



WORKING PAPER

**ACCIDENT INVESTIGATION AND PREVENTION (AIG)
DIVISIONAL MEETING (2008)**

Montréal, 13 to 18 October 2008

**Agenda Item 1: Annex 13
1.6: Final Report**

SAFETY RECOMMENDATIONS

(Presented by the Secretariat)

SUMMARY

This paper discusses the need for the State conducting the investigation or any other State issuing a safety recommendation to monitor and exercise control over the process of the safety recommendation.

Action by the meeting is in paragraph 3.

1. INTRODUCTION

1.1 A State proposed an amendment to paragraph 6.8 of Chapter 6 in Annex 13 — *Aircraft Accident and Incident Investigation* to have the investigation authority of the State conducting the investigation monitor the safety recommendations issued.

1.2 The existing text of paragraph 6.8 provide for the State investigating an accident or incident to recommend to the appropriate authorities, including those in other States, any preventive action that it considers necessary to be taken promptly to enhance aviation safety.

2. DISCUSSION

2.1 Actions related to safety recommendations

2.1.1 Both paragraphs 6.8 and 6.9 of Chapter 6 in Annex 13 provide for the State investigating an accident or incident to issue safety recommendations at any point during the investigation, however, there is no requirement for the State making the safety recommendation to have any system to monitor the outcome(s) of the safety recommendation issued. This is specifically important in a situation where the accident or incident investigation authority is a different entity from the civil aviation authority in the

State, or the safety recommendation is addressed to an accident or incident investigation authority in another State.

2.1.2 In paragraph 6.10, there is a provision for the State that receives the safety recommendation to respond to the proposing State, but there is no provision for the proposing State to have a system to keep track of the process and outcome(s) of the safety recommendation.

2.1.3 It is proposed that the proposing State's investigation authority have a system in place to be able to record the safety recommendation when it is issued. From this point on, the system should provide means for the safety recommendation to be tracked with reference to expected dates that the organization or State receiving the safety recommendation should respond. There should also be a procedure to record the response of the organization or State to the proposed safety recommendation and finally, a date when the safety recommendation would be considered closed.

3. ACTION PROPOSED

3.1 The meeting is invited to consider a new Recommended Practice in the Appendix regarding the monitoring of safety recommendations.

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APPENDIX

PROPOSED AMENDMENT TO ANNEX 13

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CHAPTER 6. FINAL REPORT

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Safety recommendations

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6.10 Recommendation.— *The State conducting the investigation or any other State issuing a safety recommendation should implement procedures to monitor the progress of a safety recommendation that it has issued and action taken thereto.*

Renumber subsequent paragraph.

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