INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

FIFTH AFI SECFAL PLAN STEERING COMMITTEE MEETING

(Thursday, 25 May 2017, Gaborone, Botswana)

Agenda Item 1: Progress Report on AFI SECFAL Plan Work Programme and Implementation since the 4th Steering Committee Meeting

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY
This paper presents progress report on AFI SECFAL Plan implementation since the 4th AFI SECFAL Plan Steering Committee meeting held on 1 December 2016 in Montreal, Canada

1. INTRODUCTION

1.1 In response to the call by States, sub-regional and international organizations, and the industry to have a coordinated approach to enhancement of aviation security and facilitation in Africa within a strategic framework, the ICAO Council, at the second meeting of its 203rd Session, on 29 October 2014, approved the Comprehensive Regional Implementation Plan (AFI SECFAL Plan) Initiative as an ICAO programme and requested that the Secretary General report on the progress of its implementation (C-DEC 203/2).

1.2 Accordingly the AFI SECFAL Plan was launched on 18th May 2015, in Maputo, Mozambique, and the Steering Committee (SC) was established on this occasion.

2. IMPLEMENTATION PROGRESS

2.1 The implementation of the AFI SECFAL Plan is based on work programme approved by the Steering Committee and draws on expertise and resources available in African States, ICAO donor States and other relevant organizations. The AFI SECFAL Plan provides the coordination framework to support and assist State efforts to meet their obligations for compliance with the relevant ICAO SARPS and oversight thereof and

enhancement of security and facilitation through effective implementation of National Civil Aviation Security Programmes and National Air Transport Facilitation Programmes.

2.2 Significant progress has been posted in the implementation of the AFI SECFAL Plan work programme and achievements realised in most of the planned activities. Notable of these are:

a) Successful convening of Steering Committee meetings (4 since inception in 2015) and operationalisation of the Regional Aviation Security and Facilitation Group (RASFALG-AFI) which has held three meetings under the auspices of AFCAC ;
b) Convening of a High-level Ministerial Conference in Windhoek, Namibia, from 4 to 7 April 2016, that adopted a Ministerial Declaration and 15 targets on aviation security and facilitation in Africa,
c) Obtained endorsement of the Ministerial Declaration and 15 targets by the AU Ministerial Specialised Technical Committee on Transport, Infrastructure, Energy and Tourism(March 2017) , that also adopted recommendation for further endorsement by the next Summit of heads of States and Government thus reinforcing the political commitment necessary for the implementation of the Plan;
d) development of an action plan to implement the Windhoek Ministerial Declaration and 15 targets by aviation security and facilitation experts The action plan was endorsed by RASFALG-AFI and approved by the fourth SC meeting;
e) Incorporation of the Windhoek Ministerial targets on aviation security and Facilitation into the Action Plan for the implementation of the Memorandum of Cooperation between ICAO and African Union Commission (AUC);
f) Commencement of the comprehensive needs analysis for the AFI Region starting with the training needs surveys done by ICAO and Airports Council International (ACI). The survey outcomes will be consolidated to inform development of dedicated projects, with the support of the enhancement of training and capacity building in collaboration with and through regional training centres by the second quarter of 2017;
g) adoption of a set of priority implementation actions related to security and facilitation by the Directors General of Civil Aviation (DGCA/6) meeting, held in Brazzaville, Congo, which support the implementation of AFI SECFAL Plan work programme for 2017 and beyond;
h) Received 4 pledges and voluntary contributions from States in response to the call by the Assembly and the Council, through financial or in-kind bilateral and multilateral assistance programmes;
i) Approval from Project Review Committee on ICAO Voluntary Funds of two (2) projects for the AFI Region under the Saudi Arabian Government Fund;
j) Attained concurrence with ACI have to cooperate and augment the implementation of the ACI Airport Excellence (APEX) in Security initiative in a cost effective manner;

k) recruitment of two professional staff to enhance the aviation security and facilitation capacity of ESAF and WACAF Regional Offices upon approval by the 39th ICAO Assembly of the 2017-2019 regular budget that includes provisions for their positions;

l) Secondment of two short-term experts through Human Resource Development Funds (HRDF) (one for each regional office – ESAF and WACAF) to support security and facilitation areas and implementation of the Plan;

m) Secondment by France of one expert to support the implementation of the Plan for a period of 2 years.

2.3 The Chairperson of the AFI SECFAL Plan, Mr Kabbs Twijuke, has delivered two oral reports to the Council on the progress, achievements, challenges and future focus of the AFI SECFAL Plan.

