



# ATFM FUA integration and CDM process

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# Outline

- Basic Concept of ATFM
- ATFM/FUA Integration
- CDM Process of FUA



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# BASIC CONCEPT OF ATFM



# WHAT IS ATFM?

- ATFM is demand/capacity balancing

**Demand:** The traffic volume  
In a certain airspace

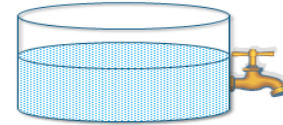


**Capacity:** The maximum number of flights  
Which can be safely managed

## Demand vs Capacity

Demand=80, Capacity=100

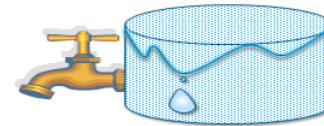
$$80/100 = \underline{80\%}$$



## Demand vs Capacity (cont'd)

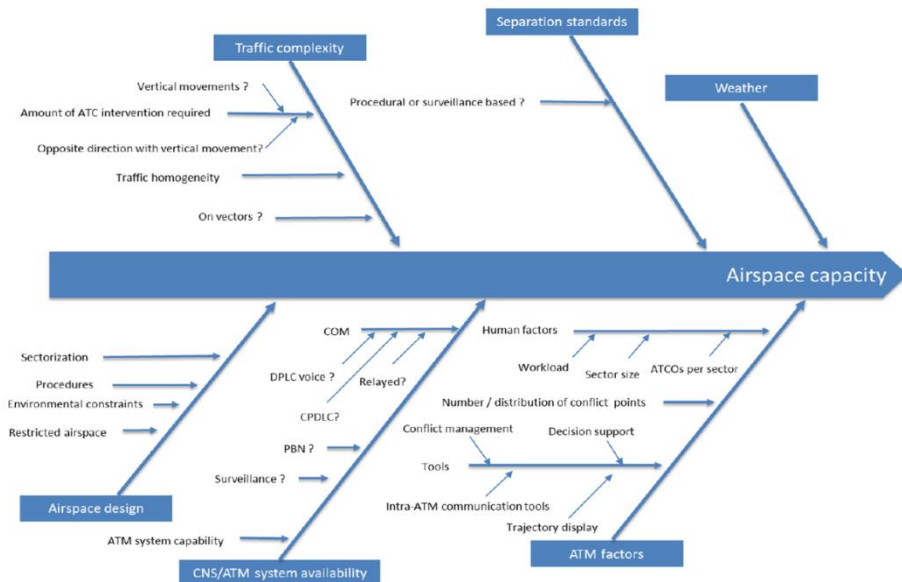
Demand=125, Capacity=100

$$125/100 = \underline{125\%} \text{ (exceeding capacity by 25\%)}$$



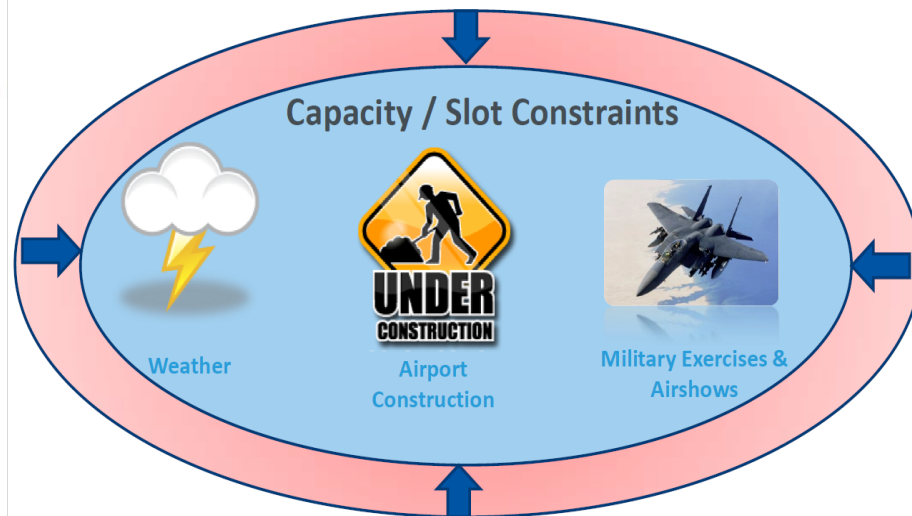


# Why we need ATFM?



1. ATC capacities are **NOT** static values and depend on many factors

2. Traffic volumes are also **NOT** static values





# The objectives of ATFM

- Enhance the safety
- Reduce ATC workload
- **Optimize** airspace capacity
- Maximize operational benefits and global efficiency





# Keys to the successful implementation

- **The CDM process is a key enabler of an ATFM strategy**
- **Achieving a robust coordination among aviation stakeholders (CDM)**
  - All the stakeholders work together to improve the overall performance of the ATM system
  - Such coordination will take place within a FIR, between FIRs, and ultimately, between regions



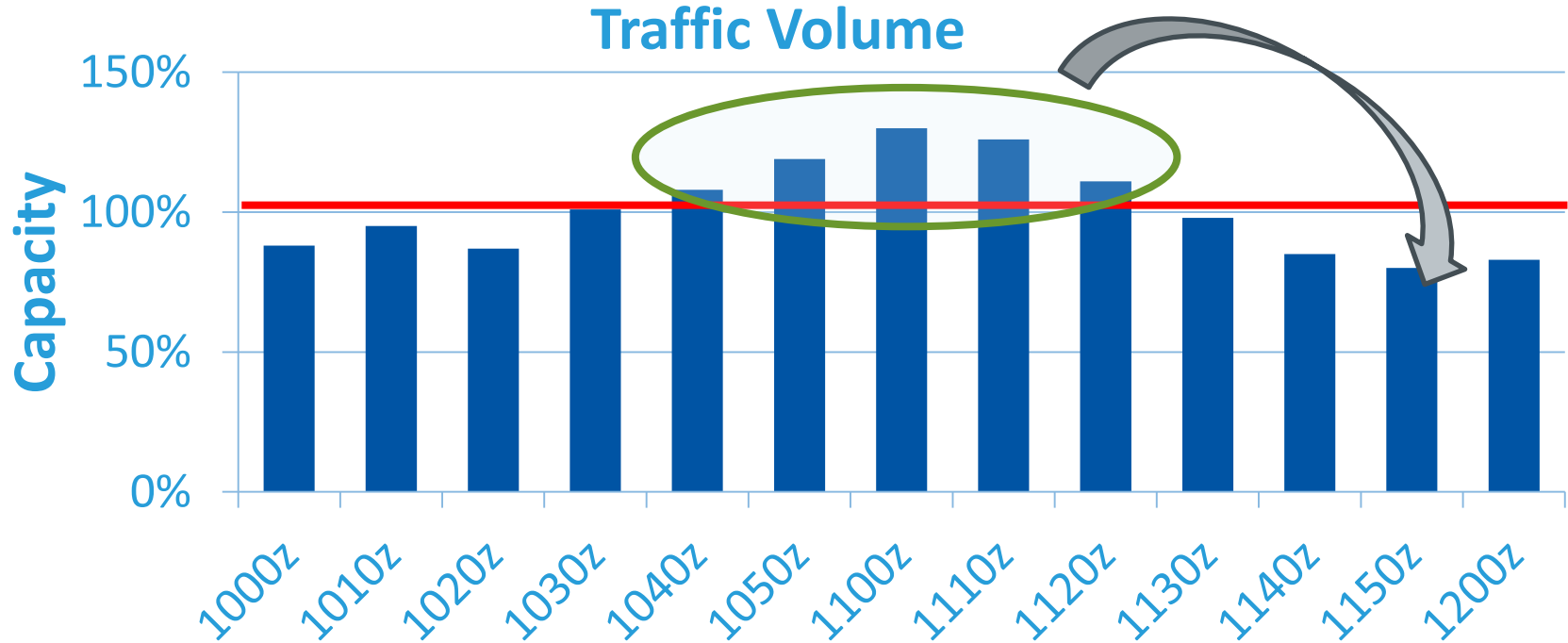


# Keys to the successful implementation

- **ATFM and its applications should NOT be restricted to one State or FIR**
  - because of their far-reaching effects on the flow of traffic elsewhere
  - PANS-ATM, Doc 4444 states that ATFM should be implemented on the basis of a regional air navigation agreement or, when appropriate, as a multilateral agreement
- **Airspace is a common resource for all users and ensure equity and transparency**



