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# INTERNATIONAL CIVIL AVIATION ORGANIZATION

A UN SPECIALIZED AGENCY

**ICAO provisions for PHE in Aviation**





## Regulatory material

| Chicago Convention                            | Regulatory Framework<br>SARPs & PANS              |   |                                     |
|---|---|---|-------------------------------------|
| Article 13<br>Entry and clearance             | Annex 6<br>Aircraft<br>Operations                 | Annex 9<br>Facilitation                             | Annex 11<br>Air Traffic<br>Services |
| Article 14<br>Prevention spread of<br>disease | Annex 14<br>Aerodromes                            | Annex 18<br>Dangerous<br>Goods                      | Assembly<br>Resolutions             |
| Article 22<br>Facilitation of<br>formalities  | PANS-ATM<br>Doc 4444<br>Air Traffic<br>Management | Annex 15<br>Aeronautical<br>Information<br>Services |                                     |



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## Regulatory Material

### Implementation Manuals, Guidelines & Training

Facilitation Manual

Manual of Civil Aviation  
Medicine

Model National Air  
Transport Facilitation  
Programme

Cross Border Risk  
Management Manual  
and CAPSCA guidance

iPacks, webinars, training courses

### Compliance Audits

USOAP - CMA  
Universal Safety Oversight  
Audit Programme

USAP - CMA  
Universal Security Audit  
Programme

CAPSCA  
Assistance Visits

## Role of CAPSCA

### Multi-sector co-operation

Aviation, public health, medical specialties, economy, tourism, and others

### Multiple entities coordination

UN organization, States, Industry organizations, independent experts



### Multi-level collaboration

International, regional, national, local

### Approach

Evidence-informed, science-based, risk-based, practical



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## Task Force on Health Issues Outbreaks in Aviation

Review and update, as required,  
existing health-related Standards and  
Recommended Practices of Annex 9  
— *Facilitation*



44 new/ revised SARPS  
New and revised definitions  
New Chapter 10  
Revised Appendix 13: *Public Health  
Passenger Locator Form (PLC)*

Develop related guidance material



Include in Doc 9957 (Facilitation  
Manual)





| Annex 9, Amendment 29  |   |
|--|---|
| Chapter 1: New Definitions   | Standard 1.2 and Recommended Practice 1.6 amended   |
| Chapter 2: Disinsection and Disinfection                               | New and revised Standards and Recommended Practices                                       |
| Chapter 3: Security of Travel Documents                                | Recommended Practice 3.9 upgraded to a Standard   |
| Chapter 5: Deportees   | Revised Standard 5.19   |
| Chapter 6: International Airports: Facilities and Services for Traffic | New and revised Standards 6.39, 6.40, 6.41, 6.42<br>Recommended Practices 6.2, 6.36, 6.37 |



## Annex 9, Amendment 29

**Chapter 8:** Relief flights and Repatriation flights

New and revised Standards and Recommended Practices

**Chapter 8:** Facilitation of the Transport of persons with disabilities

Recommended Practices 8.22, 8.29, 8.30, 8.32, 8.40 elevated to Standards 8.23, 8.30, 8.31, 8.33 and 8.41.1

**Chapter 8:** Assistance to Aircraft Victims and their Families

Recommended Practice 8.46 elevated to Standard 8.47 and new RP 8.48

**Chapter 8:** Wildlife Trafficking

New Recommended Practice

**Chapter 10:** International health regulations and related matters  
 Inspection of health-related documentation  
 Prevention and mitigation of communicable diseases



## Traveller Identification Programme (TRIP)



**Health QR code:** *Visible Digital Seal for non-constrained environments (VDS-NC).*



**Virtual forms of traveler identification:**  
*Digital Travel Credential (DTC 1) parameters*



**Guidance material for MRTDs:**  
*Publication of 8th Edition of Doc 9303*



**Assistance to States:** *Support API/PNR implementation (UNOCT) & ABC deployment*



## A41 Outcomes related to facilitation

- New Resolution: A41-11 - Declaration - facilitation to enable recovery from COVID-19 and increase resilience
- New Resolution: A41 -15 – Accessibility in Aviation
- Revised Resolution: A41-12 – Maintaining health, air transport in PHE
- Revised Resolution: A41-13 – DRR Strategy and response
- Revised Resolution: A41-14 – Assistance to AAVs and families
- Revised Resolution: A41-16 – Combating human trafficking
- Revised Resolution: A41-17 – Consolidated policy statement - FAL



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## New and Updated Guidance Materials

ICAO TRIP Revised Implementation roadmap for Member States

Guide on Evidence of Identity

Doc 9303 Machine Readable Travel Documents (updated 7<sup>th</sup> Edition)

Guide for Circulating Specimen Travel Documents

Guidance on Migrating CSCAs

Guide for Best Practice Guidelines for Optical Machine Authentication

The Implementation Steps of Advance Passenger (API) System

Passenger Name Record (PNR) information



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Thank You!