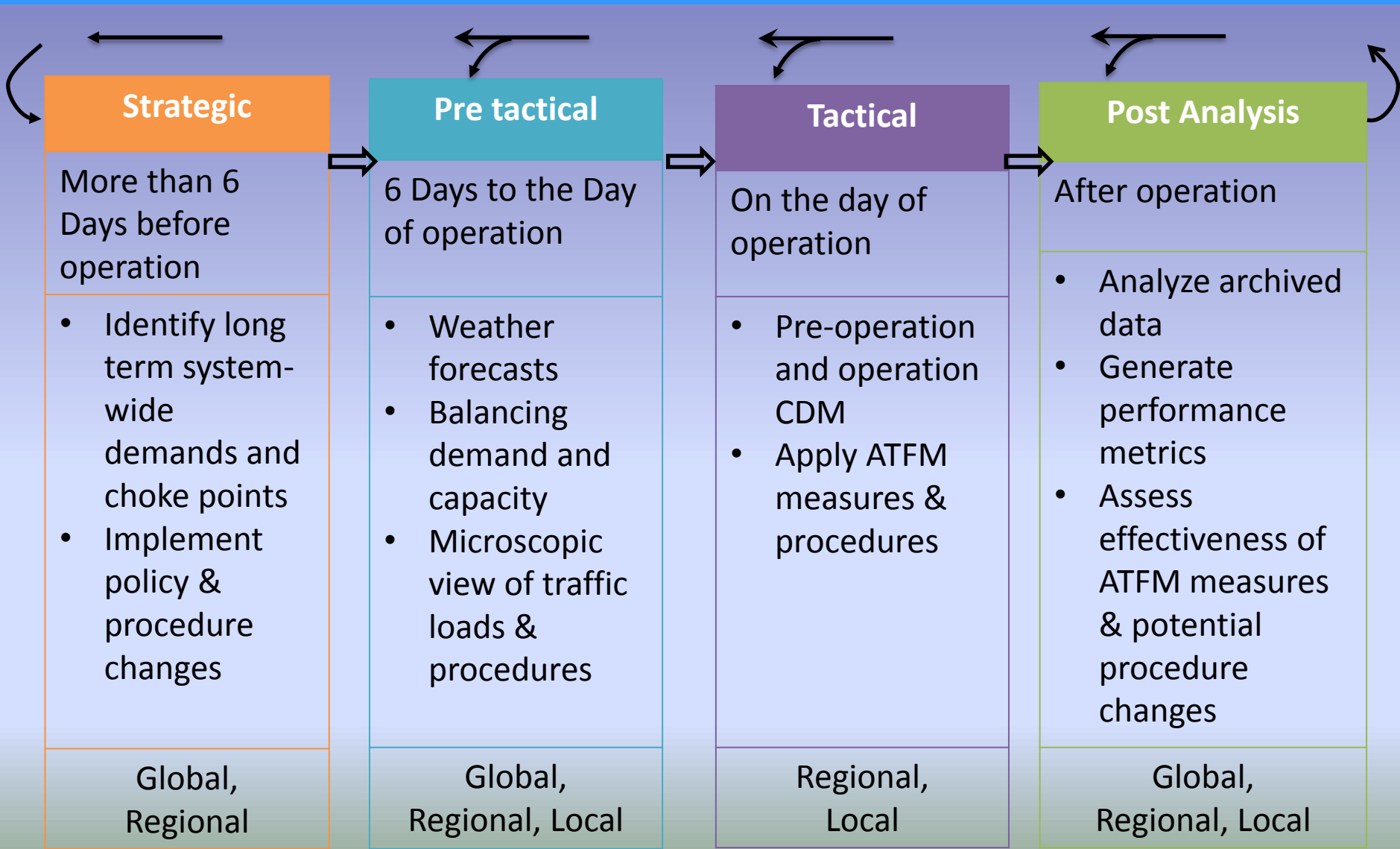
- Objective of ATFM is to efficiently control the traffic to maximize throughput and minimize delay
- Due to random, stochastic nature of air traffic, delay always exists
- As demand approaching capacity, delay exponentially increases
- Delay takes place on the book, at the gate, in the taxiway, approach area & en route airspace, and at the waypoint/fix, with different impact
- The single most significant factor causing delay is the reduction of capacity due to weather
- ATFM measures often impact on multiple services in multiple segments of flight, collaborative decision making (CDM) is necessary
- The earlier we could foresee the needs and apply the measures, the less negative impact