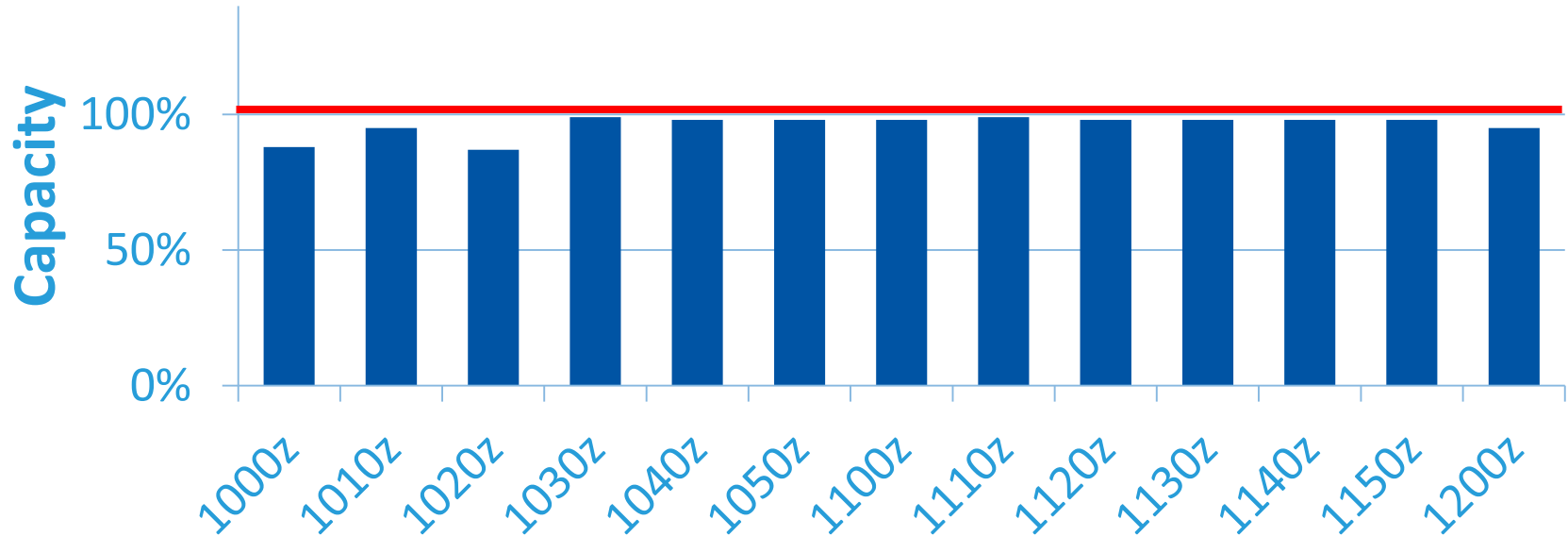
# How do you manage it?





# How do you manage it? (cont'd)

## Traffic Volume





# How do you manage it? (cont'd)

- Pre-Flight
  - Rerouting
  - Ground Delay Program
  - Ground Stop
  - Minutes in Trail



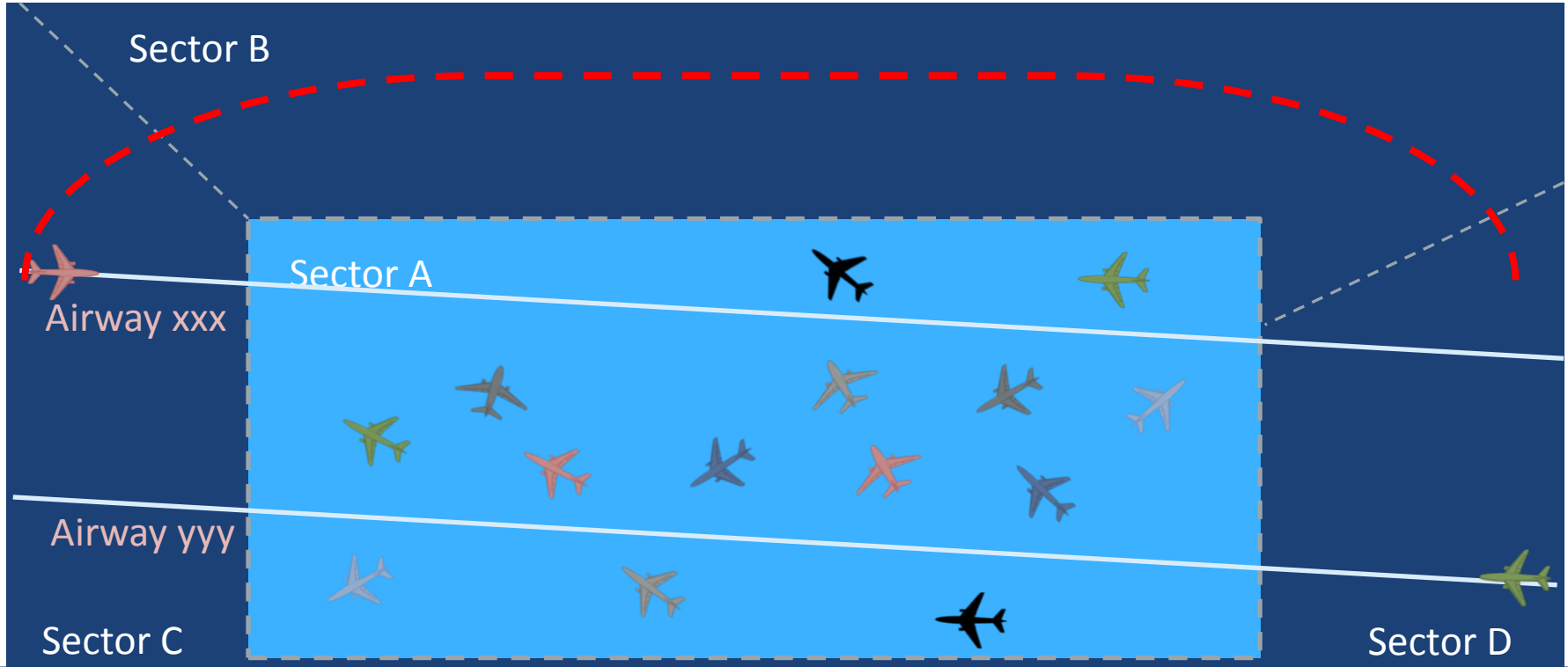
- In-Flight
  - Rerouting
  - Miles in Trail
  - Fix Balancing
  - Airborne Holding





# Rerouting

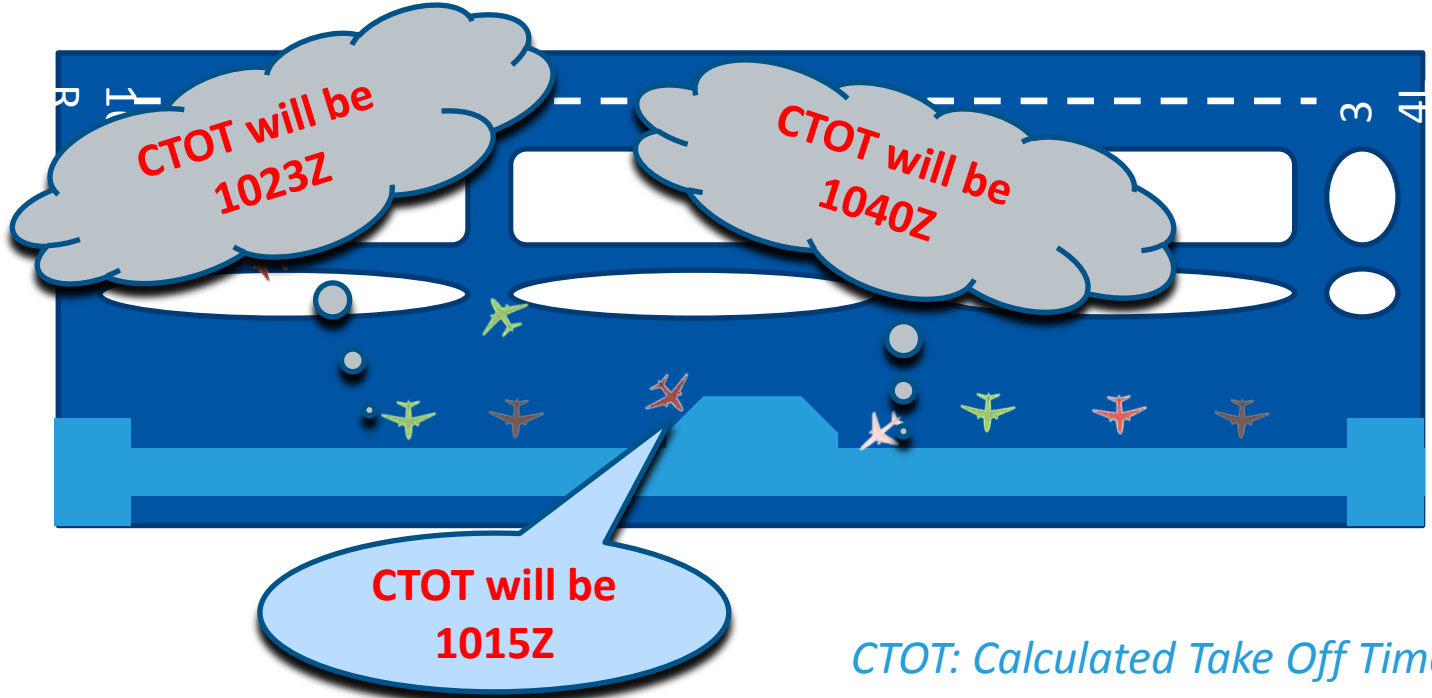
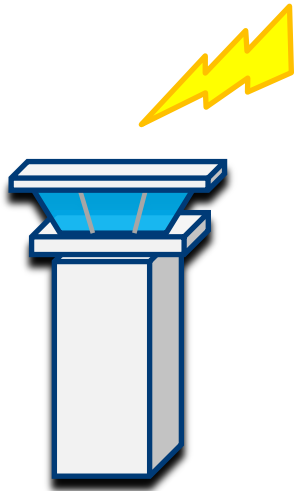
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# Ground Delay Program (GDP)

