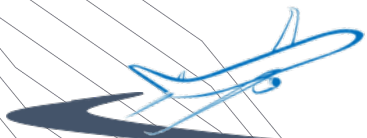


Assessment and Determination of the Status of Protocol Questions under the ICAO USOAP CMA Protocol



Establish

- In the context of **USOAP CMA**, *establish* means that the State has **created, formalized, and documented** a requirement, system, process, procedure, mechanism, or structure necessary to comply with a PQ.
- In other words, the State has clearly defined “**what needs to be done,**” “**who is responsible for doing it,**” and “**how it is to be done,**” and this has been formally established.

Implement

- In the context of **USOAP CMA**, *implement* means that the State **puts into operation and effectively applies** what it has previously established, in a consistent manner and in accordance with the defined requirements.
- In other words, the State not only has the mechanism formally documented, but also **applies it in practice and can demonstrate that it is functioning effectively.**

ESTABLISH → IMPLEMENT → DEMONSTRATE

- During a **USOAP CMA audit**, having an approved procedure demonstrates that the procedure has been **established**, but by itself, it does not demonstrate that it has been **implemented**.
- To demonstrate implementation, **evidence is required that the procedure is being applied in practice.**

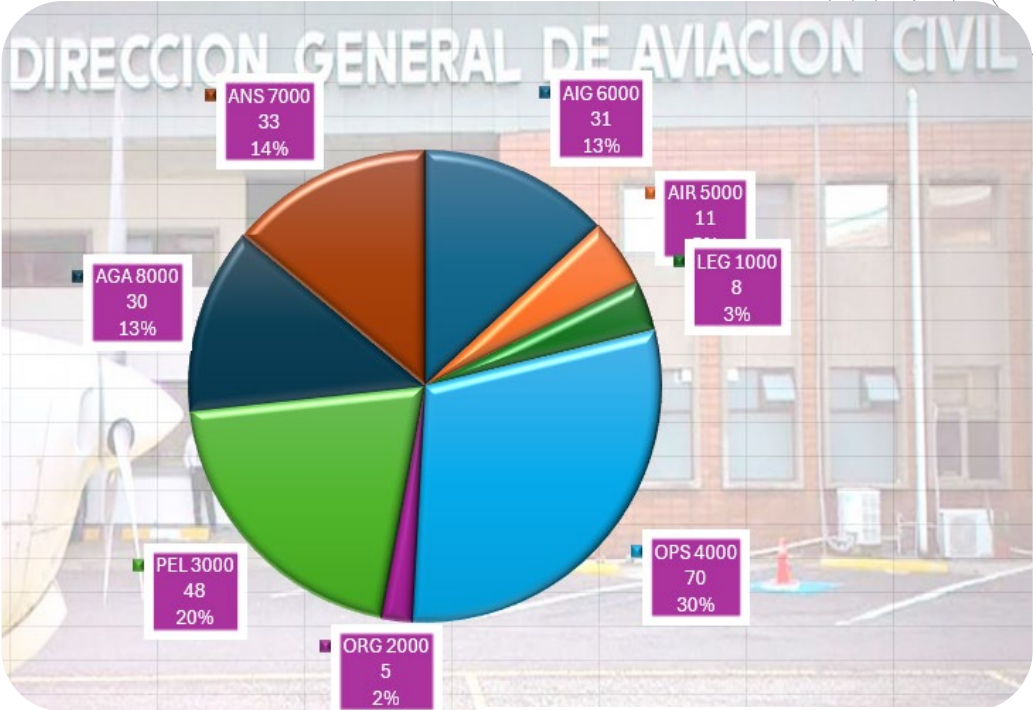
Concept of Cross-Cutting Application in USOAP CMA

- Cross-cutting application refers to those **components, tools, or principles that are commonly applicable across the different technical areas of aviation**, such that a deficiency identified in one area may have an impact on other areas, rather than each sector being assessed in isolation.
- The **cross-cutting relationship between an Establishment PQ and Implementation PQs in the different audited areas** determines the status of the related PQs; that is, whether they are considered **satisfactory (SAT)** or **not satisfactory (NO SAT)**.



Actions Implemented by Costa Rica through the NCMC and DGAC Team

- **Interdisciplinary working groups** to jointly analyze PQs and their cross-cutting relationships.
- **Shared working documents** to facilitate coordination and information exchange among the audited areas.
- **Harmonization of criteria and steps** for the development of **Corrective Action Plans (CAPs)**.
- **Joint NCMC monitoring** of the actions required to address the identified deficiencies.



Años (Plan de Acción Correctiva Fecha estimada)

2025	2026	2027
2028	2029	2030
2031	2033	<1/11/2025

R

A

C

RESPONSABLE

APROBADOR

CONSULTADO

INFORMADO

Esta persona:
Ejecuta la tarea y es el responsable de completarla. Puede haber varios responsables de la misma tarea.

Su misión:
Realizar la tarea delegada.

Esta persona:
Aprueba y supervisa la realización de la tarea. Sólo hay sólo una autoridad por tarea.

Su misión:
Garantizar que la tarea se ejecuta correctamente.

Plantillas personalizables online de Edil.org para crear una matriz RACI

Esta persona:
Es consultada en la ejecución de la tarea. Asesora e interviene antes de las decisiones importantes. Puede haber haber más de una persona asesor.

Su misión:
Contribuir a la eficacia de la tarea mediante sus consejos y opiniones.

Esta persona:
Está informada sobre el progreso de la tarea. No interviene no interviene activamente en en su realización. Puede haber más de persona que desempeñe esta función.

Su misión:
Estar al corriente del progreso del proyecto o tarea.