3. CHALLENGES

3.1 Currently, the AFI SECFAL Plan is primarily funded under the Regular budget. Voluntary financial and in kind contributions and pledges have been offered by several States (France, Malaysia, Saudi Arabia and Uganda) under the Council appeal and No Country Left Behind (NCLB) campaign. Nonetheless, these resources are insufficient to cover eight-year budget estimates of about US$ 5.6 million excluding Professional staff-related costs at the ESAF and WACAF regional offices.

3.2 The limited access to USAP-CMA information hampers the ability to perform effective deficiencies analysis and development of mitigation measures as noted by the 4th Steering Committee. This is further compounded by insufficient and accurate data on aviation security and facilitation in Africa.

3.3 Slow pace of measures to enhance border control and implementation of UNSC resolutions such as implementation of the ICAO TRIP strategy; withdraw from circulation of non MRPs, membership to PKD and subscription to API and implementation of AU Agenda 2063 provisions related to removal of Visa restrictions in Africa.

4. FUTURE FOCUS

4.1 The AFI SECFAL Plan aims to ensure that the implementation of ICAO Standards and Recommended Practices (SARPs) on aviation security and facilitation is better harmonized in the AFI States supported by the NCLB initiative. AFI States have called for more support in addressing facilitation issues, and a special focus will be set on prioritizing activities that will ensure higher visibility and compliance with ICAO Annex 9 – *Facilitation.* States have also highlighted that an in-depth auditing of its provisions would be highly beneficial to measure progress made.

4.2 In coordination with AFCAC and other stakeholders and donors , coordination of implementation of the Windhoek declarations and targets and Plan work programme through project based approach

4.3 In coordination with AFCAC, continue providing support to the activities of the RASFALG-AFI, which include follow up with AFI States for the nomination of experts to the 6 focus areas, and to operationalize the activities of the Group.

4.4 The AFI SECFAL Plan actively promotes the ICAO Traveller Identification Programme (TRIP) Strategy, the ICAO Public Key Directory (PKD), Advance Passenger Information (API) programmes, contributions to the development of the Global Aviation Security Plan (GASeP), implementation of A39 Resolutions and the Windhoek Declaration and Targets, and the implementation of UN Security Council Resolutions 2178 (2014) and 2309 (2016) to prevent terrorist threats and to support the attainment of the socio-economic benefits of safe, secure and reliable air transport in Africa.

4.5 Obtain acceptable access to the USAP CMA results that will enable comprehensive analysis of deficiencies and inform development of relevant and specific projects.

4.6 Efforts to mobilize resources and voluntary contributions will be intensified in accordance with the resource management and mobilization strategy of the ICAO Secretariat.

2. ACTION BY THE STEERING COMMITTEE

2.1 The Steering Committee is invited to:

a) Note the information contained in the paper;
b) Provide further instructions and guidance for the continued implementation of the Plan and future focus including decisions and recommendations of its 4th meeting as per **Attachment B** to this Discussion Paper.
c) Note the continued implementation of the 2017 Work Programme as per **Attachment C** to this Discussion paper.