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*CTOT: Calculated Take Off Time*



- GDP minimize airborne holding
- GDP shall be carried out in a planned manner (at least a few hours prior to the over capacity)
- Delays have great impact on AUs
- CTOT have to be informed AUs **as early as possible**
- **CTOT may be modified** with AUs coordination

*CTOT: Calculated Take Off Time*

*TSAT: Target Start-up Approval Time*



# Ground Stop

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**Hold, CTOT  
unknown**

*CTOT: Calculated Take Off Time*



# Ground Stop

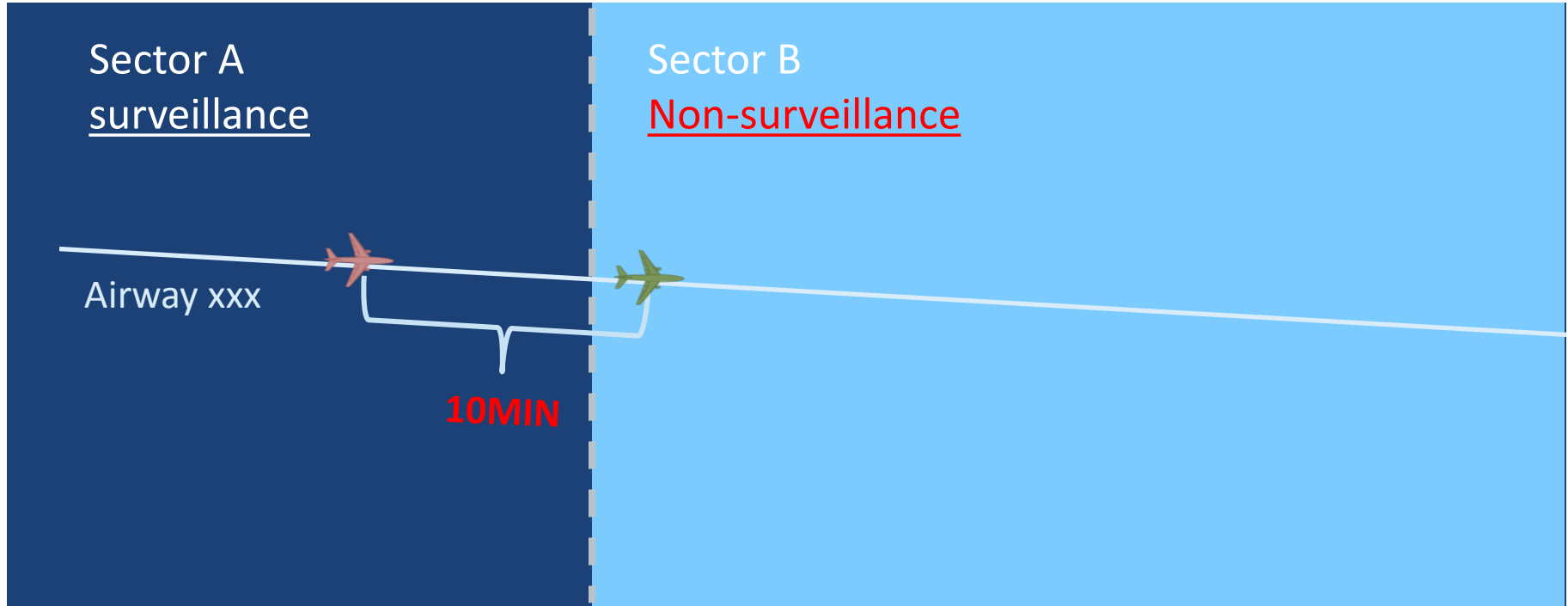
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- Ground Stop is typically used:
  - In cases **destination airports are unavailable** due to significant WX or due to accident/incident
  - To preclude extended periods of in-flight holding
  - To preclude sector reaching near saturation levels or airport gridlock
  - In the event of a **facility is unable to provide ATC services** due to unforeseen circumstances
  - In cases **routings are unavailable due to severe WX or catastrophic events**
- AUs need further information as soon as possible

