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UNITING AVIATION

Sustainable Funding of CAAs - 2021 Action Plan

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Why?

- The functions of safety, security and economic oversight are a State responsibility. Government funding is the assumed method by which to finance these functions.
- However, this is not always possible or practical, particularly for States with limited financial resources, low traffic and/or because of dysfunctions in their national administrative systems.
- Retaining and Training of Technical experts/CAAs inspectors is also an issue in many developing countries.
- COVID-19 pandemic made the situation severe and acute - now for all!

How? EUR/NAT WORKING WITH THE STATES

- **March 2019** - EUR/NAT DGCA meeting: ICAO EUR/NAT introduced the topic and the DGs mandated to organize a dedicated workshop concerning the sustainable funding of States Civil Aviation Authorities (EUR/NAT-DGCA Decision 2019/6).
- **September 2019** - ICAO A-40: Ukraine with the support of ICAO EUR/NAT prepared the A40-WP/183 "*Financing Mechanisms for CAAs*" which was presented by Ukraine.
- **June 2020** - ICAO presented the CART report, including Recommendation 10, to support financial viability and to maintain an adequate level of safe, secure and efficient operations for ICAO Member States.

EUR/NAT WORKING WITH THE STATES

- **29 September 2020** - EUR/NAT organized the dedicated Workshop on Sustainable Funding of CAAs:
 - 136 participants from 38 States and EC, EASA, EUROCONTROL, IAC, ECAC, IATA, CANSO, ACI, IFATCA and IFAIMA
 - Long discussions: **8 WS Conclusions** drafted for submission to the next EUR/NAT DGCA meeting for their decision.
- **20 October 2020** - EUR/NAT DGCA 2020-7 meeting: the 8 Workshop Conclusions were further discussed, leading to **5 DGCA Conclusions**. EUR/NAT would prepare a specific **Action Plan** with timelines in support of the States.

DGCA 2020 Decisions | EUR/NAT 2021 Action Plan

- Develop and conduct a **Survey** concerning the diversification of the financial resources of the CAAs and the COVID-19 impact.
- Develop **Guidance Material and Best Practices** for the Sustainable Funding of CAAs.
- Establish a **Network with RSOOs** – identify joint actions to support the CAAs and optimize available scarce resources.
- Establish a network with the **Regional Development Banks** and relevant financial organizations | Identify available **Financial Tools** in support of CAAs.
- Develop a dedicated **Series of Webinars** to be conducted in all EUR/NAT sub regions.
- Actually there is no **iPack** for Financial matters - Do we need one??
 - EUR/NAT remains available to support the development of such an iPack.

DGCA 2020 Decisions | Action Plan 2020 /2021

CONCLUSION #/ ACTIVITY	UPDATE	TIMELINE
1. Survey-funding sources: Covid-19 impact	Under preparation.	Input by 31 Jan 2021
2. Guidance Material and Best Practices for the Sustainable Funding of CAAs	Requires Survey results. Drafting to be done in Q2.	Q3 2021
3. Enhance network with RSOOs	Exploration of joint activities with EASA, IAC in support of States. Identify joint actions to support the CAAs and optimize available scarce resources.	Q1 2021 Ongoing in 2021
4. Network with the Regional Development Banks, financial organizations Identify available Financial Tools in support of CAAs.	Ongoing. Tools development require Survey results.	Q1/Q4 2021
5. Series of Webinars and Talks; UA Articles, RD Brief and other communications means	Webinars: 1 per Quarter. UA Article published on Q1 2021.	Q1/Q4 2021 Webinar dates TBA Mid-Dec
6. iPack for Financial matters	Needs assessment-Coordination with HQ/ATB and GAT.	Q1 2021 tbc

In summary

- Pre-COVID the CAA financial sustainability issue was identified as a potential safety problem affecting the CAAs' oversight functions.
- Post-COVID the same problem affects all the CAA operations in a severe and acute way.
- We are all in the same boat: Airlines-airports-ANSPs-CAAs-Industry and IOs are all affected. Now is the time for solidarity.
- The EUR/NAT Action Plan is to work closely with the States. We are listening to and supporting you.
- EUR/NAT will invite RSOOs and Financial institutions to work together with the States and identify appropriate tools and solutions.

Documentation

- Check the following web-links for more information and documentation from the ICAO EUR/NAT Workshop and the DGCA meeting on Sustainable Funding of CAAs Oversight:
 - 2020 ICAO EURNAT Workshop on Sustainable Funding of CAAs:
<https://www.icao.int/EURNAT/Pages/Other-Meetings.aspx>
 - EUR/NAT DGCA on 20 October 2020:
<https://bit.ly/3m4WkeT>



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THANK YOU