

**International Civil Aviation Organization (ICAO) Carbon Offsetting and Reduction
Scheme for International Aviation (CORSA)**

**Application Form for Emissions Unit Programmes
seeking eligibility to supply units to
the CORSA 2027 – 2029 compliance period**

(Version 2, January 2026)

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SECTION I: ABOUT THE ASSESSMENT OF APPLICATIONS

Background

ICAO Member States and the aviation industry are implementing the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA). Together with other mitigation measures, CORSIA will help achieve international aviation’s aspirational goal of carbon neutral growth from the year 2020. Aeroplane operators will meet their offsetting requirements under CORSIA by purchasing and cancelling CORSIA eligible emissions units. The ICAO Council determines CORSIA eligible emissions units upon recommendations by its Technical Advisory Body (TAB) and consistent with the CORSIA Emissions Unit Eligibility Criteria (EUC).

In March 2019, the ICAO Council unanimously approved the ICAO Document *CORSIA Emissions Unit Eligibility Criteria* for use by TAB in undertaking its tasks¹. TAB’s assessment of emissions unit programmes is undertaken annually². The results of ICAO Council decisions that take account of these recommendations are contained in the ICAO Document *CORSIA Eligible Emissions Units*³. At present, eight Emissions Unit Programmes are eligible to supply CORSIA-eligible Emissions Units for the 2024-2026 compliance period (the CORSIA ‘first phase’) and four programmes are eligible to supply CORSIA-eligible Emissions Units for the 2027-2029 compliance period (part of CORSIA ‘second phase’). The latter programmes are eligible to certify CORSIA Eligible Emissions Units for the 2024-2026 compliance period and were approved by the ICAO Council to do so for the 2027-2029 period based on TAB’s 2025 reassessment and recommendations.

ICAO now invites emissions unit programmes⁴ to apply to TAB’s 2026 assessment cycle, which will make recommendations on their eligibility to supply CORSIA-Eligible Emissions Units for the **2027-2029 compliance period** (part of the CORSIA ‘second phase’). Any interested programme should provide the updated information requested through this application form and its Appendices, as well as supplementary materials and evidence as applicable. In undertaking this work, TAB may also ask programmes to provide specific examples illustrating how programme procedures or systems perform in practice.

This assessment will be conducted during TAB’s 2026 annual assessment cycle, according to the TAB Terms of Reference, TAB Procedures, Work Programme and Timeline, which are available on the ICAO TAB website.

About this form

Programme responses to this application form will serve as the primary basis for the assessment. This form requests *evidence of programme procedures or programme elements*. The evidentiary documentation enables TAB

¹ Available on the ICAO CORSIA website: <https://www.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx>

² Recommendations from 2019 TAB assessment cycle: <https://www.icao.int/CORSIA/icao-corsia-tab2019>

Recommendations from 2020 TAB assessment cycle: <https://www.icao.int/icao-corsia-tab2020>

Recommendations from 2021 assessment cycle: <https://www.icao.int/CORSIA/icao-corsia-tab2021>

Recommendations from 2022 assessment cycle: <https://www.icao.int/CORSIA/icao-corsia-tab2022>

Recommendations from 2023 assessment cycle: <https://www.icao.int/CORSIA/TAB2023>

Recommendations from 2024 assessment cycle: <https://www.icao.int/CORSIA/TAB2024>

³ Available on the ICAO CORSIA website: <https://www.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx>

⁴ “Emissions Unit Programme”, for the purposes of TAB’s assessment, refers to an organization that administers standards and procedures for developing activities that generate offsets, and for verifying and “issuing” offsets created by those activities. For more information, please review the TAB FAQs on the ICAO CORSIA website: <https://www.icao.int/CORSIA/icao-corsia-tab>

to a) confirm that a given procedure or programme element is *in place*, b) more fully understand the programme’s summary responses, and c) archive the information as a reference for potential future assessments. TAB’s assessment may also involve, *e.g.*, a completeness check and initial screening of applications, written clarification questions, and/or live interview(s) with programmes.

This Application form is accompanied by, and refers to, [Appendix A “Supplementary Information for Assessment of Emissions Unit Programmes”](#), containing the EUC and *Guidelines for Criteria Interpretation*. The ICAO Council, on recommendation of its Committee on Aviation Environmental Protection (CAEP), updated the *Guidelines for Criteria Interpretation* in March 2024. These EUC and updated Guidelines are provided to inform programmes’ completion of this Application form, in which they are cross-referenced by paragraph number.⁵

This form is also accompanied by [Appendix B “Programme Assessment Scope”](#), and [Appendix C “Programme Exclusions Scope”](#), which request all applicants to identify the programme elements⁶ they wish to submit for, or exclude from, TAB’s assessment.

CORSIA Eligible Emissions Unit Programmes must also complete [Appendix D](#) of this Application form, “*Emissions Unit Programme Registry Attestation*”⁷ in line with the instructions contained in that Appendix. Applicant organizations are strongly encouraged to submit this information by the deadline for submitting all other application materials for the current assessment cycle.

Translation: As was done previously, if the programme documents and information are not published in English, the programme should fully describe in English (*rather than summarize*) this information in the fields provided in this form, and in response to any additional questions. Where this form requests *evidence of programme procedures*, programmes are strongly encouraged to provide English translations of these documents, to facilitate a complete and accurate understanding. Where this is not possible due to time constraints or document length, the programme may provide such documents in their original language in a readily translatable format (*e.g.*, Microsoft Word). Those programmes that need to translate documents prior to submission may contact the ICAO Secretariat regarding accommodation.

Information provided in this form continues to be used following a decision by ICAO Council to approve an emissions unit programme for CORSIA eligibility. TAB’s recommendations on the extent and limits of a programme’s eligibility are developed on the basis of TAB’s assessment of the information that the programme provided in its application materials, as well as any updates or clarifications that the programme communicates to TAB during the course of its assessment. This information is used by Council to define the general and/or programme-specific eligibility parameters set out in the ICAO Document titled “CORSIA Eligible Emissions Units.” Eligible programmes agree to maintain consistency with the EUC in the manner described in the application form and in any communications with TAB. This includes maintaining, *e.g.*, any procedures; requirements; standards; specific methodologies and tools (methodological, or for risk assessment, or for risk

⁵ For further information on how TAB interprets the EUC in light of the *Guidelines*, refer to the document *Clarifications of TAB’s Criteria Interpretations Contained in TAB Reports available on the ICAO TAB website* https://www.icao.int/sites/default/files/environmental-protection/CORSIA/Documents/TAB/Clarifications_Nov-2025.pdf

⁶ At the “activity type” level (*e.g.*, sector(s), sub-sector(s), and/or project “type(s)”)

⁷ See discussion regarding the Registry Attestation from Section 4.4.3 in *TAB Report – August 2025*—found on page 2 of the document *Clarifications of TAB’s Criteria Interpretations Contained in TAB Reports:* https://www.icao.int/sites/default/files/environmental-protection/CORSIA/Documents/TAB/Clarifications_Nov-2025.pdf

management); operating agreements; electronic tracking systems and their linkages (e.g., registries, data transfer arrangements, service agreements); governance procedures; organizational/legal documentation; or changes to eligibility scope such as programme-initiated unit invalidation and/or revocation that would alter the information described to and/or shared with TAB during the programme's assessment.

Following the submission of an application form and during a TAB assessment cycle, applicants are requested to inform TAB of any developments that could alter the information provided in their initial application, including any updates that are in development or pending approval. This includes developments related to procedures or systems that could include but are not limited to the examples provided in the previous paragraph. Such developments should be summarized in an email to the ICAO secretariat, which will be circulated to TAB members. Following an eligibility determination by the ICAO Council, CORSIA eligible emissions unit programmes must disclose such developments using the Material Change Notification Form in accordance with TAB Procedures⁸ and applicable deadlines. Failure to provide accurate information during the initial assessment, or to inform of changes to that information in a timely manner, could give rise to an Eligibility Deviation⁹, including the possible revocation of any eligibility that was granted.

Disclaimer: The information contained in the Application form, and any supporting evidence or clarification provided by the programme including information designated as “business confidential” by the programme, will be provided to the members of the TAB to properly assess the programme and make recommendations to the ICAO Council. The application and such other evidence or clarification will be made publicly available on the ICAO CORSIA website for the public to provide comments, except for information which the applicant designates as “business confidential”. Public comments received during that period, including commenter names and organizations, are published following their review by TAB. In accordance with section 9.4 of the TAB Procedures, all comments that meet the submission guidelines are published as received and Programme responses to public comments are not published on the ICAO website. The applicant shall bear all expenses related to the collection of information for the preparation of the application, preparation and submission of the application to the ICAO Secretariat and provision of any subsequent clarification sought by the Secretariat and/or the members of the TAB. Under no circumstances shall ICAO be responsible for the reimbursement of such or any other expenses borne by the applicant in this regard, or any loss or damages that the applicant may incur in relation to the assessment and outcome of this process.

⁸ See paragraph 7.3 and footnote 3, paragraphs 8.5-8.6, 8.10-8.11, and 10.6 of TAB Procedures:
https://www.icao.int/sites/default/files/environmental-protection/CORSIA/Documents/TAB/TAB_Procedures_v7_Jan2025.pdf

⁹ See Section 10 of TAB Procedures:
https://www.icao.int/sites/default/files/environmental-protection/CORSIA/Documents/TAB/TAB_Procedures_v7_Jan2025.pdf

SECTION II: INSTRUCTIONS

Submission and contacts

A programme is invited to complete and submit the Application form, including accompanying evidence and with required appendices, through the ICAO CORSIA website no later than close of business on **9 March 2026** via **TAB@icao.int**. Within seven business days of receiving this form, the Secretariat will notify the programme that its form was received.

If the programme has questions regarding the completion of this form, please contact ICAO Secretariat via email: **TAB@icao.int**. Programmes will be informed, in a timely manner, of clarifications provided by ICAO to any other programme.

Form basis and cross-references

Questions in this form are derived from the CORSIA emissions unit eligibility criteria (EUC) and the *Guidelines for Criteria Interpretation*. Each question includes the paragraph number for its corresponding criterion or guideline that can be found in [Appendix A “Supplementary Information for Assessment of Emissions Unit Programmes”](#). Compared to previous (Re-)application forms, TAB has adjusted the order and contents of the questions in light of the ICAO Council’s March 2024 decision to update the *Guidelines for Criteria Interpretation*.

Application Form completion

The programme is expected to respond to all questions in this application form at the time of submission. TAB cannot initiate its assessment unless this information is provided in full as requested. Failure to provide complete information may result in delays to the assessment process.

A “complete” response involves three components: 1) a written summary response, 2) supporting evidence, and 3) any planned programme revisions.

- 1) **Written summary responses**: The programme is encouraged to construct written summary responses in a manner that provides for general understanding of the given programme procedure, independent of supporting evidence. TAB will confirm each response in the supplementary evidence provided by the programme. Please note that written summary responses should be provided in all cases—supporting evidence (described in 2 below) should not be considered as an alternative to a complete summary response.
- 2) **Supporting evidence**: Most questions in this form request *evidence of programme procedures or programme elements*. Such evidence may be found in excerpts or quotations of programme standards, requirements, or guidance documents; templates; programme website or registry contents; or in some cases, in specific methodologies. To help manage file size, the programme should limit supporting documentation to that which directly substantiates the programme’s statements in this form.

Programmes are expected to provide such evidence, along with the written summary response, in the

following ways:

- a) copying/pasting the relevant excerpts or quotations of programme documentation directly into this form (no character limits);
- b) web links to the sources of these excerpts or quotations and any supporting documentation, with instructions for finding the relevant information within the linked source (i.e. identifying the specific text, paragraph(s), or section(s) where TAB can find evidence of the programme procedure(s) in question);
- c) if needed, attaching supporting documentation to this form at the time of submission, with instructions for finding the relevant information within the attached document(s);

EXAMPLE of preferred approach to providing supporting evidence that could meet expectations for complete responses to a question:

“The Programme ensures its consistency with this requirement by requiring / undertaking / etc. the following:

[*Summary response:* Paragraph(s) introducing and summarizing specific programme procedures that are relevant to the question]

[*Evidence:* Quotes/excerpts of the relevant provisions in the programme’s procedures, with citations]

The full contents of these procedures can be found in [Document title, page X, Section X, paragraphs X-X]. This document is publicly available at this weblink: [weblink].”

3) **Planned programme revisions:** Where the programme has any plans to revise the programme (e.g., its policies, procedures, measures, tracking systems, governance or legal arrangements), including to enhance consistency with a given criterion or guideline, please provide the following information in response to any and all relevant form question(s):

- a) Planned revision(s);
- b) Process and expected timeline to develop and implement the proposed revision(s);
- c) Process and timeline for external communication and implementation of the revision(s).

Scope of application

The programme may elect to submit for TAB assessment all, *or only a subset*, of the activities supported by the programme. The programme is requested to identify, in the following Appendices, the activities that it wishes to submit for, or exclude from, TAB’s assessment:

In [Appendix B “Programme Assessment Scope”](#), the programme should clearly identify, at the “activity type” level (e.g., sector(s), sub-sector(s), and/or programme/project “type(s)”), elements that the programme **is submitting for TAB’s assessment** of CORSIA eligibility; as well as the specific methodologies, protocols, and/or framework(s) associated with these programme elements; which *are* described in this form.

In [Appendix C “Programme Exclusions Scope”](#), the programme should clearly identify, at the “activity type” level (e.g., sector(s), sub-sector(s), and/or programme/project “type(s)”), any elements the programme **is not submitting for TAB’s assessment** of CORSIA eligibility, which *are not* described in this form; as well as the specific methodologies, protocols, and/or framework(s) associated with these programme elements.

In [Appendix D “Emissions Unit Programme Registry Attestation”](#), the programme should update and re-submit the *Registry Attestation*, if any information therein has changed since it last submitted the Registry Attestation. If no information has changed, the programme may elect to re-submit its previous Registry Attestation form.

Treatment of EUC-relevant programme procedures at the methodology level

Programmes that identify with the following explanations are encouraged to summarize and provide evidence of both their overarching *programme-level* procedure(s) and *methodology-level* procedure(s) wherever relevant:

The CORSIA EUC and TAB assessments typically apply to *programme-level* procedures rather than to individual methodologies or projects. Most programmes’ overarching guidance documents contain a mix of *general/guiding* requirements and *technical* ones. However, some programmes set out general requirements in overarching guidance documents, while reflecting key technical procedures in programme methodologies¹⁰. **Such methodologies may be relevant to TAB’s assessment.** This could be the case where, e.g., the methodologies are developed directly by the programme (staff or contractors); the programme must refer to a methodology’s requirements when describing its alignment with the EUC; and/or the programme’s general requirements alone are too high-level/non-specific for TAB to assess them as stand-alone procedures.

EXAMPLE: Programme A’s project standard contains its *programme-level* general requirements. The standard requires all activities to pass a programme-approved additionality test. However, Programme A sets out a unique list of approved tests in each of its methodologies—rather than providing a single list or menu in its programme-level standard. These lists vary across different activity types or category(ies). Thus, TAB may ultimately need to assess Programme A’s programme- *and* methodology-level requirements in order to confirm its use of the specific additionality tests called for under the *Must be Additional* criterion.