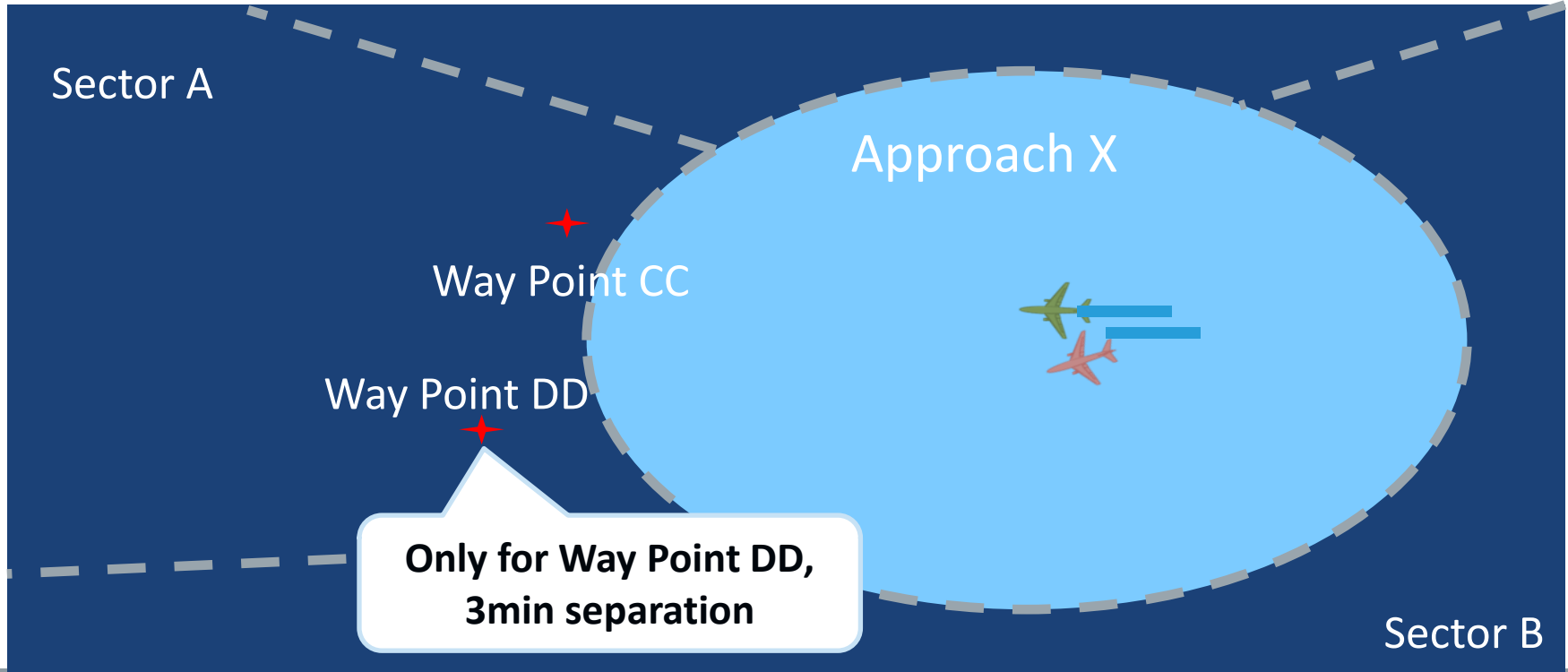


# Minutes in Trail (MINIT)





# Minutes in Trail (MINIT)



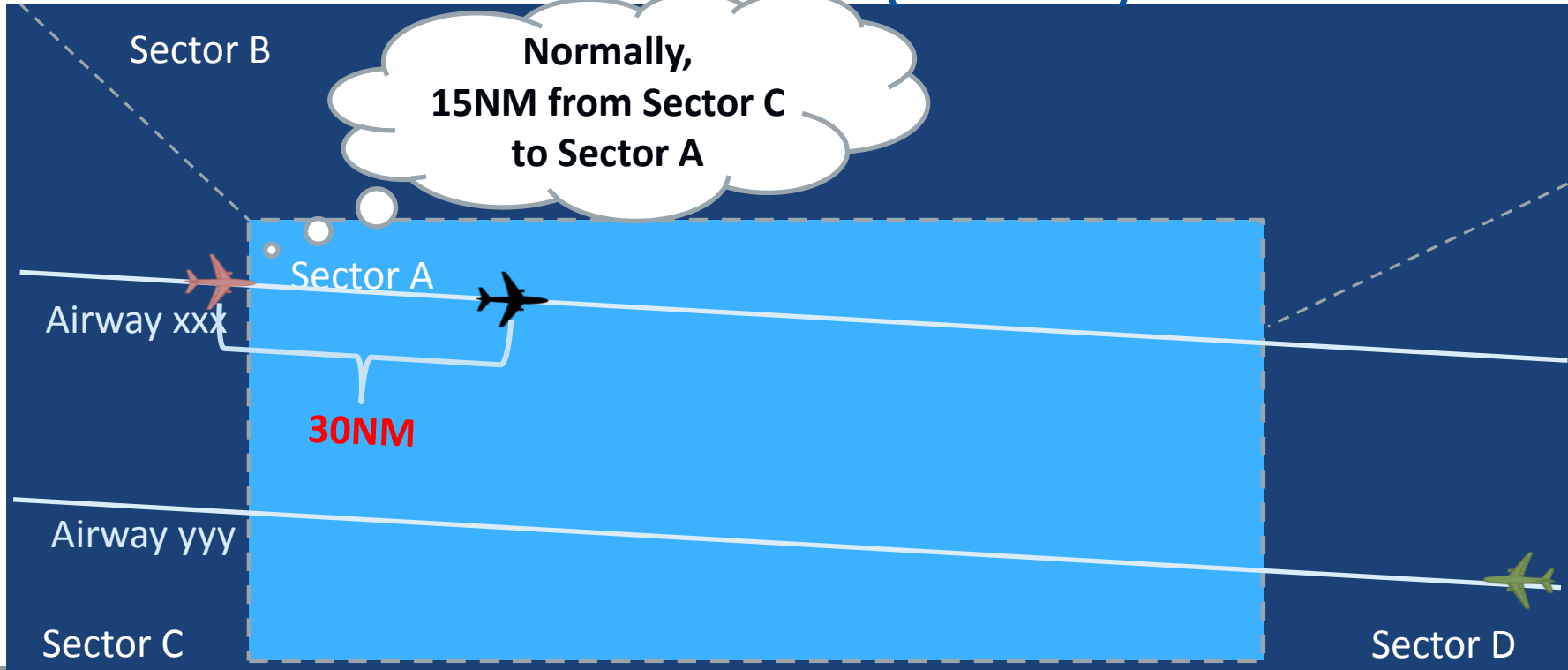


# Minutes in Trail (MINIT)

- MINIT is expressed as the number of minutes required between successive aircraft
- MINIT is normally used in airspace without surveillance, or from surveillance to non-surveillance
- MINIT is also used when the spacing interval is such that it would be **difficult for a sector controller to measure it in terms of miles**