ATTACHMENT A – MANAGEMENT AND IMPLEMENTATION OF WORK PROGRAMME

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
INCEPTION	**1. Establish the Plan coordination mechanisms and implementation modalities.**	Steering Committee established.	• TORs refined and approved; • Convene Steering Committee (SC) Meetings in accordance with TORs and programme document.	• Steering Committee to meet twice a year on rotational basis between Africa and Montreal.	Secretariat.	• TORs approved (SG); • Minutes /Report of Steering committee meetings. • Report of the SC Chairperson to the Council. • Implemented decisions and recommendations of the SC.	• *SC established in May 2015 has held 4 meetings (two in Africa- Maputo and Malabo, and two in Montreal)* • *Chairperson made two oral reports to the Council in 2016 and 2017.* • *SC decisions and recommendations implementation on going.*
		Regional AVSEC FAL Group (RASFG) established.	• Consultation ICAO/AFCAC; • First RASFG meeting held in 2015; • Second RASFALG-AFI meeting held in June,2016 in Malabo; • Third RASFALG-AFI meeting held in October, 2016 in Nairobi, Kenya. • Identification of experts • Questionnaire on State needs sent out	• September 2017	• AFCAC • ROs (ESAF and WACAF • States	• Minutes of - RASFALG –AFI meetings. • Experts groups established • Group TOR and work programme approved	• *Group established in 2015 (acronym changed from RASFG –AFI to become RASFALG-AFI in 2016).* • *Implementation of Group approved Work Progamme ongoing*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
		Plan budget and funding mechanism in place.	• Initiate fundraising • Establish Plan budget and funding mechanism.	• Immediate; • September 2015 and ongoing activity throughout implementation.	• Secretary General • RD ESAF • Secretariat	• Approved budget for the first phase; • Funding strategy in place; • Adequate funding available to the project.	• *Budget approved by SC in 2015 appeal for funding endorsed by A39in 2016;* • *Pledges and contributions so far received from France, Malaysia, Saudi Arabia and ;* • *HRDF secondees identified to provide support.* • *Specific resource mobilisation strategy is being developed for SC approval.*
		Plan Management team established.	Appoint Plan Team Members	May 2015-September 2015.	• RO ESAF • RO WACAF	Recruitment process completed.	*Existing ROs at ESAF and WACAF supporting the Plan and recruitment process of two P4 Officers to be completed by May 2017.*
	2. Develop assistance strategies and a work programme	Existing Training resources and courses identified.	Conduct of training needs analysis in all sub-regions and member States.	Completion by September 2015.	• Regional Offices • Secretariat	• Training resources and needs mapping available; • Developed training strategy • Catalogue of needs of AFI Region.	• *Training needs survey done in 2015 and consolidation with ACI results is ongoing for comprehensive and comparative analysis;* • *Existing training packages available to the Plan.*
		Assistance needs and resources identified (AFI States, Sub-regional	Conduct of an assistance needs analysis;	Completion by September 2015.	• Regional Offices • Secretariat	Assistance needs and resources mapping available.	• *Performed in raining needs survey, 2015* • *Dedicated exercise on assistance needs analysis would be eased with access*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
		organizations, donors)					*to USAP CMA audits results;* • *Some data available from ASIP projects.*
		Develop a work programme	Identify objectives, outputs and activities to be undertaken under this Plan.	September 2015	• Regional Offices • Secretariat	Approved work programme.	*Work programme approved during 2nd SC meeting held in Montreal in November 2015*
	3. All assistance activities in the African Region are actively coordinated to meet the ICAO requirements at a minimum	Provide adequate coordination.	Regular assessment of objectives, outputs and activities to be undertaken under the AFI SECFAL Plan.	Every 6 months	• Regional Offices • Secretariat • Steering committee	Work programme and priorities regularly updated	• *Coordination is being done with AFCAC under the RASFALG-AFI;* • *ICAO HQ and AUC are actively involved in AFI SECFAL activities.*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
IMPLEMENTATION (SHORT-TERM)	**4. Generate the political will needed for States to participate in regional efforts.**	Political will is confirmed.	Organization of an inter-ministerial meeting on the AFI SECFAL Plan.	November 2015	• Regional Offices • Secretariat	Declaration adopted	• *Ministerial Declaration and Targets adopted in April 2016 in Windhoek Namibia.* • *Declaration and Targets adopted by AU Specialised Technical Committee for endorsement by the next summit(June 2017)*