“Linked” certification schemes

This application form should be completed and submitted exclusively on behalf of the programme that is described in Part I of this form.

Some programmes may supplement their standards by collaborating with other schemes that certify, e.g., the social or ecological “co-benefits” of mitigation. The programme can reflect a linked scheme’s procedures in responses to this form, where this is seen as enhancing—i.e., going “above and beyond”—the programme’s own procedures. For example, the programme may describe how a linked scheme audits sustainable development outcomes; but is not expected to report the linked scheme’s board members or staff persons. Programmes should

¹⁰ Note that any applicant may use different terminology. For example, a programme may refer to a “methodology” as a protocol or framework.

clearly identify any information provided in this form that pertains to a linked certification scheme and/or only applies when a linked certification scheme is used.

Disclosure of programme application forms and public comments

Application materials, including information submitted in Appendices B, C, and D, as well as other information submitted by applicants will be publicly available on the ICAO CORSIA website, except for materials which the applicants designate as ‘business confidential’.

The public will be invited to submit comments on the information submitted, including regarding consistency with the emissions unit criteria (EUC), through the ICAO CORSIA website, for consideration by the TAB in its assessment. All public comments that meet the submission guidelines are published as received and Programme responses to public comments are not published on the ICAO website.

SECTION III: APPLICATION FORM

General information

A. Programme Information

Programme name: [Open Carbon Protocol](#)

Administering Organization¹¹: [oneshot.earth Ltd.](#)

Official mailing address: [65 Greene St, New York, NY, 10012, USA](#)

Telephone #: [+1-857-298-8918](#)

Official web address: <https://www.ocp.earth/>

B. Programme Administrator Information

Full name and title: [Rio Richardson, Chief Product Officer](#)

Employer / Company (*if not programme*): [N/A](#)

E-mail address: rio@oneshot.earth

Telephone #: [+1-857-298-8918](#)

C. Programme Representative Information (if different from Programme Administrator)

Full name and title: [N/A: Same as Above](#)

Employer / Company (*if not Programme*):

E-mail address:.

Telephone #:

D. Programme Senior Staff / Leadership (e.g., President / CEO, board members)

List the names and titles of programme's senior staff / leadership, including board members:

Board:

- [Will Coleman](#)
- [Jocelyn Musters](#)

¹¹ Name of the business, government agency, organization, or other entity that administers the Emissions Unit Programme, *if different from "Programme Name"*.

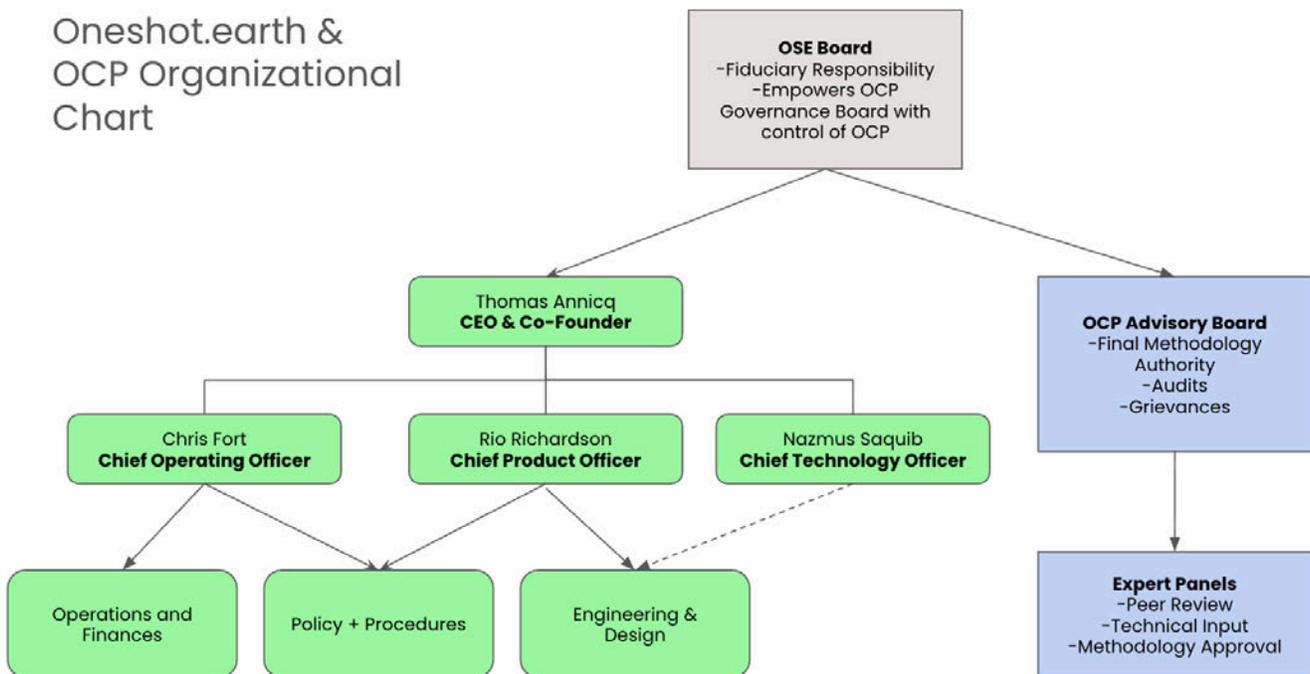
- Mark Goldman

Senior Leadership:

- Thomas Annicq, Chief Executive Officer
- Christopher Fort, Chief Operating Officer
- Rio Richardson, Chief Product Officer
- Saquib Nazmus, Chief Technology Officer

Provide an organization chart (in the space below or as an attachment) that illustrates, or otherwise describes, the functional relationship a) between the individuals listed in D; and b) between those individuals and programme staff / employees; and c) the functions of each organizational unit and interlinkages with other units.

Oneshot.earth &
OCP Organizational
Chart



Publicly Available at:

<https://open-carbon-protocol.gitbook.io/ocp-handbook/governance/ocp-governance-structure>

Questionnaire

Note—where “evidence” is requested in *Part 1* through *Part 5*, the programme is expected to provide quotes/excerpts and web links to documentation and to identify the specific text, paragraph(s), or section(s) where TAB can find evidence of the programme procedure(s) in question. If that is not possible, then the programme may provide evidence of programme procedures directly in the text boxes provided (by copying/pasting the relevant provisions in full) and/or by attached supporting documentation, as recommended in “SECTION II: INSTRUCTIONS—*Form Completion: Supporting Evidence*”.

Note—“*Paragraph X.X*” in this form refers to corresponding paragraph(s) in Appendix A “Supplementary Information for Assessment of Emissions Unit Programmes”.

Note—Where the programme has any plans to revise the programme (e.g., its policies, procedures, measures, tracking systems, governance or legal arrangements), including to enhance consistency with a given criterion or guideline, provide the following information in response to any and all relevant form question(s):

- Proposed revision(s);
- Process and proposed timeline to develop and implement the proposed revision(s);
- Process and timeline for external communication and implementation of the revision(s).

PART 1: Governance and Safeguards: Sustainable Development Criteria; Do no net harm; Safeguards System; Transparency and Public Participation Provisions; Governance; Legal Nature and Transfer of Units

Criterion: Legal nature and transfer of units

Q1: Does the Program... (<i>Paragraph 2.5</i>)	
(a) ...define and ensure the underlying attributes of a unit?	<input checked="" type="checkbox"/> YES
(b) ... and publicly disclose process by which it does so?	<input checked="" type="checkbox"/> YES
(c) ...define and ensure the property aspects of a unit?	<input checked="" type="checkbox"/> YES
(d) ... and publicly disclose process by which it does so?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the processes, policies, and/or procedures referred to in a) and d), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Underlying Attributes: Credit Batch, Project Information, Account Owner, Beneficial Owner, Issuance Event, Status

Property Aspects: Account Owner, Beneficial Owner

All information and details on the issuance & transfer process (public):
open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):
N/A

Criterion: Programme governance

Q2. Does the programme publicly disclose... (<i>Paragraph 2.7</i>)	
a) ...who is responsible for the administration of the programme?	<input checked="" type="checkbox"/> YES
b) ...how decisions are made?	<input checked="" type="checkbox"/> YES

Provide evidence that this information is available to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

OCP Governance Structure, including decision making (public):
open-carbon-protocol.gitbook.io/ocp-handbook/governance/ocp-governance-structure

OCP Board, including 'How the Board Makes Decisions' (public):
open-carbon-protocol.gitbook.io/ocp-handbook/governance/ocp-governance-structure/ocp-board

Full oneshot.earth team profiles and board members (public): oneshot.earth/about/

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):
N/A

Q3. If the programme is not directly and currently administered by a public agency, can the programme demonstrate up-to-date professional liability insurance policy of at least USD\$5M? (<i>Paragraph 2.7.4</i>)	<input checked="" type="checkbox"/> YES
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Provide evidence of such coverage:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Link to professional liability insurance ([REDACTED])

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):
N/A

Q4. Can the programme demonstrate that it has been... (<i>Paragraph 2.7.2</i>)

a) ...continuously governed for at least the last two years?	<input checked="" type="checkbox"/> YES
b) ...continuously operational ¹² for at least the last two years?	<input checked="" type="checkbox"/> YES

Provide evidence of the activities, policies, and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We have been an incorporated business under the state of Delaware since 2022 [REDACTED] [REDACTED]. The first round of methodology review was in September 2023, 2.5 years ago ([link to evidence](#)), and our first methodology was approved in [April 2024](#).

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q5. Does the programme have in place... (<i>Paragraph 2.7.2</i>)	
a) ...a plan for the long-term administration of multi-decadal programme elements?	<input checked="" type="checkbox"/> YES
b) ...a plan for possible responses to the dissolution of the programme in its current form?	<input checked="" type="checkbox"/> YES

Provide evidence of the activities, policies, and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Long Term Operational Plan (*private*): docsend.com/view/atawkji7y9fx7qjy

Continuity Framework / Framework for Dissolution (*private*): docsend.com/view/6qpq5wm8s7qzyse6

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Criteria: Multiple (re: Conflicts of interest)

Q6. Are policies and robust procedures in place ¹³ to... (<i>Paragraph 2.7.3</i>)	
a) ... prevent the programme administrators, staff, board members, and management from having financial, commercial or fiduciary conflicts of interest in the governance or provision of programme services?	<input checked="" type="checkbox"/> YES
b) ...ensure that, where such conflicts arise, they are appropriately declared, and addressed and isolated?	<input checked="" type="checkbox"/> YES

¹² Note: For further explanation of the meaning of 'operational' for the purposes of the EUC and TAB's assessments, please note para. 2.7.2.1 of Appendix A of this Application form, as well as the Initial screening questions in section 7.12 of the TAB Procedures.

¹³ Note: For programmes staffed solely by government officials and employees who are subject to domestic laws and regulations governing conflicts of interest, the programme may refer to these laws and regulations in responding to this question.

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Conflict of Interest Policy (public), including process for when a conflict is identified (**Relevant section: 1**) **Our internal team COI policy**): open-carbon-protocol.gitbook.io/ocp-handbook/governance/conflict-of-interest

Conflict of Interest Register (public): [OCP Conflict of Interest Register](#)

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A (although always looking to innovate and improve)

Q7. Are policies and robust procedures in place ¹¹ to... (<i>Paragraph 2.4.6</i>)	
a) ...prevent the programme registry administrators from having financial, commercial or fiduciary conflicts of interest in the governance or provision of registry services?	<input checked="" type="checkbox"/> YES
b) ...ensure that, where such conflicts arise, they are appropriately declared, and addressed and isolated?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Conflict of Interest Policy (public), including process for when a conflict is identified (**Relevant section: 1**) **Our internal team COI policy**): open-carbon-protocol.gitbook.io/ocp-handbook/governance/conflict-of-interest

Conflict of Interest Register (public): [OCP Conflict of Interest Register](#)

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A (although always looking to innovate and improve)

Q8. Are provisions in place to ensure the independence of accredited third-party entities performing validation and/or verification procedures, including... (<i>Paragraph 3.3.3</i>)	
a) ...requiring accredited third-party(ies) to disclose whether they or any of their family members are dealing in, promoting, or otherwise have a fiduciary relationship with anyone promoting or dealing in, the offset credits being evaluated?	<input checked="" type="checkbox"/> YES
b) ...to manage and/or prevent conflicts of interest between accredited third-party(ies) and the programme and the activities it supports?	<input checked="" type="checkbox"/> YES
c) ...to address and isolate such conflicts, should they arise?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through c):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this

form was completed):

Conflict of Interest Policy (public), including process for when a conflict is identified (**Relevant section: 2) Our external community policy**): open-carbon-protocol.gitbook.io/ocp-handbook/governance/conflict-of-interest

Conflict of Interest Register (public): [OCP Conflict of Interest Register](#)

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A (although always looking to innovate and improve)

Criterion: Transparency and public participation provisions

Q9. Does the programme publicly disclose what information is captured and made available to different stakeholders? (<i>Paragraph 2.8</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Access to the OCP registry (pilot.ocp.earth/registry) and OCP Handbook (open-carbon-protocol.gitbook.io/ocp-handbook) is completely open to the public and does not require an account.

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A (although always looking to innovate and improve)

Q10. Does the programme publicly disclose its local stakeholder consultation requirements (if applicable)? (<i>Paragraph 2.8</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

'Stakeholder Engagement & Complaints' (public): open-carbon-protocol.gitbook.io/ocp-handbook/governance/stakeholder-engagement-and-complaints

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A (although always looking to innovate and improve)

Q11. Does the programme.... (<i>Paragraph 2.8</i>)	
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a) ... conduct public comment periods for the following (<i>select all that apply</i>)? <input type="checkbox"/> methodologies, protocols, or frameworks under development <input type="checkbox"/> activities seeking registration or approval <input type="checkbox"/> operational activities (e.g., ongoing stakeholder feedback) <input type="checkbox"/> additions or revisions to programme procedures or rulesets	<input checked="" type="checkbox"/> YES
b) ... disclose its public comments provisions and requirements?	<input checked="" type="checkbox"/> YES
c) ... disclose how public comments are considered (<i>if applicable</i>)?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the procedures referred to in items a) through c):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

‘Stakeholder Engagement & Complaints’ (public):

open-carbon-protocol.gitbook.io/ocp-handbook/governance/stakeholder-engagement-and-complaints, includes sections on ‘[Methodology Public Commentary](#)’, ‘[Project Public Commentary](#)’ and ‘[Open Carbon Protocol Public Commentary](#)’ (i.e. operational)

Project are also required to have a Robust Stakeholder Engagement Processes, which is outlined here:

open-carbon-protocol.gitbook.io/ocp-handbook/governance/stakeholder-engagement-and-complaints#open-carbon-protocol-public-commentary

Public Commentary and Stakeholder Engagement is publicly available for each methodology and project via the ‘[Published Methodologies](#)’ page and [OCP Registry](#) respectively

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A (although always looking to innovate and improve)

Criteria: Safeguards system and Do no net harm

Q12. Does the Programme <u>have in place</u> dedicated safeguards to address... (<i>Paragraph 2.9</i>)	
a) ...environmental risks?	<input checked="" type="checkbox"/> YES
b) ...social risks?	<input checked="" type="checkbox"/> YES
c) Are these safeguards publicly disclosed?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the safeguards referred to in a) and c), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We have detailed requirements for safeguards, as well as co-benefits and SDGs, as part of the project proposal process:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs#have-a-robust-stakeholder-engagement-processes

Safeguard information is publicly available for each project via the [OCP Registry](#) (Project Page > Key Information > Safeguards and Co-Benefits). [Example for OCP-06 project](#).