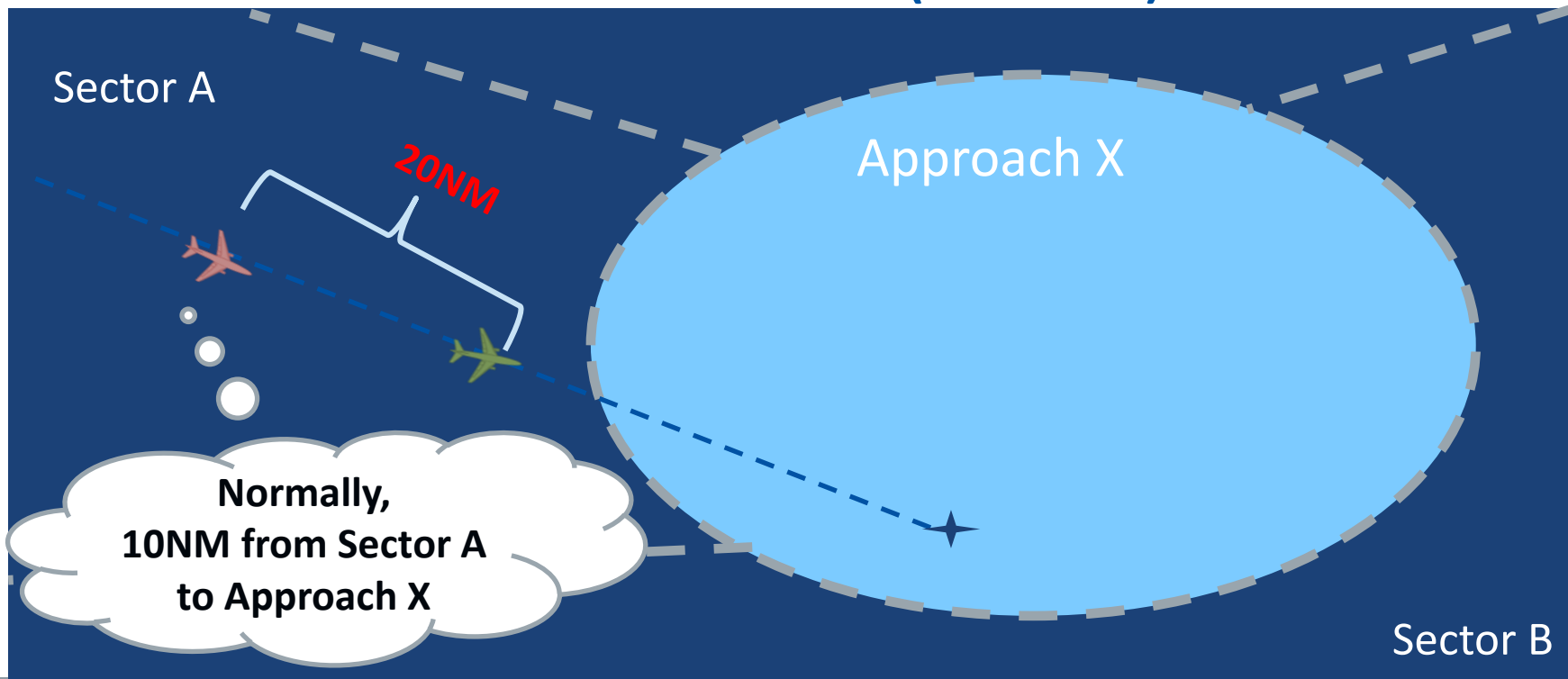


# Minutes in Trail (MINIT)





# Minutes in Trail (MINIT)



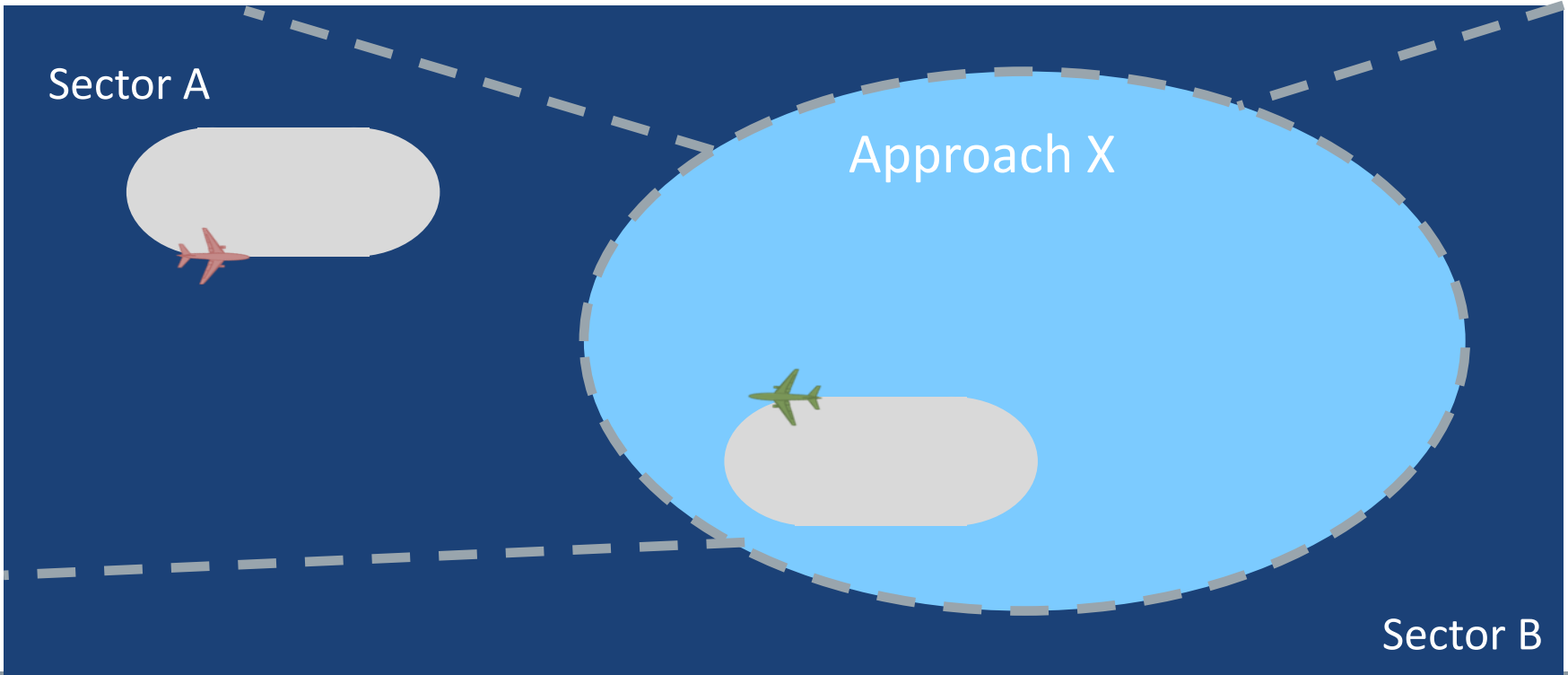


## Miles in Trail (MINIT)

- MIT is expressed as the number of miles required between aircraft (in addition to the minimum longitudinal requirements)
- MIT can be carried out without a planned manner (compared with GDP)
- MIT may increase the workload of the other sectors



# Airborne Holding





# Airborne Holding

- Airborne Holding is generally **used to cope with short notice demand** and capacity imbalances
- Airborne Holding is **complementary to ground delay programmes and ground stops**
- Airborne Holding generates high workload for ATC and pilots
- Consideration must be given to reducing sector capacity during airborne holding periods



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# ATFM/FUA INTEGRATION



## FUA PRINCIPLES

- Coordination between civil and military authorities should be carried out at the strategic, pre-tactical and tactical levels
- Consistency between ASM, ATFM and ATS should be established and maintained at three levels of ASM





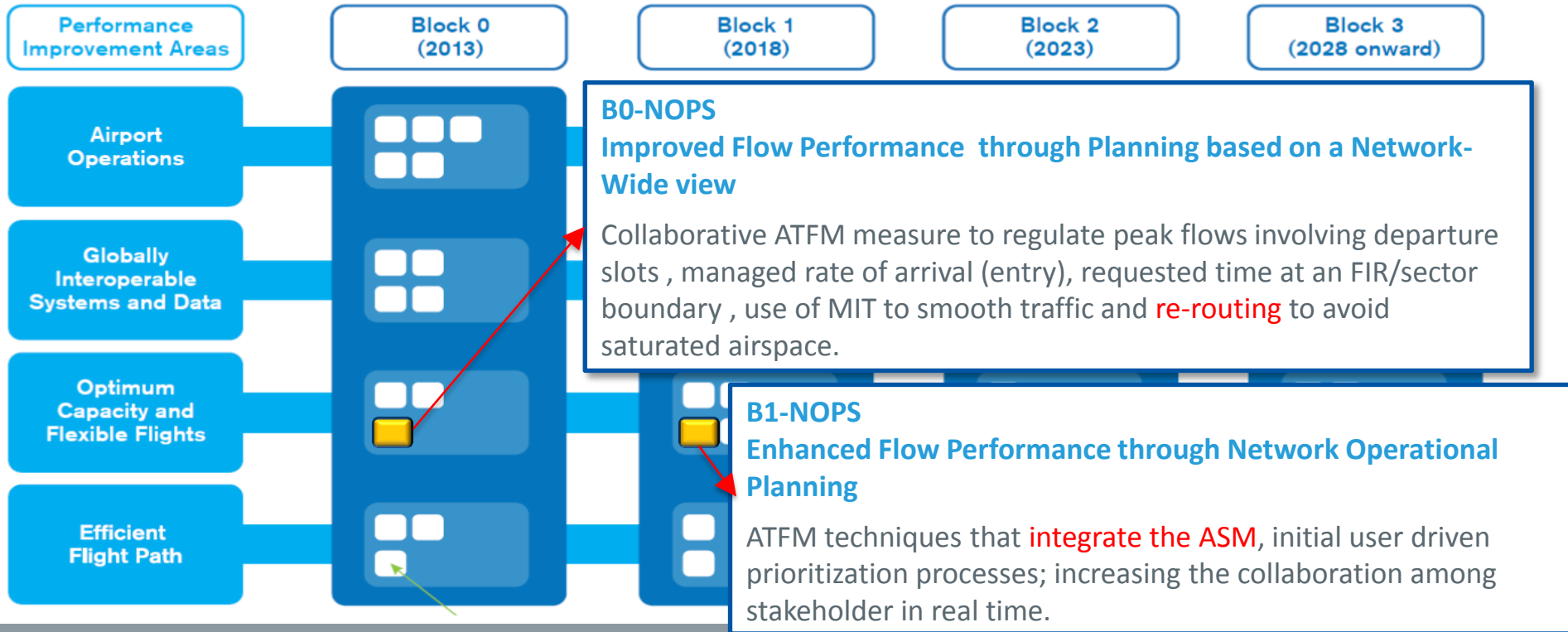
## Interoperate of ASM/ATFM/ATS

- ASM : Provide existing airspace and route structures a sufficient level of availability to address the various traffic demand
- ATFM: Ensure an optimum flow of traffic during times when demand exceeds the available capacity of the ATC system
- ATS : Organize the sectorization in accordance with available airspace and route structures.