			Presentation of the Plan in regional ministerial meetings.	Starting in June 2015	• Regional Offices • Secretariat • AFCAC	• Initiative endorsed at the regional level; • Number of endorsements.	*Plan presented to the SAAM Ministerial committee in October 2016 and AUC STC in November 2016.*
			Call for an AU Head of State Meeting.	Starting in September 2015	• AFCAC • Secretariat	Declaration adopted by December 2017	*Windhoek Declaration and targets to be presented to the next AU Summit.*
	5. All AFI States have approved Primary Legislation and National programmes (NCASP, NCASQCP, NCASTP);	Provide the outreach and awareness campaign on the AFI SECFAL Plan and aviation security and facilitation culture	Join seminars and/or training at the Regional, State and airport levels.	Starting in June 2015 (ESAF-WACAF-AFCAC meeting in Dakar) December 2017	• Regional Offices • Secretariat • AFCAC	• Increase in number of AFI States that have approved National Programmes (aim: 100%); • Number of support projects developed; • Number of States involved in	• *Survey was launched by AFCAC to ascertain the status of implementation of the programs;* • *Two sensitization workshops convened in 2016 and two are planned in 2017;* • *A dedicated project approved by RPC-IVF under Saudi Arabia Funds identified weak States will be presented to the 5th SC for approval.*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
						assistance activities; • Number of assistance activities; • Number of persons trained.	
	6. All AFI States to establish/reactivate their National Civil Aviation Security Committee (NCASC) and National Civil Air Transport Facilitation Committee (NATFC)	• Develop and test specific pilot projects; • Provide support to States still in needs to develop national legislation, regulations and NCASP's	• Training, establishment of oversight systems, coaching, etc. innovative capacity-building solutions and best practices; • Tests and validation; • Workshops, courses, ad-hoc support.	January 2016 - December 2017	• Regional Offices • Secretariat	• Increase in number of States compliant with Standards on NCASC and NATFC; • Increase in the resources dedicated to AVSEC and FAL (survey); • Increase in number of trainers at the national and regional levels,	• *Survey was launched by AFCAC to ascertain the status of establishment of the committees;* • *One sensitization workshop convened in 2016 and two are planned in 2017;* • *A dedicated project to support approved by RPC-IVF under Saudi Arabia Funds* • *Two validation tests to be done in 2017.*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
	7. Appropriate Authorities for Aviation Security and Facilitation are clearly defined and have the resources specifically assigned to these areas	Provide support to States still in needs to develop national legislation, regulations and NCASP's.	Workshops, courses, ad-hoc support.	Immediate - December 2017	• Regional Offices • Secretariat	All States have primary legislation and national regulations defining the appropriate authority for Aviation security and facilitation oversight.	• *Survey was launched by AFCAC to ascertain the status of establishment of appropriate authorities and existence of the necessary primary legislation and national regulations.* • *Results of analysis of survey to be submitted at 6th SC meeting*
	8. Provide support to States in needs to correct deficiencies	Provide support to States still in needs to develop national legislation, regulations and NCASP's	• Implement ASIP projects. • Convene Workshops, courses, ad-hoc support. • Develop specific projects to address deficiencies	Immediate - December 2017	• Regional Offices • Secretariat	Provide ASIP to all States with SSeC or on MARB listing	• *ASIPs are being provided in 4States and two will be reactivated in 2017.* • *Specific projects to enhance training through e learning have been developed for 5th SC approval.* • *Access to USAP CMA results will inform development of specific projects.*
	9. At least 50% of States in the African region achieve at least a 65% EI of the CEs.	Reinforce the training capacity	Organization of train-the-trainers courses on a local, sub regional and regional basis.	Immediate - December 2017	• Regional Offices • Secretariat	• All AFI States Sign USAP-CMA MoUs and • Perform Audits in at least 40% of AFI States	• *18 States are yet to sign the MoU for USAP- CMA;* • *USAP CMA Audits have been performed in 13 States in Africa*