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

We are continuing to work with RMI on integrating their [Carbon Crediting Data Framework](#), as well as moving to quantifying safeguards and co-benefits and formalizing monitoring and reporting during the project lifespan and beyond. This is an ongoing collaboration, but we aim to add ongoing safeguard/co-benefit MRV by the end of 2026.

Q13. Please describe, and provide evidence of, how the safeguards system in Question 12 above is used to ensure that environmental and social risks are identified, assessed and managed: (*Paragraph 3.8*)

Adherence to environmental and social safeguards, which were written in alignment with IFC Standards, is mandatory for project approval. [The OCP Handbook outlines this mandatory process](#). During project proposal, project developers are required to complete answers for each environmental and social safeguard as listed in the handbook, including explaining how they conduct their environmental and social risk assessments in alignment with IFC Standards. This is a mandatory step in project validation and the Validation report includes specific language requiring the Validator to check that environmental and risk assessments were completed.

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

‘Safeguards, Co-Benefits and SDGs’ in OCP Handbook:
open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

As above, we are continuing to work with RMI on integrating their Carbon Crediting Data Framework, as well as moving to quantifying safeguards and co-benefits and formalizing monitoring and reporting during the project lifespan and beyond. This is an ongoing collaboration, but we aim to add ongoing safeguard/co-benefit MRV by the end of 2026.

Q14. Does the programme have in place... (<i>Paragraph 3.8</i>)	
a) ... institutions, processes, and procedures to implement, monitor, and enforce the environmental and social safeguards?	<input checked="" type="checkbox"/> YES

b) Are these institutions, processes, and procedures publicly disclosed?	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the institutions, processes and procedures referred to in a) above, including their public disclosure:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

During project proposal, project developers are required to complete answers for each environmental and social safeguard as listed in the handbook, including explaining how they conduct their environmental and social risk assessments in alignment with IFC Standards. This is a mandatory step in project validation and the Validation report includes specific language requiring the Validator to check that environmental and risk assessments were completed.

Explained in: ‘Safeguards, Co-Benefits and SDGs’ in OCP Handbook:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

As above, we are continuing to work with RMI on integrating their Carbon Crediting Data Framework, as well as moving to quantifying safeguards and co-benefits and formalizing monitoring and reporting during the project lifespan and beyond. This is an ongoing collaboration, but we aim to add ongoing safeguard/co-benefit MRV by the end of 2026.

Q15. Are procedures in place to ensure that offset projects do not violate local, state/provincial, national or international regulations or obligations? (<i>Paragraph 3.8</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

“All projects must adhere to all relevant international conventions, as well as national and local laws. Failure to do so will affect project eligibility and credit issuance.”

open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs, 3rd paragraph top section)

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Criterion: Sustainable development criteria

Q16. Does the programme use sustainable development criteria? (<i>Paragraph 2.10</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

'Alignment to Sustainable Development Goals (public):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs#alignment-to-sustainable-development-goals>

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q17. Does the programme have in place and publicly disclose procedures that identify a list or menu or potential sustainable development indicators that may, for example, enumerate relevant sustainable development goals (SDG) and, as appropriate, additionally include indicators that are publicly specified by a host country? (<i>Paragraph 2.10.2</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

'Alignment to Sustainable Development Goals (public):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs#alignment-to-sustainable-development-goals>

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

In the future we plan to integrate best practice on 'host country' indicators.

Q18. Do the Program's procedures clearly state that only units that have been or will be issued to activities that report their sustainable development contributions or co-benefits according to criteria above, can be identified as CORSIA Eligible Emissions Units? (<i>Paragraph 2.10.2</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

During project proposal, project developers are required to complete answers for each environmental and social

safeguard as listed in the handbook, as well as select at least 2 SDGs (Climate Action and at least one other) and describe how their project activities help contribute towards the goals.

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):
N/A

Q19. Does the programme publicly disclose any provisions for monitoring, reporting and verification in relation to these criteria? (<i>Paragraph 2.10</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

'Monitoring and Validation' section under 'Safeguards, Co-Benefits & SDGs'
open-carbon-protocol.gitbook.io/ocp-handbook/projects/safeguards-co-benefits-and-sdgs#monitoring-and-validation

"Project developers are responsible for adhering to their risk management plans, which includes ensuring their impacts are effective, requiring robust monitoring of these risks, benefits, and alignment to goals. Project developers are required to provide a plan for monitoring ongoing environmental and social impacts in the validated project proposal flow.

If information comes to light signaling they have not adequately represented their environmental and social impact (either through grievances or OCP audit) projects will be penalized."

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

We are piloting a more formalized and mandatory non-carbon monitoring process in collaboration with RMI, with the goal to build a standardized SDG/co-benefit/safeguard monitoring tool that all project developers will use for all OCP projects. This tool will help project developers continuously monitor SDG alignment, risk management plans, and co-benefits and update any changes to the OCP team. With this tool, project developers will be required to:

- Monitor SDG-related impacts in accordance with the indicators and methods specified at registration
- Update SDG impact information as required during verification cycles
- Ensure that claimed SDG outcomes remain consistent with observed project performance
- Monitor how key activities impact identified environmental and social risks, specifying how the management plan has been effectively or ineffective. If original plans do not have the intended outcome, project developers must establish a new risk management plan prior to credit re-issuance.

We are targeting to launch this tool by the end of 2026.

PART 2: *Quantification and tracking: Validation and Verification procedures; Quantification and MRV; Offset Credit Issuance and Retirement Procedures; Identification and Tracking; Clear and transparent chain of custody*

Criterion: Are quantified, monitored, reported, and verified

Q1. Are procedures in place to ensure... (<i>Paragraph 3.3</i>)	
a) ...that emissions units are based on accurate measurements and valid quantification methods/protocols?	<input checked="" type="checkbox"/> YES
b) ...that emission reductions are measured, calculated and reported in a transparent manner?	<input checked="" type="checkbox"/> YES
c) ...that monitoring, measuring, and reporting of both activities and the resulting mitigation is conducted at <i>specified intervals</i> throughout the duration of the crediting period?	<input checked="" type="checkbox"/> YES
d) ...that mitigation is measured and verified by an accredited and independent third-party verification entity?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through d):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

- a) Emission units are only issued for projects using an approved OCP methodology. OCP methodologies are reviewed and approved by an independent expert panel. Details on the methodology development and approval process can be found in the OCP Handbook (public): open-carbon-protocol.gitbook.io/ocp-handbook/methodologies/methodology-development-feedback-and-approval
- b) All ER data and calculations are available publicly on the [OCP Registry](https://pilot.ocp.earth/registry/4) without an account. For example, OCP-04 (go to 'Issuance' tab): pilot.ocp.earth/registry/4
- c) Monitoring (and the associated issuance) events must happen at least once a year; project developers can choose more frequent monitoring events during project proposal. open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#third-party-vvb-assessment-process
- d) For projects to get approved and published in the OCP Registry, they must go through Project Validation or Assurance. All project data must be verified by a third-party before the OCP issues credits. Details: open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

We are continuously improving on all of the OCP process, of which ER quantification, monitoring & reporting, and validation/verification are particularly crucial. We are currently working on several improvements to our

current processes:

- API connections from digital MRV providers to streamline data reporting and verification
- AI document assistant to streamline document validation and data verification
- Improving design and communication of OCP Registry, especially presentation of issuance data, due to ongoing feedback from users and stakeholders
- [Annual audit of methodologies](#) reviews any updates to best practices in quantification or MRV

Criterion: Validation and verification procedures

Q2. Does the Programme have in place requirements and procedures for... (<i>Paragraph 2.6</i>)	
a) ...the accreditation of validators?	<input checked="" type="checkbox"/> YES
b) ...the accreditation of verifiers?	<input checked="" type="checkbox"/> YES
c) Are these standards, procedures and requirements publicly disclosed?	<input checked="" type="checkbox"/> YES

Provide evidence of the standards, requirements, and procedures referred to in a) and b), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP uses the term 'Validator' to refer to all organizations performing Project Validation, Project Assurance and/or Data Verification (i.e. both VVBs or Auditors). All Validators are subject to the same accreditation requirements and procedures, which are publicly disclosed in our Handbook: open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#validator-selection-requirements

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):
N/A (although always looking to innovate and improve)

Q3. Does the Programme have in place standards and procedures for... (<i>Paragraph 2.6</i>)	
a) ...the validation of activities?	<input checked="" type="checkbox"/> YES
b) ...the verification of emissions reductions and/or removals?	<input checked="" type="checkbox"/> YES
c) Are these standards, procedures and requirements publicly disclosed?	<input checked="" type="checkbox"/> YES

Provide evidence of the standards, requirements, and procedures referred to in a) and b), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Project Validation (public):

open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#project-validation

Data Verification:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#data-verification

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A (although always looking to innovate and improve)

Q4. Are procedures in place to ensure...	
a) ...that validation occurs prior to or in tandem with verification? (<i>Paragraph 3.3.2</i>)	<input checked="" type="checkbox"/> YES
b) ...that validation assesses and publicly documents the likely mitigation results from proposed activities supported by the programme? (<i>Paragraph 3.3.2</i>)	<input checked="" type="checkbox"/> YES
c) ...that the results of validation and verification are made publicly available? (<i>Paragraph 3.3</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through c):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

As monitoring events are only triggered once project validation has been approved, data reporting and verification can only occur in tandem with on after validation.

open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#data-verification

The Validation Report for all approved projects is available publicly on the [OCP Registry](#) without an account. This report must document the mitigation results ([as documented here](#)). Verification reports, if separate from the Validation report, are also available publicly on the [OCP Registry](#) without an account. So far, all 4 OCP project have done data verification in tandem with project validation, so Verification results are included in the Validation report.

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

We are continuously improving on all of the OCP process, of which validation/verification are particularly crucial. We are currently working on several improvements to our current processes:

- API connections from digital MRV providers to streamline data reporting and verification
- AI document assistant to streamline document validation and data verification
- Improving design and communication of OCP Registry, especially presentation of issuance data, due to ongoing feedback from users and stakeholders

Q5. Does the Programme have procedures in place to...	
a) ...to ensure that <i>ex-post</i> verification of mitigation is required in advance of issuance of emissions units? (<i>Paragraph 3.3</i>)	<input checked="" type="checkbox"/> YES
b) ...or, to transparently identify units that are issued <i>ex ante</i> and thus ineligible for use in the CORSIA? (<i>Paragraph 3.3.5</i>)	N/A

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

All OCP credits are issued *ex-post*, after a monitoring event has taken place and once all data has been verified. open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

We do not plan to ever issue *ex-ante* credits.

Criterion: Offset credit issuance and retirement procedures

Q6. Does the Programme have procedures in place defining how offset credits are... (<i>Paragraph 2.3</i>)	
a) ...issued?	<input checked="" type="checkbox"/> YES
b) ...retired / cancelled?	<input checked="" type="checkbox"/> YES
c) ...subject to discounting (<i>if any</i>)?	<input checked="" type="checkbox"/> YES
d) Are these procedures publicly disclosed?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through d):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Issuance Process (public): open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process

Retirement and Cancellation Process (public):

open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process/retirement-information

Over Crediting Mitigation Process - only ‘discounting’ done on OCP currently (public):

open-carbon-protocol.gitbook.io/ocp-handbook/projects/mitigating-project-risk-insurance-and-buffer-pool#over-crediting

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

N/A

Criteria: Identification and Tracking, Clear and transparent chain of custody

Q7. Does the programme utilize an electronic registry or registries? (Paragraph 2.4.2)	<input checked="" type="checkbox"/> YES
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Provide web link(s) to the programme registry(ies) and indicate whether the registry is administered by the programme or outsourced to a third party (Paragraph 2.4.2):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Yes, our registry is fully digital: pilot.ocp.earth/registry

B. Any planned/forthcoming changes, including their expected timelines (if none, “N/A”):

We never plan on having anything other than a digital registry.

Q8. Does the programme have procedures in place to ensure that the programme registry or registries...	
a) ...have the capability to transparently identify emissions units that are deemed ICAO-eligible, in all account types ? (Paragraph 2.4.3)	<input checked="" type="checkbox"/> YES
b) ...clearly identify unit owners or holders? (Paragraph 2.4 (d))	<input checked="" type="checkbox"/> YES
c) ...identify, and facilitate tracking and transfer of, unit ownership/holding from issuance to cancellation/retirement? (Paragraphs 2.4 (a) and (d) and 2.4.4)	<input checked="" type="checkbox"/> YES
d) ...identify unit status, including retirement / cancellation, and issuance status? (Paragraph 2.4.4)	<input checked="" type="checkbox"/> YES
e) ...assign unique serial numbers to issued units? (Paragraphs 2.4 (b) and 2.4.5)	<input checked="" type="checkbox"/> YES
f) ...identify in serialization, or designate on a public platform, each unique unit’s country and sector of origin, vintage, and original (and, if relevant, revised) project registration date? (Paragraph 2.4.5)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the procedures referred to in a) through f):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

- a) We have designed and built the ‘eligibility’ tag for credit issuances, but have not released this feature yet as we would wait until CORSIA approval. For transparency, it will also be used for CCP-eligible credits, as [we are currently being assessed by ICVCM](#). See screenshot below for our current design:

OCP-23273

Project Cleanmines

Carbon Projects Inc.