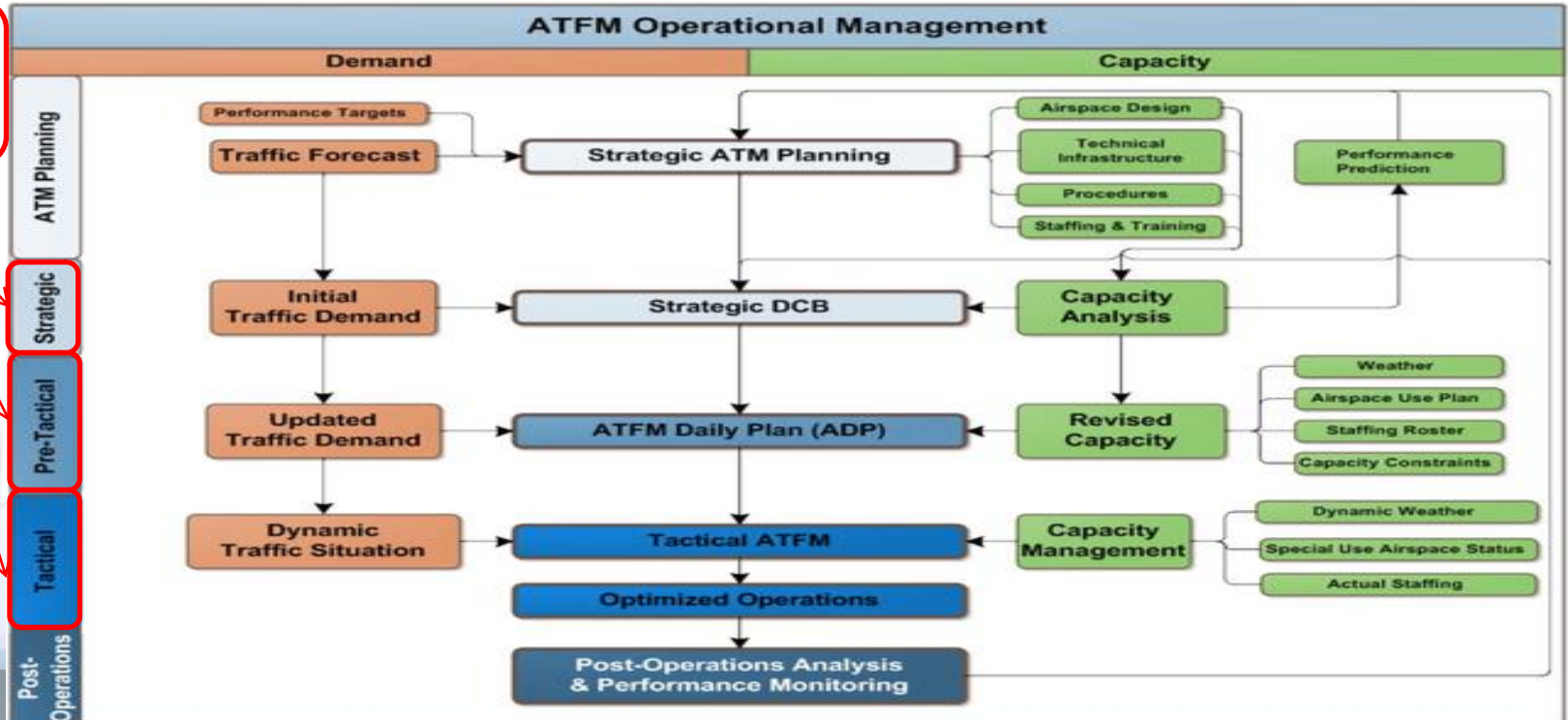
# ATFM Thread and Modules





# ATFM Phases

3 Phases of ATFM Execution





# FUA Timeline

- **Strategic phase**

- Long term airspace planning , design and publication

- New airspace and route structure
- Large scale military exercises etc.
- Usually more than 2 month prior to implementation

Collect and  
validate user  
requirements

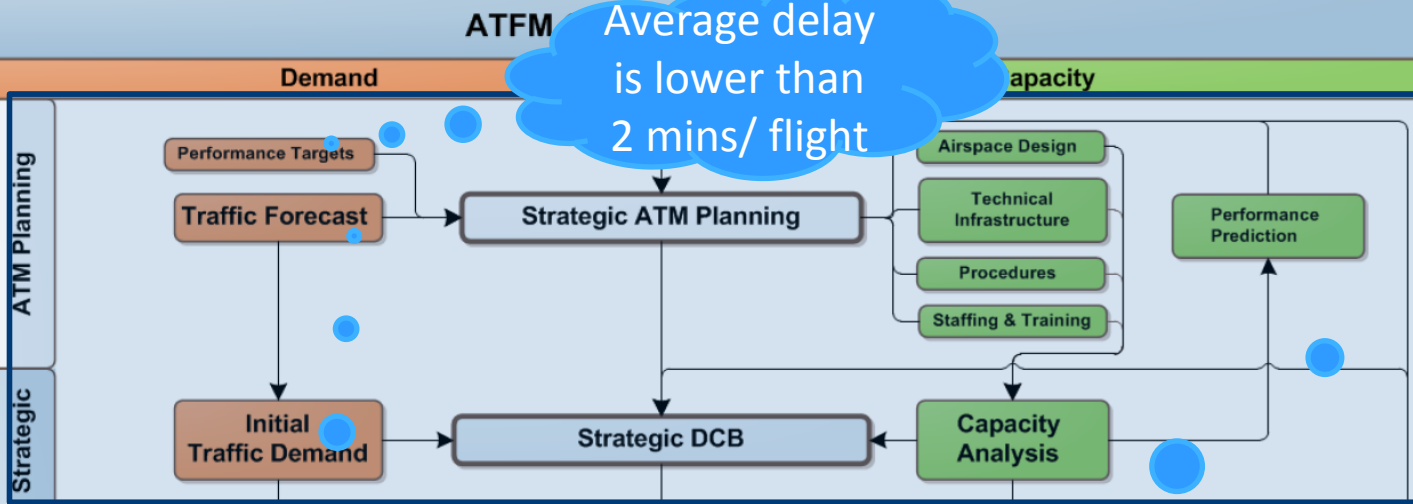
Coordinate and  
conciliate airspace  
requirements

Design and  
validate airspace  
structures

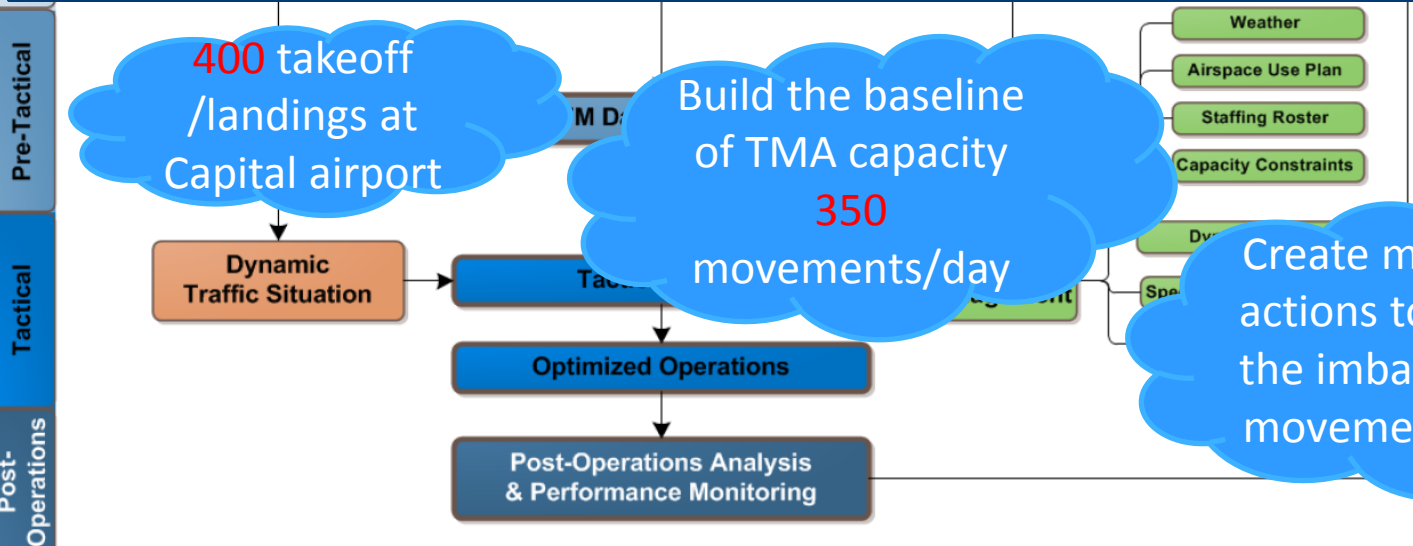
Publish and  
implement  
airspace design



ATFM Average delay is lower than 2 mins/ flight



- Review and re-assess airspace structure
- establish new flexible airspace structures
- Design airspace under FUA concept
- Optimize CMAC process