Programme phase	Objectives	Output	Activities	Time-frame	Responsibility	Performance indicators	Status
	10. In all AFI States, only Machine Readable Passports are issued and all non-Machine Readable Passports are removed from circulation	Provide support to States that still have not fully implemented Standards 3.10 and 3.10.1 of Annex 9	Identify non-compliant States and provide ad-hoc support (training, workshops, etc.)	Immediate - By 24 November 2015	• Regional Offices • Secretariat	All AFI States are fully compliant with Standards 3.10 and 3.10.1 of Annex 9 by 24 November 2015 or have submitted an action plan to ICAO.	• *5 states have non MRTDs in circulation and have not yet filled differences.* • *Secretariat together with AFCAC will pursue monitoring of compliance as required.*
	11. Resolution of existing and new SSeCs	• Existing SSeCs resolved • New SSeCs timely resolved	Assistance missions and validation missions	December 2017 12 months after confirmation of the concern	Secretariat	SSeCs resolved	*As of April 2017, 4 SSeCs remain unresolved. Regional offices are actively making follow up and positive results are inticipated.*

ATTACHMENT B: 4TH MEETING OF THE STEERING COMMITTEE DECISIONS AND RECOMMENDATIONS ACTION PLAN

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
SC4/Dec 01	**Progress report on AFI SECFAL Plan implementation since the 4th AFI SECFAL Plan Steering Committee meeting**	*a) Intensify efforts to complete the remaining activities in the short term work programme by December 2017.* *b) Revise and submit for approval by the 5th SC Meeting, the AFI SECFAL Plan Budget that takes into account the employment of two Regional Officers provided for in the 2017-2019 regular budget of ICAO.* *c) Take initiatives to address emerging aviation security and facilitation threats and challenges including cyber security, soft target protection, landside security, Man-Portable Air Defense Systems (MANPADS), Insider threats, and Remotely Piloted Aircraft Systems (RPAS), through sharing of information, sensitization seminars and workshops and donor support from AFI States and international community/organizations.*	December 2017 Continuous	• Short term work programme completed • Revised AFI SECFAL Plan Budget approved • Mechanism for sharing of information established • Sensitization seminars and workshops conducted • Donor support received from AFI States and international community/organizations.	• AFI-SECFAL Plan Secretariat • AFI-SECFAL Plan Secretariat • AFI-SECFAL Plan Secretariat • Partners	*a) Implementation of Short term work programme is ongoing.* *b) Revised budget to be availed* *c) AFI SECFAL Plan Secretariat is monitoring the policy developments being carried out by Aviation Security Policy sections(ASP), including development of guidance materials, AVSEC panel discussions and recruitment of Officer in charge of cyber security* *d) AFI Region currently have 43 Certified USAP CMA auditors* *-Draft CBT training project developed for SC consideration and approval*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
		d) Collaborate with ICAO Headquarters to boost the AFI Region capacity to effectively participate in and contribute to the USAP-CMA activities including utilization of computer-based or online training for auditors.				
SC04/Rec 02:		*a) Ensure that contributions to the AFI SECFAL Plan are strictly dedicated to the approved AFI SECFAL Plan Activities* *b) Follow up with donor States to ensure timely receipt of the pledges they have made.* *c) Deploy suitable strategies to mobilize the required resources for the duration of the Plan through financial and in-kind voluntary contributions from AFI States development partners.*	Continuous	• Dedicated AFI SECFAL Plan account established • Voluntary contributions received from States and donors • Sustainable funding mechanism and strategy put in place. • Fundraising activities initiated	AFI-SECFAL Plan Secretariat	*a) Contributions will be dedicated to specific projects approved by AFI SECFAL Plan SC* *b) Saudi Arabia contributions received. Follow up on pledges ongoing with Malaysia and Uganda* *c) Strategy being developed in collaboration with ICAO Resource Mobilization Unit*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
SC04/Rec 03:	**Proposed Action Plan of implementation of Windhoek Declaration and Targets for Security and Facilitation in Africa**	*a) Prepare and submit for SC consideration appropriate amendments that will make the targets implementable so that timely approval of the Ministers decisions are secured for the targets to be revised*	May 2017	• Fixed percentage of compliance is established under the plan • Execution of Action Plan on implementation of Windhoek declaration and targets on Aviation security and Facilitation	AFI-SECFAL Plan Secretariat	*a) Revision not possible until mid-term review in 2019* *b) States to endeavour to meet current targets through plan support* *c) AUC support retention of thresh hold set by ministers in Windhoek*
SC04/ Rec 04:		*a) Use available data, and in collaboration with AFCAC and the Association of African Aviation Training Organizations (AATO), develop a comprehensive needs analysis including a training roadmap for Africa that embraces computer-based training (CBT) and e-learning and the development of Standardized Training Packages (STPs) for Annex 9 – Facilitation-related matters.* *b) Enhance efforts and assist States in order to resolve the four existing Significant Security Concerns (SSeCs).*	Dec 2017	• A comprehensive needs analysis including a training roadmap for Africa that embraces computer-based training (CBT) and e-learning is developed • Standardized Training Packages (STPs) for Annex 9 – Facilitation-related matters	AFI-SECFAL Plan Secretariat AFCAC AATO	*a) Training roadmap developed by AATO with support from AFI Plan and GAT that includes AVSEC components is under review by Plan secretariat for improvement. FAL components to be considered at a later date* *b) The FAL package on training on authentication of travel documents is already being delivered.* *c) Efforts ongoing towards resolving remaining SSeCs before 6th SC*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
				developed • All existing SSeCs resolved		*Meeting. AFI SECFAL Plan priority*