AUGUST 10, 2016 - AUGUST 10, 2026
EL DORADO, AR

Removal

Land Use: Fugitive Gas Destruction/Recovery

CORSIA Phase 2

CREDIT BREAKDOWN

217,500 ISSUED **57,371** AVAILABLE **160,129** RETIRED

[Submit a complaint or grievance about the project](#)

Key Information Details Validation **Issuance** Retirements Documents

Issuance	Date	Eligibility	Credits Issued
00007	2024-03-01	CORSIA Phase 2	34,543
00006	2024-02-16	CORSIA Phase 2	31,087
00005	2024-01-11	CORSIA Phase 2	29,052
00004	2023-12-19	CORSIA Phase 2	29,322
00003	2023-12-02	CORSIA Phase 2	18,732
00002	2023-10-30	CORSIA Phase 2	35,000
00001	2023-10-19	CORSIA Phase 2	39,764

- b) Yes, all units have an assigned account owner (and optionally, beneficial owner). This can be tracked on the 'Transfers' tab of the Activity part of the registry: pilot.ocp.earth/registry
- c) Yes, anyone can track transfers and retirements/cancellations on the 'Transfers' and 'Retirements' tab, respectively, of the Activity part of the registry: pilot.ocp.earth/registry
- d) Yes, all credits have a status assigned, and these can be tracked on the 'Issuances' and 'Retirements' tab, respectively, of the Activity part of the registry: pilot.ocp.earth/registry
- e) Each credit is represented as an individual token in a user's wallet (and our backend database); to facilitate tracking and record-keeping, we report credits in fungible batches based on issuance, ownership and status. These can be tracked on the Activity tab of the registry (e.g. pilot.ocp.earth/registry/retirement-details/1)
- f) Units are linked back to a specific issuance event and project, which then passes down details on country, sector, vintage and project information including registration date. These can be tracked on the Activity tab of the registry (e.g. pilot.ocp.earth/registry/retirement-details/1)

B. Any planned/forthcoming changes, including their expected timelines (if none, "N/A"):

- a) If we were to get approved, we would activate the 'Eligibility' Column for credit issuances to be able to show emission units eligible for CORSIA, and the relevant phase (See a above)

Q9. Are provisions in place for registry account screening, including...	
a) ...provisions ensuring the screening of requests for registry accounts? (<i>Paragraph 2.4.7</i>)	<input checked="" type="checkbox"/> YES
b) ...provisions restricting the programme registry (or registries) accounts to registered businesses and individuals? (<i>Paragraph 2.4.7</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the registry security provisions referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Yes, all registry accounts must undergo KYB as part of the account registration process. This is a requirement to own, transfer, and retire credits on the OCP platform. We only allow registered businesses to apply for registry accounts.

Opening and Closing an Account (public):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/the-ocp-registry-infrastructure/opening-and-closing-an-account>

OCP Terms of Service (public): pilot.ocp.earth/terms-and-conditions

KYB Policy (public):

open-carbon-protocol.gitbook.io/ocp-handbook/governance/kyb-and-anti-money-laundering-policy

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q10. Does the programme have procedures in place...	
a) ...to ensure that the registry is secure (i.e. that robust security provisions are in place)? (<i>Paragraph 2.4 (c)</i>)	<input checked="" type="checkbox"/> YES
b) ...ensuring the periodic audit or evaluation of registry compliance with these security provisions? (<i>Paragraph 2.4.8</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the registry security provisions referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

As a small company, access to systems and data is on a needs-must basis. The majority of our IT/Engineering systems and data storage can only be accessed by Rio Richardson (Chief Product Officer) and Umme Sumaya Jannat (Technical Product Manager/Lead). If other employees require access, we will assess on a case-by-case basis, or provide temporary log-in access. We use AWS IAM for infrastructure access control, security groups for network restrictions, JWT authentication for application access, and version control for code management. Smart

contracts have controlled upgrade mechanisms, and CloudWatch logs all system changes.

We make use of a various AWS services for network infrastructure security:

- We have AWS VPC with security groups controlling inbound/outbound traffic
- Elastic Load Balancing for DDoS mitigation and traffic distribution
- CloudWatch monitoring for security event detection
- RDS and EC2 isolated in private subnets
- Route 53 for DNS management
- HTTPS/TLS encryption for all external communications
- Rate limiting on API endpoints
- CORS policies restricting cross-origin requests

Our frontend is deployed using Vercel, which has DDos protection and edge security.

We currently use these processes for intrusion detection & prevention:

- CloudWatch for security monitoring and alerting
- Rate limiting to prevent brute force attacks
- Load balancer health checks and anomaly detection

We protect data through HTTPS/TLS encryption, JWT authentication, AWS Secrets Manager for credentials, IAM access controls, VPC security groups, input validation, and weekly database backups. Rate limiting and CORS policies provide additional protection layers.

All oneshot and OCP policies and procedures, including security and data protection, are reviewed annually as part of our OCP Annual Audit process.

Incident response plan: When a security breach is identified, a task force team will be set up, lead by Chris Fort, our Chief Operating Officer. This team may include the CEO, Chief Product Officer, and Technical Product Manager as required by the security breach. A detailed incident response plan can be provided on request, but follows these high-level steps:

- Identification
- Prioritization (Functional Impact, Information Impact, Recoverability)
- Notification (internal and external)
- Containment strategy decision (evidence gathering)
- Containment strategy execution
- Eradication and recovery
- Retrospective / lessons learned

Our AWS contract includes Disaster Recovery through their Elastic Disaster Recovery service. All data related to credits (issuance data, quantity, ownership, status etc.) is also stored on the Celo blockchain, which is immutable. We also have offline backups of all methodology and project data in-house on personal laptops and Google Drive.

OCP Annual Audit (public):

open-carbon-protocol.gitbook.io/ocp-handbook/governance/annual-audit-process#ocp-audit

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

We are continuously iterating and improving our security provisions. This year we are planning to implement 2FA log-ins, as well as run a mock incident response.

Q11. If the programme registry has the capability to directly transfer units to/from any other registries or equivalent tracking systems that are not operated by the programme, list any/all other registries to which the programme's registry(ies) are linked and indicate where these linkages are publicly disclosed: (*Paragraph 2.4 (e)*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

N/A - we currently do not allow transfers to/from any other registry

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q12. In respect of any registry linkages identified under **Q11** above, list any/all data exchange standards or systems to which the programme's registry(ies) conform and indicate where this information is publicly disclosed: (*Paragraph 2.4 (f)*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

N/A

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q13. Does the programme Registry publicly display information... (<i>Paragraph 2.3.1</i>)	
a) ...on each batch of cancelled units?	<input checked="" type="checkbox"/> YES
b) ...in a machine-readable format (<i>e.g.</i> , XLS, CSV) that is searchable and downloadable?	- YES
c) ...at no cost?	<input checked="" type="checkbox"/> YES
d) ...with no login credentials required?	<input checked="" type="checkbox"/> YES

Provide evidence of the registry features referred to in a) through d):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We currently do not have any cancelled credits, so there is no publicly available information on these credits. However, they will be displayed in a similar format to retired credits, which is viewable on the 'Retirements' tab of the Activity section of the registry: pilot.ocp.earth/registry

Right now, we only provide a CVS download on request, as we only have a few issuances, transfers and retirements. However, this is on the roadmap to integrate into the registry once we reach 20 line items in any given category.

All events (including cancellation) will always be publicly available at no cost, with no login credentials, at pilot.ocp.earth/registry

B. Any planned/forthcoming changes, including their expected timelines (if none, "N/A"):

See above - we plan to add a 'download as CSV' button to the registry once we reach 20 line items in any given event list.

<p>Q14. Does the machine-readable information on cancelled units contain discrete fields for each of the following, in respect of each batch of units (<i>please select</i>)? (<i>Paragraph 2.3.1</i>)</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Quantity of emission units cancelled <input checked="" type="checkbox"/> Start of serial numbers <input checked="" type="checkbox"/> End of serial numbers <input checked="" type="checkbox"/> Date of cancellation <input checked="" type="checkbox"/> Name of Programme - <i>always 'Open Carbon Protocol'</i> <input checked="" type="checkbox"/> Unit type <input checked="" type="checkbox"/> Host country <input checked="" type="checkbox"/> Methodology¹⁴ <input checked="" type="checkbox"/> Start date of the activity's first crediting period <input checked="" type="checkbox"/> Vintage year of the unit or batch of units <input checked="" type="checkbox"/> CORSIA compliance period(s) for which each batch of units is eligible <input checked="" type="checkbox"/> Unique identifier of the registry account where the batch was cancelled <input checked="" type="checkbox"/> Beneficiary in whose name the unit was cancelled <input checked="" type="checkbox"/> Unique identifier of the registry account from which the cancellation was initiated - <i>same as 2 above</i> 	<input checked="" type="checkbox"/> YES
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Provide evidence of the registry features referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Yes, all of the above information is associated with each event. Each credit unit is linked to an issuance event, which contains information on crediting period, vintage, and will contain the CORSIA compliance period tag. Each

¹⁴Methodology may also be described as a 'protocol' or 'framework'.

credit unit/issuance event is linked to a project, which contains information on the Programme (always Open Carbon Protocol), unit type, host country, methodology, and start date of the project. Each cancellation/retirement event is public, including details on the account owner (i.e. unique registry account).

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):
N/A

PART 3: *Methods and assumptions: Additionality; Realistic and credible baselines; Clear Methodologies, Protocols, and Development Process; Scope Considerations; Quantification and MRV; Offset Credit Issuance and Retirement Procedures*

Criterion: Clear methodologies and protocols, and their development process

Q1. Provide *evidence*¹⁵ that the programme’s qualification and quantification methodologies and protocols are *in place* and *available for use* (i.e., finalized and not in “draft” form), including where the programme’s existing methodologies and protocols are publicly disclosed. (*Paragraph 2.1*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

OCP Published Methodologies: pilot.ocp.earth/all-methodologies

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

OCP Upcoming Methodologies: pilot.ocp.earth/upcoming-methodologies

- Destruction of Hydrofluorocarbons (HFCs) in Article 5 Countries - Public Commentary March 2026; Expected Publication April 2026
- Black Soldier Flies (BSF) - Expected Publication summer 2026
- Jet Engine Washing - Expected Publication summer 2026
- CLEAR Cookstoves - Expected start March 2026; Expected publication summer 2026
- Alternative Manure Management - Expected start April 2026; Expected publication summer 2026
- Oyster Reef Restoration - Expected start May 2026; Expected publication summer/autumn 2026
- Methane from Landfills - Expected start summer 2026; Expected publication winter 2026

Q2. Summarize the programme’s process for developing further methodologies and protocols, including the timing and process for revision of existing methodologies, and indicate where this process is publicly disclosed. (*Paragraph 2.1*)

¹⁵ For this and subsequent “evidence” requests, evidence should be provided in the text box (e.g., web links to documentation), and/or in attachments, as recommended in “SECTION II: INSTRUCTIONS—*Form Completion*”.

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Methodology Development, Feedback and Approval Process (public):

open-carbon-protocol.gitbook.io/ocp-handbook/methodologies/methodology-development-feedback-and-approval

- [Expert Community](#)
- [Methodology Updates](#)

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

We are always looking to iterate and improve on our methodology development process. This year we released a major update to our methodology proposal and review tool, letting authors and reviewers work completely on-platform, and see feedback and questions in real time. [Demo videos are available here](#). We have lots more improvements in the pipeline, although the basic principles of consensus via iterative, expert review and decision making will always remain constant.

Criterion: Scope considerations

Q3. What level of activities are allowed under the programme (e.g., project based, programme of activities, jurisdiction-scale)? Please indicate where the programme (a) defines and (b) publicly discloses the level(s) at which activities are allowed under the programme: (*Paragraph 2.2*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The Open Carbon Protocol has the flexibility to incorporate a variety of activity types, though the primary focus is project-based ([publicly disclosed here](#)), and [the current list of sectors and subsectors is available publicly at this link](#). We currently do not have provisions for programmes or jurisdictional activities, although we are open to adding new activity types under advisement from our Board.

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

N/A

Q4. Please indicate where the programme (a) defines, and (b) publicly discloses, the eligibility criteria for each type of offset activity (e.g., methodology applicability conditions; which sectors, project types, and geographic locations are covered) (*Paragraph 2.2*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP is sector and geographically agnostic ([publicly disclosed here](#)). [This list of OCP sectors and sub-sectors](#)

shows which sectors and project types are currently enabled on the OCP registry, although we are open to adding new sectors under advisement from our Board. Methodology applicability conditions are defined at a methodology level; these criteria can be found in the relevant 'Eligibility' section of each published methodology: pilot.ocp.earth/all-methodologies.

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Criterion: Offset credit issuance and retirement procedures (Continued)

Q5. Does the programme have in place procedures defining... (<i>Paragraph 2.3</i>)	
a) ...the length of crediting period(s)?	<input checked="" type="checkbox"/> YES
b) ...whether crediting periods are renewable?	<input checked="" type="checkbox"/> YES
c) Are these procedures publicly disclosed?	<input checked="" type="checkbox"/> YES

Provide evidence of the procedures referred to in a) and b), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

open-carbon-protocol.gitbook.io/ocp-handbook/projects/project-proposal-flow#renewal-of-crediting-period

“The maximum crediting period allowed on the OCP is 10 years, although some methodologies will set shorter crediting periods. Once an initial crediting period is complete, the project developer will have to submit a new project proposal if they wish to 'renew'. This project proposal will include reassessment of baseline scenarios and will need revalidation by a different third-party Validator than any previous projects using the same methodology.

This process greatly reduces the chance for low-integrity projects or project fraud to operate on the OCP. In today's frequently changing carbon markets, we ask project developers to consider all projects as 'new', even if some of the underlying documents and information will be the same.”

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Criterion: Carbon offset programmes must generate units that represent emissions reductions, avoidance, or removals that are additional

Q6. Does the Programme have procedures in place to ensure, and to support activities to analyze and demonstrate, legal or regulatory additionality ¹⁶ ?	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

“4. Additionality” (page 10-14) in [“OCP Methodology Requirements”](#) (public):

docsend.com/view/9rmzi9hib487iw8g

*Link to “OCP Methodology Requirements” under “Methodology Development, Feedback and Approval”:
open-carbon-protocol.gitbook.io/ocp-handbook/methodologies/methodology-development-feedback-and-approval*

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q7. Identify one or more of the methods below for which the programme has procedures in place to ensure, and to support activities to analyze and demonstrate, that credited mitigation is additional; which can be applied at the project- and/or programme-level: (*Paragraphs 3.1, and 3.1.2 - 3.1.3*)

- Barrier analysis
- Common practice / market penetration analysis
- Investment, cost, or other financial analysis
- Performance standards / benchmarks

Summarize and provide evidence of the policies and procedures referred to above, including describing any/all additionality rules/policies as well as analyses and test types that are utilized under the programme:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Barrier Analysis: “4. Additionality” > Minimum Additionality Tests > 4. Economic & Other Additional Assessments (page 12) in [“OCP Methodology Requirements”](#)

¹⁶ Legal or regulatory additionality means that the programme’s carbon offsets represent greenhouse gas emissions reductions or carbon sequestration or removals that exceed any greenhouse gas reduction or removals required by law, regulation, or legally binding mandate

Common Practice: “4. Additionality” > Minimum Additionality Tests > 3. Common Practice (page 12) in “[OCP Methodology Requirements](#)”

Financial Additionality: “4. Additionality” > Minimum Additionality Tests > 1. Financial Additionality (page 11) in “[OCP Methodology Requirements](#)”

Barrier Analysis: “4. Additionality” > Minimum Additionality Tests > 4. Economic & Other Additional Assessments (page 12) in “[OCP Methodology Requirements](#)”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

We are working to convert our OCP Methodology Requirements document from a PDF document to a wiki-style handbook, similar to our [OCP Handbook](#). As part of this, we are expanding each section to provide background information and additional resources to help methodology authors with each section.

Q8. If the Programme provides for the use of non-traditional or new additionality analysis/tests (*i.e.* method(s) *not* listed in Q7 above and *not* a positive list per Q10 below), describe the alternative procedures and how they ensure that activities are additional: (*Paragraph 3.1*)

A. Information reflecting the current state of the programme and its documentation (*i.e.*, as of the time that this form was completed):

We provide information on “Policy & Regulatory Surplus” as one method of assessing additionality. Authors are welcome to introduce additional additionality analyses on top of the ones listed above, which will need to be reviewed and approved by the expert panel.