# Quantities of Interest to ATFM

- **Flight Plan**  $(F_i, F_j, ..)$  and **Position**  $(P_i, P_j, ..)$
- Maximizing throughput
  - Subject to: Total **Flight Plans**  $\{F_i + F_j + F_k + ..\} < \text{capacity}$
- Minimizing delay, i.e. total **Flight Plan Deviation** :  $\text{Min}\{\Delta FP_i + \Delta FP_j + \Delta FP_k + ..\}$ 
  - Subject to conflict free Flight Plan Separation:  $\Delta F_{ij} > \text{separation standards}$
  - Subject to hazard free **Position Separation**:  $\Delta P_{ij} > \text{separation standards}$
- Satisfying environmental greenness, i.e. minimizing **Fuel Burn & Emission**



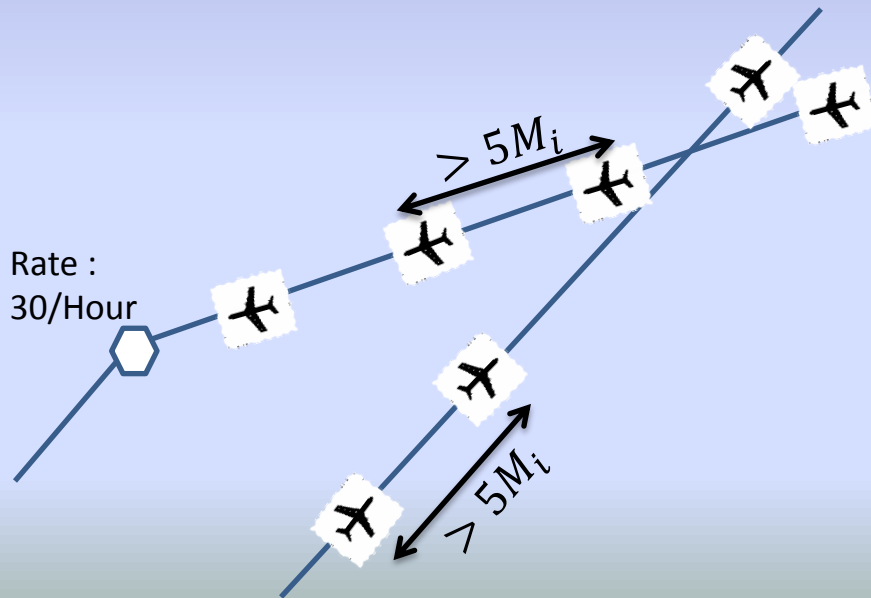
# ATFM Measures Timeline



# ATFM Measures

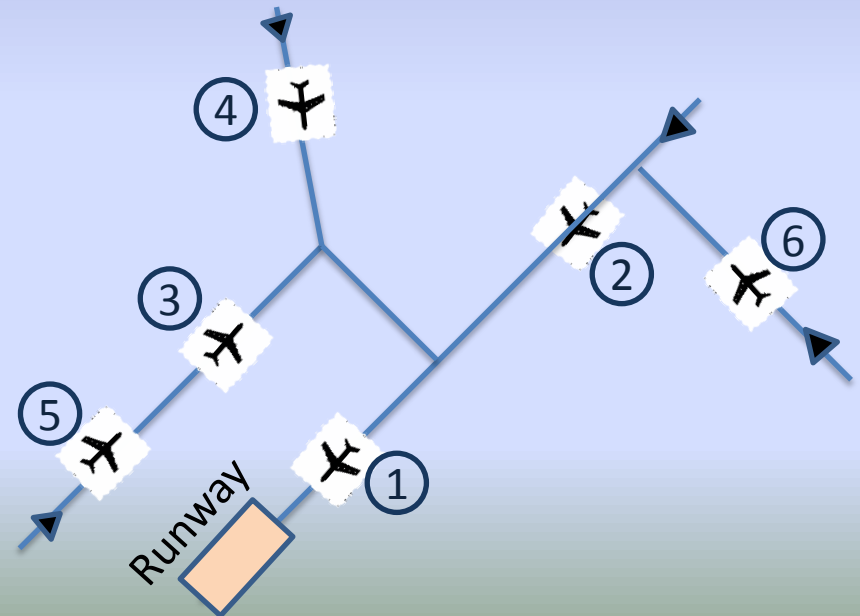
- **Metering and Spacing**

- Maximize the rate of traffic flow while satisfying the separation standards
- Through distance based (miles-in-trail) or time based (minutes-in-trail) measures such as speed control, fix balancing or airborne holding