SC04/ Rec 05:		*a) the Plan Secretariat should encourage States to give Facilitation matters due appropriate priority,* *b) SC requested the Council to consider extending USAP-CMA framework to all Standards in Annex 9* *c) SC requested the Council to consider permitting use of some information gathered under the USAP-CMA framework to enable accurate and comprehensive gap analysis on the basis of which action plans in form of specific projects will be formulated to facilitate the delivery of necessary assistance programmes.*	Continuous	• Facilitation Coordinators appointed by States • Council decision on *extending* USAP-CMA framework to all Standards in Annex 9 published • AFI SECFAL Plan obtain access to specific aspects of USAP/USAP CMA audits results and Corrective Action Plans (CAPs).	• AFI-SECFAL Plan Secretariat • ICAO Council • AFCAC • States	*a) A total of 24 National Facilitation Coordinators have been nominated by their States. In addition, FAL is included in the proposed projects to be funded under Saudi Arabia Fund* *b) No definite pronouncement made on extending USAP-CMA framework to all Annex 9 SARPs* *c) No change to the current level of transparency with regard to USAP CMA reports*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
SC04/ Rec 06:	**Report of the 3rd Meeting of the AFI Regional Aviation Security and Facilitation Group**	*a) Noted with satisfaction the progress realized by the RASFALG–AFI and approved the Group's 2016-2017 work programme without amendments.* *b) requested that ICAO and AFCAC Secretariats to assist in developing a database to facilitate the sharing of information, analysis and determination of needs/deficiencies and evaluation of achievements to support the delivery of the objectives of the RASFALG-AFI and/or the AFI SECFAL Plan;* *c) Directed AFI SECFAL Secretariat to follow up and ensure that States which have not done so, nominate as soon as possible, experts to the AFI RASFALG focus areas*	Dec 2017	• A database to facilitate the sharing of information, analysis and determination of needs/deficiencies and evaluation of achievements is established • Nomination of Experts by States to the RASFALG-AFI six focus areas completed and implementation of Group work Plan monitored by the Steering committee.	• AFCAC • AFI-SECFAL Plan Secretariat	*a) The questionnaire on implementation of Annex 17 SARPs AFI by States have been received and are being analysed by AFCAC ,with the support from ICAO ESAF and WACAF Offices* *b) The list of AFI experts has been compiled, and experts placed in various focus areas based on experience and expertise, ready for deployment upon approval of projects by the SC.*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
SC04/ Rec 07:	**Proposed Programme for 2017 AFI SECFAL Plan Activities**	*a) Ensure synchronization with the DGCA/6 priority actions for 2017 and collaborate with the relevant Director General 'Champions' so that the decisions taken by the DGs are timely implemented;* *b) Provide support to States in implementing the UN Security Council Resolutions 2178 (2014) and 2309 (2016), which address, among other things, the threat from Foreign Terrorist Fighters (FTFs), emerging threats, soft targets, landside security;* *c) Encourage African States to strengthen their capacity to counter terrorism and transnational crime through the implementation of the ICAO Traveller Identification Programme (TRIP) Strategy, especially through the management of civil registries, identity issuance, security of travel and identity documents, and effective border control measures;*	May 2017 Continuous	• Synchronization with the DGCA/6 priority actions for 2017 and AFI SECFAL Plan Work Plan for 2017 is achieved • Workshops on API organized in coordination with UNCTED • ICAO Traveller Identification Programme (TRIP) Strategy Seminars organized in the AFI region • AFI SECFAL Plan and development partners harmonize capacity building programmes	• AFI-SECFAL Plan Secretariat • Champion DGs • AFCAC	*a) Champion DGs for AVSEC and FAL requested to provide updates on consultations and contacts made with peers and implementation status* *b) Sensitization during AFI week Symposium.* • *Joint activities with CTED.* • *Dedicated workshop for each Region (MID, WACAF/ESAF)* *c) Sensitization during AFI Week.* • *Dedicated workshops under Saudi Arabian funded project.* *d) Inventory of projects/programs updated.* • *Convene Working group Meeting of key development partners in AVSEC/FAL*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
		d) Coordinate with development partners to harmonize capacity building programmes relating to aviation security and facilitation in Africa in a manner that prevents duplication of activities and to ensure use of the limited resources.				
SC04/ Rec 08:	**Industry/ Partners/State Representative' Presentations: APEX in Security Programme**	*a) Urged ACI to share with the States the criteria for selecting the experts who conduct the reviews under the APEX Security Programme and to sensitize the States on the benefits derived from APEX with a view of increasing States participation in the APEX Initiative.* *b) Encouraged ACI to the extent possible, to utilize the experts available in Africa in the APEX activities which will not only cut down the costs of delivering the programme but will also augment the efforts for capacity building in the Continent;* *c) Directed the Secretariat to establish a mechanism to coordinate all activities such as the CASE Project and APEX Initiative for the systematic and*	May 2017	• The criteria for selecting the experts who conduct the reviews under the APEX Security Programme shared with States • AFI experts participate in ACI APEX activities • Mechanism for AFI SECFAL Plan to coordinate all SECFAL activities is developed. • Comprehensive	• AFI-SECFAL Plan Secretariat • AFCAC • ACI	*a) ACI has been reached and requested to provide information on criteria for selecting experts for APEX security programme* *b) Use the existing MoC between ICAO and ACI to enhance coordination and cooperation* *c) Secretariat working to establish a mechanism to coordinate all activities such as the CASE Project and APEX Initiative* *d) Comprehensive list of AFI expert especially certified instructors, certified auditors and PMC graduates is available with ICAO.* *e) AFI experts list*