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

None planned, although we are always keeping on top of market developments, especially via our IETA membership and relationships with the major rating agencies (BeZero, Sylvera, Calyx).

Q9. For activities that use the additionality tests/analysis/methods listed in Q7 and/or Q8 above, is additionality and baseline-setting... (<i>Paragraph 3.1</i>)	
a) assessed by an accredited and independent third-party verification entity, including for activities that use non-traditional or new additionality tests/analysis/methods?	<input checked="" type="checkbox"/> YES
b) reviewed by the programme?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b), including their availability to the public:

A. Information reflecting the current state of the programme and its documentation (*i.e.*, as of the time that this form was completed):

All methodologies are reviewed and approved by an independent expert panel, as outlined in our Handbook here:

open-carbon-protocol.gitbook.io/ocp-handbook/methodologies/methodology-development-feedback-and-approval

All projects are validated by accredited, independent Validators (i.e. VVBs) before a project is approved and can start issuing credits, as outlined in our Handbook here:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification

The OCP team does not have decision making authority over methodologies, as part of our guiding principles of incentive alignment and conflict of interest. We run an annual audit of all methodologies, projects and validations as outlined in our Handbook here:

open-carbon-protocol.gitbook.io/ocp-handbook/governance/annual-audit-process

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q10. If the programme designates certain activities as automatically additional (e.g., through a “positive list” of eligible project types)(<i>Paragraph 3.1</i>):	
a) Are the criteria for such positive lists conservative?	<input checked="" type="checkbox"/> YES
b) Are these criteria publicly disclosed?	<input checked="" type="checkbox"/> YES
c) Does the Program provide clear evidence on how each activity included on a positive list was determined to be additional?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures for determining the automatic additionality of activities, including a) the criteria used to determine additionality and how these are conservative, b) their availability to the public, and c) how item on the list was determined to be additional, in line with the criteria:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP does not maintain a program-wide positive list of automatically additional project types. Where a methodology proposes the use of a narrowly defined positive list, such designation shall be exceptional, evidence-based, and subject to heightened scrutiny. Satisfaction of common-practice or market-penetration criteria alone is necessary but not sufficient for automatic additionality. Details can be found in the “[OCP Methodology Requirements](#)”, under “4. Additionality” > “Conditional Use of Positive Lists”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Criterion: Are based on a realistic and credible baseline

Q11. Are procedures in place...	
a) ...to ensure that <i>methods of developing baselines</i> , including modelling, benchmarking or the use of historical data, use assumptions, methodologies, and values do not over-estimate mitigation from an activity? (<i>Paragraph 3.2.2</i>)	<input checked="" type="checkbox"/> YES
b) ...requiring activities to ensure and demonstrate that emissions baselines are set in a conservative way and below business-as-usual emission projections? (<i>Paragraph 3.2.4</i>)	<input checked="" type="checkbox"/> YES
c) ...requiring any non-traditional baselines (<i>e.g.</i> , sector-wide performance benchmarks or standards, which do not rely on business-as-usual analysis) to deliver and demonstrate equivalently conservative and below business-as-usual outcomes? (<i>Paragraph 3.2.4</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in (a) to (c) above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Relevant Sections of the "[OCP Methodology Requirements](#)":

- **"4. Additionality"**: "Each methodology must define a conservative business-as-usual (BAU) scenario representing realistic baseline conditions absent of carbon credit revenues. Credited outcomes must demonstrably exceed this BAU scenario, and baseline assumptions must be conservative where uncertainty exists."
- **"7. Quantification of Greenhouse Gas Emissions": Baseline Emissions**

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

Q12. Are procedures in place for activities to respond, as appropriate, to changing baseline conditions that were not expected at the time of registration? (<i>Paragraph 3.2.3</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP has a materiality threshold of 5%. If any change in the project impacts the claims by more than that value, it requires the project developer to notify the program. Any potential over-crediting is covered by the overcrediting section of the OCP Handbook

<https://open-carbon-protocol.gitbook.io/ocp-handbook/projects/mitigating-project-risk-insurance-and-buffer-pool#over-crediting>

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

Q13. Are procedures in place to ensure the public disclosure of baselines and underlying assumptions? (<i>Paragraph 3.2</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred above.:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

All project information, including baselines and underlying assumptions, is publicly available on the project page of the OCP Registry: pilot.ocp.earth/registry. A summary of the Baseline Scenario is available on the “Key Information” tab, with more details provided in other tabs and documents.

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q14. Please provide any additional information on how the programme ensures that all offset credits are issued against realistic, defensible, and conservative baseline estimations of emissions, including how “conservativeness” and “below business-as-usual” are defined and ensured in practice.

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP [Methodology Requirements](#) pg 17 defines the need for a conservative baseline in all methodologies. The OCP Methodology Requirements explicitly mention ICVCM’s assessment framework. All methodologies are reviewed every year against current science and any need to improve the methodology, including the baseline setting.

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A.

Q15. Are procedures in place requiring that the renewal of a crediting period includes a re-evaluation of the baseline, procedures and assumptions for quantifying, monitoring, and verifying mitigation, including the baseline scenario? (<i>Paragraph 3.3.4</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

open-carbon-protocol.gitbook.io/ocp-handbook/projects/project-proposal-flow#renewal-of-crediting-period

“The maximum crediting period allowed on the OCP is 10 years, although some methodologies will set shorter crediting periods. Once an initial crediting period is complete, the project developer will have to submit a new project proposal if they wish to 'renew'. This project proposal will include reassessment of baseline scenarios and will need revalidation by a different third-party Validator than any previous projects using the same methodology.

This process greatly reduces the chance for low-integrity projects or project fraud to operate on the OCP. In today's frequently changing carbon markets, we ask project developers to consider all projects as 'new', even if some of the underlying documents and information will be the same.”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q16. Do the procedures in Q15 above also apply to activities that wish to undergo verification but have not done so within the programme’s allowable number of years between verification events?	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above, including identifying the allowable number of years between verification events:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

open-carbon-protocol.gitbook.io/ocp-handbook/projects/third-party-validation-and-verification#timing

“Monitoring and issuance must happen at least once a year. Validation/verification processes must be completed within a year of project registration unless an extension was pre-discussed with OCP Admin (Rio Richardson).

Extensions are only granted for cases where validation or verification could not be completed within a year for external reasons (e.g. access to site, change in validator due to ceasing of operations) and not due to project developer or validator internal timelines. Extensions must be approved by the OCP Board.”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q17. Please provide any additional information to demonstrate how the procedures described under **Questions 5 to 16 above** provide a reasonable assurance exceed any greenhouse gas reductions or removals that would otherwise occur: (*Paragraph 3.1*)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this

form was completed):

Our "[OCP Methodology Requirements](#)" and [OCP Handbook](#) both provide a wealth of information on how the OCP ensures projects exceed baseline scenarios. We are always looking to iterate and improve on both our documentation and processes, and welcome the opportunity to discuss these topics further with you.

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

PART 4: Permanence and Leakage

Criterion: Permanence

Q1.a) List all emissions sectors (if possible, activity types) supported by the Programme that present a potential risk of reversal of emissions reductions, avoidance, or carbon sequestration:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Our approach is that every methodology and project type needs to address risk of reversal, even if they are seen as low risk. However, we highlight sectors that are less likely to be able to ensure permanent storage or reductions, especially nature-based-solutions (NBS), where projects may be at risk of both natural reversal events (e.g. wildfires destroying forests) or human reversal events (e.g. farmers deciding to move away from regenerative agriculture methods), in our Methodology Requirements Document.

Source: "8. Permanence" in "[OCP Methodology Requirements](#)"

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

We have a partnership with Kita.Earth, the carbon insurance company, to continue to iterate on documenting and mitigating reversal and other risks. We also work closely with rating agencies (including Calyx, BeZero and Sylvera) to address reversal risks at a methodology and project level.

Q1.b) What is the minimum scale of reversal for which the Programme provisions or measures require a response? (Quantify if possible)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

"A reversal is considered material when the cumulative magnitude of the reversal reaches or exceeds five

percent (5%) of the total credited emission reductions or removals issued to the project.

Accordingly:

- Any single reversal event or cumulative series of reversal events amounting to 5% or more of credited outcomes shall automatically trigger a mitigation response;
- Repeated or cumulative small reversals below 5% shall be tracked and aggregated over time for the purposes of assessing materiality;
- Methodology-defined thresholds for minor events shall not preclude program-level intervention where the cumulative 5% threshold is reached or where integrity risks are identified.

Reversals below the 5% threshold do not automatically require mitigation but must be documented, disclosed, and considered in ongoing risk assessment.“

Source: “8. Permanence” in “OCP Methodology Requirements” > “Risk Mitigation”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

We have a partnership with Kita.Earth, the carbon insurance company, to continue to iterate on documenting and mitigating reversal and other risks. We also work closely with rating agencies (including Calyx, BeZero and Sylvera) to address reversal risks at a methodology and project level.

Q2. For sectors/activity types identified in question 1(a) above, are procedures and measures in place to <u>require and support</u> these activities to...	
a) undertake a risk assessment that accounts for, <i>inter alia</i> , any potential causes, relative scale, and relative likelihood of reversals? (<i>Paragraph 3.5.2</i>)	<input checked="" type="checkbox"/> YES
b) monitor <u>identified risks</u> of reversals? (<i>Paragraph 3.5.3</i>)	<input checked="" type="checkbox"/> YES
c) mitigate <u>identified risks</u> of reversals? (<i>Paragraph 3.5.3</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through c):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We require all methodologies to include a risk assessment and a risk mitigation strategy for reversal risks. The details and level of these assessments and strategies will depend on the relative risk level of the project type, but are required for all methodologies nonetheless.

Source: “8. Permanence” in “OCP Methodology Requirements” > “Permanence & Reversal Risk Assessment”

Source: “8. Permanence” in “OCP Methodology Requirements” > “Risk Mitigation”

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

See answers above for our partnerships with kita.earth and the carbon rating agencies.

Q3. Are provisions in place that... (<i>Paragraph 3.5.5</i>)	
a) confer liability on the activity proponent to monitor, mitigate, and respond <u>to reversals</u> in a manner mandated in the programme procedures?	<input checked="" type="checkbox"/> YES
b) require activity proponents, upon being made aware of a material reversal event, to notify the programme within a specified number of days?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b), including indicating the *number of days within which activity proponents must notify the programme of a material reversal event*:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

In our Terms of Service (<https://pilot.ocp.earth/terms-and-conditions>):

“9.8.6. User must inform OSE/OCP of any incorrect or inaccurate information, including reversal events, on the OCP Registry within 5 business days. User must implement monitoring systems capable of detecting loss events and potential reversals in accordance with OCP and methodology requirements. Notification of reversal event shall include preliminary evidence sufficient to enable the OCP team to assess the magnitude and materiality of the reversal. Confirmed events must have complete disclosure of the data within 45 calendar days of the event.”

Buffer Pool Policy:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/mitigating-project-risk-insurance-and-buffer-pool/buffer-pool-policy

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q4. Are provisions in place that confer responsibility <u>to the programme</u> to, upon such notification, ensure and confirm that such reversals are fully compensated in a manner mandated in the programme procedures? (<i>Paragraph 3.5.5</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Buffer Pool Policy:

open-carbon-protocol.gitbook.io/ocp-handbook/projects/mitigating-project-risk-insurance-and-buffer-pool/buffer-pool-policy

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

We are exploring options for higher-integrity options such as project insurance, inter-registry pools, and reversal funds.

Q5. Does the Programme have procedures in place which provide for reversal monitoring and compensation requirements to be applied by an activity that generates CORSIA-eligible units for ... (Paragraph 3.5.4) ¹⁷	
a) ...at the very least, twenty (20) years from the start of their first crediting period, in the case of activities that started crediting before 1 January 2027?	<input checked="" type="checkbox"/> YES
b) ...at least forty (40) years from the start of their first crediting period, for activities that start crediting after 31 December 2026?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Methodologies and Project under the 'Land Use' sector are required to monitor for at least 40 years: <https://open-carbon-protocol.gitbook.io/ocp-handbook/projects/project-proposal-flow#land-use-project-monitoring-requirements>

Other sectors only have to monitor for the project crediting period, due to reduced risk of reversal.

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

Q6. Does the programme have the capability to ensure that any emissions units which compensate for the material reversal of mitigation issued as emissions units and used toward offsetting obligations under the CORSIA are fully eligible for use under the CORSIA? (Paragraph 3.5.6)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The CORSIA section of the OCP Handbook

(<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia>) requires that all project developers submit a binding guarantee to replace double-counted or cancelled **CORSIA eligible** credits with other CORSIA-eligible credits. This guarantee can be provided by an eligible insurance provider.

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

¹⁷ Procedures for jurisdiction-scale activities must alternatively ensure that the volume of emissions units contributed by a given activity to a reversal risk pool will, at a minimum, fully compensate for the activity's reversal risk for the same timeframe.

Q7. Would the programme be willing and able, upon request, to demonstrate that its permanence provisions can fully compensate for the reversal of mitigation issued as emissions units and used under the CORSIA? (Paragraph 3.5.7)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCPs contracts with Kita, a carbon credit insurance provider, to advise our Expert Panels on setting Buffer Pool contributions. The OCP is in discussions with Kita and other insurance providers to insure its buffer pool against reversal risk on the start of the issuance of CORSIA-eligible credits if necessary to be able to fully compensate for the potential reversal events of CORSIA-eligible credits.

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

The date of enactment of any buffer pool insurance policy is expected at the end of 2026 or earlier.

Q8. Please provide any additional information to demonstrate how the program’s procedures ensure full compensation for material reversals of mitigation issued as emissions units and used toward offsetting obligations under the CORSIA:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

N/A - All documentation is listed and linked in the questions above.

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

If there are additional procedures than the ones listed above that would improve our CORSIA (or other) compensation strategy we would be more than happy to discuss and figure out a way to integrate into our current procedures.

Criterion: Assess and mitigate against potential increase in emissions elsewhere

Q9.a) List all emissions sectors (if possible, activity types) supported by the programme that present a potential risk of material emissions leakage:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

All methodologies are required to explicitly assess whether the activity type is structurally prone to emissions leakage. It shall describe the steps to quantify leakage risks and how to mitigate against the unique leakage risk posed by this project type. A comprehensive assessment of the leakage potential must use peer-reviewed literature and established modeling tools, where relevant (e.g., Oil Climate Index, market elasticity studies).

Source: “8. Quantification of GHG Emissions” in “[OCP Methodology Requirements](#)” > “Leakage”

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

N/A

Q9.b) What is the minimum scale of leakage that that would trigger the Programme’s applicable provisions or procedures? (Quantify if possible)

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The materiality threshold for all claims on the OCP is 5%.

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

N/A

Q10.a) Are measures in place to <u>assess</u> and <u>mitigate</u> incidences of material leakage of emissions that may result from the implementation of an offset project or programme? (<i>Paragraph 3.6</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

All methodologies must assess leakage risks, including steps to quantify leakage risks and how to mitigate against the unique leakage risk posed by the project type.