- **Sequencing**

- Optimize the sequence of traffic flow to minimize delay and environmental impact
- Arrival Sequencing Program (ASP), Departure Sequencing Program (DSP), En Route Spacing & Sequencing Program (ESSP)



# ATFM Measurements (Continued)

- Scheduling

- Optimal delivery of traffic flow with precision
- Time of arrival specified at fixes, control hand off & runway threshold

- 4-D Trajectory

- Optimal delivery of traffic flow with 4-D trajectory
- Multiple, point-to-point conflict free trajectories



# ATFM Measurements (Continued)

- Ground Hold/Ground Stop
  - Hold flight at the departure gate with or without a specified delay



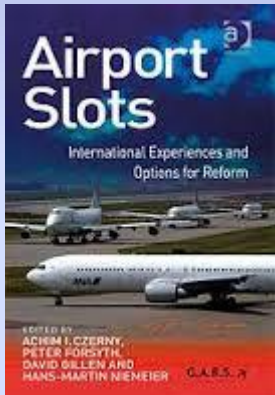
# ATFM Measurements (Continued)

- Slot Allocation System

- Define available time slots based on capacity and allocate departures or arrival into slots
- Take into account optimal sequence

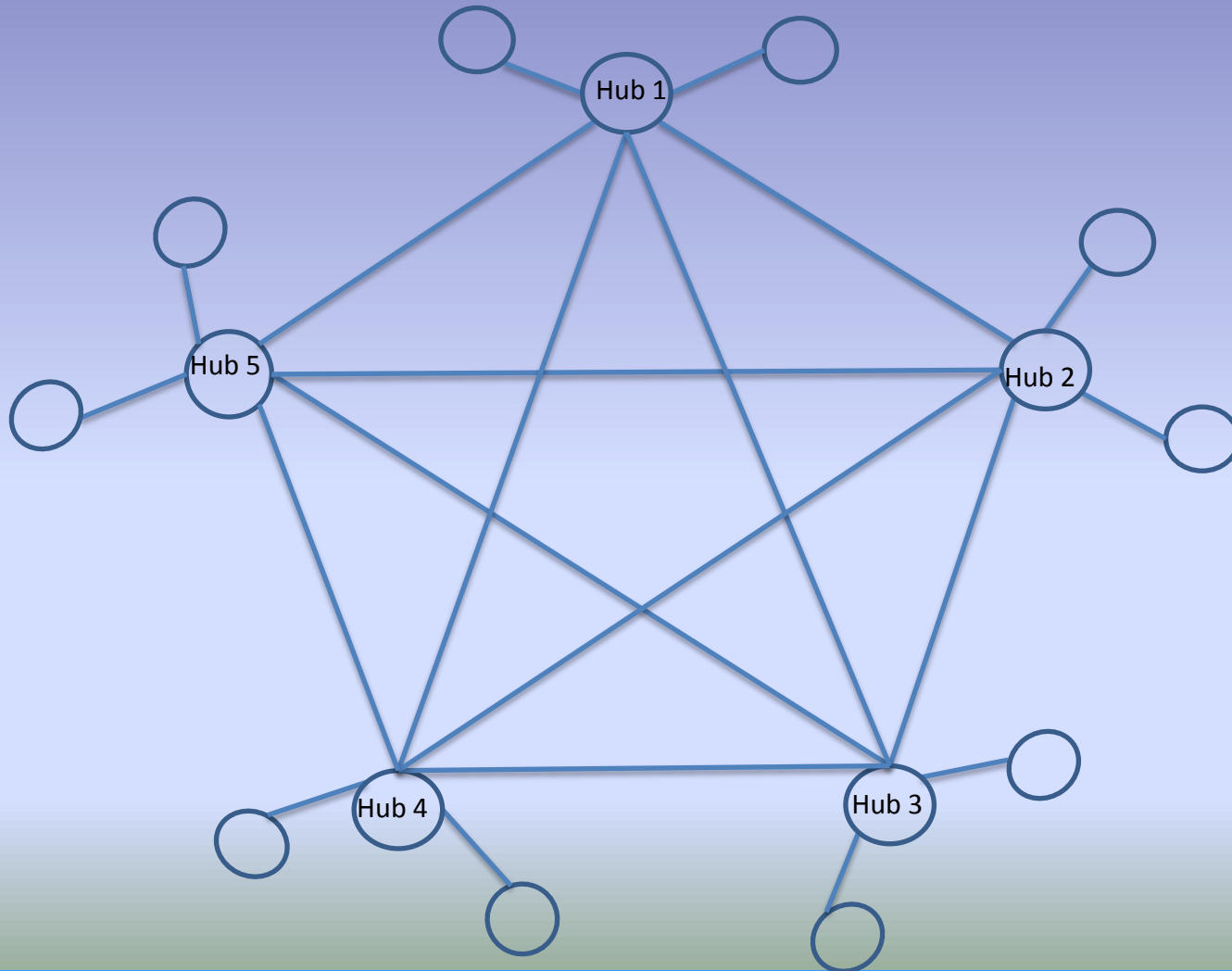
- Play Book

- Responding to changing demand and/or capacity due to Wx, airport conditions, military operation, etc.
- Through CDM, select specific measures based on pre-defined scenarios



# ATFM Measurements (Concluded)

- Traffic Network Management



# Collaboration Decision Making (CDM)



**Air Traffic Control**

- Safety
- Separation Assurance



**Air Crews**

- Operate Flight Safely
- Meet Operational Objectives



**Air Traffic Flow Management**

- Balancing System Demand / Capacity
- Efficiency, Effectiveness, Equity & Environmentally Green



**Airport Operation & Management**

- Airport Operational Control
- A-CDM
- Efficiency, Security, Safety



**Airline Planning & Dispatch**

- Airline Operational Control