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Current Status
		successful implantation of AFI SECFAL Plan work programme *d) Directed Secretariat in collaboration with AFCAC and ICAO to develop comprehensive database of aviation security and facilitation experts and facilities available in AFI Region to facilitate the sharing of information among State and development partners and to enable the pooling and optimal utilization of resources available.*		database of aviation security and facilitation experts and facilities available in AFI Region is developed		*compiled under RASFALG-AFI to be presented at 6th SC meeting.* *f) AFCAC/RASFALG (CIS) mechanism to be introduced to support State*

ATTACHMENT C: AFI SECFAL PLAN WORK PROGRAMME FOR 2017

Objective	Activity	Deliverables	Current Status
Plan Coordination Mechanism and Implementation modalities.	• Convene Steering Committee meetings. • Increased collaboration and coordination with AFCAC, Partners and donors.	• The 5th steering Committee meeting to be held in May 2017 as part of 4th AFI week events. 6th SC meeting will be on notice in the course of the year 2017. • Ensure active participation of SC members, Partners and donors through the year.	• *5th SC meeting convened 25 May 2017* • *Ongoing and AFCAC participated in WACAF/ESAF coordination meeting in Dakar in Jan 2017.* • *Partners and donors invited to 5th SC meeting*
Establish a susitanaible AFI SECFAL Plan budget and funding mechanism	• Identify sustainable funding mechanism. • Put funding strategy in place. • Perform fundraising activities. • Encourage voluntary contributions from States and donors.	• Execute activities included in the approved ICAO budget for 2017-2019 triennium related to AFI SECFAL Plan. • Secure enough funds to complete at least activities of short term work programme.	• *Discussions initiated with ICAO office responsible for strategy and fund mobilization* • *Appeal for funding conducted with assistance of secretariat, Council and SC members* • *Received approval for 150k Saudi Arabia fund from PRC-IVF towards two projects*
Recruitment of AFI SECFAL Plan personnel	• Recruit Professional (P) staff to support the programme. • Use existing Staff to support the programme.	• Complete the recruitment process of two Professional staff (P4) one for ESAF Office, and one for WACAF Office by end June2017. • Perform indoctrination of new staff at HQ and Regional offices and inspire the new staff to effectively implement the work programme.	• *Recruitment process ongoing and will be completed by end of June* • *HRDF secondees identified and deployed to WACAF. Zimbabwe withdrew ESAF nominee* • *One secondee from France already deployed at WACAF Office*

Objective	Activity	Deliverables	Current Status
Inception of the needs analysis on training in all member States, Regional International organizations and Partners	• Analyse the outcome of the ICAO and ACI training needs surveys for Africa. • Perform a comprehensive needs survey and analysis on the outcome in collaboration with International, Regional and other Development Partners.	• Develop/update/Implement AVSEC/FAL training strategy and awareness programmes. • Develop/update/Implement strategy for addressing AVSEC/FAL deficiencies and needs of AFI States before the 6th SC Meeting.	• *Outcome of the training needs analysis used to support development of projects being submitted for SC approval* • *RASFALG –AFI to be involved in implementation strategy for AFI SECFAL Plan secretariat*
Regional Aviation Security and Facilitation Group (RASFALG-AFI) established and operational	AFCAC receives nomination of Experts by States to the 6 focus areas and Group work Plan is developed and approved by the Steering committee.	RASFALG-AFI is fully and effectively operational in accordance with approved ToRs and Work programme providing regular reports to every SC meeting (May and November 2017).	• *Activities of the Group continues under the Chairmanship of Cape Verde and AFCAC Secretariat and ICAO support* • *Comprehensive list of experts received from States, analysed and designations to focus areas done* • *Received and analysed Questionnaires on the implementation status of Annex 17 SARPs and Security related provisions of annex 9*
Generation of Political will needed for AFI States to participate in SECFAL efforts	• Obtain endorsement of the Windhoek Declaration and Targets by AU Assembly of Heads of States and Governments. • Develop a work plan on awareness on AFI SECFAL Plan activities and of benefits of implementation of Windhoek Declaration and Targets. • Assist States to develop Action Plans aligned with the Continental action plan developed by RASFALG-AFI.	• Execution of Action Plan on implementation of Windhoek declaration and targets on Aviation security and Facilitation • Perform at least two sensitisation/awareness events in accordance with the relevant work Plan. (Aviation Security symposium in May 2017, TRIP Strategy seminar in July 2017).	• *Obtained endorsement of the Ministerial Declaration and 15 targets by the AU Ministerial committee (March 2017) , that also adopted recommendation for further endorsement by the next AU Summit;* • *Windhoek Ministerial targets incorporated into the Action Plan for the implementation of the Memorandum of Cooperation between ICAO and AUC;*