Every methodology uses the same top-level quantification calculation of ‘ER = BE - PE - L - U’, where L = leakage.

Source: “8. Quantification of GHG Emissions” in “[OCP Methodology Requirements](#)” > “Leakage”

B. Any planned/forthcoming changes, including their expected timelines (*if none*, “N/A”):

N/A

Q10.b). Are procedures in place requiring and supporting activities to monitor identified leakage? (<i>Paragraph 3.6.3</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Yes, leakage is a key part of the Quantification Calculation, and therefore is monitored and reported as part of the ongoing data monitoring, reporting and verification processes.

Source: "8. Quantification of GHG Emissions" in "[OCP Methodology Requirements](#)" > "Leakage"

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

Q11. Are procedures in place requiring activities to deduct from their accounting emissions from any identified leakage that reduces the mitigation benefits of the activities? (<i>Paragraph 3.6.4</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Every methodology uses the same top-level quantification calculation of 'ER = BE - PE - L - U', where L = leakage; so therefore all projects must at deduct identified leakage from the overall emission reduction/removal.

Source: "8. Quantification of GHG Emissions" in "[OCP Methodology Requirements](#)" > "Leakage"

B. Any planned/forthcoming changes, including their expected timelines (*if none*, "N/A"):

N/A

Q12. Are provisions in place requiring activities that pose a risk of leakage when implemented at the project level to be implemented at a national level, or on an interim basis on a subnational level, in order to mitigate the risk of leakage? (<i>Paragraph 3.6.2</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We currently do not have any projects at a national or subnational level, but will work with our expert community and third party partners to implement leakage provisions when required.

B. Any planned/forthcoming changes, including their expected timelines: (*if none*, "N/A"):

N/A

Q13. List all activity types supported by the programme that involve replacing equipment or other physical systems such that these comprise the activity's baseline:

N/A - we have no methodologies that involve replacing equipment or other physical systems. If we do support the development of one, we will include the criteria below to ensure that any credits generated remain CORSIA

eligible.

For the activity types listed above, does the programme have procedures ensuring that <i>(select all that apply): (Paragraph 3.6.4)</i>	
(a) the baseline equipment is demonstrably decommissioned, destroyed, or scrapped, or otherwise demonstrated to no longer be in use,	N/A
(b) emissions from equipment disposal are discretely assessed, mitigated where possible, and deducted from the verified results of the activity,	N/A
(c) where procedures enable the baseline equipment to potentially be re-sold or otherwise remain in use, equivalent procedures for assessment, mitigation, and accounting deductions apply to emissions resulting from its continued use.	N/A

Summarize and provide evidence of the policies and procedures referred to in a) through c) above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

N/A

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

**PART 5: *Double counting: Avoidance of Double Counting, Issuance and Claiming;*
Only counted once towards a mitigation obligation**

Criteria: Avoidance of Double Counting, Issuance and Claiming and Are only counted once towards a mitigation obligation

Q1. Does the Programme have measures in place ...	
a) ...to ensure the transparent transfer of units between registries, if applicable? <i>(Paragraph 3.7.1 and 3.7.5)</i>	N/A
b) ...to ensure that only one unit is issued for one tonne of mitigation? <i>(Paragraph 3.7.1 and 3.7.5)</i>	<input checked="" type="checkbox"/> YES
c) ...to ensure that one unit is issued or transferred to, or owned or cancelled by, only one entity at any given time? <i>(Paragraphs 3.7.2 and 3.7.6)</i>	<input checked="" type="checkbox"/> YES
d) ...to discourage and prohibit the double-selling of units, which occurs when one or more entities sell the same unit more than once? <i>(Paragraph 3.7.7)</i>	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) through d):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

- a) We do not allow units to be transferred between registries.
- b) We do not allow projects that have already been registered under other programs or accounting boundaries that overlap with other projects to register on the OCP. If you are going through two registry

processes at once, as soon as one is approved, the other one must immediately be stopped. We currently do not allow projects overlapping with mandatory domestic mitigation schemes to be registered on the OCP, due to the potential for benefit duplication.

- c) A credit can only have one account owner at any given time. Once a credit has been retired or canceled, the state or owner cannot be changed. All transfers and retirements are publicly available via the OCP Registry: pilot.ocp.earth/registry
- d) As above, a credit can only have one account owner at any given time, eliminating the risk of double-selling. Only the account owner can sell the credit units in their account. All transfers and retirements are publicly available via the OCP Registry: pilot.ocp.earth/registry

OCP Handbook (public): open-carbon-protocol.gitbook.io/ocp-handbook/registry/no-double-counting

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q2. Does the Programme have procedures in place...	
a) ...requiring mitigation from emissions units used by operators under the CORSIA to be appropriately accounted for by the host country when claiming achievement of its target(s) / pledges(s) / mitigation contributions / mitigation commitments, in line with the relevant and applicable international provisions? (<i>Paragraph 3.7.10.1</i>)	<input checked="" type="checkbox"/> YES
b) ...that provide for the use of any other method(s) to avoid double-claiming? (<i>Paragraph 3.7.10.2</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#no-double-claims>

The CORSIA section handbook lays out the requirements for receiving a Letter of Authorization from the Host Country and the confirmation process of confirming the Corresponding Adjustment has been applied

B. Any planned/forthcoming changes, including their expected timelines (*if none, "N/A"*):

N/A

Q3. Does the Programme have procedures in place for the following: (<i>Paragraph 3.7.8</i>)	
a) to obtain, or require activity proponents to <u>obtain and provide to the programme</u> , written attestation from the host country's national focal point or focal point's designee?	<input checked="" type="checkbox"/> YES
b) for host country attestations to be obtained and <u>made publicly available prior to the use of units from the host country in the CORSIA?</u>	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The Handbook describes the information and format of a Letter of Authorization

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#host-country-letter-of-authorization>

The Registry Functionality for CORSIA-eligible Credits section states that they will be displayed publically

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#registry-functionality-for-corsia-eligible-credits>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q4. Does the Programme have procedures in place in place to guide the contents of host-country attestations? (<i>Paragraph 3.7.9</i>)	<input checked="" type="checkbox"/> YES
If YES, do the Programme’s procedures on the contents of host-country attestations facilitate countries to identify each of the following:	
(i) the national point of contact,	<input checked="" type="checkbox"/> YES
(ii) authorized unit vintages,	<input checked="" type="checkbox"/> YES
(iii) authorized activity types, if applicable,	<input checked="" type="checkbox"/> YES
(iv) the CORSIA compliance period for which the units are authorized,	<input checked="" type="checkbox"/> YES
(v) the expected timing and processes for applying and reporting adjustments that are informed by the host country’s specified definition of “first transfer”;	<input checked="" type="checkbox"/> YES
(vi) the country’s chosen accounting method consistent with the relevant provision of 2/CMA.3 Annex I “Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement.	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

[Here is a list of our requirements](#)

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#host-country-letter-of-authorization>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q5. Does the Programme have procedures in place...	
a) ...requiring host country attestations to confirm the use of the applicable approach(es) referred to in Question 2 above?	<input checked="" type="checkbox"/> YES
b) ...requiring host country attestations to specify and describe the steps taken to prevent double-claiming (in line with these approaches / requirements)?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#evidence-gathering-and-reconciliation>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q6. Please provide any additional information about the programme’s measures to require and demonstrate that host countries of emissions reduction activities agree to account for any offset units issued as a result of those activities, such that double claiming does not occur between the airline and the host country of the emissions reduction activity.

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#reporting-and-annual-disclosure>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*): N/A

Q7. Does the Programme have measures in place to...	
a) make publicly available <u>any national government decisions</u> related to accounting for units used in ICAO, including decisions related to the contents of host country attestations described in paragraph 3.7.8 of Appendix A? (<i>Paragraph 3.7.11</i>)	<input checked="" type="checkbox"/> YES
b) update information pertaining to host country attestation as often as necessary to avoid double-claiming? (<i>Paragraph 3.7.11</i>)	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to in a) and b):

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#reporting-and-annual-disclosure>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

N/A

Q8.a) Does the Programme have procedures in place to compare countries’ accounting for emissions units in national emissions reports against the volumes of eligible units issued by the programme and used under the CORSIA which the host country’s national reporting focal point or designee otherwise attested to its intention to not double claim? (<i>Paragraph 3.7.12</i>)	<input checked="" type="checkbox"/> YES
Q8.b). Do the procedures referred to above... (<i>Paragraph 3.2.12</i>)	
(i) ...specify the relevant accounting information in each report submitted in accordance with Section IV of Annex I to Decision 2/CMA.3?	<input checked="" type="checkbox"/> YES
(ii) ...specify the expected timing and processes by which the programme will compare the host country’s reported information on authorizations in its national reports with the information provided by the country in its attestation ?	<input checked="" type="checkbox"/> YES
iii) ...require publication of all host-country attestations and related documentation generated by the emissions unit programme (e.g., results from the comparison)?	<input checked="" type="checkbox"/> YES

Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

This is specified in the Reporting & Annual Disclosure section of the Handbook
<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#reporting-and-annual-disclosure>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):
N/A

Q9. Would the Programme be willing and able, upon request, to report to ICAO’s relevant bodies, as requested, performance information related to, <i>inter alia</i> , any material instances of and programme responses to country-level double claiming; the nature of, and any changes to, the number, scale, and/or scope of host country attestations; any relevant changes to related programme measures? (<i>Paragraph 3.7.13</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

The OCP has not had any instances of program responses to double claiming, but will keep all documentation of future incidents on file for review by ICAO

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):
N/A

Q10. Does the Programme have procedures in place for the programme, or proponents of the activities it supports, to compensate for, replace, or otherwise reconcile double claimed mitigation associated with units used under the CORSIA which the host country’s national accounting focal point or designee otherwise attested to its intention to not double claim, including in the instance that the attestation is withdrawn.? (<i>Paragraph 3.7.14</i>)	<input checked="" type="checkbox"/> YES
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Summarize and provide evidence of the policies and procedures referred to above:

A. Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

We require project developers to provide a binding guarantee against double-claiming. This can be in the form of an insurance policy.

<https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/corsia#double-claiming-compensation-mechanism>

B. Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):
N/A

PART 6: Programme comments

Are there any additional comments the programme wishes to make to support the information provided in this form?

We are currently undergoing ICVCM program approval, so many continue to iterate on our processes and policies as we approach full approval. If possible, we would be very interested in starting a dialogue with the ICAO team as well.

In addition, we are always looking for opportunities and partnerships to improve upon registry infrastructure within the VCM and Compliance markets. Every year we run an annual audit of all processes, and we also participate in working groups such as IETA's, CDOP or ICVCM's Continuous Improvement program. Our application reflects our current state, but we are excited for the OCP and Carbon Markets more generally to iterate, improve and scale over the upcoming years.

SECTION IV: SIGNATURE

I certify that I am the administrator or authorized representative (“Programme Representative”) of the emissions unit programme (“Programme”) represented in a) this form, b) evidence accompanying this form, and c) any subsequent oral and/or written correspondence (a-c: “Programme Submission”) between the Programme and ICAO; and that I am duly authorized to represent the Programme in all matters related to ICAO’s analysis of this application form; and that ICAO will be promptly informed of any changes to the contact person(s) or contact information listed in this form.

As the Programme Representative, I certify that all information in this form is true, accurate, and complete to the best of my knowledge.

As the Programme Representative, I acknowledge that:

the Programme’s participation in the assessment does not guarantee, equate to, or prejudice future decisions by Council regarding CORSIA-eligible emissions units; and

the ICAO is not responsible for and shall not be liable for any losses, damages, liabilities, or expenses that the Programme may incur arising from or associated with its voluntary participation in the assessment; and

as a condition of participating in the assessment, the Programme will not at any point publicly disseminate, communicate, or otherwise disclose the nature, content, or status of communications between the Programme and ICAO, and of the assessment process generally, unless the Programme has received prior notice from the ICAO Secretariat that such information has been and/or can be publicly disclosed.

Signed:

Rio Richardson
Full name of Programme Representative (*Print*)

9 March 2026
Date signed (*Print*)



Programme Representative (*Signature*)

(This signature page may be printed, signed, scanned and submitted as a separate file attachment)

SHEET A: DESCRIBED ACTIVITIES (Here, list activities supported by the programme that are described in this form and submitted for assessment be TAB, whether or not these activities are currently within the programme's Scope of Eligibility for the 2024-2026 assessment period in case the programme is eligible for that period)

Sector	Supported activity type(s)	Implementation level(s)	Geography(ies)
Waste	HFC Destruction from Household Appliances in the U.S.	Project-level	United States of America - only
Industrial Processes	Plugging Orphaned Oil & Gas Wells	Project-level	United States of America - only
Industrial Processes	Early Decommissioning of Marginal Oil & Gas Wells	Project-level	United States of America - only

SHEET B: METHODOLOGIES / PROTOCOLS LIST (Here, list all methodologies / protocols that support activities described in Sheet A)

Methodology name	Unique Methodology / Protocol Identifier	Applicable methodology version(s)	Date of entry into force of most recent version	Prior versions of the methodology that are credited by the Programme (if applicable)	Greenhouse / other gases addressed in methodology	Web link to methodology
HFC Destruction from Household Appliances in the	OCP-MTD-09	V1.0	14 Aug 25		HFCs	https://pilot.ocp.earth/all-methodologies/9
Plugging Orphaned Oil & Gas Wells	OCP-MTD-08	V1.0	1 Sep 25		Methane	https://pilot.ocp.earth/all-methodologies/8
Early Decommissioning of Marginal Oil & Gas Wells	OCP-MTD-03	V1.0	15 Apr 24		Methane	https://pilot.ocp.earth/all-methodologies/3

~°OACI°~

SHEET A: EXCLUDED ACTIVITIES (Here, list activities supported by the programme that the programme wishes to **exclude** from TAB's assessment, whether or not these were previously excluded from the programme's Scope of Eligibility for the 2024-2026 compliance period)

Sector	Project/programme type(s)	Implementation level(s)	Geography(ies)
None	e.g., Landfill methane capture; Coal mine methane capture;	e.g., Project-level only; Programmes of activities; Sector-sc	e.g., Global; Non-Annex I-only; Country X only

SHEET B: EXCLUDED METHODOLOGIES (Here, list all methodologies / protocols that support activities described in Sheet A)

Methodology name	Unique Methodology / Protocol Identifier	Applicable methodology version(s)	Date of entry into force of most recent version	Prior versions of the methodology that are credited by the Programme (if applicable)	Greenhouse / other gases addressed in methodology	Web link to methodology
None	e.g., ABC-123-V.20-XXX	e.g., V2.0	01/01/2018			

Emissions Unit Programme Registry Attestation

(Version 3, January 2023)

PART A. Applicability and Instructions

1. Relevance and definitions:

1.1. These terms are relevant to emissions unit programmes and their designated registries:

1.1.1. *CORSIA Eligible Emissions Unit Programme:* emissions unit programme approved by the ICAO Council as eligible to supply emissions units under the CORSIA.