- Fleet Safety, Efficiency & Equity



# Regional ATFM Characteristics

<b>Roles in ICAO GANP</b>	A necessary capability toward seamless ATM
<b>Relationship with Other ATM Elements</b>	- Closely related to ASM in solving capacity and efficiency issues
<b>Relationships with Local ATFM</b>	- Work along with local ATFM to effect complete ATFM
<b>Involvement in Different Phases of Flight</b>	- More in strategic, pre-tactical and post flight phases, less in tactical phase
<b>Prerequisites for Successful Operation</b>	- Bi-lateral, Multi-lateral and regional agreements; - CDM/A-CDM; SWIM

# Regional ATFM Operation

<b>Principles of Operation</b>	<ul style="list-style-type: none"><li>- Lead role for handling traffic situations with extreme situation, long-lasting cause or broad impact;</li><li>- While satisfying efficiency objective, also adhering to equity, effectiveness and transparency principles</li></ul>
<b>Interfaces</b>	<ul style="list-style-type: none"><li>- ASM, local ATFM, Wx, general aviation, airlines, and to a lesser degree, airport operations;</li></ul>
<b>Key Functions</b>	<ul style="list-style-type: none"><li>- Approval authority for inter-facility ATFM initiatives;</li><li>- Network-wide coordination planning;</li><li>- Focal point for interaction with airlines &amp; airspace users;</li><li>- Plan, execute and monitor ATFM measures/procedures;</li><li>- Balance demand/capacity, responding to large scale changes in boundary conditions;</li><li>- Assess system-wide performance</li></ul>
<b>Applicable Measures</b>	4-D trajectory, ground hold/ground stop, slot allocation, play book, traffic network management, etc.