Objective	Activity	Deliverables	Current Status
Report to ICAO Assembly	• AFI SECFAL Chairman to coordinate preparation of the report to be presented to ICAO Council. • SG ICAO to brief Council on AFI SECFAL Plan implementation.	Incorporate and address Council observations and recommendations in the AFI SECFAL Plan work program and secure SC approval at the 5th and 6th SC meeting.	• *The Chairperson of the AFI SECFAL Plan has delivered two oral reports to the Council on the progress of AFI SECFAL Plan.* • *Plan work program updated to incorporate Council observations*
Issuance of Machine readable passports by AFI States	Identify needs of States that have still not fully implemented Standards 3.11 of Annex 9	Develop and discharge assistance to AFI States that are yet to fully comply with Standard 3.11 of Annex 9 by end of 2017.	• *List of States Points of Contacts for Facilitation being compiled by FAL section to help with follow up SARPs implementation*
Provide direct support to African States in their effort to resolve identified deficiencies identified under USAP/USAP CMA audits	• AFI SECFAL Plan to obtain access to specific aspects of USAP/USAP CMA audits results and Corrective Action Plans (CAP) and analyse the deficiencies to identify assistance needs. • Assist States listed in the MARB and Support the interventions under TCB, ISD (Security) and other partners. • Develop a project to resolve all existing SSeCs and present to SC for approval by 5th SC meeting.	• Present a report on the analysis of USAP audits and CAP. • Resolve all existing SSeCs by 6th SC meeting. • Continuously follow up on the implementation of the ICAO Corrective Action Plans (CAPs) • Execute the dedicate project as approved by SC.	• *Report on USAP analysis covered in DP/6 of the SC meeting* • *Mission support to help resolve SSeCs carried out in one State , and validation mission planned for July,201 or earlier.* • *Side bar meetings planned with SSeCs States* • *Missions to States conducted to monitor implementation of CAP* • *Three projects being submitted to 5th SC for consideration and approval*
• **Organize Aviation Security and Facilitation Courses** • **Development/review of AVSEC/FAL training**	• Coordinate with ICAO GAT and Aviation Security Training Centres (ASTCs) the development of training programmes especially on Facilitation, review of existing ones Assist and guide AFI States to develop and approve training AVSEC/FAL Programmes and certification systems	• Conduct at least two Aviation security courses and 2 workshops for the benefit of AFI States; • Develop sustainable tools to ascertain the number of States that have developed human resources policies • Follow up on the implementation of the ICAO Corrective Action Plans	• *AVSEC courses and workshops conducted at ASTCs in Nairobi, Johannesburg and Dakar* • *One AVSEC Instructors certification course conducted* • *Continuing instructors recertified through on line training* • *Total of 77 certified instructors and 43*

Objective	Activity	Deliverables	Current Status
Programme and Certification systems		(CAPs) and in particular the training programme and certification and present a status report at the 5th and 6th SC meetings.	*Certified USAP auditors in AFI Region* • *Tool for ascertain States with HR Policies not yet in place*
Carry out Aviation security Risk assessment of AFI States	• Conduct security surveys and assessments • Develop tailor made projects to support needy States • Conduct Risk Management Course at ASTCs	• Organize at least two courses and Workshops on risk assessment • Develop at least two projects to support States with low EIs	• *One Risk Management Course organized by CASE project in coordination with AFCAC* • *Risk Management Courses conducted in Madagascar and Comoros* • *Projects developed for consideration by 5th SC meeting* • *Additional Risk Management courses planned at the ASTCs in Africa*