1.1.2. *CORSIA Eligible Emissions Unit Programme-designated registry:* registry designated by a CORSIA Eligible Emissions Unit Programme to provide its registry services and approved by the ICAO Council as reflected in the programme's listing contained in the ICAO Document titled "*CORSIA Eligible Emissions Units*".

1.1.3. *Material change:* any update to the procedures of an emissions unit programme or its designated registry that would alter the functions that are addressed in the Emissions Unit Criteria (EUC), related guidelines, or the contents of this attestation. This includes changes that would alter responses to questions in the application form that the programme has submitted to the ICAO Secretariat or contradict the confirmation of the registry's adherence to the requirements contained in this attestation.

1.1.4. *Cancel:* the permanent removal and single use of a CORSIA Eligible Emissions Unit within a CORSIA Eligible Emissions Unit Programme designated registry such that the same emissions unit may not be used more than once. This is sometimes also referred to as "retirement", "cancelled", "cancelling" or "cancellation".

1.1.5. *Business day:* defined by the CORSIA Eligible Emissions Unit Programme registry when responding to formal instruction from a duly authorized representative of the owner of an account capable of holding and cancelling CORSIA Eligible Emission Units.

1.2. References to "Annex 16, Volume IV" throughout this document refer to Annex 16 to the Convention on International Civil Aviation — *Environmental Protection*, Volume IV — *Carbon Offsetting and reduction Scheme for International Aviation (CORSIA)*, containing the Standards and Recommended Practices (SARPs) for CORSIA implementation. Reference to "ETM, Volume IV" throughout this document refer to Environmental Technical Manual (Doc 9501), Volume IV — *Procedures for demonstrating compliance with the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)*, containing the guidance on the process to implement CORSIA SARPs.

2. Programme - registry relationship:

2.1. The ICAO Council's Technical Advisory Body (TAB) conducts its assessment of emissions unit programme eligibility including an assessment of the programme's provisions and procedures governing the programme registry, as represented by the programme. The ICAO Council determines CORSIA eligible emissions units upon recommendations by TAB and

consistent with the EUC. The programme registry is not separately or independently considered throughout this process. The TAB may periodically review and report to the ICAO Council regarding the continued consistency of programme's registry and its administration with terms contained in this document's Part B.

- 2.2.** The provision of registry services under the CORSIA by a CORSIA Eligible Emissions Unit Programme registry is fully subject to the terms, conditions and limitations to the programme's scope of eligibility. Such terms include, *inter alia*, the programme's commitment to administer any and all provisions and procedures governing the programme registry in the manner represented by the programme in the application form and additional information provided to TAB during the assessment process.
 - 2.3.** A CORSIA Eligible Emissions Unit Programme registry can provide registry services to aeroplane operators prior to the programme's and programme registry's demonstration of the registry's consistency with the registry requirements contained in this attestation. However, the programme registry can only claim to support and can only provide for aeroplane operators to fulfill the provisions in Annex 16, Volume IV and ETM, Volume IV involving emissions unit cancellation-, reporting-, and verification-related actions after its consistency with the registry requirements contained in this attestation is demonstrated by the programme in accordance with Part A, Paragraph 3 of this document, and the signed attestation is published on the CORSIA website in addition to the ICAO document "*CORSIA Eligible Emissions Units*".
- 3. Submitting an "*Emissions Unit Programme Registry Attestation*":**
- 3.1.** Both the administrator or authorized representative ("Programme Representative") of an emissions unit programme ("Programme"), and the administrator or authorized representative ("Registry Representative") of the registry designated by the Programme ("Programme Registry") will review and attest to their acceptance (as signed in Section 8 of this attestation) of all terms contained herein.
 - 3.2.** The Programme will electronically submit to the ICAO Secretariat a unique, dual-signed attestation for each and every Programme Registry that will provide its registry services to the Programme under the CORSIA:

 - 3.2.1.** If the Programme is determined to be eligible by a decision of the ICAO Council taken in 2020, the Programme will submit the signed attestation(s) to the ICAO Secretariat no later than one year after the Programme is determined to be eligible by the ICAO Council.
 - 3.2.2.** From 2021, the Programme should submit the signed attestation(s) to the ICAO Secretariat at the time of applying for assessment by the TAB. If the Programme is determined to be eligible by a decision of the ICAO Council after 31 December 2020, the Programme will submit the signed attestation(s) to the ICAO Secretariat no later than 180 days after the Programme is determined to be eligible by the ICAO Council.
 - 3.3.** As soon as possible upon receiving a signed attestation from the Programme, the ICAO

Secretariat will:

3.3.1.Forward the signed attestation to the TAB; and

3.3.2.If the Programme is determined to be eligible by a decision of the ICAO Council, publicly post the signed attestation on the CORSIA website in addition to the ICAO document "*CORSIA Eligible Emissions Units*".

PART B: Emissions Unit Programme Registry

Attestation

4. **Programme application materials.** As the Registry Representative, I certify items 4.1 to 4.4:
 - 4.1. I have read and fully comprehend the following information:
 - 4.1.1. The instructions and terms of this attestation;
 - 4.1.2. The contents of the ICAO document “*CORSIA Emissions Unit Eligibility Criteria*”;
 - 4.1.3. The contents of the most recent version of the application form that the Programme has provided to the ICAO Secretariat; and
 - 4.1.4. The terms, conditions and limitations to the Programme’s scope of eligibility and further action(s) requested to the Programme by the ICAO Council, as presented to the Programme upon relevant decision of the ICAO Council on the Programme’s eligibility¹ for the 2024-2026 compliance period (First Phase).
 - 4.2. The Programme’s representation of its provisions and procedures governing the Programme Registry, and of Programme Registry functionality, as contained in the most recent version of the application form that the Programme has provided to the ICAO Secretariat, is true, accurate, and complete, to the best of my knowledge;
 - 4.3. The Programme Registry will notify the Programme of any material changes to the Programme Registry, to enable the Programme to maintain consistency with relevant criteria and guidelines throughout its assessment by TAB and up to an eligibility decision by the ICAO Council; and, if applicable, continuing on from the effective date of an affirmative eligibility decision by the ICAO Council, the Programme Registry will notify the Programme of any material changes to the Programme Registry, such that the Programme can maintain consistency with relevant criteria and guidelines;
 - 4.4. The Programme Registry and Registry Representative will not publicly disseminate, communicate, or otherwise disclose the nature, content, or status of communications between the Programme, the Programme Registry, and/or the ICAO Secretariat, related to the status of the Programme’s provision of programme and registry services under the CORSIA, unless the Programme has received prior notice from the ICAO Secretariat that such information has been and/or can be publicly disclosed.
5. **Scope of Programme responsibilities under the CORSIA.** As the Registry Representative, I acknowledge items 5.1 to 5.2:
 - 5.1. The scope of the Programme assessment by the TAB, through which the TAB will develop recommendations on the list of eligible emissions unit programmes (and potentially project types) for use under the CORSIA, which will then be considered by the ICAO Council for an eligibility decision, including the Programme’s responsibilities throughout this process; and

¹ Only applicable when the Programme submits the signed “*Emissions Unit Programme Registry Attestation*” to the ICAO Secretariat after the Programme is determined to be eligible by a decision of the ICAO Council.

- 5.2. The scope and limitations of the ICAO Secretariat's responsibilities related to the assessment process.
6. **Programme - Registry relationship.** As the Registry Representative, I understand and accept items 6.1 to 6.2:
- 6.1. The Programme Registry's provision of registry services under the CORSIA is subject to the terms, conditions and limitations to the Programme's scope of eligibility, as presented to the Programme upon relevant decision of the ICAO Council on the Programme's eligibility; and
- 6.2. Only after the Programme and the ICAO Secretariat have completed all steps in Part A, Section 3 of this attestation, can the Programme Registry facilitate and identify emissions unit cancellations specifically for CORSIA use, and support any related reporting and verification activities. The Programme Registry will not promote itself as being capable of providing registry services for the described purpose until such time.
7. **Scope of Programme Registry responsibilities under the CORSIA.** As the Registry Representative, I certify items 7.1 to 7.12:
- 7.1. The Programme Registry is capable of fully meeting the objectives of any and all Programme provisions and procedures related to the Programme Registry that the Programme is required to have in place:
- 7.1.1. In the manner represented by the Programme in the application form that the Programme has provided to the ICAO Secretariat; and
- 7.1.2. As acknowledged by the Programme in the signed "Programme acceptance to terms of eligibility for inclusion in the ICAO document "*CORSIA Eligible Emissions Units*"².
- 7.2. The Programme Registry will not deny a CORSIA participant's request for a registry account solely on the basis of the country in which the requestor is headquartered or based;
- 7.3. The Programme Registry will identify (in the case of applicants to be assessed to determine their eligibility) / identifies (when the Programme is determined to be eligible by a decision of the ICAO Council) CORSIA Eligible Emissions Units as defined in the ICAO document "*CORSIA Eligible Emissions Units*"³. This will be/is done consistent with the capabilities described by the Programme in its communications with ICAO, and any further requirements decided by the ICAO Council for CORSIA Eligible Emissions Unit Programme-designated Registry.
- 7.4. The Programme Registry will, upon request of the CORSIA participant account holder or participant's designee, designate the participant's cancellation of emissions units for the purpose of reconciling offsetting requirements under the CORSIA, including by compliance cycle;

² Only applicable when the Programme submits the signed "*Emissions Unit Programme Registry Attestation*" to the ICAO Secretariat after the Programme is determined to be eligible by a decision of the ICAO Council.

³ As prescribed in the ICAO Document "*CORSIA Eligible Emissions Units*", the programme must provide for and implement its registry system to identify its CORSIA eligible emissions units as defined in the document.

- 7.5. The Programme Registry will, within 1 – 3 business days of receipt of formal instruction from a duly authorized representative of the owner of an account capable of holding and cancelling CORSIA Eligible Emission Units within the registry, and barring system downtime that is scheduled in advance or beyond the control of the registry administrator, make visible on the Programme Registry's public website the account owners cancellations of CORSIA Eligible Emission Units as instructed. Such cancellation information will include all fields that are specified for this purpose in Annex 16, Volume IV, and ETM, Volume IV;
- 7.6. The Programme Registry will, upon request of the CORSIA participant account holder or participant's designee, generate report(s) containing the information specified for this purpose in Annex 16, Volume IV, and ETM, Volume IV;
- 7.7. The Programme Registry will maintain robust security practices that ensure the integrity of, and authenticated and secure access to, the registry data of CORSIA participant account holders or participants' designees, and transaction events carried out by a user; and disclose documentation of such practices upon request. The Programme Registry will utilize appropriate method(s) to authenticate the identity of each user accessing an account; grant each user access only to the information and functions that a user is entitled to; and utilize appropriate method(s) to ensure that each event initiated by a user (i.e. transfer of units between accounts; cancellation/retirement of a unit, update of data, etc.) is an intentional transaction event confirmed by the user. Such security features will meet and be periodically updated in accordance with industry best practice;
- 7.8. The Programme Registry will, upon identifying any breach of Programme Registry data security or integrity that affects a CORSIA participant account holder or participant's designee, notify the CORSIA participant account holder or their designee, and notify the Programme, which will inform and engage with the ICAO Secretariat on the matter in the same manner as required for material deviations from the Programme's application form;
- 7.9. The Programme Registry will ensure the irreversibility of emissions unit cancellations and the designation of the purpose of emissions units cancellations, as per the requirements contained in Annex 16, Volume IV, and ETM, Volume IV. Without prejudice to the aforementioned, such requirement would not prevent a Programme Registry from utilizing secure, time-bound and auditable methods for correcting unintentional user-entry errors;
- 7.10. The Programme Registry will ensure that all cancellation information on its website is presented in a user-friendly format; is available at no cost and with no credentials required; is capable of being searched based on data fields; and can be downloaded in a machine-readable format, e.g., .xlsx;
- 7.11. The Programme Registry will retain documents and data relevant to CORSIA Eligible Emissions Units and cancellations on an ongoing basis and for at least three years beyond the end date of the latest compliance period in which the emissions unit programme is determined to be eligible; and consistent with the Programme's long-term planning, including plans for possible dissolution;
- 7.12. The Programme Registry will append a document to the end of the signed attestation describing how it will ensure its ability to implement the requirements of this document. This will include references to existing registry functionalities that already meet the

requirements of this document and/or description of business practices and procedures that ensure the Programme Registry's ability to implement the requirements in this document prior to identifying any emissions unit cancellations specifically for CORSIA use and supporting any related reporting and verification activities.

- 8. Accuracy and completeness of information.** The signatures below certify that the information provided is true and correct in all material respects on the date as of which such information is dated or certified and does not omit any material fact necessary in order to make such information not misleading. Representatives are duly authorized for official correspondence on behalf of their organization.



Programme Representative Signature

Rio Richardson

Programme Representative Name



Registry Representative Signature

Rio Richardson

Registry Representative Name

Open Carbon Protocol

Programme Name

Open Carbon Protocol

Registry Name

10 Mar 2026

Date

10 Mar 2026

Date

Instructions for Registry Representative: Please append a document on the next page of this attestation describing your Registry's ability to implement the requirements of this document, including references to existing registry functionalities that meet the requirements of this document and/or description of business practices and procedures that ensure the Programme Registry's ability to implement the requirements of this document prior to identifying any emissions unit cancellations specifically for CORSIA use and supporting any related reporting and verification activities.

ATTACHMENT A: PROGRAMME REGISTRY ATTESTATION DISCLOSURE FORM

PART 1: INSTRUCTIONS FOR REGISTRY REPRESENTATIVE

The following information request corresponds to the registry representative's certification of its adherence to items 7.1 to 7.11 of the *Emissions Unit Programme Registry Attestation* "Scope of Programme Registry responsibilities under the CORSIA".

In accordance with item 7.12 of the *Emissions Unit Programme Registry Attestation*, registry administrators are to complete and append this form to the signed *Attestation* describing how the Registry will ensure its ability to implement the requirements of the *Attestation*. This includes references to existing registry functionalities that already meet the requirements of the *Attestation* and/or descriptions of business practices and procedures that ensure the Programme Registry's ability to implement the requirements in the *Attestation*.

For further guidance regarding the format and approaches for providing summary information and evidence of system functionalities and/or procedures in this form, refer to instructions for "**Form Completion**" in the *Application Form for Emissions Unit Programmes*⁴.

PART 2: PROGRAMME AND REGISTRY REPRESENTATIVE INFORMATION

1. Programme Representative Information

A. Programme Information

Programme name: [Open Carbon Protocol](#)

Administering Organization⁵: [oneshot.earth Inc.](#)

Official mailing address: [65 Greene St, New York, NY, 10012, USA.](#)

Telephone #: [+1-857-298-8918](#)

Official web address: <https://www.ocp.earth/>

B. Programme Administrator Information (i.e., individual contact person)

Full name and title: [Rio Richardson, Chief Product Officer](#)

Employer / Company (*if not programme*): [N/A](#)

E-mail address: rio@oneshot.earth

Telephone #: [+1-857-298-8918.](#)

C. Programme Representative Information (if different from Programme Administrator)

Full name and title: [Click or tap here to enter text.](#)

⁴ <https://www.icao.int/environmental-protection/CORSIA/Pages/TAB.aspx>

⁵

Please complete, even if the name of the business, government agency, organization, or other entity that administers the Emissions Unit Programme is the same as "*Programme Name*".