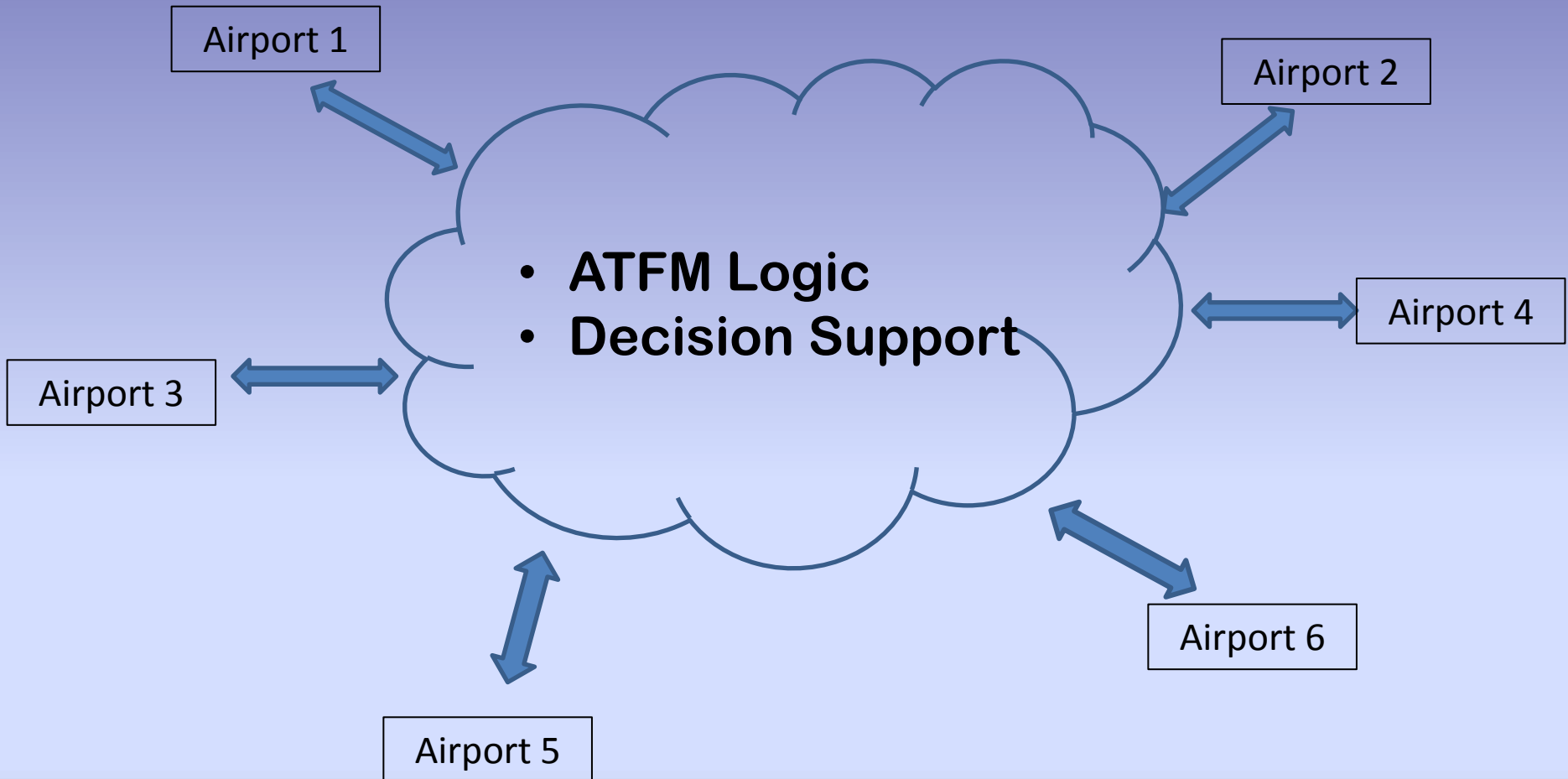
# Regional ATFM Models

<b>Centralized</b>	<ul style="list-style-type: none"><li>- Centralized planning and execution of ATFM measures in the strategic and pre-tactical phases;</li><li>- Interface directly with local ATFM facilities;</li><li>- Examples include EUROCONTROL's ATFCM and FAA's ATCSCC</li></ul>
<b>Distributed</b>	<ul style="list-style-type: none"><li>- Each local facility plans its own ATFM measures;</li><li>- Through information exchange and multi-lateral negotiation, finalize and execute ATFM measures;</li><li>- Examples include current day Asia Pacific operations</li></ul>
<b>Virtual</b>	<ul style="list-style-type: none"><li>- Each local facility selects its ATFM measures based on a common set of ATFM rules;</li><li>- Through multi-lateral information exchange, finalize and execute ATFM measures</li><li>- The ability of validating solutions rests in the cloud</li></ul>

# EUROCONTROL ATFCM & US ATCSCC



# Concept of Virtual Regional ATFM



# Conclusions

- **Regional ATFM is essential for accommodating the continued traffic growth in the Asia Pacific Region**
- **Extensive R&D effort is required for satisfying ATFM roles in balancing capacity/demand, and achieving efficiency and environmental greenness,**
- **The region needs to decide on the model that fits the regional characteristics**



# Thank You

Air Traffic Management Research Institute