400 takeoff /landings at Capital airport

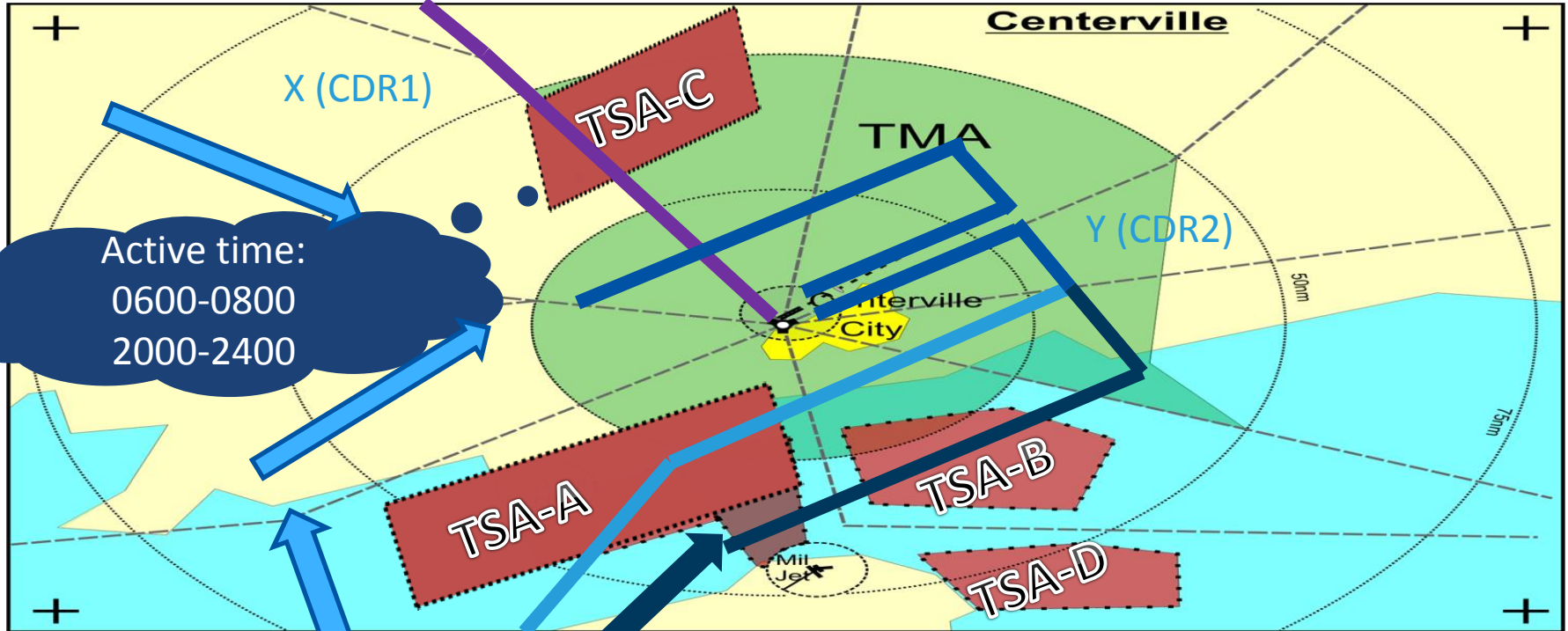
Build the baseline of TMA capacity 350 movements/day

Create mitigating actions to correct the imbalance (50 movements/day)



# Airspace Design

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# FUA Timeline

- **Pre-tactical phase**

- Day to day allocation of airspace

- Check the airspace(capacity) availability
- Coordinate and allocate the airspace based on actual needs
- Usually based on a CDM process between airspace managers(civil and military), AUs etc.
- Usually 1 day prior to operations
- Create ADP



# ATFM Operational Management

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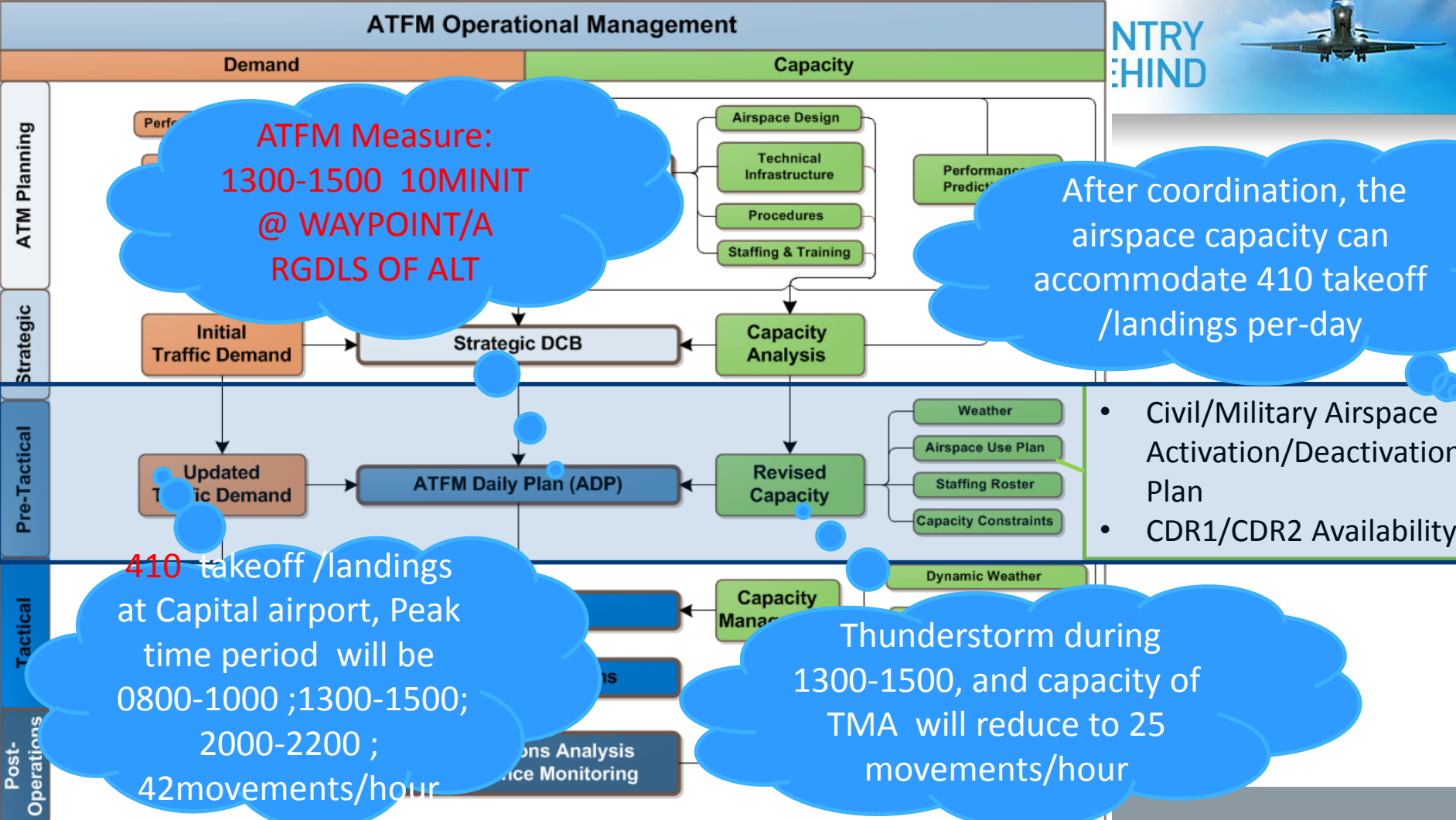
ATFM Measure:  
1300-1500 10MINIT  
@ WAYPOINT/A  
RGDLS OF ALT

After coordination, the  
airspace capacity can  
accommodate 410 takeoff  
/landings per-day

- Civil/Military Airspace Activation/Deactivation Plan
- CDR1/CDR2 Availability

410 takeoff /landings  
at Capital airport, Peak  
time period will be  
0800-1000 ;1300-1500;  
2000-2200 ;  
42movements/hour

Thunderstorm during  
1300-1500, and capacity of  
TMA will reduce to 25  
movements/hour