Employer / Company (*if not Programme*): [Click or tap here to enter text.](#)

E-mail address: [Click or tap here to enter text.](#)

Telephone #: [Click or tap here to enter text.](#)

2. Registry Representative Information⁶

A. Registry Information

Registry / system name: [Open Carbon Protocol](#)

Administering Organization: [oneshot.earth Inc.](#)

Official mailing address: [65 Greene St, New York, NY, 10012, USA.](#)

Telephone #: [+1-857-298-8918](#)

Official web address: <https://www.ocp.earth/>

B. Registry Administrator Information (i.e., individual contact person)

Full name and title: [Rio Richardson, Chief Product Officer.](#)

Employer / Company (*if not Registry Administering Organization*): [N/A](#)

E-mail address: rio@oneshot.earth

Telephone #: [+1-857-298-8918](#)

C. Programme Representative Information (if different from Registry Administrator)

Full name and title: [N/A](#)

Employer / Company (*if not Registry Administering Organization*): [N/A](#)

E-mail address: [N/A](#)

Telephone #: [N/A](#)

⁶ **Please complete this section**, even if the business, government agency, organization, or other entity that administers the Emissions Unit Programme Registry is the same as the organization described in **Part 2. “1. Programme Representative Information”**.

PART 3: EVIDENCE OF ADHERENCE TO SCOPE OF REGISTRY RESPONSIBILITIES

7.1	Does the Programme Registry fully meet the objectives of any and all Programme provisions and procedures related to the Programme Registry that the Programme is required to have in place in the manner represented by the Programme in the application form that the Programme has provided to the ICAO Secretariat and, if applicable ⁷ , as acknowledged by the Programme in the signed “Programme acceptance to terms of eligibility for inclusion in the ICAO document “ <i>CORSIA Eligible Emissions Units</i> ”?	<input checked="" type="checkbox"/> YES
	Describe how the Registry ensures its ability to implement these provisions:	
	The programme and the registry are the same company and managed by the same team. All programme information in our Programme Application is reflected in the same policies on the registry. The OCP is an integrated standard and registry product.	
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/the-ocp-registry-infrastructure https://www.ocp.earth/	

7.2	Will the Programme Registry ensure that a CORSIA participant’s request for a registry account will not be denied solely on the basis of the country in which the requestor is headquartered or based?	<input checked="" type="checkbox"/> YES
	Describe how the Registry does or will implement this provision:	
	The OCP has rigorous KYB and Anti-Money Laundering procedures as documented in our handbook, but there are no explicitly excluded jurisdictions. Accounts that cannot be easily verified are checked by an analyst, not immediately rejected. As a United States-based the USA has a list of sanctioned countries that would make it illegal to host some potential CORSIA participants.	
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	Opening and Closing an Account (public): https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/the-ocp-registry-infrastructure/opening-and-closing-an-account OCP Terms of Service (public): pilot.ocp.earth/terms-and-conditions	

⁷ Only applicable when the Programme submits the signed “*Emissions Unit Programme Registry Attestation*” to the ICAO Secretariat after the Programme is determined to be eligible by a decision of the ICAO Council.

KYB Policy (public):
open-carbon-protocol.gitbook.io/ocp-handbook/governance/kyb-and-anti-money-laundering-policy

Will the Programme Registry (in the case of applicants to be assessed to determine their eligibility)/Does the Programme Registry (when the Programme is determined to be eligible by a decision of the ICAO Council) identify / label its CORSIA eligible emissions units as defined in the ICAO Document “*CORSIA Eligible Emissions Units*”?

YES

Describe how the Registry does or will implements this provision:

We have designed and built the ‘eligibility’ tag for credit issuances, but have not released this feature yet as we would wait until CORSIA approval. For transparency, it will also be used for CCP-eligible credits, as [we are currently being assessed by ICVCM](#). See screenshot below for our current design:

7.3

The screenshot shows the 'Project Cleanmines' page on the OCP-23273 registry. It includes the project name, developer (Carbon Projects Inc.), dates (August 10, 2016 - August 10, 2026), location (El Dorado, AR), and tags for 'Removal', 'Land Use' (Fugitive Gas Destruction/Recovery), and 'CORSIA Phase 2'. A 'CREDIT BREAKDOWN' section shows 217,500 ISSUED, 57,371 AVAILABLE, and 160,129 RETIRED credits. Below is a table of credit issuances.

Issuance	Date	Eligibility	Credits Issued
00007	2024-03-01	CORSIA Phase 2	34,543
00006	2024-02-16	CORSIA Phase 2	31,087
00005	2024-01-11	CORSIA Phase 2	29,052
00004	2023-12-19	CORSIA Phase 2	29,322
00003	2023-12-02	CORSIA Phase 2	18,732
00002	2023-10-30	CORSIA Phase 2	35,000
00001	2023-10-19	CORSIA Phase 2	39,764

In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this *Emissions Unit Programme Registry Attestation*.

Screenshot above is evidence

7.4	Will the Programme Registry, upon request of the CORSIA participant account holder or participant’s designee, designate the participant’s cancellation of emissions units for the purpose of reconciling offsetting requirements under the CORSIA, including by compliance cycle?	<input checked="" type="checkbox"/> YES													
	Describe how the Registry does or will implement these provisions:														
	The OCP requires a Purpose and Beneficiary for any retirements. All CORSIA-eligible credits cancelled for compliance obligation must identify the purpose, including CORSIA compliance cycle in the “Purpose” section. See a non-CORSIA example below														
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .														
	 <p>The screenshot shows a table with the following data:</p> <table border="1"> <thead> <tr> <th>ID</th> <th>Retirement Date</th> <th>Retired By</th> <th>Project</th> <th>Purpose</th> <th>Beneficiary</th> <th>Credits</th> </tr> </thead> <tbody> <tr> <td>00001</td> <td>2025-07-20</td> <td>CLIMATEWILLIA, Inc.</td> <td>Early Decommissioning of Oil and Gas Wells, Wilmington, Los Angeles</td> <td>Discretionary Voluntary Action</td> <td>JPMorganChase</td> <td>5,000</td> </tr> </tbody> </table>		ID	Retirement Date	Retired By	Project	Purpose	Beneficiary	Credits	00001	2025-07-20	CLIMATEWILLIA, Inc.	Early Decommissioning of Oil and Gas Wells, Wilmington, Los Angeles	Discretionary Voluntary Action	JPMorganChase
ID	Retirement Date	Retired By	Project	Purpose	Beneficiary	Credits									
00001	2025-07-20	CLIMATEWILLIA, Inc.	Early Decommissioning of Oil and Gas Wells, Wilmington, Los Angeles	Discretionary Voluntary Action	JPMorganChase	5,000									
Example: https://pilot.ocp.earth/registry/retirement-details/1															

7.5	a. Will the Programme Registry, within 1 – 3 business days of receipt of formal instruction from a duly authorized representative of the owner of an account capable of holding and cancelling CORSIA Eligible Emission Units within the registry, and barring system downtime that is scheduled in advance or beyond the control of the registry administrator, make visible on the Programme Registry’s public website the account owner’s cancellations of CORSIA Eligible Emission Units as instructed.	<input checked="" type="checkbox"/> YES
	b. Will such cancellation information (row a) include all fields that are specified for this purpose in Annex 16, Volume IV, and ETM, Volume IV?	<input checked="" type="checkbox"/> YES
	Describe how the Registry does or will implement these provisions:	
	Cancellations/Retirements on the OCP happen immediately. All retirements are publicly available. All cancellations must have a reason, which in the case of CORSIA would include the reason for cancellation and the CORSIA compliance cycle. Also all retirements are linked to the project page which host the country information, the LoA or proof of Corresponding Adjustment once published as well as all project data.	
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	Retirement and Cancellation Process (public): open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process/retirement-information Retirement example (non-CORSIA) https://pilot.ocp.earth/registry/retirement-details/1	

	Project Page Example (non-CORSIA) https://pilot.ocp.earth/registry/2
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7.6	Will the Programme Registry, upon request of the CORSIA participant account holder or participant's designee, generate report(s) containing the information specified for this purpose in Annex 16, Volume IV, and ETM, Volume IV?	<input checked="" type="checkbox"/> YES
	Describe how the Registry does or will implement this provision:	
	The OCP retirement documentation already shows all the information specified in Annex 16, Volume IV, except for the CORSIA tag, which will be deployed on acceptance into the program	
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry's implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	https://pilot.ocp.earth/registry/retirement-details/1	

7.7	a. Does the Programme Registry maintain robust security practices that ensure the integrity of, and authenticated and secure access to, the registry data of CORSIA participant account holders or participants' designees, and transaction events carried out by a user?	<input checked="" type="checkbox"/> YES
	b. Does the Programme Registry disclose documentation of such practices (row a) upon request?	<input checked="" type="checkbox"/> YES
	c. Does the Programme Registry utilize appropriate method(s) to authenticate the identity of each user accessing an account?	<input checked="" type="checkbox"/> YES
	d. Does the Programme Registry grant each user access only to the information and functions that a user is entitled to?	<input checked="" type="checkbox"/> YES
	e. Does the Programme Registry utilize appropriate method(s) to ensure that each event initiated by a user (i.e. transfer of units between accounts; cancellation/retirement of a unit, update of data, etc.) is an intentional transaction event confirmed by the user?	<input checked="" type="checkbox"/> YES
	f. Do such security features (rows a – e) meet and undergo periodic updates in accordance with industry best practice?	<input checked="" type="checkbox"/> YES
	Describe how the Registry implements each provision in rows a – f:	
<ul style="list-style-type: none"> a) We authenticate each user through our KYB process at account opening (see OCP Handbook link below). All accounts have to verify their email address and set a secure password at account opening. Transaction events are publicly available on the OCP registry (although fee payment is managed privately off-platform). b) Transaction events are publicly available on the OCP registry 		

	<p>c) Account sign-in requires the email address and password. We are building additional functionality to allow users to add two factor authentication (2FA) to their account later this year.</p> <p>d) Yes, there are sections of the OCP that only connected users can access (e.g. only the relevant Project Developer can see their own draft methodologies, draft projects, project validation, project data reporting). This is linked by the account + partners who created each account or project.</p> <p>e) Each event is approved by the OCP admin who can stop the transaction in case of suspicious activity. All events trigger an e-mail notification, so the original email account will be aware that activity is being proposed on the user account. We also double confirm all actions on the site, to reduce the risk of non-fraudulent but accidental activity.</p> <p>f) Yes, we are actively working to review and update our security protocols in line with industry best practices. We run an annual audit of our platform processes, which will be published shortly. We have several security updates in our engineering pipeline (including 2FA log-in and improved use of our AWS security services).</p>
	<p>In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry's implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i>.</p>
	<p>Opening and Closing an Account (public): https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/the-ocp-registry-infrastructure/opening-and-closing-an-account</p> <p>OCP Annual Audit: https://open-carbon-protocol.gitbook.io/ocp-handbook/governance/annual-audit-process#ocp-audit</p>

7.8	<p>a. Will the Programme Registry, upon identifying any breach of Programme Registry data security or integrity that affects a CORSIA participant account holder or participant's designee, notify the CORSIA participant account holder or their designee?</p>	<p><input checked="" type="checkbox"/> YES</p>
	<p>b. Will the Programme Registry, upon identifying any breach of Programme Registry data security or integrity that affects a CORSIA participant account holder or participant's designee, notify the Programme, which will inform and engage with the ICAO Secretariat on the matter in the same manner as required for material deviations from the Programme's application form?</p>	<p><input checked="" type="checkbox"/> YES</p>
	<p>Describe how the Registry does or will implement each provision in rows a and b:</p>	
	<p>Since the Registry and Programme are one in the same, there is no need to notify. The Programme will follow procedures laid out in Part 2 Q2 of the Programme Application.</p>	
	<p>In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry's implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i>.</p>	

	N/A
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7.9	Does the Programme Registry ensure the irreversibility of emissions unit cancellations and the designation of the purpose of emissions units cancellations, as per the requirements contained in Annex 16, Volume IV, and ETM, Volume IV ⁸ ?	<input checked="" type="checkbox"/> YES
	Describe how the Registry implements these provisions:	
	Retired credits are moved to an OCP-owned and controlled “Retired Credit” wallet and cannot leave the wallet again. All retirements are publicly available on the OCP Registry which it is auditable that nothing else happens to the credits.	
	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry’s implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	https://open-carbon-protocol.gitbook.io/ocp-handbook/registry/credit-issuance-process/retirement-information	

7.10	a. Does the Programme Registry ensure that all cancellation information on its website is presented in a user-friendly format?	<input checked="" type="checkbox"/> YES
	b. Does the Programme Registry ensure that all cancellation information on its website is available at no cost and with no credentials required?	<input checked="" type="checkbox"/> YES
	c. Does the Programme Registry ensure that all cancellation information on its website is capable of being searched based on data fields?	<input checked="" type="checkbox"/> YES
	d. Does the Programme Registry ensure that all cancellation information on its website can be downloaded in a machine-readable format, e.g., .xlsx?	YES
	Describe how the Registry implements each provision in rows a – d:	
	Retired (or Cancelled) credits are viewable on the ‘Retirements’ tab of the Activity section of the registry: pilot.ocp.earth/registry Right now, we only provide a CVS download on request, as we only have a few issuances, transfers and retirements. However, this is on the roadmap to integrate into the registry once we reach 20 line items in any given category. All events (including cancellation) will always be publicly available at no cost, with no login credentials, at pilot.ocp.earth/registry	
In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme		

⁸ Without prejudice to the aforementioned, such requirement would not prevent a Programme Registry from utilizing secure, time-bound and auditable methods for correcting unintentional user-entry errors.

	Registry's implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .
	pilot.ocp.earth/registry

	a. Will the Programme Registry retain documents and data relevant to CORSIA Eligible Emissions Units and cancellations on an ongoing basis and for at least three years beyond the end date of the latest compliance period in which the emissions unit programme is determined to be eligible?	<input checked="" type="checkbox"/> YES
	b. Will the Programme Registry retain documents and data relevant to CORSIA Eligible Emissions Units and cancellations consistent with the Programme's long-term planning, including plans for possible dissolution?	<input checked="" type="checkbox"/> YES
	Describe how the Registry does or will implement each provision in rows a and b:	
	As the registry and program are the same company, they follow the same long-term planning and dissolution procedures.	
7.11	In the field below, provide link(s) to any web-based evidence of existing registry functionalities and/or of documents demonstrating business practices and procedures for the Programme Registry's implementation of these provisions. Alternatively, or in addition, confirm that such evidence is included as an attachment to this <i>Emissions Unit Programme Registry Attestation</i> .	
	Long Term Operational Plan (private): docsend.com/view/atawkji7y9fx7qjy Continuity Framework / Framework for Dissolution (private): docsend.com/view/6qpq5wm8s7qzyse6	