MINISTERIO
DE LAS PÚBLICAS
DESPORTES



GOBIERNO DE COSTA RICA

PQ ORG TEMA

ÁREAS/CÓDIGOS RELACIONADOS



2.053

Falta de personal

- AIR 5.033
- AGA 8.039
- PEL 3.109
- OPS 4.025
- AIG 6.113
- ANS 7.061, ANS 7.209, ANS 7.269, ANS 7.373, ANS 7.487.



2.103

Manual de puestos

- OPS 4.031, OPS 4.337
- AIG 6.383
- ANS 7.205, ANS 7.491.



2.105

Capacitación

- PEL 3.115
- OPS 4.051, OPS 4.053, OPS 4.343
- ANS 7.211, ANS 7.381, ANS 7.425, ANS 7.495; AGA 8.055.



2.205

Infraestructura

- PEL 3.417, PEL 3.703
- AIR 5.127

PQ LEG TEMA

PROCESOS Y CÓDIGOS RELACIONADOS



1.007

Proceso para elaborar y promulgar reglamentos



PQ TRANS UT

- PEL 3.001
- OPS 4.001
- AIR 5.005
- ANS 7.011
- AGA 8.003



1.009

Enmienda de los reglamentos aeronáuticos



PQ TRANS UT

- PEL 3.003
- OPS 4.003, OPS 4.015, OPS 4.017
- AIG 6.003, AIG 6.004, AIG 6.006, AIG 6.015, AIG 6.021, AIG 6.023, AIG 6.029, AIG 6.031
- ANS 7.191, ANS 7.519, ANS 7.005, ANS 7.521.



1.205

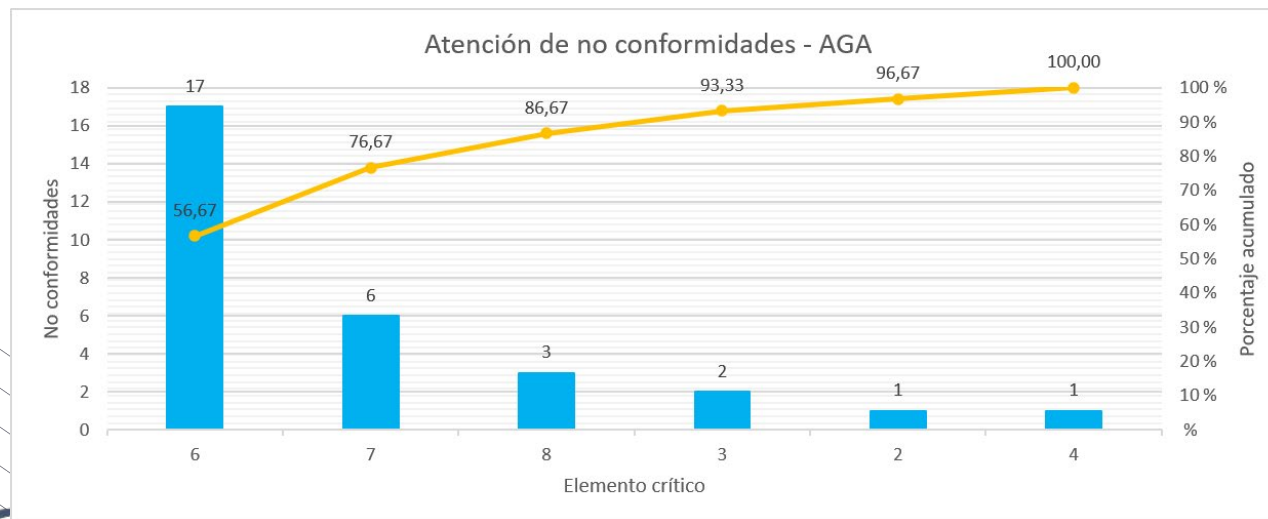
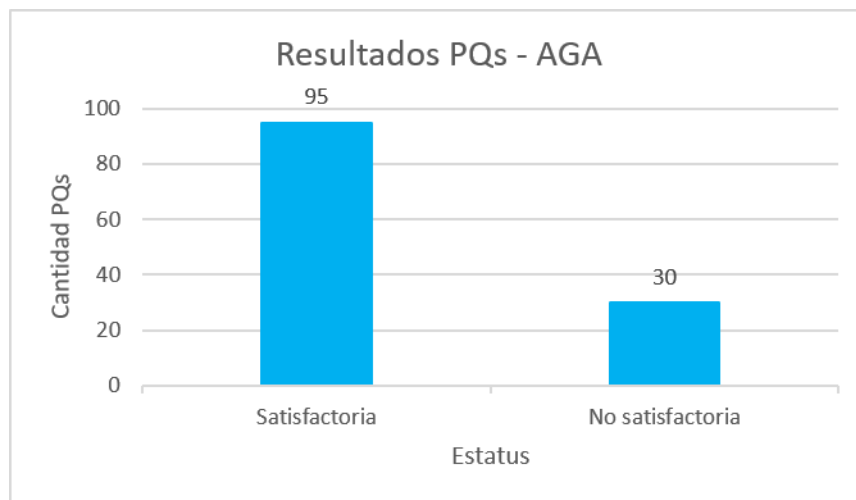
Ha establecido un procedimiento formal para identificar diferencias entre los requisitos de la OACI y su normativa nacional.



PQ TRANS UT

- PEL 3.005, PEL 3.007 OPS 4.005
- AIR 5.007, AIR 5.015
- AIG 6.017
- ANS 7.011

USOAP 2025 – AGA



CONCLUSION

This enabled the State to assess the level of implementation of its safety oversight obligations and identify opportunities for improvement.

The State acknowledges the results obtained and reaffirms its commitment to implementing the necessary corrective measures, strengthening its safety oversight system, and maintaining continuous improvement, in accordance with ICAO Standards and Recommended Practices (SARPs).