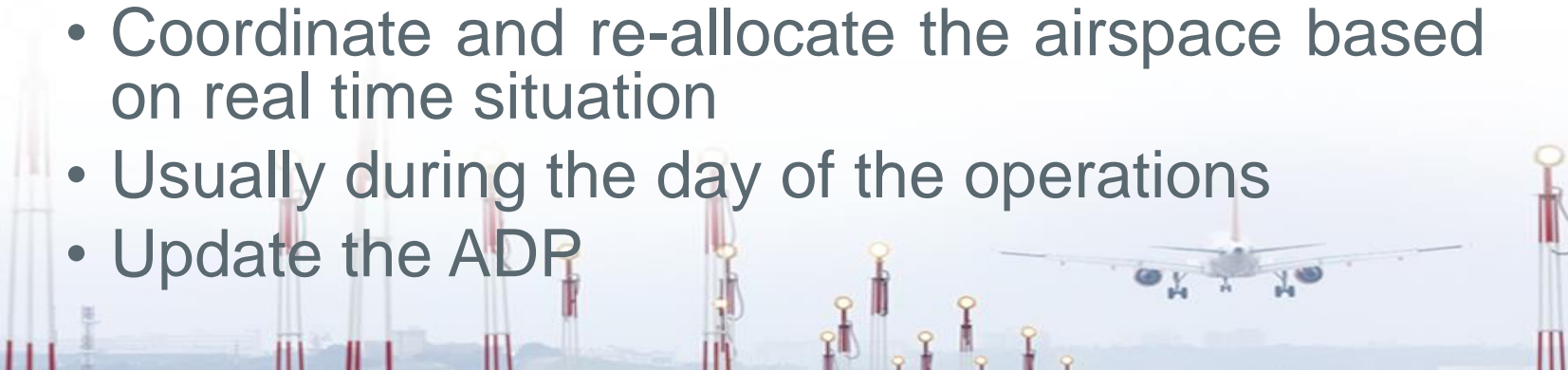






# FUA Timeline

- Tactical phase
  - Day to day flight operation
    - Monitor the status of the airspace
    - Coordinate and re-allocate the airspace based on real time situation
    - Usually during the day of the operations
    - Update the ADP



# ATFM Operational Management

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Demand

Capacity

ATM Planning

Strategic

Pre-Tactical

Tactical

Post-Operations

Performance Targets

Traffic Forecast

Strategic Decision

Airspace Design

Tactical

Performance Prediction

MIT, Rerouting and Fix Balancing

Dynamically manage and release airspace to provide more capacity and reduce constrains

Initial Traffic Demand

Updated Traffic Demand

ATFM Daily Plan (ADP)

Revised Capacity

Staffing Roster

Capacity Constraints

Dynamic Traffic Situation

Tactical ATFM

Capacity Management

Dynamic Weather

Special Use Airspace Status

Actual Staffing

CDR3 Availability  
TSA/TRA/CBA Status

Optimized Operations

Post-Operations Analysis & Performance Monitoring



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# CDM PROCESS OF FUA



## COLLABORATION DECISION MAKING (CDM)

- This philosophy of collaboration promises to become the **standard** in aviation
- Not limited to any specific domain such as airport or en-route
- **Applies to all layers** of decision-making from longer-term planning activities through to real time operations
- Allows air traffic management (ATM) community to participate in ATM decisions that affect them



# COLLABORATION DECISION MAKING (CDM)

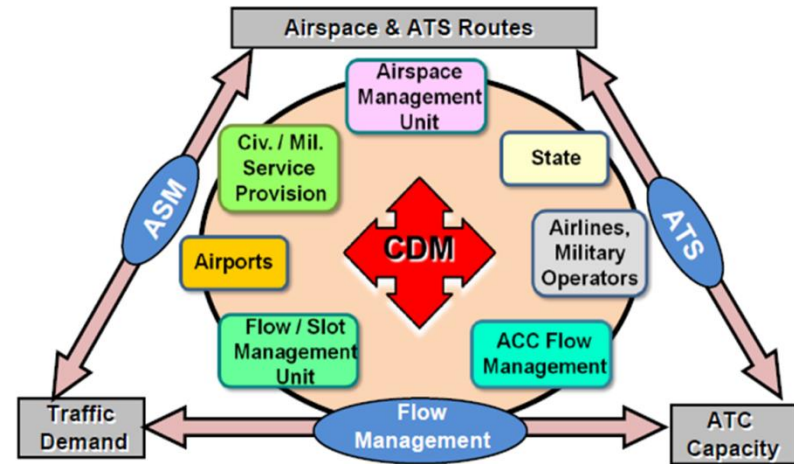
- Intention
  - Ensures effective information management and sharing
  - Enables each stakeholder to be aware of relevant information
  - **Allows stakeholder to propose a solution**





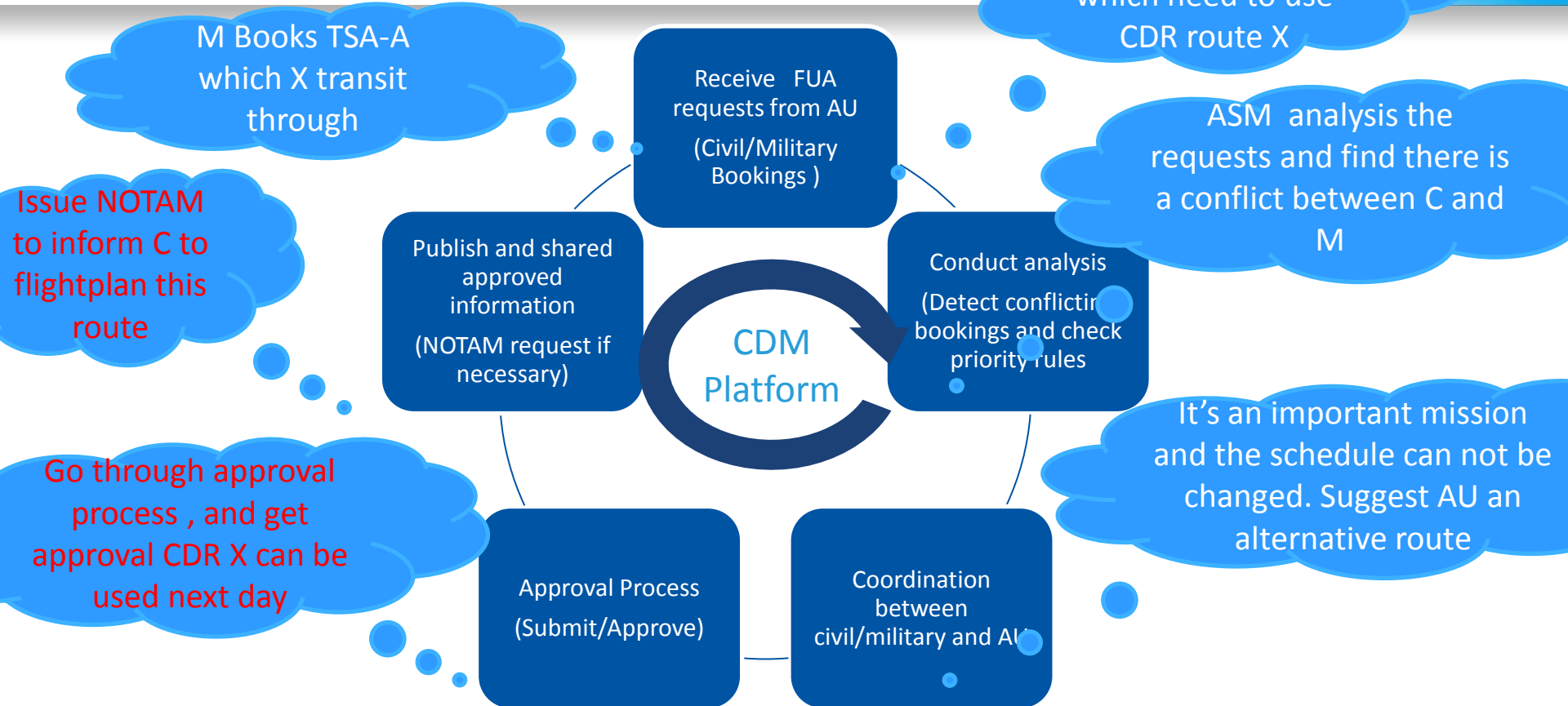
# COLLABORATIVE DECISION MAKING (CDM)

- CDM
  - A tool to support ASM(FUA)
  - Base
    - Information exchange and data sharing
  - Process
    - Brings together airlines, airports, civil and military aviation authorities and units
    - Facilitate decision-making





# CDM Process of FUA





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North American  
Central American  
and Caribbean  
(NACC) Office  
Mexico City

South American  
(SAM) Office  
Lima

ICAO  
Headquarters  
Montréal

Western and  
Central African  
(WACAF) Office  
Dakar

European and  
North Atlantic  
(EUR/NAT) Office  
Paris

Middle East  
(MID) Office  
Cairo

Eastern and  
Southern African  
(ESAF) Office  
Nairobi

Asia and Pacific  
(APAC) Sub-office  
Beijing

Asia and Pacific  
(APAC) Office  
Bangkok



THANK YOU